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Identifying unsafe behavior of construction workers: a dynamic

2 approach combining skeleton information and spatiotemporal features

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17 Abstract

18	Vision-based methods for action recognition are valuable for the supervision of construction workers'
19	unsafe behaviors. However, existing methods are limited due to the lack of ability to extract worker action
20	information from video streams. Using spatiotemporal relationships between workers' skeletal points to
21	identify hazardous action remains a huge challenge for safety management of construction sites In this study,
22	an improved dynamic skeleton model, named Attention Module Spatial-Temporal Graph Convolutional
23	Neural Network (AM-STGCN) is built from the modality data of 2D skeleton points, and a combination of
24	designed human partitioning strategies and non-local attention mechanisms are adopted to extract global
25	information during worker movement to automatically identify unsafe behaviors on construction sites. The
26	method includes three basic modules, namely video data acquisition, workers' skeleton information extraction,
27	as well as recognition and classification of hazardous actions. The test accuracy reached 93.66% in the
28	laboratory, and 90.50% and 87.08% in typical working scenarios (i.e., high-altitude working scenarios with
29	close-up and far views) respectively. The promising test results indicated that the developed AM-STGCN
30	model could be more widely applied in wider construction scenarios, such as foundation excavation.
21	Vormonda

31 Keywords

Hazard scenario; Unsafe behavior; Construction safety; Skeleton modality data; Action recognition;
Dynamic model;

34 **1. Introduction**

35 The construction industry has been a global concern due to its high risk measured accident rates. The death 36 toll due to accidents in construction accounts for more than 20% of occupational deaths every year. According

37	to the statistics of the Health and Safety Executive of the UK, a total of 123 workers died due to accidents in
38	the UK in 2021, and 30 of them were related to the construction industry, accounting for 24.4% of the total
39	death toll of accidents (HSE 2022). In China, the death toll in the construction industry reached 794 in 2020
40	alone (MOHURD 2022). Site accidents are often the result of a combination of factors, the Heinrich Accident
41	Causation Theory stated that unsafe behavior of workers is the core cause of accidents (Heinrich 1941),
42	among them the lack of safety protection equipment and workers' hazardous actions are the main causes. To
43	facilitate safety inspection on construction sites, numerous studies on unsafe behavior of workers have been
44	conducted.
45	In recent years, computer vision technology has become one of the mainstream themes in construction
46	safety research (Fang et al. 2020). Machine vision technology based on color and contour feature extraction
47	(e.g., HOG) and deep learning-based target detection technology such as Faster-R CNN, YOLO, and SSD
48	were among the earlier major approaches on the detection of safety gear of workers. These methods were
49	used to evaluate the interaction between workers and safety helmets, such as space relationship, geometric
50	information and color feature (Park et al. 2015) or to evaluate workers' safety helmets and safety belts under
51	different operating conditions, e.g., scene, weather, light, etc. (Trabelsi et al. 2019; Fang et al. 2018). These
52	studies showed that the research on the inspection of workers' safety protective gear has achieved promising
53	outcomes, but the study of workers' hazardous actions had been still insufficient.
54	The essence of hazardous action is the change of skeleton joints, which is composed of the state of multiple
55	consecutive frames. Focusing on video clips allows a better and more accurate reflection of the features of
56	the action. The aforementioned detection methods for safety protection gear are only suitable for the detection

57	of static target states, and the use of these methods for the recognition of workers' hazardous actions will
58	significantly reduce the accuracy and increase the false alarm rate. At present, sensor technology is commonly
59	used to detect angular ratios and spatially varying signals between skeleton points to assess and classify the
60	behavior of construction workers. This requires the installation of sensors on each worker and piece of
61	equipment and is a heavy burden for construction site applications. Some researchers (Kim et al., 2016; Fang
62	et al., 2019) have applied vision-based methods to study the relationship between construction workers and
63	targets (e.g., machinery, equipment, materials, etc.) at a given moment, such as assessing the risk conditions
64	of workers who have just stepped into a danger zone or are in the blind spot of construction machinery.
65	However, these studies had not addressed workers' movements during non-conforming operations.
66	Combining long and short-term memory (LSTM) networks and other neural network approaches for time
67	series prediction of unsafe behaviors (Kong et al. 2021; Tang et al. 2020) has made good progress in
68	behavioral regulation. However, action analysis through temporal variation alone ignores spatial variation in
69	human posture, and this a challenge to tackle for recognition of complex construction actions.
70	The analysis method based on skeleton modality data for action recognition has achieved positive results
71	in extracting and application of motion information. It could extract information about workers' skeleton
72	points, analyze the spatial relationships between workers' skeleton points, and evaluate workers' behaviors.
73	Currently, many studies focus on combining the skeleton with sensors or vision devices, dividing the acquired
74	video into multiple static skeleton images to obtain the change information about workers' motion angle,
75	acceleration and skeleton length. Isolating the dynamic process of motion into static images to process the
76	information effectively extracts the spatial relationship of the skeleton at a single frame, but this approach

