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Search for supersymmetry in events with a lepton, a photon, and large missing transverse energy in pp collisions at $\sqrt{s} = 7 \text{ TeV}$

The CMS Collaboration^{*}

Abstract

A search is performed for an excess of events, over the standard model expectations, with a photon, a lepton, and large missing transverse energy in pp collisions at $\sqrt{s} = 7 \text{ TeV}$. Such events are expected in many new physics models, in particular a supersymmetric theory that is broken via a gauge-mediated mechanism, when the lightest charged and neutral gauginos are mass degenerate. The data sample used in this search corresponds to an integrated luminosity of 35 pb^{-1} collected with the CMS detector at the LHC. No evidence of such an excess above the standard model backgrounds, dominated by $W\gamma$ production, is found. The results are presented as 95% confidence level upper limits on the cross section for a benchmark gauge-mediated scenario, and are then converted into exclusion limits on the squark, gluino, and wino masses.

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^{*}See Appendix A for the list of collaboration members

1 Introduction

Supersymmetry (SUSY) is one of the best studied scenarios for physics beyond the standard model (SM). It alleviates the hierarchy problem and may provide a path to the grand unification of forces. Of particular interest is a scenario with gauge-mediated SUSY breaking [1–9], which elegantly addresses the SUSY flavour problem. In the gauge mediation scenario, SUSY breaking occurs at energy scales much smaller than the Planck scale, resulting in the gravitino (\tilde{G}) as the lightest SUSY particle (LSP), which would escape detection and result in an imbalance of momentum in the plane transverse to the beam direction (E_T^{miss}). In one scenario of general gauge-mediated (GGM) SUSY [10, 11], the next-to-lightest SUSY particles (NLSP) are mostly the superpartners of the $SU(2)_L$ gauge fields (winos). This scenario results in a small mass splitting between the charged (\tilde{W}^\pm) and neutral (\tilde{W}^0) winos. The charged wino decays promptly into a gravitino and a W boson, and the neutral wino into a gravitino and a photon or a Z boson [12]. If R-parity is conserved, coloured SUSY particles are pair-produced, resulting in the presence of two NLSPs and multiple jets per event. An example process is shown in Fig. 1. The event topologies of interest therefore contain, in addition to E_T^{miss} , one of the following signatures: two photons (γ); lepton(s) (ℓ) and one photon; lepton(s) and jets; one photon and jets; or all jets. Final states with two or more leptons are suppressed because of the W and Z leptonic branching fractions.

In this paper, we perform the first search for SUSY in the $\ell + \gamma + E_T^{\text{miss}} + X$ final state produced in pp collisions at $\sqrt{s} = 7$ TeV at the Large Hadron Collider (LHC). The ℓ denotes an electron or a muon. Previous searches for anomalous production of such events were performed at the Fermilab Tevatron [13–15].

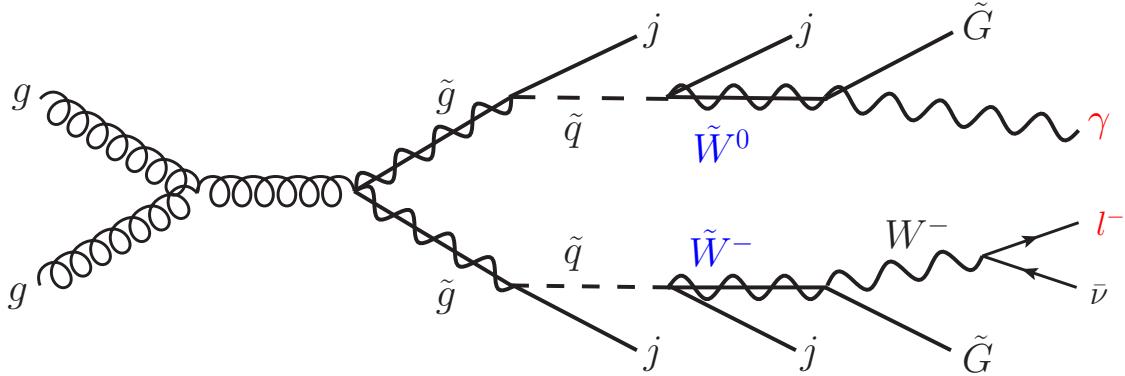


Figure 1: Feynman diagram for an example of a process with strong production of charged and neutral winos (\tilde{W}^\pm and \tilde{W}^0) as co-NLSP with multiple jets (j), resulting in the signature $\ell + \gamma + E_T^{\text{miss}} + X$.

2 The CMS Detector

The Compact Muon Solenoid (CMS) is a nearly hermetic, multipurpose detector and is described in detail elsewhere [16]. Charged particle trajectories are measured with silicon pixel and strip tracking detectors encompassed by a 3.8 T superconducting solenoid, with full azimuthal coverage and $|\eta| < 2.5$. The azimuthal angle ϕ is defined in the plane transverse to the beam direction. The pseudorapidity η is defined as $\eta = -\ln[\tan(\theta/2)]$, where θ is the polar angle with respect to the counterclockwise proton beam direction, with the origin at the center of the detector. Energy deposits of particles are measured with lead-tungstate crystal electro-

magnetic (ECAL) and brass/scintillator ($|\eta| < 3$) or iron/quartz-fiber ($3 < |\eta| < 5$) hadronic (HCAL) calorimeters. Muon candidates are identified in gas-ionisation detectors embedded in the steel return yoke of the magnet.

3 Candidate Event Selection

We use the data sample collected by the CMS detector at the LHC from March to November 2010 corresponding to an integrated luminosity of 35 pb^{-1} [17]. Events are required to have at least one high transverse momentum (p_T) electron or muon, in addition to a photon. Events are selected and recorded using a two-tiered trigger system. We use a suite of single-electron and single-muon triggers with several thresholds changing to match the rapidly increasing instantaneous luminosity of the LHC. The electron candidates are reconstructed from clusters of energy in the ECAL barrel ($|\eta| < 1.479$) and endcaps ($1.479 < |\eta| < 3$) and are required to match charged tracks reconstructed in the silicon tracking detectors. Muons are reconstructed as charged tracks matched to hit patterns in the muon detectors. We further require the leading electron or muon to have $p_T > 20 \text{ GeV}$, $|\eta| < 2.1$ and to satisfy a set of identification and isolation criteria [18]. For electrons, the region from $1.44 < |\eta| < 1.57$ is excluded since it contains services and cables exiting between the transition of the barrel and endcap calorimeters and leads to lower quality reconstructed clusters. Events in which the electron is consistent with having originated from a photon conversion are rejected. The efficiency of the triggers for events with an electron (muon) with p_T above 20 GeV is measured to be 0.98 ± 0.01 (0.968 ± 0.004). Lepton identification and reconstruction efficiencies are measured in data using $Z \rightarrow \ell^+ \ell^-$ decays. The ratio of the lepton efficiencies measured in data to those from Monte Carlo (MC) simulation (described below) is found to be 0.928 ± 0.015 (0.990 ± 0.001) for an electron (muon). We require the presence of at least one photon with $p_T > 30 \text{ GeV}$, $|\eta| < 1.44$, spatially separated from the lepton by $\Delta R(\ell, \gamma) = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} > 0.4$. The reconstruction of photon candidates in the calorimeter is similar to that of the electrons, with the additional requirement that they do not have associated hit patterns in the pixel detector consistent with a track. The data/MC scale factor for the photon efficiency is 0.97 ± 0.02 . We demand that the lepton and the photon candidates be isolated from any other activity in the tracker, ECAL, or HCAL. The events are required to contain at least one reconstructed primary vertex within 24 cm of the geometric center of the detector along the beamline and a transverse distance with respect to the reconstructed beam axis of less than 2 cm. These selections define our candidate sample, which consists of 264 (126) events in the $e\gamma$ ($\mu\gamma$) channel. Finally, in order to minimise the number of expected background events while retaining high signal efficiency, we select events with $E_T^{\text{miss}} > 100 \text{ GeV}$. The E_T^{miss} is reconstructed using calorimeter energy deposits and is corrected for the contribution from the muons and for the expected calorimeter response for each reconstructed hadron track [19].

4 Background Estimation

The dominant background process is $W\gamma$ production, with the W boson decaying leptonically. This background is estimated using the MADGRAPH MC generator [20] with parton showering using PYTHIA [21]. The result is scaled from the leading-order (LO) to the next-to-leading-order (NLO) cross section obtained from the BAUR NLO $W\gamma$ generator [22] and using the CTEQ66 NLO parton distribution function (PDF) sets [23]. For example, for a photon with $p_T = 50 \text{ GeV}$ the NLO/LO K factor is 2.3. The NLO calculation is in good agreement with the $W\gamma$ production cross section measured by the CMS collaboration [24], over the relevant phase space of that measurement, giving confidence in the NLO prediction used here. The MC events are

processed with the full CMS detector simulation based on the Geant4 [25] package. To estimate the uncertainty on the K factor due to higher-order corrections, we vary the factorisation and renormalisation scales by a factor of two and observe a variation in the cross section of approximately 20%. Additional systematic uncertainties on this background estimate include the uncertainties on the trigger efficiencies and data/MC scale factors described above, the PDF set estimated using the PDF4LHC working group procedure (2%) [26], and the integrated luminosity measurement (4%) [17].

The next most important instrumental background originates from SM processes that have misidentified leptons and photons. Data-driven methods are used to determine all backgrounds involving object misidentification [27]. We consider sources of background in which a jet or an electron is misidentified as a photon ($\text{jet} \rightarrow \gamma$ and $e \rightarrow \gamma$) or in which a jet is misidentified as a lepton. The dominant SM processes that contribute to the $\text{jet} \rightarrow \gamma$ misidentification background include $W + \text{jets}$ and QCD multijet production. The $\text{jet} \rightarrow \gamma$ background is determined by selecting a sample of events that satisfy the criteria of our $\ell\gamma$ candidate sample except that the photon is required to fail either the isolation or electromagnetic shower shape criterion. We then weight this sample by the probability of misidentifying a jet as a photon [28] to estimate the total contribution of this background to the signal sample. The SM processes contributing to the $e \rightarrow \gamma$ misidentification background are predominantly Z and $t\bar{t}$ production. The $e \rightarrow \gamma$ background is determined in a similar way to the $\text{jet} \rightarrow \gamma$ background, by selecting a sample of events that satisfy the criteria of our $\ell\gamma$ candidate sample but with the additional requirement that the photon be matched to a pattern of hits in the pixel tracker. We then weight this sample by the probability of misidentifying an electron as a photon ($f_{\gamma e}$) to estimate the total contribution of this background to the signal sample. The probability, $f_{\gamma e}$, is determined from $Z \rightarrow e^+e^-$ data to be 0.014 ± 0.004 .

