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Formation of θ-Al₁₃Fe₄ and the multi-step phase transformations to α-Al₈Fe₂Si, β-Al₅FeSi and δ-Al₄FeSi₂ in Al-20Si-0.7Fe alloy

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9 Abstract

Formation of Al₁₃Fe₄ and the phase transformations on these particles between numbers of 10 different types of Fe-containing intermetallic compounds (FIMCs) were observed in Al-20Si-11 0.7Fe alloy when solidified at a slow cooling rate (0.01K/s). The experimental results show 12 that the Al₁₃Fe₄ phase (monoclinic) solidified initially and transformed into an intermediate α-13 14 Al₈Fe₂Si (hexagonal) and nano-scaled α -Al grains via a quasi-peritectic reaction. Subsequently, the intermediate α -Al₈Fe₂Si transformed into β -Al₅FeSi (monoclinic). The 15 transformed β-Al₅FeSi phase contained many defects, and with heat treatment (530°C for 30 16 minutes) transformed into δ -Al₄FeSi₂ (tetragonal). The variation in the composition and the 17 18 lattice parameters of these FIMCs was examined with TEM which shows that the phase transformation among FIMCs starts from FIMCs with lower Si content and process to FIMCs 19 with higher Si content. The interfaces between each of these phases were examined to 20 determine the orientation relationships between various FIMCs. The phase transformation 21 22 mechanism and the FIMCs transformation sequence have been proposed based on the 23 experimental investigation.

24 Keywords: θ -Al₁₃Fe₄; α -Al₈Fe₂Si; β -Al₅FeSi; δ -Al₄FeSi₂; phase transformation; diffusion

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26 1. Introduction

Fe is an unavoidable impurity which deteriorates the mechanical properties of Al alloys, 27 especially in the recycled Al alloys by forming different types of Fe-containing intermetallic 28 compounds (FIMCs) [1-2]. More than 20 different types of FIMCs were reported in the 29 literature, including α-Al₁₅(Fe, Mn)₃Si₂, β-Al₅FeSi, δ-Al₄FeSi₂, θ-Al₁₃Fe₄, Al₆(Fe, Mn) [3-7]. 30 31 They have different crystal structures, compositions and morphologies. Due to the difficulties associated with nucleation [8], the phase selection can easily be changed during the initial 32 nucleation stage [9], which affects the subsequent phase transformation [10]. The equilibrium 33 phase diagrams calculated to date using experimental results and thermodynamic modelling 34 cannot accurately predict the formation of FIMCs, as there is a gap in the understanding of the 35 nucleation, the composition-lattice relationship, and the phase transformation of these FIMCs. 36

37 It remains unclear as to which of the FIMCs are stable at elevated temperatures and 38 subsequently undergo phase transformations as the temperature is reduced, and if subsequent 39 phase transformations occur but not to completion due to kinetic constraints such as diffusion 40 of elements.

The mechanical properties of the alloys containing these FIMCs are influenced by their 41 morphologies. As reported, the primary β -Al₅FeSi and θ -Al₁₃Fe₄ which have monoclinic 42 crystal structures have plate-like morphologies [11-13]. The primary Al₆(Fe, Mn) phase that 43 has an orthorhombic crystal structure forms with a hollow needle-like morphology, and the 44 45 eutectic structure has a Chinese script morphology [14-15]. The α -Al₁₅(Fe, Mn)₃Si₂ which has 46 a body centred cubic structure has a compacted morphology as the primary phase [9, 16-17]. The plate-like and needle-like morphologies are extremely harmful to the mechanical 47 properties. Small plate-like FIMCs such as β-Al₅FeSi can be subsequently refined following 48 heat treatment, but heat treatment may only be used with limited success to achieve an ideal 49 50 distribution and morphology of FIMCs. Heat treatments cannot refine other types of FIMCs such as α -Al₈Fe₂Si with a Chinese script morphology. Some research show that deformation 51 52 can result in limited refinement on these FIMCs, which is limited to the wrought alloys [18]. Our recent research found that during solidification, the phase selection of core-shell structured 53 of FIMCs depends on the heterogeneous nucleation process [10]. The initially nucleated FIMCs 54 will nucleate subsequent binary and or ternary eutectic structures [19]. The compounds will act 55 as a nucleus for not only FIMCs in the eutectic structure but also the other intermetallic phases 56 57 in Al alloys such as Mg₂Si, which could easily nucleate on the primary FIMCs [19]. Additionally, the eutectic structures nucleated on the selected intermetallic compounds easily 58 grown into large size compared when α -Al was selected as the leading phase [19]. 59