77	ignores the temporal relationship of the skeleton information in different frames. The lack of utilization of
78	spatiotemporal information in the dynamic process of workers' construction movements ultimately affects
79	the accuracy of motion recognition. In general, there are two limitations in the current recognition methods
80	for construction workers' actions, specifically: (1) The spatiotemporal information between the skeletons in
81	the construction activities is difficult to use, and a large amount of effective information would be lost; and
82	(2) the lack of effective detection of dynamic action processes affects the recognition accuracy of hazardous
83	actions.
84	Aiming to address these limitations, the main purpose of this study is to propose a deep learning method
85	that combines skeleton information and spatiotemporal features for the recognition of construction workers'
86	hazardous actions. Researchers established an improved dynamic skeleton model, which takes into account
87	the temporal and spatial relationship of adjacent skeleton joints. The model can be used for the analysis of
88	the dynamic process of construction workers' hazardous construction actions, and for achieving automatic
89	recognition and detection of hazardous actions. Unlike CNN, which segments all videos into frame-by-frame
90	pictures and inputs them into the network, this method directly inputs the skeleton and joint data of workers,
91	hence greatly reducing the number of parameters. It can be used in video surveillance systems to effectively
92	prevent on-site safety accidents.
93	2. Literature review
94	Traditionally, the recognition methods for construction workers' hazardous actions can be divided into two

95 categories in terms of implementation, namely sensor-based and vision-based methods.

96 2.1 Sensor-based action recognition

5

97	Sensor-based action recognition methods typically focus on workers' gestures and fall postures to observe
98	changes in limbs during worker's action. Cheng et al. (2013) obtained position parameters and chest posture
99	data from sensors mounted on workers over a sequence of time to identify workers' actions during activity.
100	Fang and Dzeng (2014) mounted workers' vests and helmets with motion sensors and brainwave sensors to
101	detect workers' fall risk by correlating changes in these two externally transmitted signals over time. Jebelli
102	et al. (2016) used an inertial measurement unit to record the characteristics of changes in sensor parameters
103	over this time period during a worker's fall to comprehensively assess the fall risk of rebar workers. Akhavian
104	and Behzadan (2016) captured workers' body movements by using embedded accelerometers and gyroscopic
105	sensors and simulated various types of construction activities in the laboratory through time-series changes
106	in parameters. As the use of sensors for construction action recognition requires manual processing of large
107	amounts of data, inflexible methods, complex operations and an unprotected user experience, researchers
108	have been gradually combining machine learning and deep learning methods with sensors for construction
109	action recognition.
110	For example, Gong et al. (2022) adopted a machine learning approach to analyze data from wearable
111	sensors over multiple time periods to identify and classify construction behavior based on parametric
112	temporal features. Bangaru et al. (2021) combined wearable EMG and MU sensors with ANN artificial neural
113	networks to perform data mining in the form of time series on sensor parameters installed on multiple parts
114	of the workers' body to achieve automatic recognition of their' construction actions, and the test results
115	showed good robustness. Ogunseju et al. (2021) used an Inception v1 network to acquire time-series data

116 signals from wearable sensors on workers' lower arms to identify and classify worker actions such as

117 carpentry. However, even though machine learning and deep learning methods improved the speed and 118 accuracy of detection, the results were still with a large amount of data and graphics. Manual analysis of the 119 data was required to define a range of parameter values for the action features. This recognition process 120 ignored the spatial characteristics and feature associations of worker actions. In addition, it demanded a high 121 level of knowledge from managers and was not practical for on-site safety supervision.

122 **2.2 Vision-based action recognition**

123 Vision-based action recognition method is a popular research trend in construction safety in recent years.

124 It mainly analyzes workers' construction actions by collecting construction images and videos. Using a single

125 frame picture to recognize action cannot effectively obtain coherent time information in the process of

126 hazardous actions, hence often leading to misjudgment (Guo and Lai 2014). Using RGB video as the research

127 object could obtain the spatial and temporal information of workers' limbs, and that could significantly

- 128 improve the recognition accuracy (Zhang 2019; Zhao 2019).
- 129 2.2.1 Human action recognition

130 For vision-based methods, action recognition often requires the extraction of action features. Manual

- 131 feature extraction methods are the main way to extract action features. Feature descriptors such as HoG, HOF
- 132 and MBH are introduced into the iDT algorithm to obtain the trajectory of feature points and to describe
- 133 human behavior. But generating local descriptors to describe human behaviors by manually extracting
- 134 spatiotemporal interest points will take longer computation time and lose more valuable information in videos
- 135 (Laptev 2005).
- 136 Deep learning methods have emerged due to its excellent extraction features and inspection efficiency in

137	image and video processing. There are three main types of methods: two-stream CNN methods, 3D-CNN
138	(3D convolutional neural network) methods and skeleton-based methods. Simonyan and Zisserman (2014)
139	divided the neural network into two parts, one for capturing the spatial features of images and the other for
140	analyzing the temporal information contained in videos. Since then, many scholars have improved this
141	method (Lan et al. 2017; Zhou et al. 2018). For example, Wu et al. (2015) proposed a method based on LSTM
142	and CNN, they applied CNN to perform feature extraction on video clips, then used LSTM to classify long-
143	term span temporal features, and finally extracted multiple manually defined different action features.
144	However, the result was the textual output form of the corresponding action. In addition, the time domain
145	information in the dual-stream network was all derived from the inter-frame optical flow, which was not good
146	for grasping information for a long time, and could be easily affected by many factors, e.g., background, light,
147	and shadow, etc. Compared to 2DCNN, 3DCNN has one more dimension for capturing temporal information,
148	so that long-term information in the action process can be effectively utilized. Tran D et al. (2015) proposed
149	a C3D architecture based on 3D CNN, which could capture spatiotemporal information for human action
150	recognition and improve the recognition accuracy greatly. However, due to a large number of parameters, it
151	was a heavy burden for the actual application effect.
152	Since the skeleton modality data is not affected by the above-mentioned factors and the connection effect
153	between skeleton points can visually represent the action information, it is more suitable for action
154	recognition. However, RNN and CNN networks treat the skeleton point data input by RGB video as a long-