The strategy for determining QCD contributions which have poorly measured E_T^{miss} is to select events with kinematic properties similar to the candidate events, but which are known to have no genuine E_T^{miss} . A control sample of events dominated by $Z \rightarrow e^+e^-$ decays is used. These events are further reweighted to reproduce the $\ell\gamma$ sample kinematics. In particular, we reproduce the $\ell\gamma$ transverse energy distribution and, therefore, the transverse energy of the hadronic recoil against the $\ell\gamma$ candidates, ensuring a correct description of the E_T^{miss} . In order to describe the distribution of the transverse mass of the lepton and E_T^{miss} system, defined as

$M_T = \sqrt{2 \cdot p_{T_\ell} \cdot E_T^{\text{miss}} \cdot (1 - \cos(\phi_\ell - \phi_{E_T^{\text{miss}}}))}$, the lepton p_T distribution is also reweighted. We determine the background contribution by normalising the control sample in such a way that the total background matches the number of selected events in data with E_T^{miss} less than 30 GeV. Tables 1 and 2 summarise the breakdown of the backgrounds for $E_T^{\text{miss}} > 40$ GeV and for our optimal selection of $E_T^{\text{miss}} > 100$ GeV. The dominant sources of systematic uncertainties are due to the limited precision of the data-driven methods and the uncertainties on the corresponding misidentification rate measurements.

5 Results

The E_T^{miss} distribution is shown in Fig. 2 (top) for the $e\gamma$ and $\mu\gamma$ channels combined, together with the sum of the expected background contributions, as well as the expectation of the signal from a SUSY benchmark point (referred to as GMC, described below) for comparison. Figure 2 (bottom) shows the M_T distribution. The data agree well with the SM expectations and do not exhibit any evidence for an excess of events with high E_T^{miss} .

We performed several cross-checks to support our modeling of the SM backgrounds. For exam-

Table 1: Event counts for data and expected background in the $e\gamma$ channel. The uncertainty on the total background includes the anti-correlation between the uncertainties on the individual components due to the scaling of the QCD background to the events with $E_T^{\text{miss}} < 30 \text{ GeV}$ as described in the text. The expected event yields from the SUSY benchmark point GMC (Section 5) are also shown.

	No E_T^{miss} selection	$E_T^{\text{miss}} > 40 \text{ GeV}$	$E_T^{\text{miss}} > 100 \text{ GeV}$
$W\gamma$	44.5 ± 9.2	16.1 ± 3.4	1.68 ± 0.42
jet $\rightarrow \gamma$	20.3 ± 4.5	3.1 ± 0.9	0.02 ± 0.02
$e \rightarrow \gamma$	70.5 ± 19.1	0.3 ± 0.1	0.04 ± 0.03
QCD	134 ± 28	0.4 ± 0.2	0.00 ± 0.00
Total background	269 ± 18	19.9 ± 3.7	1.74 ± 0.43
data	264	16	1
SUSY GMC prediction	3.94 ± 0.79	3.76 ± 0.75	2.79 ± 0.56

Table 2: Event counts for data and expected background in the $\mu\gamma$ channel. The uncertainty on the total background includes the anti-correlation between the uncertainties on the individual components due to the scaling of the QCD background to the events with $E_T^{\text{miss}} < 30 \text{ GeV}$ as described in the text. The expected event yields from the SUSY benchmark point GMC (Section 5) are also shown.

	No E_T^{miss} selection	$E_T^{\text{miss}} > 40 \text{ GeV}$	$E_T^{\text{miss}} > 100 \text{ GeV}$
$W\gamma$	44.8 ± 9.3	15.9 ± 3.4	1.40 ± 0.37
jet $\rightarrow \gamma$	18.0 ± 4.0	3.7 ± 1.1	0.10 ± 0.09
$e \rightarrow \gamma$	1.2 ± 0.4	0.6 ± 0.2	0.09 ± 0.04
QCD	58.3 ± 15.1	0.2 ± 0.1	0.00 ± 0.00
Total background	122.3 ± 12.3	20.4 ± 3.7	1.59 ± 0.39
data	126	27	1
SUSY GMC prediction	5.12 ± 1.02	4.84 ± 0.96	3.66 ± 0.73

ple, events with M_T above 70 GeV have small QCD background, as shown in Fig. 2 (bottom), and we verified that we can describe all the kinematic distributions in these events with the sum of the expected backgrounds, except the contribution from QCD. We also checked that the photon candidates have a timing consistent with having originated from the primary vertex, to verify that non-collision backgrounds are negligible. Finally, we verified that our QCD background determination procedure works in the presence of a large range of SUSY signals by mixing simulated SUSY events into the data sample and recalculating the QCD background; the change in its value was found to be negligible.

Since we observe no evidence of an excess of events with high E_T^{miss} compared to the SM expectations, we interpret our results by placing 95% confidence level (CL) lower limits on GGM SUSY particle masses in a benchmark model. The GGM SUSY [12] signal is simulated using the PYTHIA [21] MC generator version 6.420 with tune D6T [29], and assuming that the squark mass ($m(\tilde{q})$) is approximately equal to the gluino mass ($m(\tilde{g})$), with the charged and neutral winos as co-NLSPs, and $\tan\beta = 2$. This search focuses on the $m(\tilde{W}^0) > 100$ GeV region, which is beyond the sensitivity of the previous collider experiments [13–15, 30]. We therefore generate points with $m(\tilde{g})$ and $m(\tilde{q})$ in the range of 350–800 GeV and $m(\tilde{W}^0) \approx m(\tilde{W}^\pm)$ in the range of 100–480 GeV. The generated events are processed with the full CMS detector simulation using the Geant4 package. The NLO K factors were calculated using PROSPINO [31] and are found on average to be 1.4 with a $\sim 20\%$ variation. As an example of a SUSY benchmark model, we use the point generated with $m(\tilde{g}) = m(\tilde{q}) = 450$ GeV and $m(\tilde{W}^0) \approx m(\tilde{W}^\pm) = 195$ GeV, referred to as GMC.

We use a Bayesian approach to determine the 95% CL upper limits on the cross sections in the benchmark SUSY model described above, combining the likelihoods of the $e\gamma$ and $\mu\gamma$ channels. The inputs for both channels are the sum of the data-driven backgrounds, the $W\gamma$ background as determined from the MC simulation and corrected by the appropriate data/MC scale factors, and, for each MC SUSY point, the efficiency times acceptance, corrected for the appropriate data/MC scale factors. Each of these inputs for a given channel has log-normal distributed nuisance parameters that vary by the uncertainty in each quantity. We consider the 4% uncertainty on the luminosity as an additional nuisance parameter. As an example of the calculation, the efficiency times acceptance times branching ratio for the GMC benchmark point for $e\gamma$ ($\mu\gamma$) is 0.0063 ± 0.0003 (0.0083 ± 0.0004). The 95% CL upper limit on the cross section obtained for this point is 8.7 pb. The limit is less than the predicted NLO cross section for this point, (12.7 ± 2.6) pb, with uncertainties due to the PDF [26] (10%) and the renormalization scale (18%). We therefore exclude this point with more than 95% confidence. The observed 95% CL upper limits on the cross section are shown in Fig. 3 as a function of the squark / gluino and the wino masses for the kinematic selections applied in this analysis (an electron with $p_T > 20$ GeV, $|\eta| < 1.44$ or $1.57 < |\eta| < 2.1$; a muon with $p_T > 20$ GeV and $|\eta| < 2.1$; a photon with $p_T > 30$ GeV, $|\eta| < 1.44$; events with $E_T^{\text{miss}} > 100$ GeV). Figure 4 displays the resulting 95% CL exclusion region in the squark / gluino and wino mass plane, where masses in the area below the curve are excluded. The shaded region around the central value of the exclusion contour represents the variation of the predicted cross section by one standard deviation. Also shown is the expected 95% CL exclusion as well as the region excluded by previous searches at the Tevatron in the same channel [13–15], as interpreted in [30].

6 Conclusions

We performed the first search in proton-proton collisions at $\sqrt{s} = 7$ TeV for an excess of events with a photon, a lepton, and large E_T^{miss} over the SM expectation. Using a data sample corre-

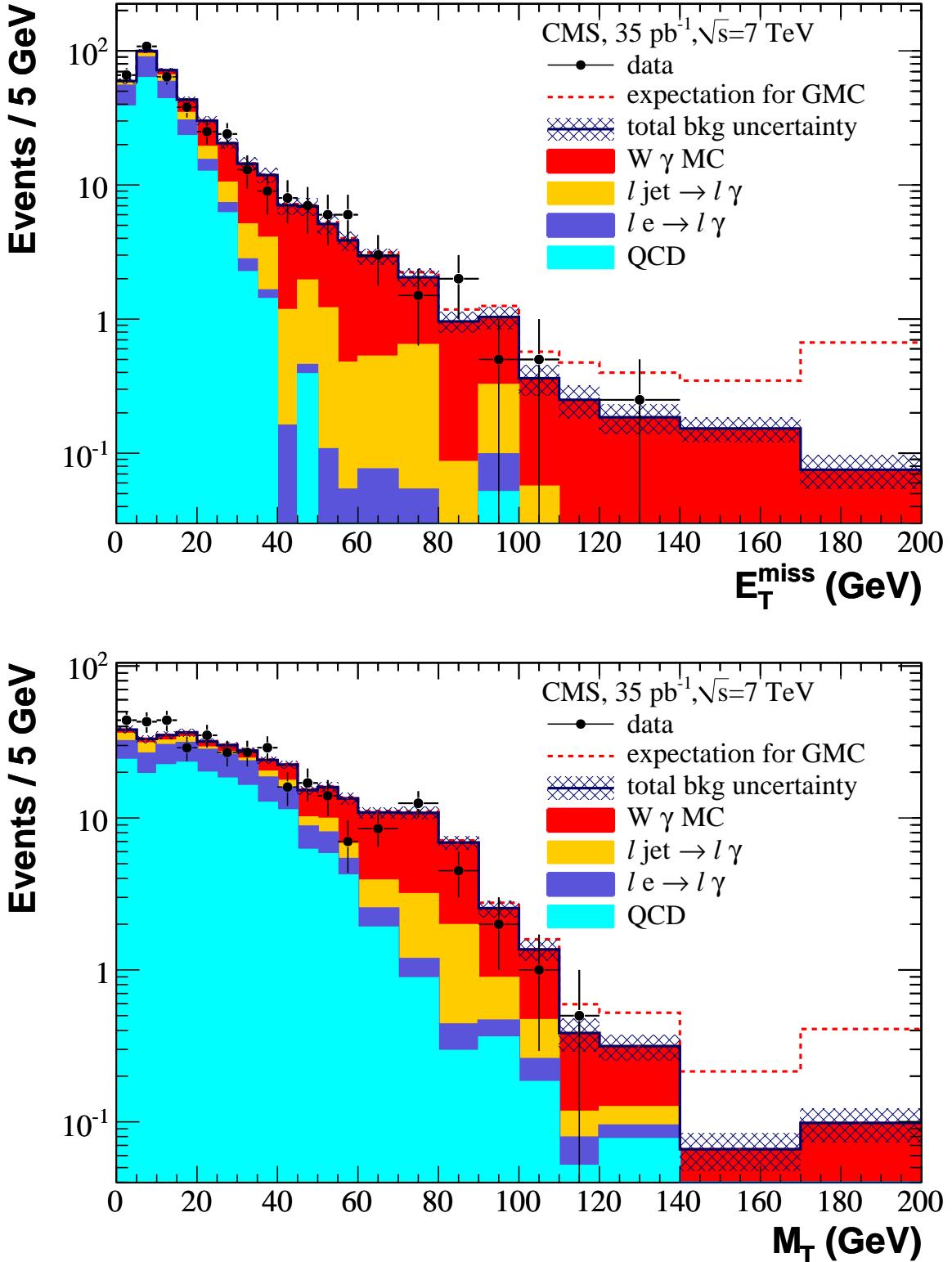


Figure 2: The E_T^{miss} (top) and M_T distributions (bottom) for the combined $e\gamma$ and $\mu\gamma$ samples, with logarithmic scales on the vertical axes; the last bin shown in both distributions includes the overflow events. The black points represent the 35 pb^{-1} data sample, and the coloured histograms show the individual background components. The total background and its uncertainty are represented by the shaded band. The expectation from the SUSY benchmark point GMC is shown by the red dashed line.