Our previous work [8] showed that different types of FIMCs require different nucleation 60 undercooling in based on the composition of the alloys. This caused the competition among 61 multiple types of FIMCs which start at the very early nucleation stage. Therefore, the phase 62 63 selection is difficult to control. However, the morphology of the FIMCs does not only depends on the initially nucleated FIMCs, but also affected by the subsequent phase transformations 64 among FIMCs. Our recent investigations [10] showed that although the initially nucleated 65 FIMC is plate-like Al₁₃Fe₄, after phase transformation into α-AlFeSi, the FIMC particles have 66 a compacted morphology which is less harmful for the mechanism properties. Thus, 67 understanding the competition between the heterogeneous nucleation of FIMCs is not 68 sufficient, as the subsequent phase transformations can be critical to the microstructure control 69 through changes in the FIMCs morphology. 70

These FIMCs have a range of compositions and lattice parameters. Many alloying elements such as Si, Mn, Ni, Cr etc. can incorporate into many types of the FIMCs [20-25], which not only causes the compositional variations but also modifies the lattice parameters, and in some circumstances, modifies or changes the crystal structure. Especially, the Si incorporation in

75 the FIMCs cause complicated phase reactions among different types of FIMCs. As reported,

the Si content in the alloys affect the formation of the FIMCs [26]. Only a limited number of reports has been published on the phase transformation phenomena between different FIMCs [27-29]. In this study, further investigation on two aspects: the compositions variation and the crystal structure transition among these FIMCs are reported. A number of well-defined orientation relationships between phases will be elucidated.

Al-Si eutectic alloys have been widely investigated due to range of excellent properties, 81 including excellent wear and corrosion resistance, elevated temperature strength, low 82 coefficient of thermal expansion, good casting performance, and high specific strength. 83 Therefore, the hypereutectic A1-Si alloys are widely used in aeronautic and automotive 84 industries. Some transitional elements such as Fe and Ni etc. are added to improve the elevated 85 temperature mechanical properties by forming the thermally stable intermetallic compounds 86 [26, 30-33]. It is reported that [32] an Al-13 wt% Si casting alloy containing 0.5~1.20 wt.% Fe 87 forms needle-like β-AlFeSi which dramatically deteriorates the mechanical properties, 88 89 particularly the elongation. Therefore, to overcome these negative effects, it is very important to investigate the formation of FIMCs and the phase transformations that occur during 90 91 solidification which affect the final morphology of FIMCs. Based on our current understanding of the heterogeneous nucleation of FIMCs, the phase relationship and phase transformation 92 mechanism are very important to control the microstructure in both primary and secondary Al 93 alloys. The authors propose that the FIMCs structure should be controlled during the 94 95 solidification rather than through subsequent heat treatments.

In this study, the phase transformations among different types of FIMCs, in a hypereutectic Al-96 97 20Si-0.7Fe alloy, with different crystal structures will be discussed. According established phase diagrams the intial FIMC expected in this alloy is β-AlFeSi in the eutectic structure. 98 However, experimental observations with very slow cooling rates does not show the formation 99 of this phase but a different primary FIMC which undergoes number of phase transformations 100 that culminate in δ -AlFeSi. The phase transformations between different types of FIMCs were 101 102 investigated. The phase relationship and phase transformation mechanisms were examined with SEM, SEM-EBSD and TEM. 103

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105 2. Experimental

The Al-20Si-0.7Fe alloy investigated in this work had a composition of 20.5±0.5 Si and 106 0.65±0.05 Fe (compositions are in wt.% unless specified otherwise). Commercially pure Al 107 (>99.86 %), Al-50 Si were used to prepare the alloy. Fe was added as impurity in CP Al and 108 Al-Si master alloy. The pure Al and Al-Si alloys were heated in the electric resistance furnace 109 to 800°C and held there until molten. The molten pure Al was stirred to accelerate the melting 110 of Al-Si master alloy. After fully molten, the alloy was held for further 30 minutes. Before 111 each casting, the slag on the surface of the melt was removed and melt stirred to ensure 112 113 homogeneity. The melt was cast into a "mushroom" steel mould. The mushroom sample was

114 manually ground for the composition test. The composition measurement was carried on 115 foundry master Spark chemical analyser. Finally, the melt was cast into a preheated TP-1 116 mould [34] at a pouring temperature of 760°C. The remaining melt was solidified in the 117 furnace. The sample solidified in the furnace has a very slow cooling rate with the average 118 cooling rate from pouring temperature to solidified sample was calculated as 0.01K/s according 119 to the cooling curve.