- 155 term sequence or 2D matrix to extract features, hence making it difficult to understand the connection
- 156 information between human skeleton joints (Li et al. 2018; Si et al. 2019), resulting in poor recognition of

157 actions. As one type of graph neural network, GCN analyzes data by using generalized topological graph

- 158 structure, and is good at processing the relationship between such non-Euclidean data and modeling nodes,
- 159 which is suitable for the extraction of human skeleton information (Yan et al. 2018).
- 160 **2.2.2 Hazardous action recognition method in construction**
- 161 For the study of construction workers' hazard actions, previous studies usually focused on the detection,
- 162 location and tracking of workers. Memarzadeh et al. (2013) detected construction workers and equipment by
- analyzing construction activities in videos using directional gradients and color histograms. Kim et al. (2016)
- 164 combined computer vision with fuzzy inference method and augmented reality technology to monitor
- 165 workers' contact with dangerous areas and evaluate workers' behavioral safety conditions when they worked
- 166 nearby heavy equipment.
- 167 In recent years, some scholars have focused their research on the process of workers' actions. Yang et al.
- 168 (2016) extracted the location of workers under continuous time series by dense trajectory method to identify
- 169 workers' behavior with positive results under MBH descriptors. Ding et al. (2018) integrated CNN and LSTM
- 170 networks to achieve automatic recognition of workers' unsafe behaviors by extracting visual features in a
- 171 video stream. It actually recognized actions by obtaining different time series information of multiple key
- 172 points in the video through LSTM and being unified by CNN after extracting data features. However, this
- approach ignored the spatial feature changes in images of different frames attention, and therefore the result
- 174 generated was a textual description matching the action category.
- 175 To capture the spatial features of workers' movement more clearly, Escorcia et al. (2012) adopted an RGB-
- 176 D camera to collect motion skeleton data of workers under construction in a building and then used a

177	discriminative classifier to detect workers' actions. Similarly, Han and Lee (2013) extracted 3D skeleton data
178	of workers from videos and effectively used spatial features in the images to identify hazardous actions.
179	However, the spatial features of consecutive frames in the video are often redundant, and the use of 3D
180	convolution introduces repetitive spatial features. Such a form of action recognition actually discriminates
181	from a particular 3D skeleton pose by ignoring the changing relationship between the skeletons during the
182	action, and has low accuracy in recognizing actions with similar postures. Yu et al. (2017) applied a static
183	recognition method based on the image skeleton and tested the accuracy of worker's climbing action by
184	changing the values of the joint parameters, avoiding the redundancy of the parameters. Manually recording
185	the parameter changes in joint angle values was cumbersome and was limited to static skeleton information.
186	The detection accuracy for the three hazardous actions was only 81.44%, which would be further reduced
187	when used for the identification of multiple unsafe action types at construction sites. Guo et al. (2018) also
188	simplified the dynamic skeleton movement process to a static process in order to achieve real-time detection
189	of unsafe actions. They described the static pose by a few parameters for action recognition, without
190	considering the relationship between the skeleton information in time and space, and that resulted in a high
191	false alarm rate for the action measurement.
192	Based on skeleton modality data, this research is devoted to dealing with the spatiotemporal connection
193	between the overall skeleton data of workers, in order to achieve the dynamic detection of workers' hazard
194	actions and to facilitate the application in actual construction scenarios. A spatiotemporal graph convolutional
195	neural network (ST-GCN) is proposed by adopting a deep learning method for automatically extracting
196	worker skeleton information and identifying the dynamic process of construction workers' hazardous actions.

3. Methodology

198	The methodology to implement a deep learning model for dynamic recognition of workers' hazardous
199	actions consists of four steps, namely: (1) extraction of worker's skeleton points; (2) selection of action
200	recognition algorithms; (3) data collection and model building; and (4) recognition of dynamic process of
201	actions. The research is intended to provide a general methodological basis for subsequent studies of workers'
202	hazardous construction actions. Fig. 1 shows the method flow designed in this study, with each step including
203	specific implementation details.
204	3.1 Openpose-based skeleton extraction method
205	Due to the complex environmental factors of construction sites, workers' bodies are often obscured by
206	construction materials, equipment or structures. One of the current mainstream methods for extracting the
207	skeleton requires a top-down approach, which first detects the human beings by target detection algorithm,
208	and then detects the key points of single human skeleton. However, this kind of method is difficult to perform
209	worker's skeleton identification and extraction when the construction worker's body is more than 30%
210	occluded. Unlike most top-down methods for extracting workers' skeleton joints, the Openpose network uses
211	a bottom-up structure to extract workers' skeleton joints, which first detects each skeleton joint of workers
212	and then connects all the identified skeleton points to generate a complete image of the worker's skeleton.
213	This way of extracting workers' skeleton can effectively reduce the reliance on personnel detectors, improve
214	the timeliness of skeleton point extraction, and enable the recognition of key points of workers' skeleton in
215	the case of multi-person construction. Hence this method is suitable for applications in construction sites with
216	a large number of personnel movements. Therefore, the Openpose network was designed for skeleton

217 extraction of construction workers in this study.