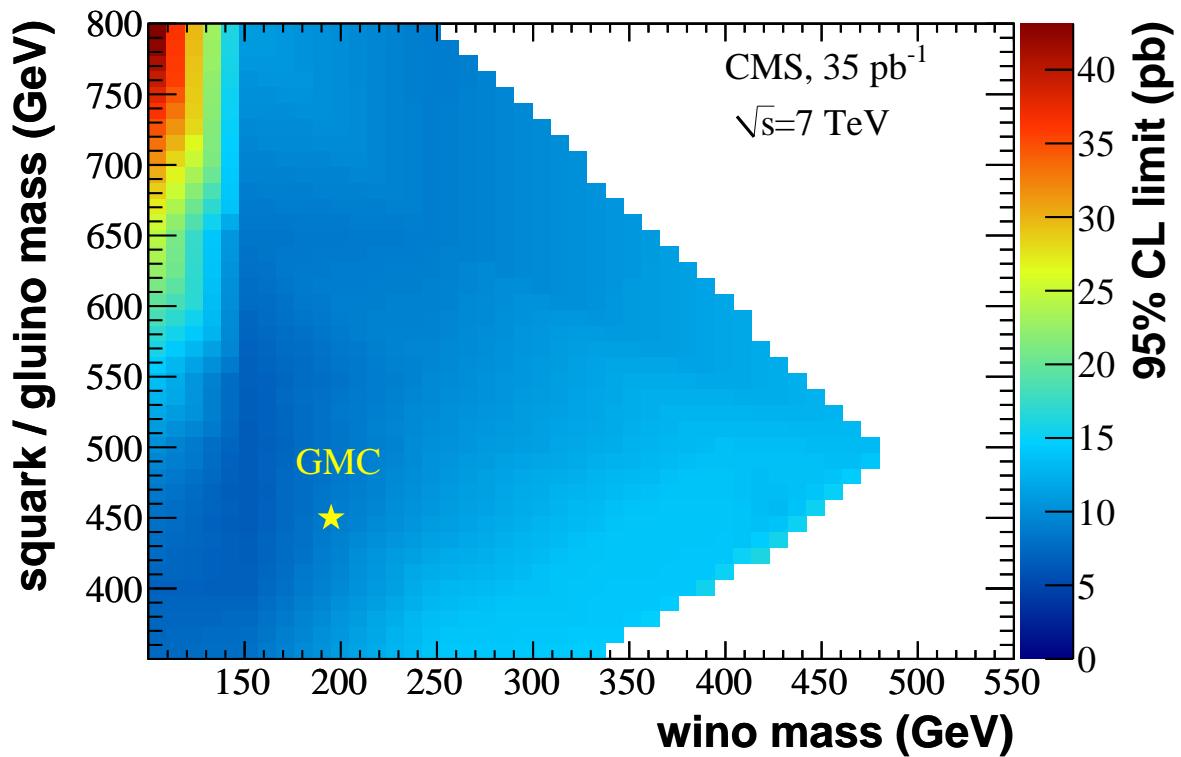


Figure 3: The observed 95% CL upper limits on the cross section as a function of the squark / gluino mass (since $m(\tilde{q}) \approx m(\tilde{g})$) and the wino mass is shown for the range of MC points generated for this analysis. The star indicates the SUSY benchmark point GMC.

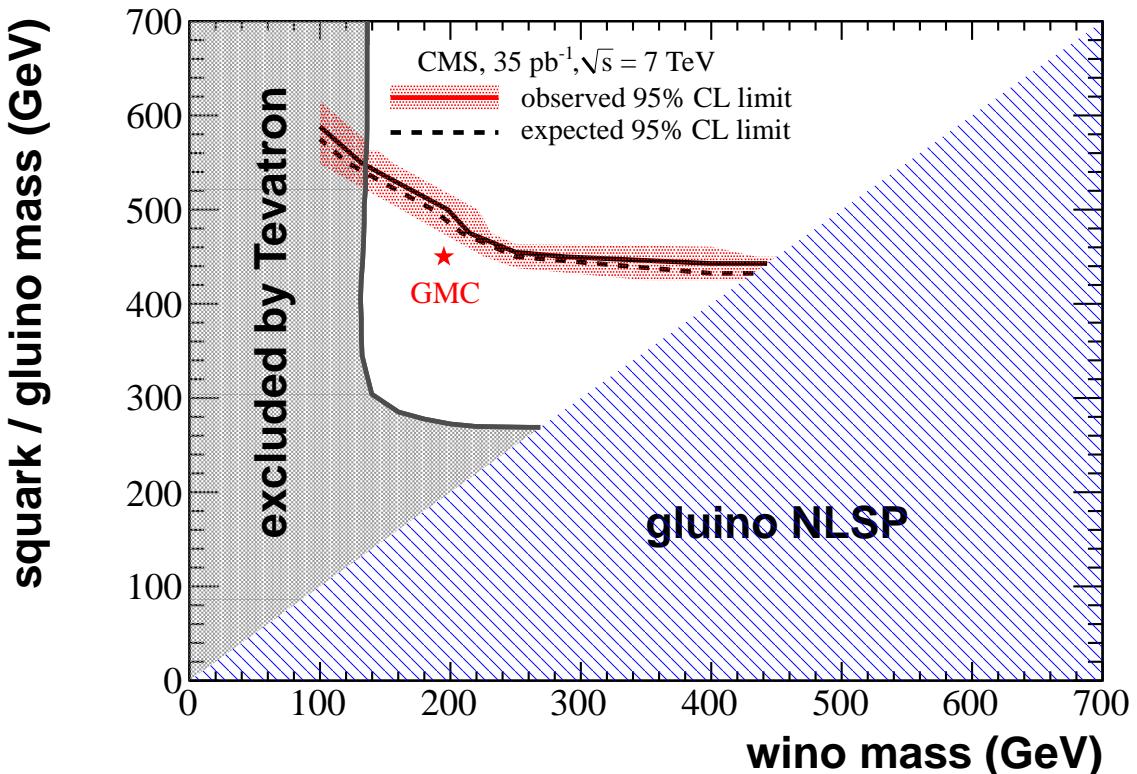


Figure 4: The 95% CL exclusion region as a function of the squark / gluino mass (since $m(\tilde{q}) \approx m(\tilde{g})$) and the wino mass. The area below the curve is excluded by this analysis. The shaded region around the central value of the exclusion contour reflects the uncertainty in the NLO predicted cross section. The dashed line represents the expected 95% CL limit from the MC simulation. The region excluded by the Tevatron in this channel [13–15] as interpreted in [30] is also shown. The star indicates the SUSY benchmark point GMC, which lies in the excluded region. The region for the scenario of the gluino as the NLSP is specified by the hatched area, which is not accessible to this analysis.

sponding to an integrated luminosity of 35 pb^{-1} , collected with the CMS detector at the LHC, we find no evidence of such an excess. Our results are interpreted as 95% CL lower limits on GGM SUSY particle masses in a benchmark model. Additionally, the 95% CL upper limits on the cross sections of generic SUSY production as a function of squark / gluino and wino masses are also provided, allowing other SUSY scenarios to be constrained. These limits are the most stringent to date for a large range of parameters in this GGM SUSY scenario.

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A The CMS Collaboration

Yerevan Physics Institute, Yerevan, Armenia

S. Chatrchyan, V. Khachatryan, A.M. Sirunyan, A. Tumasyan

Institut für Hochenergiephysik der OeAW, Wien, Austria

W. Adam, T. Bergauer, M. Dragicevic, J. Erö, C. Fabjan, M. Friedl, R. Frühwirth, V.M. Ghete, J. Hammer¹, S. Hänsel, M. Hoch, N. Hörmann, J. Hrubec, M. Jeitler, W. Kiesenhofer, M. Krammer, D. Liko, I. Mikulec, M. Pernicka, H. Rohringer, R. Schöfbeck, J. Strauss, A. Taurok, F. Teischinger, P. Wagner, W. Waltenberger, G. Walzel, E. Widl, C.-E. Wulz

National Centre for Particle and High Energy Physics, Minsk, Belarus

V. Mossolov, N. Shumeiko, J. Suarez Gonzalez

Universiteit Antwerpen, Antwerpen, Belgium

S. Bansal, L. Benucci, E.A. De Wolf, X. Janssen, J. Maes, T. Maes, L. Mucibello, S. Ochesanu, B. Roland, R. Rougny, M. Selvaggi, H. Van Haevermaet, P. Van Mechelen, N. Van Remortel

Vrije Universiteit Brussel, Brussel, Belgium

F. Blekman, S. Blyweert, J. D'Hondt, O. Devroede, R. Gonzalez Suarez, A. Kalogeropoulos, M. Maes, W. Van Doninck, P. Van Mulders, G.P. Van Onsem, I. Villella

Université Libre de Bruxelles, Bruxelles, Belgium

O. Charaf, B. Clerbaux, G. De Lentdecker, V. Dero, A.P.R. Gay, G.H. Hammad, T. Hreus, P.E. Marage, L. Thomas, C. Vander Velde, P. Vanlaer

Ghent University, Ghent, Belgium

V. Adler, A. Cimmino, S. Costantini, M. Grunewald, B. Klein, J. Lellouch, A. Marinov, J. Mccartin, D. Ryckbosch, F. Thyssen, M. Tytgat, L. Vanelderen, P. Verwilligen, S. Walsh, N. Zaganidis

Université Catholique de Louvain, Louvain-la-Neuve, Belgium

S. Basegmez, G. Bruno, J. Caudron, L. Ceard, E. Cortina Gil, J. De Favereau De Jeneret, C. Delaere¹, D. Favart, A. Giammanco, G. Grégoire, J. Hollar, V. Lemaitre, J. Liao, O. Militaru, S. Ovyn, D. Pagano, A. Pin, K. Piotrkowski, N. Schul

Université de Mons, Mons, Belgium

N. Beliy, T. Caebergs, E. Daubie

Centro Brasileiro de Pesquisas Fisicas, Rio de Janeiro, Brazil

G.A. Alves, D. De Jesus Damiao, M.E. Pol, M.H.G. Souza

Universidade do Estado do Rio de Janeiro, Rio de Janeiro, Brazil

W. Carvalho, E.M. Da Costa, C. De Oliveira Martins, S. Fonseca De Souza, L. Mundim, H. Nogima, V. Oguri, W.L. Prado Da Silva, A. Santoro, S.M. Silva Do Amaral, A. Sznajder