The TP-1 sample was cut in the cross section which has a cooling rate of 3.5K/s at 38mm height 120 from the bottom. The slowly solidified sample was sectioned from top to bottom. The primary 121 Si particles were observed at the top due to the lower density of Si (2.32g/cm³[35]) compared 122 with Al (2.70g/cm³ [36]). The Fe-containing intermetallic compounds (FIMCs) settled to the 123 bottom of the casting. The phase formation and transformation of these FIMCs were analysed 124 with optical microscopy (OM), scanning electron microscopy (SEM), electron backscatter 125 diffraction (EBSD) and transmission electron microscopy (TEM). Specimens for 126 127 metallography were prepared using standard procedures. The solidification characteristics of the samples were examined with a Zeiss optical microscope fitted with the Axio Vision 4.3 128 image analysis system. The detailed features of phases were examined with a Zeiss Supra 35 129 scanning electron microscope (SEM), operated at an accelerating voltage of 20 kV. The EBSD 130 measurements were made on a Zeiss Cross beam 340 FIB-SEM, operated at an accelerating 131 voltage of 20kV. The scanning step size was 0.1-0.2µm. Thin foils for high resolution 132 transmission electron microscopy (HRTEM) examinations were prepared from slowly cooled 133 sample (0.01 K/s) which were mechanically ground and cut into 3 mm diameter discs. The 134 discs were then hand ground to a thickness of less than 60 µm, followed by ion-beam-thinning 135 using a Gatan precision ion polishing system (PIPS) at ion beam energy of 3.0-5.0kV and an 136 incident angle of 4-6°. TEM examination was performed on a JEOL 2100F transmission 137 electron microscope equipped with EDX (Energy Dispersive X-ray) Spectroscopy facility 138 operated at an accelerating voltage of 200 kV. 139

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141 **3. Results**

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143 **3.1 As-cast microstructure**

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Fig.1 shows the as-cast microstructure of the Al-20Si-0.7Fe alloy solidified at different cooling 145 rates. Fig.1a shows that when solidified at 3.5K/s, the microstructure of A1-20Si-07Fe consisted 146 of large size primary Si particles and surrounding eutectic structures. The FIMCs in this sample 147 have a plate-like morphology, and these FIMCs phases can only be observed in the eutectic 148 structures. No primary FIMCs phases can be observed. However, when the Al-20Si-0.7Fe alloy 149 solidified at 0.01K/s, the primary Si particles floated to the top of the sample, and some large 150 FIMCs particles settled to the bottom and observed, Fig.1b. These settled FIMCs particles have 151 a long plate-like morphology. The details of these particles were further examined. 152

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Fig.2 shows the microstructure of the Fe-containing intermetallic compounds in Al-20Si-0.7Fe 155 alloy solidified at 0.01K/s (Fig. 2a). These particles were later identified as Al₁₃Fe₄ with SEM, 156 EBSD and TEM analysis. Within the yellow rectangle in Fig 2a two different grey contrast was 157 observed and details of this region is show in Figs.2b-c. The Al₁₃Fe₄ was considered to be the 158 parent phase and two different structures were observed on the surface of these parent θ -Al₁₃Fe₄ 159 particles. They are identified as $(\alpha - Al_8Fe_2Si + \alpha - Al)$ two-phase region and $\beta - Al_5FeSi$ single 160 phase. Fig.2c shows the very edge of a θ -Al₁₃Fe₄ particle, which showed that the particles 161 162 transformed into β -Al₅FeSi before the end of the solidification process. This as-cast sample was heat treated at 530°C for 30 minutes. The microstructure in the sample was directly 163 observed again with SEM-BSD without re-polishing. The SEM-EDX spectra shown in Fig.2d 164 demonstrates that the Si content in the edge of the particle is much higher than that of the centre 165 of the parent FIMC. The SEM-EDX results are summarised in Table 1 indicated this trend 166 clearly, while there is a significantly large variation in the absolute values of composition. The 167 compositions measured with SEM-EDX spectra are similar to those of TEM-EDX spectra data 168 discussed below. The surface FIMC was identified subsequently with TEM as δ -Al₄FeSi₂ 169 which has the tetragonal structure. These results suggested that the phase formation sequence 170 from the parent θ -Al₁₃Fe₄ may be observed and it is: θ -Al₁₃Fe₄ \rightarrow the two-phase structure (α -171 Al₈Fe₂Si + α -Al) $\rightarrow \beta$ -Al₅FeSi \rightarrow (heat treatment) δ -Al₄FeSi₂. 172

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Initially, various phases present in the FIMCs observed in Fig. 2 were characterised with EBSD, Fig.3. Fig.3a shows the SEM image of the area investigated and the EBSD phase mapping is shown in Fig.3b. The EBSD mapping indicates that the parent phase, θ -Al₁₃Fe4 (green, left) is associated with the α -Al₈Fe₂Si phase (blue, middle). Microstructure also contained β -Al₅FeSi (orange) and α -Al (yellow) regions observed on the top right of the image. The interfaces between these phases were analysed with TEM in detail and presented in the following sections.