218 VGG-16 was used as a pre-base network in this study, which was able to perform feature extraction and 219 generate feature maps for the prediction and connection of skeleton points via two channels. The specific 220 implementation process of extraction of workers' skeleton point using Openpose pose estimation network is 221 as follows: first, the CPM operation method is adopted to predict the skeleton joint points of all workers in 222 the video collected at the construction sites, and then to detect the heatmap of the skeleton points of the 223 workers (Wei et al. 2016). Each joint generates a corresponding Gaussian peak, and the location of the peak 224 is the worker's skeleton joint. After completing the worker skeleton point prediction, the isolated skeleton 225 points of the workers are connected by regressing the PAFs.

226 **3.2 ST-GCN-based construction action recognition method**

227 Construction action is composed of multiple pose graph structures such as the action of a worker climbing 228 on scaffolding, and it is difficult to effectively identify specific action categories only by considering the 229 spatial location between skeleton points (Zhou et al. 2020). The spatiotemporal graph convolutional neural 230 (ST-GCN) network changed the form of spectral-based convolution of GCN networks. The network adds a 231 temporal convolution module, it combines the location information of skeleton joints and temporal 232 information and introduces the graph convolution in the spatiotemporal domain for capturing the variation 233 patterns among nodes (Yan et al. 2018). In this way, it is easy to identify the types of workers' actions with 234 large changes in spatial location of the skeleton. Therefore, the ST-GCN network was adopted as the action 235 recognition used for this study. Fig. 2 describes the main structure of the ST-GCN introduced.

236 Different from CNN network that performs the sampling method and assign weights to the convolution

237 principle, researchers in this study replaced nodes with image pixel points in ST-GCN networks. Then the 238 sampling function $p(v_{ii}, v_{ij})$ was used to represent the distance between the first-order neighboring nodes 239 involved in the convolution process, where v_{ti} is a point in a sequence of joint points, v_{ti} denotes an adjacent 240 node, and the weight function $w(v_{ii}, v_{ij})$ is applied to represent the weight vector of the nodes and their 241 neighbors. After the weighted average of the standard normalized $Z_{ii}(v_{ij})$, the updated graph convolution 242 equations were expressed as Eq. (1) and Eq. (2).

243
$$f_{out}(v_{ti}) = \sum_{v_{tj} \in B(v_{ti})} \frac{1}{Z_{ti}(v_{tj})} f_{in}\left(p(v_{ti}, v_{tj})\right) \cdot w(v_{ti}, v_{tj})$$
(1)

244
$$Z_{ti}(v_{tj}) = |\{v_{tk}|l_{ti}(v_{tk}) = l_{ti}(v_{tj})\}|$$
(2)

245 The sampling function and weight function mentioned above were designed for the spatial graph structure 246 only, without considering the temporal factor. Therefore, researchers defined the spatiotemporal graph by 247 recomputing the label grouping mapping function. The equation of the spatiotemporal graph structure is 248 shown in Eq. (3).

249
$$l_{ST}(v_{qj}) = l_{ti}(v_{tj}) + (q - t + \lfloor \Gamma/2 \rfloor \times K)$$
(3)

250 Where $l_{ii}(v_{ii})$ is the label map for the single frame case at v_{ii} , Γ is the temporal kernel size, and l_{ST}

251 represents the labeling map.

252 3.3 Algorithm adjustment and optimization

253 3.3.1 Human partitioning strategy adjustment

254 Considering the complexity of workers' operation actions, the action process often involves not only the 255 location changes of the limbs, but also the changes of the torso which are equally important. Researchers set 256

the domain span of the nodes to 2 based on the Spatial partitioning strategy and re-divided the skeleton point

neighborhood into 3 subsets, namely root nodes, centripetal groups and centrifugal groups. The new partitioning strategy could expand the extraction range of workers' skeleton features, which could extract features of key points and improve the accuracy rate for construction workers' construction actions. The new partitioning strategy is shown in Fig. 3 where the root node is shown in purple, the skeleton point near the center of gravity of the skeleton and adjacent to the root node (green) is the centripetal group, and the centrifugal group is the neighboring nodes far from the centre of gravity of the skeleton (yellow).

Researchers then assigned weights to the skeleton points of each region according to the new partitioning strategy. The new weight assignment is shown in Eq. (4), where r_j represents the distance from the skeleton point j to the centre of gravity of the worker's body, and r_i is the average distance from the center of gravity to the skeleton point.

267
$$l_{ti}(v_{tj}) = \begin{cases} 0 & if \ r_j = r_i \\ 1 & if \ r_j < r_i \\ 2 & if \ r_j > r_i \end{cases}$$
(4)

In Eq.(4), the centre of gravity is the average coordinate of all joints in a body (black cross in Fig. 3), and 0 indicates that no weight is assigned to joints where $r_j=r_i$ (i.e., no change in the joint during movement), 1 infers that less weight is assigned to joints where the $r_j < r_i$ (i.e., closer to the centre of gravity), 2 denotes more weight is assigned to joints where the $r_j > r_i$ (i.e., farther from the centre of gravity).

272 **3.3.2** Non-local attention mechanism

273 The impact of different body parts on the accuracy of a worker's action recognition during construction

varies. Workers rely primarily on the limb parts of the body during construction work, while parts such as

the head and neck are not as involved and provide little effective information for movement recognition.