Instituto de Fisica Teorica, Universidade Estadual Paulista, Sao Paulo, Brazil

C.A. Bernardes², F.A. Dias, T.R. Fernandez Perez Tomei, E. M. Gregores², C. Lagana, F. Marinho, P.G. Mercadante², S.F. Novaes, Sandra S. Padula

Institute for Nuclear Research and Nuclear Energy, Sofia, Bulgaria

N. Darmenov¹, V. Genchev¹, P. Iaydjiev¹, S. Piperov, M. Rodozov, S. Stoykova, G. Sultanov, V. Tcholakov, R. Trayanov

University of Sofia, Sofia, Bulgaria

A. Dimitrov, R. Hadjiiska, A. Karadzhinova, V. Kozuharov, L. Litov, M. Mateev, B. Pavlov, P. Petkov

Institute of High Energy Physics, Beijing, China

J.G. Bian, G.M. Chen, H.S. Chen, C.H. Jiang, D. Liang, S. Liang, X. Meng, J. Tao, J. Wang, J. Wang, X. Wang, Z. Wang, H. Xiao, M. Xu, J. Zang, Z. Zhang

State Key Lab. of Nucl. Phys. and Tech., Peking University, Beijing, China

Y. Ban, S. Guo, Y. Guo, W. Li, Y. Mao, S.J. Qian, H. Teng, B. Zhu, W. Zou

Universidad de Los Andes, Bogota, Colombia

A. Cabrera, B. Gomez Moreno, A.A. Ocampo Rios, A.F. Osorio Oliveros, J.C. Sanabria

Technical University of Split, Split, Croatia

N. Godinovic, D. Lelas, K. Lelas, R. Plestina³, D. Polic, I. Puljak

University of Split, Split, Croatia

Z. Antunovic, M. Dzelalija

Institute Rudjer Boskovic, Zagreb, Croatia

V. Brigljevic, S. Duric, K. Kadija, S. Morovic

University of Cyprus, Nicosia, Cyprus

A. Attikis, M. Galanti, J. Mousa, C. Nicolaou, F. Ptochos, P.A. Razis

Charles University, Prague, Czech Republic

M. Finger, M. Finger Jr.

Academy of Scientific Research and Technology of the Arab Republic of Egypt, Egyptian Network of High Energy Physics, Cairo, Egypt

Y. Assran⁴, S. Khalil⁵, M.A. Mahmoud⁶

National Institute of Chemical Physics and Biophysics, Tallinn, Estonia

A. Hektor, M. Kadastik, M. Müntel, M. Raidal, L. Rebane

Department of Physics, University of Helsinki, Helsinki, Finland

V. Azzolini, P. Eerola, G. Fedi

Helsinki Institute of Physics, Helsinki, Finland

S. Czellar, J. Hätkönen, A. Heikkinen, V. Karimäki, R. Kinnunen, M.J. Kortelainen, T. Lampén, K. Lassila-Perini, S. Lehti, T. Lindén, P. Luukka, T. Mäenpää, E. Tuominen, J. Tuominiemi, E. Tuovinen, D. Ungaro, L. Wendland

Lappeenranta University of Technology, Lappeenranta, Finland

K. Banzuzi, A. Korppela, T. Tuuva

Laboratoire d'Annecy-le-Vieux de Physique des Particules, IN2P3-CNRS, Annecy-le-Vieux, France

D. Sillou

DSM/IRFU, CEA/Saclay, Gif-sur-Yvette, France

M. Besancon, S. Choudhury, M. Dejardin, D. Denegri, B. Fabbro, J.L. Faure, F. Ferri, S. Ganjour, F.X. Gentit, A. Givernaud, P. Gras, G. Hamel de Monchenault, P. Jarry, E. Locci, J. Malcles, M. Marionneau, L. Millischer, J. Rander, A. Rosowsky, I. Shreyber, M. Titov, P. Verrecchia

Laboratoire Leprince-Ringuet, Ecole Polytechnique, IN2P3-CNRS, Palaiseau, France

S. Baffioni, F. Beaudette, L. Benhabib, L. Bianchini, M. Bluj⁷, C. Broutin, P. Busson, C. Charlot, T. Dahms, L. Dobrzynski, S. Elgammal, R. Granier de Cassagnac, M. Haguenauer, P. Miné, C. Mironov, C. Ochando, P. Paganini, D. Sabes, R. Salerno, Y. Sirois, C. Thiebaux, B. Wyslouch⁸, A. Zabi

Institut Pluridisciplinaire Hubert Curien, Université de Strasbourg, Université de Haute Alsace Mulhouse, CNRS/IN2P3, Strasbourg, France

J.-L. Agram⁹, J. Andrea, D. Bloch, D. Bodin, J.-M. Brom, M. Cardaci, E.C. Chabert, C. Collard, E. Conte⁹, F. Drouhin⁹, C. Ferro, J.-C. Fontaine⁹, D. Gelé, U. Goerlach, S. Greder, P. Juillot, M. Karim⁹, A.-C. Le Bihan, Y. Mikami, P. Van Hove

Centre de Calcul de l’Institut National de Physique Nucléaire et de Physique des Particules (IN2P3), Villeurbanne, France

F. Fassi, D. Mercier

Université de Lyon, Université Claude Bernard Lyon 1, CNRS-IN2P3, Institut de Physique Nucléaire de Lyon, Villeurbanne, France

C. Baty, S. Beauceron, N. Beaupere, M. Bedjidian, O. Bondu, G. Boudoul, D. Boumediene, H. Brun, J. Chasserat, R. Chierici, D. Contardo, P. Depasse, H. El Mamouni, J. Fay, S. Gascon, B. Ille, T. Kurca, T. Le Grand, M. Lethuillier, L. Mirabito, S. Perries, V. Sordini, S. Tosi, Y. Tschudi, P. Verdier

Institute of High Energy Physics and Informatization, Tbilisi State University, Tbilisi, Georgia

D. Lomidze

RWTH Aachen University, I. Physikalisches Institut, Aachen, Germany

G. Anagnostou, M. Edelhoff, L. Feld, N. Heracleous, O. Hindrichs, R. Jussen, K. Klein, J. Merz, N. Mohr, A. Ostapchuk, A. Perieanu, F. Raupach, J. Sammet, S. Schael, D. Sprenger, H. Weber, M. Weber, B. Wittmer

RWTH Aachen University, III. Physikalisches Institut A, Aachen, Germany

M. Ata, W. Bender, E. Dietz-Laursonn, M. Erdmann, J. Frangenheim, T. Hebbeker, A. Hinzmann, K. Hoepfner, T. Klimkovich, D. Klingebiel, P. Kreuzer, D. Lanske[†], C. Magass, M. Merschmeyer, A. Meyer, P. Papacz, H. Pieta, H. Reithler, S.A. Schmitz, L. Sonnenschein, J. Steggemann, D. Teyssier

RWTH Aachen University, III. Physikalisches Institut B, Aachen, Germany

M. Bontenackels, M. Davids, M. Duda, G. Flügge, H. Geenen, M. Giffels, W. Haj Ahmad, D. Heydhausen, T. Kress, Y. Kuessel, A. Linn, A. Nowack, L. Perchalla, O. Pooth, J. Rennefeld, P. Sauerland, A. Stahl, M. Thomas, D. Tornier, M.H. Zoeller

Deutsches Elektronen-Synchrotron, Hamburg, Germany

M. Aldaya Martin, W. Behrenhoff, U. Behrens, M. Bergholz¹⁰, A. Bethani, K. Borras, A. Cakir, A. Campbell, E. Castro, D. Dammann, G. Eckerlin, D. Eckstein, A. Flossdorf, G. Flucke, A. Geiser, J. Hauk, H. Jung¹, M. Kasemann, I. Katkov¹¹, P. Katsas, C. Kleinwort, H. Kluge, A. Knutsson, M. Krämer, D. Krücker, E. Kuznetsova, W. Lange, W. Lohmann¹⁰, R. Mankel, M. Marienfeld, I.-A. Melzer-Pellmann, A.B. Meyer, J. Mnich, A. Mussgiller, J. Olzem, A. Petrukhin, D. Pitzl, A. Raspereza, A. Raval, M. Rosin, R. Schmidt¹⁰, T. Schoerner-Sadenius, N. Sen, A. Spiridonov, M. Stein, J. Tomaszevska, R. Walsh, C. Wissing

University of Hamburg, Hamburg, Germany

C. Autermann, V. Blobel, S. Bobrovskyi, J. Draeger, H. Enderle, U. Gebbert, K. Kaschube,

G. Kaussen, R. Klanner, J. Lange, B. Mura, S. Naumann-Emme, F. Nowak, N. Pietsch, C. Sander, H. Schettler, P. Schleper, M. Schröder, T. Schum, J. Schwandt, H. Stadie, G. Steinbrück, J. Thomsen

Institut für Experimentelle Kernphysik, Karlsruhe, Germany

C. Barth, J. Bauer, J. Berger, V. Buege, T. Chwalek, W. De Boer, A. Dierlamm, G. Dirkes, M. Feindt, J. Gruschke, C. Hackstein, F. Hartmann, M. Heinrich, H. Held, K.H. Hoffmann, S. Honc, J.R. Komaragiri, T. Kuhr, D. Martschei, S. Mueller, Th. Müller, M. Niegel, O. Oberst, A. Oehler, J. Ott, T. Peiffer, G. Quast, K. Rabbertz, F. Ratnikov, N. Ratnikova, M. Renz, C. Saout, A. Scheurer, P. Schieferdecker, F.-P. Schilling, G. Schott, H.J. Simonis, F.M. Stober, D. Troendle, J. Wagner-Kuhr, T. Weiler, M. Zeise, V. Zhukov¹¹, E.B. Ziebarth

Institute of Nuclear Physics "Demokritos", Aghia Paraskevi, Greece

G. Daskalakis, T. Geralis, S. Kesisoglou, A. Kyriakis, D. Loukas, I. Manolakos, A. Markou, C. Markou, C. Mavrommatis, E. Ntomari, E. Petrakou

University of Athens, Athens, Greece

L. Gouskos, T.J. Mertzimekis, A. Panagiotou, E. Stiliaris

University of Ioánnina, Ioánnina, Greece

I. Evangelou, C. Foudas, P. Kokkas, N. Manthos, I. Papadopoulos, V. Patras, F.A. Triantis

KFKI Research Institute for Particle and Nuclear Physics, Budapest, Hungary

A. Aranyi, G. Bencze, L. Boldizsar, C. Hajdu¹, P. Hidas, D. Horvath¹², A. Kapusi, K. Krajczar¹³, F. Sikler¹, G.I. Veres¹³, G. Vesztregombi¹³

Institute of Nuclear Research ATOMKI, Debrecen, Hungary

N. Beni, J. Molnar, J. Palinkas, Z. Szillasi, V. Veszpremi

University of Debrecen, Debrecen, Hungary

P. Raics, Z.L. Trocsanyi, B. Ujvari

Panjab University, Chandigarh, India

S.B. Beri, V. Bhatnagar, N. Dhingra, R. Gupta, M. Jindal, M. Kaur, J.M. Kohli, M.Z. Mehta, N. Nishu, L.K. Saini, A. Sharma, A.P. Singh, J.B. Singh, S.P. Singh

University of Delhi, Delhi, India

S. Ahuja, S. Bhattacharya, B.C. Choudhary, B. Gomber, P. Gupta, S. Jain, S. Jain, R. Khurana, A. Kumar, M. Naimuddin, K. Ranjan, R.K. Shivpuri

Saha Institute of Nuclear Physics, Kolkata, India

S. Sarkar

Bhabha Atomic Research Centre, Mumbai, India

R.K. Choudhury, D. Dutta, S. Kailas, V. Kumar, P. Mehta, A.K. Mohanty¹, L.M. Pant, P. Shukla

Tata Institute of Fundamental Research - EHEP, Mumbai, India

T. Aziz, M. Guchait¹⁴, A. Gurtu, M. Maity¹⁵, D. Majumder, G. Majumder, K. Mazumdar, G.B. Mohanty, A. Saha, K. Sudhakar, N. Wickramage

Tata Institute of Fundamental Research - HEGR, Mumbai, India

S. Banerjee, S. Dugad, N.K. Mondal

Institute for Research and Fundamental Sciences (IPM), Tehran, Iran

H. Arfaei, H. Bakhshiansohi¹⁶, S.M. Etesami, A. Fahim¹⁶, M. Hashemi, A. Jafari¹⁶, M. Khakzad,

A. Mohammadi¹⁷, M. Mohammadi Najafabadi, S. Paktinat Mehdiabadi, B. Safarzadeh, M. Zeinali¹⁸

INFN Sezione di Bari ^a, Università di Bari ^b, Politecnico di Bari ^c, Bari, Italy

M. Abbrescia^{a,b}, L. Barbone^{a,b}, C. Calabria^{a,b}, A. Colaleo^a, D. Creanza^{a,c}, N. De Filippis^{a,c,1}, M. De Palma^{a,b}, L. Fiore^a, G. Iaselli^{a,c}, L. Lusito^{a,b}, G. Maggi^{a,c}, M. Maggi^a, N. Manna^{a,b}, B. Marangelli^{a,b}, S. My^{a,c}, S. Nuzzo^{a,b}, N. Pacifico^{a,b}, G.A. Pierro^a, A. Pompili^{a,b}, G. Pugliese^{a,c}, F. Romano^{a,c}, G. Roselli^{a,b}, G. Selvaggi^{a,b}, L. Silvestris^a, R. Trentadue^a, S. Tupputi^{a,b}, G. Zito^a

INFN Sezione di Bologna ^a, Università di Bologna ^b, Bologna, Italy

G. Abbiendi^a, A.C. Benvenuti^a, D. Bonacorsi^a, S. Braibant-Giacomelli^{a,b}, L. Brigliadori^a, P. Capiluppi^{a,b}, A. Castro^{a,b}, F.R. Cavallo^a, M. Cuffiani^{a,b}, G.M. Dallavalle^a, F. Fabbri^a, A. Fanfani^{a,b}, D. Fasanella^a, P. Giacomelli^a, M. Giunta^a, C. Grandi^a, S. Marcellini^a, G. Masetti^b, M. Meneghelli^{a,b}, A. Montanari^a, F.L. Navarria^{a,b}, F. Odorici^a, A. Perrotta^a, F. Primavera^a, A.M. Rossi^{a,b}, T. Rovelli^{a,b}, G. Siroli^{a,b}, R. Travaglini^{a,b}

INFN Sezione di Catania ^a, Università di Catania ^b, Catania, Italy

S. Albergo^{a,b}, G. Cappello^{a,b}, M. Chiorboli^{a,b,1}, S. Costa^{a,b}, A. Tricomi^{a,b}, C. Tuve^{a,b}

INFN Sezione di Firenze ^a, Università di Firenze ^b, Firenze, Italy

G. Barbagli^a, V. Ciulli^{a,b}, C. Civinini^a, R. D'Alessandro^{a,b}, E. Focardi^{a,b}, S. Frosali^{a,b}, E. Gallo^a, S. Gonzi^{a,b}, P. Lenzi^{a,b}, M. Meschini^a, S. Paoletti^a, G. Sguazzoni^a, A. Tropiano^{a,1}

INFN Laboratori Nazionali di Frascati, Frascati, Italy

L. Benussi, S. Bianco, S. Colafranceschi¹⁹, F. Fabbri, D. Piccolo

INFN Sezione di Genova, Genova, Italy

P. Fabbricatore, R. Musenich

INFN Sezione di Milano-Bicocca ^a, Università di Milano-Bicocca ^b, Milano, Italy

A. Benaglia^{a,b}, F. De Guio^{a,b,1}, L. Di Matteo^{a,b}, S. Gennai¹, A. Ghezzi^{a,b}, S. Malvezzi^a, A. Martelli^{a,b}, A. Massironi^{a,b}, D. Menasce^a, L. Moroni^a, M. Paganoni^{a,b}, D. Pedrini^a, S. Ragazzi^{a,b}, N. Redaelli^a, S. Sala^a, T. Tabarelli de Fatis^{a,b}

INFN Sezione di Napoli ^a, Università di Napoli "Federico II" ^b, Napoli, Italy

S. Buontempo^a, C.A. Carrillo Montoya^{a,1}, N. Cavallo^{a,20}, A. De Cosa^{a,b}, F. Fabozzi^{a,20}, A.O.M. Iorio^{a,1}, L. Lista^a, M. Merola^{a,b}, P. Paolucci^a

INFN Sezione di Padova ^a, Università di Padova ^b, Università di Trento (Trento) ^c, Padova, Italy

P. Azzi^a, N. Bacchetta^a, P. Bellan^{a,b}, D. Bisello^{a,b}, A. Branca^a, R. Carlin^{a,b}, P. Checchia^a, M. De Mattia^{a,b}, T. Dorigo^a, U. Dosselli^a, F. Fanzago^a, F. Gasparini^{a,b}, U. Gasparini^{a,b}, A. Gozzelino, S. Lacaprara^{a,21}, I. Lazzizza^{a,c}, M. Margoni^{a,b}, M. Mazzucato^a, A.T. Meneguzzo^{a,b}, M. Nespolo^{a,1}, L. Perrozzi^{a,1}, N. Pozzobon^{a,b}, P. Ronchese^{a,b}, F. Simonetto^{a,b}, E. Torassa^a, M. Tosi^{a,b}, S. Vanini^{a,b}, P. Zotto^{a,b}, G. Zumerle^{a,b}

INFN Sezione di Pavia ^a, Università di Pavia ^b, Pavia, Italy

P. Baesso^{a,b}, U. Berzano^a, S.P. Ratti^{a,b}, C. Riccardi^{a,b}, P. Torre^{a,b}, P. Vitulo^{a,b}, C. Viviani^{a,b}

INFN Sezione di Perugia ^a, Università di Perugia ^b, Perugia, Italy

M. Biasinia^{a,b}, G.M. Bilei^a, B. Caponeri^{a,b}, L. Fanò^{a,b}, P. Lariccia^{a,b}, A. Lucaroni^{a,b,1}, G. Mantovani^{a,b}, M. Menichelli^a, A. Nappi^{a,b}, F. Romeo^{a,b}, A. Santocchia^{a,b}, S. Taroni^{a,b,1}, M. Valdata^{a,b}

INFN Sezione di Pisa ^a, Università di Pisa ^b, Scuola Normale Superiore di Pisa ^c, Pisa, Italy
 P. Azzurri^{a,c}, G. Bagliesi^a, J. Bernardini^{a,b}, T. Boccali^{a,1}, G. Broccolo^{a,c}, R. Castaldi^a,
 R.T. D'Agnolo^{a,c}, R. Dell'Orso^a, F. Fiori^{a,b}, L. Foà^{a,c}, A. Giassi^a, A. Kraan^a, F. Ligabue^{a,c},
 T. Lomtadze^a, L. Martini^{a,22}, A. Messineo^{a,b}, F. Palla^a, G. Segneri^a, A.T. Serban^a, P. Spagnolo^a,
 R. Tenchini^a, G. Tonelli^{a,b,1}, A. Venturi^{a,1}, P.G. Verdini^a

INFN Sezione di Roma ^a, Università di Roma "La Sapienza" ^b, Roma, Italy

L. Barone^{a,b}, F. Cavallari^a, D. Del Re^{a,b}, E. Di Marco^{a,b}, M. Diemoz^a, D. Franci^{a,b}, M. Grassi^{a,1},
 E. Longo^{a,b}, S. Nourbakhsh^a, G. Organtini^{a,b}, F. Pandolfi^{a,b,1}, R. Paramatti^a, S. Rahatlou^{a,b},
 C. Rovelli¹

INFN Sezione di Torino ^a, Università di Torino ^b, Università del Piemonte Orientale (Novara) ^c, Torino, Italy

N. Amapane^{a,b}, R. Arcidiacono^{a,c}, S. Argiro^{a,b}, M. Arneodo^{a,c}, C. Biino^a, C. Botta^{a,b,1},
 N. Cartiglia^a, R. Castello^{a,b}, M. Costa^{a,b}, N. Demaria^a, A. Graziano^{a,b,1}, C. Mariotti^a,
 M. Marone^{a,b}, S. Maselli^a, E. Migliore^{a,b}, G. Mila^{a,b}, V. Monaco^{a,b}, M. Musich^{a,b},
 M.M. Obertino^{a,c}, N. Pastrone^a, M. Pelliccioni^{a,b}, A. Romero^{a,b}, M. Ruspa^{a,c}, R. Sacchi^{a,b},
 V. Sola^{a,b}, A. Solano^{a,b}, A. Staiano^a, A. Vilela Pereira^a

INFN Sezione di Trieste ^a, Università di Trieste ^b, Trieste, Italy

S. Belforte^a, F. Cossutti^a, G. Della Ricca^{a,b}, B. Gobbo^a, D. Montanino^{a,b}, A. Penzo^a

Kangwon National University, Chunchon, Korea

S.G. Heo, S.K. Nam

Kyungpook National University, Daegu, Korea

S. Chang, J. Chung, D.H. Kim, G.N. Kim, J.E. Kim, D.J. Kong, H. Park, S.R. Ro, D. Son, D.C. Son,
 T. Son

Chonnam National University, Institute for Universe and Elementary Particles, Kwangju, Korea

Zero Kim, J.Y. Kim, S. Song

Korea University, Seoul, Korea

S. Choi, B. Hong, M.S. Jeong, M. Jo, H. Kim, J.H. Kim, T.J. Kim, K.S. Lee, D.H. Moon, S.K. Park,
 H.B. Rhee, E. Seo, S. Shin, K.S. Sim

University of Seoul, Seoul, Korea

M. Choi, S. Kang, H. Kim, C. Park, I.C. Park, S. Park, G. Ryu

Sungkyunkwan University, Suwon, Korea

Y. Choi, Y.K. Choi, J. Goh, M.S. Kim, E. Kwon, J. Lee, S. Lee, H. Seo, I. Yu

Vilnius University, Vilnius, Lithuania

M.J. Bilinskas, I. Grigelionis, M. Janulis, D. Martisiute, P. Petrov, T. Sabonis

Centro de Investigacion y de Estudios Avanzados del IPN, Mexico City, Mexico

H. Castilla-Valdez, E. De La Cruz-Burelo, I. Heredia-de La Cruz, R. Lopez-Fernandez,
 R. Magaña Villalba, A. Sánchez-Hernández, L.M. Villasenor-Cendejas