276	Furthermore, the relationships between skeletal joints during construction actions are not restricted to
277	adjacent joints. For example, for many action processes such as probing and climbing, the connection
278	between the joints of the arms, legs and the trunk cannot be ignored. However, in the original ST-GCN
279	network, the perceptual domain of the convolution operation was the neighboring nodes of the root node,
280	which was only used to capture local features of the action process, such as joint changes at the calf and joint
281	changes at the arm. Such a feature extraction approach cannot simultaneously analyze the joint changes at
282	the calf and arm during an action to identify the type of action (Simonyan Zisserman 2015). Despite the
283	adaptation of the human partitioning strategy in the previous section, there was a skill gap in extracting the
284	motor features of architectural actions.
285	To solve this problem, the researchers introduced a non-local neural network module to optimize the action
286	recognition network. The non-local network is usually embedded in vision models as a simple and efficient
287	general-purpose module that can improve the classification accuracy of images and videos (Wang et al. 2018;
288	Kong et al. 2019). Based on this module, researchers modified the original ST-GCN network and designed a
289	new dynamic skeleton model based on a non-local attention mechanism for hazard action recognition. The
290	new model was named attention module spatiotemporal graph convolutional neural (AM-STGCN) network.
291	The workflow of this new model was that it first focused on features of all joints, rather than only local
292	features of certain joints. It changed the way in which local information about workers' actions was extracted
293	to one in which global information is extracted. After analyzing the global information relationships between
294	the nodes, more effective features were obtained for key regions according to the human body partitioning
295	strategy. The network structure of AM-STGCN is shown in Fig. 4, where the model consists of nine layers

of spatiotemporal graph convolution operators. The first three layers had 64 output channels, the middle three layers had 128 output channels, and the last three layers had a total of 256 output channels. Each layer included spatial convolution operations (Conv *S*) and temporal convolution operations (Conv *T*), and residual connections were added on each layer. Three attention modules were added to the temporal convolution (Conv *T*) in the third layer of ST-GCN network in order to achieve optimal performance in the recognition

- 301 of workers' construction actions.
- **302 3.4 The running process of the model**

303 After designing and improving the above method, a complete operation flow chart was constructed. The

304 data input of AM-STGCN model was skeleton sequence information, so in order to realize the classification

305 of workers' behavior, it would be necessary to combine Openpose skeleton point extraction algorithm and

306 AM-STGCN spatiotemporal graph convolutional neural network to jointly construct the framework of

307 construction workers' hazardous action recognition model.

308 The model utilized video streams as input, and first used Openpose for worker skeleton data extraction to

- 309 establish the spatiotemporal dimensional information in the human skeleton data and to construct a human
- 310 skeleton sequence map. Subsequently, the extracted worker skeleton information was passed into AM-
- 311 STGCN for learning and training of behavioral states. Finally, a SoftMax classifier was implemented for
- 312 behavioral result output. The specific operation flow is shown in Fig. 5.

313 4. System building

314 The main purpose of this study was to apply a new dynamic skeleton method to detect the action

315 characteristics of workers at construction sites. The focus of the study shifted from the static characteristics

316 of workers to the dynamic characteristics. This study was not limited to the detection of single-frame targets,

- 317 but also investigated the spatiotemporal relationships through video sequences for worker construction safety.
- 318 Therefore, all the model constructions in this study used video sequences as the data source.
- 319 **4.1 Datasets collection**
- 320 4.1.1 Action selection for recognition

321 In order to achieve dynamic recognition of workers' construction action processes in real construction 322 scenes, a new construction dataset based on the weights of the existing general scene of dataset was 323 established. Therefore, researchers selected the high-altitude scaffolding scenario, where fall-at-height 324 accidents are common, as a practical construction application case to study. This scenario can be adopted as 325 the basis for the study of the full-scene hazardous actions. Statistics on the causes of work-at-height injuries 326 and deaths point to unauthorized climbing, probing, leaning and crouching movements made by workers on 327 scaffolding as the main causes of accidents (HSE 2022; MOHUD 2022). Researchers selected some of the 328 hazardous actions for identification. However, it should be noted that the expansion of data types could be 329 implemented in the future. Videos were obtained for seven types of actions, including normal walking, 330 running operation, lean-over operation, scaffold climbing, hazard crossing operation, sitting on scaffolding, 331 and material handling. Fig. 6 shows some partial video intercepted segments of various types of construction 332 actions.

333 4.1.2 Video acquisition and data processing

Handheld cameras and drones were used to capture the types of workers' construction actions. Manystudies based on image data have shown that the difference in shooting conditions would have an impact on

336	the recognition effect (Jegham et al. 2020; Wen et al. 2022). Therefore, video clips were collected that
337	reflected the entirety of the construction operation and the camera or drone angle met the requirements of
338	multiple perspectives. Images and videos were collected under different weather and lighting conditions, and
339	effects of other factors (e.g., far view, close-up view, single-target, multi-objective, etc.) were considered to
340	avoid affecting the training effect. 2
341	The acquired videos needed to be processed in a uniform format. Researchers expanded the number of
342	samples and enhanced the data by horizontal mirror flip, by using toolkit to crop the videos into action
343	sequences of 5s each. Each action sequence contained at least one action category. A total of 8,000 action
344	sequences were obtained for skeleton extraction, containing 12,215 worker targets. The number of each
345	category of action sequences was basically kept balanced. The dataset was divided into a training set, a
346	validation set and a test set in the ratio of 6:3:1, where the test set was the original video without skeletal
347	point annotation. Table 1 shows the number of datasets for each type of action in the training set.