Universidad Iberoamericana, Mexico City, Mexico

S. Carrillo Moreno, F. Vazquez Valencia

Benemerita Universidad Autonoma de Puebla, Puebla, Mexico

H.A. Salazar Ibarguen

Universidad Autónoma de San Luis Potosí, San Luis Potosí, Mexico

E. Casimiro Linares, A. Morelos Pineda, M.A. Reyes-Santos

University of Auckland, Auckland, New Zealand

D. Kofcheck, J. Tam

University of Canterbury, Christchurch, New Zealand

P.H. Butler, R. Doesburg, H. Silverwood

National Centre for Physics, Quaid-I-Azam University, Islamabad, Pakistan

M. Ahmad, I. Ahmed, M.I. Asghar, H.R. Hoorani, W.A. Khan, T. Khurshid, S. Qazi

Institute of Experimental Physics, Faculty of Physics, University of Warsaw, Warsaw, Poland

G. Brona, M. Cwiok, W. Dominik, K. Doroba, A. Kalinowski, M. Konecki, J. Krolikowski

Soltan Institute for Nuclear Studies, Warsaw, Poland

T. Frueboes, R. Gokieli, M. Górska, M. Kazana, K. Nawrocki, K. Romanowska-Rybinska, M. Szleper, G. Wrochna, P. Zalewski

Laboratório de Instrumentação e Física Experimental de Partículas, Lisboa, Portugal

N. Almeida, P. Bargassa, A. David, P. Faccioli, P.G. Ferreira Parracho, M. Gallinaro, P. Musella, A. Nayak, P.Q. Ribeiro, J. Seixas, J. Varela

Joint Institute for Nuclear Research, Dubna, Russia

I. Belotelov, P. Bunin, I. Golutvin, A. Kamenev, V. Karjavin, G. Kozlov, A. Lanev, P. Moisenz, V. Palichik, V. Perelygin, M. Savina, S. Shmatov, V. Smirnov, A. Volodko, A. Zarubin

Petersburg Nuclear Physics Institute, Gatchina (St Petersburg), Russia

V. Golovtsov, Y. Ivanov, V. Kim, P. Levchenko, V. Murzin, V. Oreshkin, I. Smirnov, V. Sulimov, L. Uvarov, S. Vavilov, A. Vorobyev, A. Vorobьев

Institute for Nuclear Research, Moscow, Russia

Yu. Andreev, A. Dermenev, S. Gninenko, N. Golubev, M. Kirsanov, N. Krasnikov, V. Matveev, A. Pashenkov, A. Toropin, S. Troitsky

Institute for Theoretical and Experimental Physics, Moscow, RussiaV. Epshteyn, V. Gavrilov, V. Kaftanov[†], M. Kossov¹, A. Krokhotin, N. Lychkovskaya, V. Popov, G. Safronov, S. Semenov, V. Stolin, E. Vlasov, A. Zhokin**Moscow State University, Moscow, Russia**E. Boos, M. Dubinin²³, L. Dudko, A. Ershov, A. Gribushin, O. Kodolova, I. Loktin, A. Markina, S. Obraztsov, M. Perfilov, S. Petrushanko, L. Sarycheva, V. Savrin, A. Snigirev**P.N. Lebedev Physical Institute, Moscow, Russia**

V. Andreev, M. Azarkin, I. Dremin, M. Kirakosyan, A. Leonidov, S.V. Rusakov, A. Vinogradov

State Research Center of Russian Federation, Institute for High Energy Physics, Protvino, RussiaI. Azhgirey, S. Bitiukov, V. Grishin¹, V. Kachanov, D. Konstantinov, A. Korablev, V. Krychkine, V. Petrov, R. Ryutin, S. Slabospitsky, A. Sobol, L. Tourtchanovitch, S. Troshin, N. Tyurin, A. Uzunian, A. Volkov**University of Belgrade, Faculty of Physics and Vinca Institute of Nuclear Sciences, Belgrade, Serbia**P. Adzic²⁴, M. Djordjevic, D. Krpic²⁴, J. Milosevic

Centro de Investigaciones Energéticas Medioambientales y Tecnológicas (CIEMAT), Madrid, Spain

M. Aguilar-Benitez, J. Alcaraz Maestre, P. Arce, C. Battilana, E. Calvo, M. Cepeda, M. Cerrada, M. Chamizo Llatas, N. Colino, B. De La Cruz, A. Delgado Peris, C. Diez Pardos, D. Domínguez Vázquez, C. Fernandez Bedoya, J.P. Fernández Ramos, A. Ferrando, J. Flix, M.C. Fouz, P. Garcia-Abia, O. Gonzalez Lopez, S. Goy Lopez, J.M. Hernandez, M.I. Josa, G. Merino, J. Puerta Pelayo, I. Redondo, L. Romero, J. Santaolalla, M.S. Soares, C. Willmott

Universidad Autónoma de Madrid, Madrid, Spain

C. Albajar, G. Codispoti, J.F. de Trocóniz

Universidad de Oviedo, Oviedo, Spain

J. Cuevas, J. Fernandez Menendez, S. Folgueras, I. Gonzalez Caballero, L. Lloret Iglesias, J.M. Vizan Garcia

Instituto de Física de Cantabria (IFCA), CSIC-Universidad de Cantabria, Santander, Spain

J.A. Brochero Cifuentes, I.J. Cabrillo, A. Calderon, S.H. Chuang, J. Duarte Campderros, M. Felcini²⁵, M. Fernandez, G. Gomez, J. Gonzalez Sanchez, C. Jorda, P. Lobelle Pardo, A. Lopez Virto, J. Marco, R. Marco, C. Martinez Rivero, F. Matorras, F.J. Munoz Sanchez, J. Piedra Gomez²⁶, T. Rodrigo, A.Y. Rodríguez-Marrero, A. Ruiz-Jimeno, L. Scodellaro, M. Sobron Sanudo, I. Vila, R. Vilar Cortabitarte

CERN, European Organization for Nuclear Research, Geneva, Switzerland

D. Abbaneo, E. Auffray, G. Auzinger, P. Baillon, A.H. Ball, D. Barney, A.J. Bell²⁷, D. Benedetti, C. Bernet³, W. Bialas, P. Bloch, A. Bocci, S. Bolognesi, M. Bona, H. Breuker, K. Bunkowski, T. Camporesi, G. Cerminara, J.A. Coarasa Perez, B. Curé, D. D'Enterria, A. De Roeck, S. Di Guida, N. Dupont-Sagorin, A. Elliott-Peisert, B. Frisch, W. Funk, A. Gaddi, G. Georgiou, H. Gerwig, D. Gigi, K. Gill, D. Giordano, F. Glege, R. Gomez-Reino Garrido, M. Gouzevitch, P. Govoni, S. Gowdy, L. Guiducci, M. Hansen, C. Hartl, J. Harvey, J. Hegeman, B. Hegner, H.F. Hoffmann, A. Honma, V. Innocente, P. Janot, K. Kaadze, E. Karavakis, P. Lecoq, C. Lourenço, T. Mäki, M. Malberti, L. Malgeri, M. Mannelli, L. Masetti, A. Maurisset, F. Meijers, S. Mersi, E. Meschi, R. Moser, M.U. Mozer, M. Mulders, E. Nesvold¹, M. Nguyen, T. Orimoto, L. Orsini, E. Perez, A. Petrilli, A. Pfeiffer, M. Pierini, M. Pimiä, D. Piparo, G. Polese, A. Racz, J. Rodrigues Antunes, G. Rolandi²⁸, T. Rommerskirchen, M. Rovere, H. Sakulin, C. Schäfer, C. Schwick, I. Segoni, A. Sharma, P. Siegrist, M. Simon, P. Sphicas²⁹, M. Spiropulu²³, M. Stoye, M. Tadel, P. Tropea, A. Tsirou, P. Vichoudis, M. Voutilainen, W.D. Zeuner

Paul Scherrer Institut, Villigen, Switzerland

W. Bertl, K. Deiters, W. Erdmann, K. Gabathuler, R. Horisberger, Q. Ingram, H.C. Kaestli, S. König, D. Kotlinski, U. Langenegger, F. Meier, D. Renker, T. Rohe, J. Sibille³⁰, A. Starodumov³¹

Institute for Particle Physics, ETH Zurich, Zurich, Switzerland

L. Bäni, P. Bortignon, L. Caminada³², N. Chanon, Z. Chen, S. Cittolin, G. Dissertori, M. Dittmar, J. Eugster, K. Freudenreich, C. Grab, W. Hintz, P. Lecomte, W. Lustermann, C. Marchica³², P. Martinez Ruiz del Arbol, P. Meridiani, P. Milenovic³³, F. Moortgat, C. Nägeli³², P. Nef, F. Nessi-Tedaldi, L. Pape, F. Pauss, T. Punz, A. Rizzi, F.J. Ronga, M. Rossini, L. Sala, A.K. Sanchez, M.-C. Sawley, B. Stieger, L. Tauscher[†], A. Thea, K. Theofilatos, D. Treille, C. Urscheler, R. Wallny, M. Weber, L. Wehrli, J. Weng

Universität Zürich, Zurich, Switzerland

E. Aguiro, C. Amsler, V. Chiochia, S. De Visscher, C. Favaro, M. Ivova Rikova, B. Millan Mejias, P. Otiougova, C. Regenfus, P. Robmann, A. Schmidt, H. Snoek

National Central University, Chung-Li, Taiwan

Y.H. Chang, K.H. Chen, S. Dutta, C.M. Kuo, S.W. Li, W. Lin, Z.K. Liu, Y.J. Lu, D. Mekterovic, R. Volpe, J.H. Wu, S.S. Yu

National Taiwan University (NTU), Taipei, Taiwan

P. Bartalini, P. Chang, Y.H. Chang, Y.W. Chang, Y. Chao, K.F. Chen, W.-S. Hou, Y. Hsiung, K.Y. Kao, Y.J. Lei, R.-S. Lu, J.G. Shiu, Y.M. Tzeng, M. Wang

Cukurova University, Adana, Turkey

A. Adiguzel, M.N. Bakirci³⁴, S. Cerci³⁵, C. Dozen, I. Dumanoglu, E. Eskut, S. Gergis, G. Gokbulut, I. Hos, E.E. Kangal, A. Kayis Topaksu, G. Onengut, K. Ozdemir, S. Ozturk³⁶, A. Polatoz, K. Sogut³⁷, D. Sunar Cerci³⁵, B. Tali³⁵, H. Topakli³⁴, D. Uzun, L.N. Vergili, M. Vergili

Middle East Technical University, Physics Department, Ankara, Turkey

I.V. Akin, T. Aliev, B. Bilin, S. Bilmis, M. Deniz, H. Gamsizkan, A.M. Guler, K. Ocalan, A. Ozpineci, M. Serin, R. Sever, U.E. Surat, E. Yildirim, M. Zeyrek

Bogazici University, Istanbul, Turkey

M. Deliomeroglu, D. Demir³⁸, E. Gülmез, B. Isildak, M. Kaya³⁹, O. Kaya³⁹, M. Özbek, S. Ozkorucuklu⁴⁰, N. Sonmez⁴¹

National Scientific Center, Kharkov Institute of Physics and Technology, Kharkov, Ukraine

L. Levchuk

University of Bristol, Bristol, United Kingdom

F. Bostock, J.J. Brooke, T.L. Cheng, E. Clement, D. Cussans, R. Frazier, J. Goldstein, M. Grimes, M. Hansen, D. Hartley, G.P. Heath, H.F. Heath, L. Kreczko, S. Metson, D.M. Newbold⁴², K. Nirunpong, A. Poll, S. Senkin, V.J. Smith, S. Ward

Rutherford Appleton Laboratory, Didcot, United Kingdom

L. Basso⁴³, K.W. Bell, A. Belyaev⁴³, C. Brew, R.M. Brown, B. Camanzi, D.J.A. Cockerill, J.A. Coughlan, K. Harder, S. Harper, J. Jackson, B.W. Kennedy, E. Olaiya, D. Petyt, B.C. Radburn-Smith, C.H. Shepherd-Themistocleous, I.R. Tomalin, W.J. Womersley, S.D. Worm

Imperial College, London, United Kingdom

R. Bainbridge, G. Ball, J. Ballin, R. Beuselinck, O. Buchmuller, D. Colling, N. Cripps, M. Cutajar, G. Davies, M. Della Negra, W. Ferguson, J. Fulcher, D. Futyan, A. Gilbert, A. Guneratne Bryer, G. Hall, Z. Hatherell, J. Hays, G. Iles, M. Jarvis, G. Karapostoli, L. Lyons, B.C. MacEvoy, A.-M. Magnan, J. Marrouche, B. Mathias, R. Nandi, J. Nash, A. Nikitenko³¹, A. Papageorgiou, M. Pesaresi, K. Petridis, M. Pioppi⁴⁴, D.M. Raymond, S. Rogerson, N. Rompotis, A. Rose, M.J. Ryan, C. Seez, P. Sharp, A. Sparrow, A. Tapper, S. Tourneur, M. Vazquez Acosta, T. Virdee, S. Wakefield, N. Wardle, D. Wardrope, T. Whyntie

Brunel University, Uxbridge, United Kingdom

M. Barrett, M. Chadwick, J.E. Cole, P.R. Hobson, A. Khan, P. Kyberd, D. Leslie, W. Martin, I.D. Reid, L. Teodorescu

Baylor University, Waco, USA

K. Hatakeyama, H. Liu

Boston University, Boston, USA

T. Bose, E. Carrera Jarrin, C. Fantasia, A. Heister, J. St. John, P. Lawson, D. Lazic, J. Rohlf, D. Sperka, L. Sulak

Brown University, Providence, USA

A. Avetisyan, S. Bhattacharya, J.P. Chou, D. Cutts, A. Ferapontov, U. Heintz, S. Jabeen, G. Kukartsev, G. Landsberg, M. Luk, M. Narain, D. Nguyen, M. Segala, T. Sinthuprasith, T. Speer, K.V. Tsang

University of California, Davis, Davis, USA

R. Breedon, M. Calderon De La Barca Sanchez, S. Chauhan, M. Chertok, J. Conway, P.T. Cox, J. Dolen, R. Erbacher, E. Friis, W. Ko, A. Kopecky, R. Lander, H. Liu, S. Maruyama, T. Miceli, M. Nikolic, D. Pellett, J. Robles, S. Salur, T. Schwarz, M. Searle, J. Smith, M. Squires, M. Tripathi, R. Vasquez Sierra, C. Veelken

University of California, Los Angeles, Los Angeles, USA

V. Andreev, K. Arisaka, D. Cline, R. Cousins, A. Deisher, J. Duris, S. Erhan, C. Farrell, J. Hauser, M. Ignatenko, C. Jarvis, C. Plager, G. Rakness, P. Schlein[†], J. Tucker, V. Valuev

University of California, Riverside, Riverside, USA

J. Babb, A. Chandra, R. Clare, J. Ellison, J.W. Gary, F. Giordano, G. Hanson, G.Y. Jeng, S.C. Kao, F. Liu, H. Liu, O.R. Long, A. Luthra, H. Nguyen, B.C. Shen[†], R. Stringer, J. Sturdy, S. Sumowidagdo, R. Wilken, S. Wimpenny

University of California, San Diego, La Jolla, USA

W. Andrews, J.G. Branson, G.B. Cerati, D. Evans, F. Golf, A. Holzner, R. Kelley, M. Lebourgeois, J. Letts, B. Mangano, S. Padhi, C. Palmer, G. Petrucciani, H. Pi, M. Pieri, R. Ranieri, M. Sani, V. Sharma, S. Simon, E. Sudano, Y. Tu, A. Vartak, S. Wasserbaech⁴⁵, F. Würthwein, A. Yagil, J. Yoo

University of California, Santa Barbara, Santa Barbara, USA

D. Barge, R. Bellan, C. Campagnari, M. D'Alfonso, T. Danielson, K. Flowers, P. Geffert, J. Incandela, C. Justus, P. Kalavase, S.A. Koay, D. Kovalev, V. Krutelyov, S. Lowette, N. Mccoll, V. Pavlunin, F. Rebassoo, J. Ribnik, J. Richman, R. Rossin, D. Stuart, W. To, J.R. Vlimant

California Institute of Technology, Pasadena, USA

A. Apresyan, A. Bornheim, J. Bunn, Y. Chen, M. Gataullin, Y. Ma, A. Mott, H.B. Newman, C. Rogan, K. Shin, V. Timciuc, P. Traczyk, J. Veverka, R. Wilkinson, Y. Yang, R.Y. Zhu

Carnegie Mellon University, Pittsburgh, USA

B. Akgun, R. Carroll, T. Ferguson, Y. Iiyama, D.W. Jang, S.Y. Jun, Y.F. Liu, M. Paulini, J. Russ, H. Vogel, I. Vorobiev

University of Colorado at Boulder, Boulder, USA

J.P. Cumalat, M.E. Dinardo, B.R. Drell, C.J. Edelmaier, W.T. Ford, A. Gaz, B. Heyburn, E. Luiggi Lopez, U. Nauenberg, J.G. Smith, K. Stenson, K.A. Ulmer, S.R. Wagner, S.L. Zang

Cornell University, Ithaca, USA

L. Agostino, J. Alexander, D. Cassel, A. Chatterjee, S. Das, N. Eggert, L.K. Gibbons, B. Heltsley, W. Hopkins, A. Khukhunaishvili, B. Kreis, G. Nicolas Kaufman, J.R. Patterson, D. Puigh, A. Ryd, E. Salvati, X. Shi, W. Sun, W.D. Teo, J. Thom, J. Thompson, J. Vaughan, Y. Weng, L. Winstrom, P. Wittich

Fairfield University, Fairfield, USA

A. Biselli, G. Cirino, D. Winn

Fermi National Accelerator Laboratory, Batavia, USA

S. Abdullin, M. Albrow, J. Anderson, G. Apolinari, M. Atac, J.A. Bakken, S. Banerjee, L.A.T. Bauer, A. Beretvas, J. Berryhill, P.C. Bhat, I. Bloch, F. Borcherding, K. Burkett,

J.N. Butler, V. Chetluru, H.W.K. Cheung, F. Chlebana, S. Cihangir, W. Cooper, D.P. Eartly, V.D. Elvira, S. Esen, I. Fisk, J. Freeman, Y. Gao, E. Gottschalk, D. Green, K. Gunthoti, O. Gutsche, J. Hanlon, R.M. Harris, J. Hirschauer, B. Hooberman, H. Jensen, M. Johnson, U. Joshi, R. Khatiwada, B. Klima, K. Kousouris, S. Kunori, S. Kwan, C. Leonidopoulos, P. Limon, D. Lincoln, R. Lipton, J. Lykken, K. Maeshima, J.M. Marraffino, D. Mason, P. McBride, T. Miao, K. Mishra, S. Mrenna, Y. Musienko⁴⁶, C. Newman-Holmes, V. O'Dell, R. Pordes, O. Prokofyev, N. Saoulidou, E. Sexton-Kennedy, S. Sharma, W.J. Spalding, L. Spiegel, P. Tan, L. Taylor, S. Tkaczyk, L. Uplegger, E.W. Vaandering, R. Vidal, J. Whitmore, W. Wu, F. Yang, F. Yumiceva, J.C. Yun

University of Florida, Gainesville, USA

D. Acosta, P. Avery, D. Bourilkov, M. Chen, M. De Gruttola, G.P. Di Giovanni, D. Dobur, A. Drozdetskiy, R.D. Field, M. Fisher, Y. Fu, I.K. Furic, J. Gartner, B. Kim, J. Konigsberg, A. Korytov, A. Kropivnitskaya, T. Kypreos, K. Matchev, G. Mitselmakher, L. Muniz, C. Prescott, R. Remington, M. Schmitt, B. Scurlock, P. Sellers, N. Skhirtladze, M. Snowball, D. Wang, J. Yelton, M. Zakaria

Florida International University, Miami, USA

C. Ceron, V. Gaultney, L. Kramer, L.M. Lebolo, S. Linn, P. Markowitz, G. Martinez, D. Mesa, J.L. Rodriguez

Florida State University, Tallahassee, USA

T. Adams, A. Askew, J. Bochenek, J. Chen, B. Diamond, S.V. Gleyzer, J. Haas, S. Hagopian, V. Hagopian, M. Jenkins, K.F. Johnson, H. Prosper, L. Quertenmont, S. Sekmen, V. Veeraraghavan

Florida Institute of Technology, Melbourne, USA

M.M. Baarmand, B. Dorney, S. Guragain, M. Hohlmann, H. Kalakhety, R. Ralich, I. Vodopiyanov

University of Illinois at Chicago (UIC), Chicago, USA

M.R. Adams, I.M. Anghel, L. Apanasevich, Y. Bai, V.E. Bazterra, R.R. Betts, J. Callner, R. Cavanaugh, C. Dragoiu, L. Gauthier, C.E. Gerber, S. Hamdan, D.J. Hofman, S. Khalatyan, G.J. Kunde⁴⁷, F. Lacroix, M. Malek, C. O'Brien, C. Silvestre, A. Smoron, D. Strom, N. Varelas

The University of Iowa, Iowa City, USA

U. Akgun, E.A. Albayrak, B. Bilki, W. Clarida, F. Duru, C.K. Lae, E. McCliment, J.-P. Merlo, H. Mermerkaya⁴⁸, A. Mestvirishvili, A. Moeller, J. Nachtman, C.R. Newsom, E. Norbeck, J. Olson, Y. Onel, F. Ozok, S. Sen, J. Wetzel, T. Yetkin, K. Yi

Johns Hopkins University, Baltimore, USA

B.A. Barnett, B. Blumenfeld, A. Bonato, C. Eskew, D. Fehling, G. Giurgiu, A.V. Gritsan, Z.J. Guo, G. Hu, P. Maksimovic, S. Rappoccio, M. Swartz, N.V. Tran, A. Whitbeck

The University of Kansas, Lawrence, USA

P. Baringer, A. Bean, G. Benelli, O. Grachov, R.P. Kenny Iii, M. Murray, D. Noonan, S. Sanders, J.S. Wood, V. Zhukova

Kansas State University, Manhattan, USA

A.f. Barfuss, T. Bolton, I. Chakaberia, A. Ivanov, S. Khalil, M. Makouski, Y. Maravin, S. Shrestha, I. Svintradze, Z. Wan