348 4.1.3 Skeleton extracting and data labeling

Different from the dataset format for static target annotation, the skeleton point sample data needs to extract the human skeleton information of each frame image from the video. Referring to the format of the kinematics skeleton dataset, a total of 18 key points of information on worker skeleton were extracted, and then, JSON files of different action categories were generated through built-in data transformation algorithm module for transmission to the AM-STGCN model. The file format of normal walking is shown in Fig. 7, where "frame_index" is the frame index representing the skeleton data of a specific frame, and "skeleton" is the skeleton joint point information of the frame. Finally, the json file was converted into npy and pkl format to

356 form a skeleton sample dataset.

357 4.2 Recognition of workers' skeleton

358	In the data preparation stage, one second of video was divided into 30 frames. So for a complete action of
359	video sequence, more than one hundred frames were generated. In another word, for one action, more than
360	100 skeleton data as shown in Fig. 7 would be generated. In these data, the extracted skeleton information of
361	each frame was different from the previous frame. By extracting the 2D coordinate information of the 18
362	nodes of the worker's skeleton frames, the extraction degree of the workers' skeleton information could be
363	maximized to ensure the accuracy of the spatiotemporal sequence when passed into ST-GCN network for
364	analysis. Fig. 8 shows the effect of extracting information about experimenter's skeleton at a certain frame
365	during the execution of the three movements (e.g., Frame 89 for the sitting on scaffolding; Frame 143 for the
366	scaffold climbing; and Frame 67 for the lean-over operation).
367	4.3 System testing
368	After extracting the human skeleton, the Pytorch platform was used for training the dynamic recognition
369	model. The platform used Windows 10 64 as the operating system, with a built-in NVIDIA GeForce RTX
370	1050ti graphics card and an Intel i7 processor. At the same time, CUDA and other operators were installed to

- 371 accelerate the model on the graphics processor (GPU). Before the model training, The training parameters
- 372 were configured according to the hardware device performance of the training platform. The specific
- 373 parameter settings are shown in Table 2, the learning rate was reduced with step decay during training to
- and Li 2018).

375	In order to save training time and improve the training effect, migration learning was used in the training
376	process, and the weights of ST-GCN fully trained in the Kinetics dataset were loaded as the initial training
377	weights (Weiss et al. 2016). The training is set for 300 epochs, and the loss value was calculated for each
378	completed epoch. The variation of the loss values is shown in Fig. 9 (a). It can be seen that the training loss
379	value decreased rapidly in the first 30 rounds, when the training reached 40 rounds, the learning rate
380	decreased to 0.01 to continue the training. As can be seen from Fig 9 (b), after 30 rounds of training, the Top1
381	accuracy exceeded 90% and the accuracy started to converge. After 300 rounds of training, the learning rate
382	decreased to 0.00001 and the loss value also decreased from 1.19 to about 0.14 converging. The Top1
383	accuracy could be stably maintained at about 91%, indicating that the model for hazardous action recognition
384	was completely trained.

385 4.4 Recognition of workers' action

386 Scaffolding climbing in the laboratory was selected as an example. Fig.10 illustrates the test process of an 387 unsafe climbing action in the laboratory, and the video results were generated from the modified ST-GCN 388 model. Figure 10(a) shows the worker skeleton information extraction using Openpose for a 5s video 389 sequence containing a worker target. It learned the process of a worker making a complete climbing 390 movement and subsequently extracted the skeleton information changes of the worker's legs and waist during 391 leg lifts and drops. Fig. 10(b) shows a visual representation of workers' skeleton extraction, which is a 392 continuous frame of human skeleton map with a duration of 5s, indicating that the video stream with skeleton 393 information is fed into AM-STGCN for model learning and action classification. Fig. 10(c) and Fig. 10(d) 394 show the key point extraction and action recognition results after using the human body partitioning strategy

395 and the non-local neural network attention module. After the whole action sequence was made, the classifier

- 396 was used to evaluate the action type and to output the final result.
- **397 4.5 Model validation and analysis**

After the model was trained, divided sample test set was adopted to test the model. The essence of worker action recognition was to classify types according to the set action object, and the classification task commonly used Accuracy (A), Precision (P) and Recall (R) as evaluation indicators. The calculation process

401 of precision rate and recall rate is shown in Eq. (5) and Eq. (6).

402
$$Precision = \frac{T_p}{T_P + F_p}$$
(5)

$$403 \qquad \qquad Recall = \frac{T_P}{T_P + F_N} \tag{6}$$

404 In the equations, T_p represents the number of workers whose actions are correctly identified, F_p represents 405 the number of workers whose incorrect actions are mistakenly considered correct, and F_N denotes the number 406 of workers whose correct construction actions are evaluated to be wrong. The specific laboratory test results 407 indicators are shown in Table 3.