Lawrence Livermore National Laboratory, Livermore, USA

J. Gronberg, D. Lange, D. Wright

University of Maryland, College Park, USA

A. Baden, M. Boutemeur, S.C. Eno, D. Ferencek, J.A. Gomez, N.J. Hadley, R.G. Kellogg, M. Kirn, Y. Lu, A.C. Mignerey, K. Rossato, P. Rumerio, F. Santanastasio, A. Skuja, J. Temple, M.B. Tonjes, S.C. Tonwar, E. Twedt

Massachusetts Institute of Technology, Cambridge, USA

B. Alver, G. Bauer, J. Bendavid, W. Busza, E. Butz, I.A. Cali, M. Chan, V. Dutta, P. Everaerts, G. Gomez Ceballos, M. Goncharov, K.A. Hahn, P. Harris, Y. Kim, M. Klute, Y.-J. Lee, W. Li, C. Loizides, P.D. Luckey, T. Ma, S. Nahm, C. Paus, D. Ralph, C. Roland, G. Roland, M. Rudolph, G.S.F. Stephans, F. Stöckli, K. Sumorok, K. Sung, E.A. Wenger, S. Xie, M. Yang, Y. Yilmaz, A.S. Yoon, M. Zanetti

University of Minnesota, Minneapolis, USA

S.I. Cooper, P. Cushman, B. Dahmes, A. De Benedetti, P.R. Dudero, G. Franzoni, J. Haupt, K. Klapoetke, Y. Kubota, J. Mans, V. Rekovic, R. Rusack, M. Sasseville, A. Singovsky, N. Tambe

University of Mississippi, University, USA

L.M. Cremaldi, R. Godang, R. Kroeger, L. Perera, R. Rahmat, D.A. Sanders, D. Summers

University of Nebraska-Lincoln, Lincoln, USA

K. Bloom, S. Bose, J. Butt, D.R. Claes, A. Dominguez, M. Eads, J. Keller, T. Kelly, I. Kravchenko, J. Lazo-Flores, H. Malbouisson, S. Malik, G.R. Snow

State University of New York at Buffalo, Buffalo, USA

U. Baur, A. Godshalk, I. Iashvili, S. Jain, A. Kharchilava, A. Kumar, S.P. Shipkowski, K. Smith

Northeastern University, Boston, USA

G. Alverson, E. Barberis, D. Baumgartel, O. Boeriu, M. Chasco, S. Reucroft, J. Swain, D. Trocino, D. Wood, J. Zhang

Northwestern University, Evanston, USA

A. Anastassov, A. Kubik, N. Odell, R.A. Ofierzynski, B. Pollack, A. Pozdnyakov, M. Schmitt, S. Stoynev, M. Velasco, S. Won

University of Notre Dame, Notre Dame, USA

L. Antonelli, D. Berry, A. Brinkerhoff, M. Hildreth, C. Jessop, D.J. Karmgard, J. Kolb, T. Kolberg, K. Lannon, W. Luo, S. Lynch, N. Marinelli, D.M. Morse, T. Pearson, R. Ruchti, J. Slaunwhite, N. Valls, M. Wayne, J. Ziegler

The Ohio State University, Columbus, USA

B. Bylsma, L.S. Durkin, J. Gu, C. Hill, P. Killewald, K. Kotov, T.Y. Ling, M. Rodenburg, G. Williams

Princeton University, Princeton, USA

N. Adam, E. Berry, P. Elmer, D. Gerbaudo, V. Halyo, P. Hebda, A. Hunt, J. Jones, E. Laird, D. Lopes Pegna, D. Marlow, T. Medvedeva, M. Mooney, J. Olsen, P. Piroué, X. Quan, H. Saka, D. Stickland, C. Tully, J.S. Werner, A. Zuranski

University of Puerto Rico, Mayaguez, USA

J.G. Acosta, X.T. Huang, A. Lopez, H. Mendez, S. Oliveros, J.E. Ramirez Vargas, A. Zatserklyaniy

Purdue University, West Lafayette, USA

E. Alagoz, V.E. Barnes, G. Bolla, L. Borrello, D. Bortoletto, A. Everett, A.F. Garfinkel, L. Gutay, Z. Hu, M. Jones, O. Koybasi, M. Kress, A.T. Laasanen, N. Leonardo, C. Liu, V. Maroussov,

P. Merkel, D.H. Miller, N. Neumeister, I. Shipsey, D. Silvers, A. Svyatkovskiy, H.D. Yoo,
J. Zablocki, Y. Zheng

Purdue University Calumet, Hammond, USA

P. Jindal, N. Parashar

Rice University, Houston, USA

C. Boulahouache, V. Cuplov, K.M. Ecklund, F.J.M. Geurts, B.P. Padley, R. Redjimi, J. Roberts,
J. Zabel

University of Rochester, Rochester, USA

B. Betchart, A. Bodek, Y.S. Chung, R. Covarelli, P. de Barbaro, R. Demina, Y. Eshaq, H. Flacher,
A. Garcia-Bellido, P. Goldenzweig, Y. Gotra, J. Han, A. Harel, D.C. Miner, D. Orbaker,
G. Petrillo, D. Vishnevskiy, M. Zielinski

The Rockefeller University, New York, USA

A. Bhatti, R. Ciesielski, L. Demortier, K. Goulian, G. Lungu, S. Malik, C. Mesropian, M. Yan

Rutgers, the State University of New Jersey, Piscataway, USA

O. Atramentov, A. Barker, D. Duggan, Y. Gershtein, R. Gray, E. Halkiadakis, D. Hidas, D. Hits,
A. Lath, S. Panwalkar, R. Patel, A. Richards, K. Rose, S. Schnetzer, S. Somalwar, R. Stone,
S. Thomas

University of Tennessee, Knoxville, USA

G. Cerizza, M. Hollingsworth, S. Spanier, Z.C. Yang, A. York

Texas A&M University, College Station, USA

R. Eusebi, W. Flanagan, J. Gilmore, A. Gurrola, T. Kamon, V. Khotilovich, R. Montalvo,
I. Osipenkov, Y. Pakhotin, J. Pivarski, A. Safonov, S. Sengupta, A. Tatarinov, D. Toback,
M. Weinberger

Texas Tech University, Lubbock, USA

N. Akchurin, C. Bardak, J. Damgov, C. Jeong, K. Kovitanggoon, S.W. Lee, P. Mane, Y. Roh,
A. Sill, I. Volobouev, R. Wigmans, E. Yazgan

Vanderbilt University, Nashville, USA

E. Appelt, E. Brownson, D. Engh, C. Florez, W. Gabella, M. Issah, W. Johns, P. Kurt, C. Maguire,
A. Melo, P. Sheldon, B. Snook, S. Tuo, J. Velkovska

University of Virginia, Charlottesville, USA

M.W. Arenton, M. Balazs, S. Boutle, B. Cox, B. Francis, R. Hirosky, A. Ledovskoy, C. Lin, C. Neu,
R. Yohay

Wayne State University, Detroit, USA

S. Gollapinni, R. Harr, P.E. Karchin, P. Lamichhane, M. Mattson, C. Milstène, A. Sakharov

University of Wisconsin, Madison, USA

M. Anderson, M. Bachtis, J.N. Bellinger, D. Carlsmith, S. Dasu, J. Efron, K. Flood, L. Gray,
K.S. Grogg, M. Grothe, R. Hall-Wilton, M. Herndon, A. Hervé, P. Klabbers, J. Klukas, A. Lanaro,
C. Lazaridis, J. Leonard, R. Loveless, A. Mohapatra, F. Palmonari, D. Reeder, I. Ross, A. Savin,
W.H. Smith, J. Swanson, M. Weinberg

†: Deceased

1: Also at CERN, European Organization for Nuclear Research, Geneva, Switzerland

2: Also at Universidade Federal do ABC, Santo Andre, Brazil

3: Also at Laboratoire Leprince-Ringuet, Ecole Polytechnique, IN2P3-CNRS, Palaiseau, France

- 4: Also at Suez Canal University, Suez, Egypt
- 5: Also at British University, Cairo, Egypt
- 6: Also at Fayoum University, El-Fayoum, Egypt
- 7: Also at Soltan Institute for Nuclear Studies, Warsaw, Poland
- 8: Also at Massachusetts Institute of Technology, Cambridge, USA
- 9: Also at Université de Haute-Alsace, Mulhouse, France
- 10: Also at Brandenburg University of Technology, Cottbus, Germany
- 11: Also at Moscow State University, Moscow, Russia
- 12: Also at Institute of Nuclear Research ATOMKI, Debrecen, Hungary
- 13: Also at Eötvös Loránd University, Budapest, Hungary
- 14: Also at Tata Institute of Fundamental Research - HECR, Mumbai, India
- 15: Also at University of Visva-Bharati, Santiniketan, India
- 16: Also at Sharif University of Technology, Tehran, Iran
- 17: Also at Shiraz University, Shiraz, Iran
- 18: Also at Isfahan University of Technology, Isfahan, Iran
- 19: Also at Facoltà Ingegneria Università di Roma "La Sapienza", Roma, Italy
- 20: Also at Università della Basilicata, Potenza, Italy
- 21: Also at Laboratori Nazionali di Legnaro dell' INFN, Legnaro, Italy
- 22: Also at Università degli studi di Siena, Siena, Italy
- 23: Also at California Institute of Technology, Pasadena, USA
- 24: Also at Faculty of Physics of University of Belgrade, Belgrade, Serbia
- 25: Also at University of California, Los Angeles, Los Angeles, USA
- 26: Also at University of Florida, Gainesville, USA
- 27: Also at Université de Genève, Geneva, Switzerland
- 28: Also at Scuola Normale e Sezione dell' INFN, Pisa, Italy
- 29: Also at University of Athens, Athens, Greece
- 30: Also at The University of Kansas, Lawrence, USA
- 31: Also at Institute for Theoretical and Experimental Physics, Moscow, Russia
- 32: Also at Paul Scherrer Institut, Villigen, Switzerland
- 33: Also at University of Belgrade, Faculty of Physics and Vinca Institute of Nuclear Sciences, Belgrade, Serbia
- 34: Also at Gaziosmanpasa University, Tokat, Turkey
- 35: Also at Adiyaman University, Adiyaman, Turkey
- 36: Now at The University of Iowa, Iowa City, USA
- 37: Also at Mersin University, Mersin, Turkey
- 38: Also at Izmir Institute of Technology, Izmir, Turkey
- 39: Also at Kafkas University, Kars, Turkey
- 40: Also at Suleyman Demirel University, Isparta, Turkey
- 41: Also at Ege University, Izmir, Turkey
- 42: Also at Rutherford Appleton Laboratory, Didcot, United Kingdom
- 43: Also at School of Physics and Astronomy, University of Southampton, Southampton, United Kingdom
- 44: Also at INFN Sezione di Perugia; Università di Perugia, Perugia, Italy
- 45: Also at Utah Valley University, Orem, USA
- 46: Also at Institute for Nuclear Research, Moscow, Russia
- 47: Also at Los Alamos National Laboratory, Los Alamos, USA
- 48: Also at Erzincan University, Erzincan, Turkey