As can be seen from Table 3, the model did not miss any recognition of actions, and for the sample test set, the overall accuracy of worker action recognition reached 93.39%. Among the different actions tested, the method achieved high recognition recall for scaffold climbing and sitting on scaffolding, by reaching 95.48% and 96.18% respectively. The recognition recall for the other five actions was lower, but the recall was around 93%. This could be caused by the fact that scaffold climbing and sitting on scaffolding had a distinct limb performance during the movement and more characteristic changes in skeletal information. In contrast, for actions such as normal walking, the temporal and spatial information of the skeleton did not change

415	significantly during the action of a few seconds, and there was partial identity of skeletal information during
416	the action. Overall, the models could achieve promising action recognition results in a laboratory setting.
417	4.6 Case study
418	To verify the practicality of the method, application and testing work were carried out in combination with
419	real construction scenarios. Three construction projects in Zhenjiang China were selected as real-world test
420	sites to obtain different test videos. The high-altitude scaffolding actions of workers in the close-up view and
421	far view were acquired for testing, and the action sequences included single-person and multi-person targets.
422	A total of 3,000 action sequences were acquired for method testing in both close-up and far views respectively.
423	For each action, the model extracted the skeleton information every 1 frame and combined the skeleton
424	information of 10 frames to complete the result output once. After outputting multiple skeleton information
425	in this manner, the action classification was finally completed by recognizing the results of the whole action
426	sequence. Fig. 11 shows the recognition results of workers' work video collected on site. The recognition
427	effectiveness of the method was then evaluated using the accuracy A, precision P and recall R, and the average
428	recognition time T for each frame of the video.
429	The obtained videos of construction workers from high-altitude scaffolding were used for method testing
430	and statistical analysis. A total of 1,500 videos of workers' operations with 2,137 worker targets were selected
431	for the close-up view test, and a confusion matrix was introduced to evaluate the model effects (Yang et al.,
432	2016). The test results are shown in Table 4 and Figure 12. The method can recognize all the workers' targets
433	and evaluate the actions in the close-up view. There was no omission in the identifying of actions, and the
434	average recognition time for a single frame video was 127.61ms. The classification results for different

435	actions varied slightly, with the highest recall rates for scaffold climbing actions and sitting on scaffolding,
436	which was generally consistent with laboratory. In terms of classification results, the highest number of
437	actions were misclassified as normal walking and material handling, while the lowest number of actions were
438	misclassified as scaffold climbing and sitting on scaffolding. Compared to the test results in the laboratory,
439	the overall recognition accuracy of the method in the close-up view has decreased, but it can still reach
440	90.50%, indicating that the method can better recognize hazardous actions of high-altitude scaffolding work
441	in the close-up view.
442	A total of 1,500 videos were selected for the far-view test, containing 2,291 worker targets. The effect of
443	worker action recognition in far view is shown in Table 5 and Fig. 13. In the test of the far view, due to the
444	smaller size of the worker targets within the video, the feature acquisition ability of the worker skeleton
445	information was reduced, resulting in a decrease in the recognition effect of the method compared to that in
446	close-up view. The average recognition time of a single frame action was 132.54 ms. The model still had the
447	highest recall for scaffold climbing and sitting on scaffolding, with 90.40% and 89.78% respectively. The
448	model continued to have the lowest number of actions misclassified as these two action types when
449	identifying other action types. For all other action types, the recall rate decreased to varying degrees, which
450	was roughly the same as the test results in the close-up view. The average accuracy in the far view was able
451	to maintain at 87.08%. There was no omission in the action recognition, indicating that the method was also
452	robust for high-altitude scaffolding in the far view.
453	5. Discussion

5.1 Accuracy variation analysis

455	The difference in accuracy may be due to the fact that the spatiotemporal information of the skeleton in
456	scaffold climbing and sitting on scaffolding was more obvious. But in actions such as normal walking and
457	running operation, the change in skeletal information during the action was approximately the same, and that
458	caused recognition errors. In order to analyze the influence of the spatiotemporal information extracted by
459	the dynamic skeleton model on the classification of different action types, two types of actions with high
460	recognition accuracy and two types of actions with low recognition accuracy were selected for comparison.
461	The effect of the spatiotemporal features of the skeleton on the accuracy of action recognition was analyzed
462	by comparing the weight parameters assigned to the body parts in different frames. The comparison results
463	are shown in Table 6 and Table 7.
464	From Tables 6 7, it can be seen that for scaffold climbing and sitting on scaffolding, where the skeleton
465	features vary significantly, the weight parameters assigned to each body part in different frames varied
466	significantly. The skeleton features were easily observed during the continuous 5s movements, so the
467	recognition accuracy was higher. For the normal walking and running operation movements, the differences
468	of weights assigned to the body parts in the different frames of the two action types were less significant,
469	resulting in similar skeletal features in several action types and hence leading to recognition errors. Overall,
470	the improved dynamic skeleton model had high recognition accuracy for complex construction actions, and
471	the recognition accuracy for actions with low complexity was also higher than previous methods.
472	5.2 Performance evaluation

473 In this study, a new dynamic skeleton model (AM-STGCN) was designed to identify hazardous actions of

474 construction workers. The model analyzed the spatial characteristics of workers' skeletons between different

475	frames by two convolutional modules, namely spatial convolution and temporal convolution. Researchers
476	extracted the global information of key joints in human partitioning strategies and non-local neural network
477	modules to identify complex worker actions. To verify the performance of the improved dynamic skeleton
478	model algorithm, researchers selected three models for comparisons to the improved method, including the
479	baseline model ST-GCN network(\dot{i}); ST-GCN network adjusted by human body partitioning strategy only
480	(ii); and ST-GCN network modified by non-local attention mechanism only(iii). The experiments used the
481	same data and training parameters. The comparison results are shown in Table 8. For each worker action type,
482	the improved algorithm outperformed the baseline model and the other partially improved methods measured
483	by recognition accuracy.

484 **5.3 Potential limitation**

485 In terms of the overall effect, the method could achieve the recognition of the dynamic process of 486 construction workers' hazardous actions under different shooting viewpoints. Combining the results of action 487 recognition under two different viewpoints, researchers found that in the scaffold climbing recognition, the 488 method did not miss the action target, but there were cases of misjudgment. The accuracy decreased with the 489 increasing number of recognized targets and the reduction of the target size. Overall, the recognition effect 490 of scaffold climbing and sitting on scaffolding was promising. It was also found that there were mainly the 491 following reasons for misjudgment: (1) the influence of occlusions; (2) the interaction between actions. 492 The high-altitude scaffolding scenario selected for this study was complex. The junction parts of horizontal, 493 vertical and diagonal bars of scaffolding would form a complex interwoven structure of bars. When 494 construction workers were at multiple scaffolding junctions and their body parts are covered by large areas,

495 it would be difficult for the method to integrate whole-body skeleton information for action recognition 496 (Sahoo et al. 2022). In the video captured under the far view, the method sometimes misidentified the body 497 parts of construction workers as actions, as shown in Fig. 14. 498 Actions were composed of a series of consecutive behavioral gestures. There were situations where 499 different action processes had partially similar gestures, and other actions might also be interspersed when 500 engaging in specific actions, resulting in method misclassification (Vasconez et al. 2021). The detection case 501 shown in Fig. 15 was generated from a video sequence containing multiple actions, researchers added a fast 502 process of hazard crossing to the normal walking process. The model first judged the feature as a normal 503 walking but then misjudged it as a lean-over operation in the second half of the video sequence. Similarly, 504 the rare cases where a fast normal walking process was added to the lean-over operation process also caused 505 misjudgment. 506 Although the recognition result was based on the integration of the recognition results of a large number 507 of stage frames, a misjudgment in a single video frame had little impact on the overall recognition result. It 508 has been reported that for the detection of construction workers' hazardous actions, a very small number of 509 misjudgments may lead to serious injuries and fatalities (Pinto et al. 2011). Therefore, the occurrence of 510 miscalculations needed to be avoided as much as possible. In terms of the causes of miscalculation of the 511 method, factors such as obstacles and occlusions in the recognition of skeleton features by the method could 512 affect the extraction of skeleton features (Li and L 2022), while in the analysis and classification of actions, 513 the correlation and interpolation between actions could also disrupt the recognition and judgment of the 514 method for specific actions (Yang 2018).

515	In addition to selecting near and far views as the study scenes, researchers also selected single and multi-
516	person targets as the sample data set. In the test process, researchers found that the single-person action
517	recognition effect was slightly better than the multi-person action recognition effect, but the difference was
518	marginal. This might be due to the fact that most of the selected multi-person construction targets were two
519	workers, and the difference in the number of targets was not obvious. In fact, there were usually many worker
520	targets in a construction work area. To further investigate the effect of the number of workers' actions on the
521	action feature extraction ability and action recognition effectiveness, it is necessary to select construction
522	videos containing more workers' actions to study the variation of method performance in the future.
523	6. Conclusions
524	Researchers proposed a framework for recognizing workers' hazardous actions by fusing skeleton
525	extraction and spatiotemporal features. Openpose (i.e., skeleton point extraction network) and ST-GCN (i.e.,
526	spatiotemporal graph convolutional neural network) were designed to jointly build a dynamic skeleton model,
527	which could analyze the spatiotemporal relationship of workers' skeletons and automatically recognize
528	construction workers' hazardous actions. In order to achieve an enhanced performance of the model method
529	for construction site application, researchers made algorithm adjustments and built a dataset of real-life
530	construction scenes based on the existing public dataset. The high-altitude scaffolding scene was used as a
531	test case and tested under several challenging situations such as viewpoint change (close-up view and far
532	view) and target change (single target and multiple targets). The results showed that the method recognition
533	accuracy reached 90.50% and 87.08%, respectively, and the single frame recognition time could be controlled
534	between 127~133ms with good robustness.

535	Compared to previous studies on construction workers' hazardous actions, the contributions of this research
536	are as follows: (1) by introducing a dynamic skeleton model to analyze the spatiotemporal relationship
537	between skeleton points during workers' construction actions, researchers effectively utilized the information
538	of workers' movement characteristics, which complemented the defects of previous research methods that
539	ignored dynamic action information; (2) combining the Openpose skeleton extraction algorithm and the
540	improved ST-GCN, an operational framework for hazardous action recognition was constructed to automate
541	and visualize the process of hazardous action recognition of construction workers. This provided a technical
542	basis for managers to check workers' hazardous actions through real-time monitoring in the future; and (3)
543	through the adjustment of partitioning strategy and the addition of attention mechanism, the method enabled
544	the extraction of global features of workers' skeleton information, which effectively improved the accuracy
545	of action recognition.
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- 555 further improved to reduce the influence of occlusions and different actions, to better understand the
- 556 spatiotemporal relationships between skeleton points, and to improve the recognition accuracy under
- 557 complex influencing factors.t is also necessary to improve the parameters of the dynamic skeleton model to
- 558 enhance the recognition speed of the model, and to expand the number of action types and worker targets to
- 559 enhance the applicability of the model scenes for future real-time monitoring of general scenes.

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564 Data Availability Statement

- 565 Some or all data, models, or code that support the findings of this study are available from the
- 566 corresponding author upon reasonable request.

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