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The composition and the corresponding lattice parameters of these FIMCs were listed in Table 182 1 and 2. The compositions of FIMCs in this study (Table 1) show that a 2.7at.% Si was 183 contained in θ -Al₁₃Fe₄. Additionally, the Si content in FIMCs from centre (Al₁₃Fe₄) to edge (β -184 Al₅FeSi) then to $(\delta$ -Al₄FeSi₂) increased continuously. The lattice parameter and crystal 185 186 structure information in Table 2 shows that these FIMCs have crystal structure as reported [4, 6, 38, 39], such as θ -Al₁₃Fe₄ (monoclinic), α -Al₈Fe₂Si (hexagonal), β -Al₅FeSi (monoclinic). 187 188 Some difference in lattice parameters of these FIMCs between this study and the previously reported were observed, which is likely due to the compositional difference between this study 189 and those reported. 190

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192 **3.2** θ -Al₁₃Fe₄/ α -Al₈Fe₂Si interface

The interface between parent θ -Al₁₃Fe₄ phase and adjacent α -Al₈Fe₂Si was analysed with TEM. 193 Both θ -Al₁₃Fe₄ and α -Al₈Fe₂Si were examined using more than 8 TEM EDX spectra and using 194 more than 3 zone directions in TEM to identify the crystal structure. The interface between the 195 196 θ -Al₁₃Fe₄ and α -Al₈Fe₂Si was examined with high resolution TEM (HRTEM) and shown in Fig.4. Fig.4a shows the interface between the θ -Al₁₃Fe₄ and α -Al₈Fe₂Si when the incident 197 electron beam is parallel to $[\overline{1} \ \overline{3} \ \overline{4}]$ zone direction of θ -Al₁₃Fe₄ and $[\overline{3} \ 2 \ \overline{1}]$ of α -Al₈Fe₂Si. The 198 interface is not sharp, and a transition area with 5-10 nm thick range can be observed at the 199 interface. The fast Fourier transformation (FFT) patterns of θ -Al₁₃Fe₄ and α -Al₈Fe₂Si viewed 200 along $[\overline{1} \ \overline{3} \ \overline{4}]$ zone direction of θ -Al₁₃Fe₄ and $[\overline{3} \ 2 \ \overline{1}]$ of α -Al₈Fe₂Si were presented in Fig.4 b 201 and c, respectively. The FFT pattern which contains both sets of θ -Al₁₃Fe₄ and α -Al₈Fe₂Si is 202 shown in Fig.3 d. The schematically indexed FFT of Fig.4d was shown in Fig.4e, which shows 203 a well-defined orientation relationship (OR) between the θ -Al₁₃Fe₄ and α -Al₈Fe₂Si: ($\overline{1}$ 0 3) 204 Al₁₃Fe₄ // (1 $\overline{1}$ 1) α -Al₈Fe₂Si and [$\overline{1}$ $\overline{3}$ $\overline{4}$] Al₁₃Fe₄ // [$\overline{3}$ 2 $\overline{1}$] α -Al₈Fe₂Si. 205

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207 **3.3** (α -Al₈Fe₂Si + α -Al) two-phase structure

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Fig.2 also illustrates that a (α -Al₈Fe₂Si + α -Al) two-phase structure which contains nano-scaled α -Al cells is associated with the parent θ -Al₁₃Fe₄ and β -Al₅FeSi single phase. This structure appears to be similar to an eutectic structure and is considered to be an intermediate structure for the phase transformation from the parent θ -Al₁₃Fe₄ to the other types of FIMCs. Thus, a detailed understanding of this structure is very important to elucidate the microstructural evolution during phase transformation of FIMCs.

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The detailed information on the α -Al₈Fe₂Si + α -Al structures were examined with TEM and 216 shown in Fig.5 and Fig.6. The bright field image shown in Fig.5a demonstrates that the α-Al 217 218 phase distributes uniformly in the α-Al₈Fe₂Si phase with a size distribution of approximately 100-200 nm in diameter. The selected area electron diffraction (SAED) patterns of α-Al and α-219 Al₈Fe₂Si are shown in Fig.5b and c, respectively to illustrate the cells of α -Al and continuous 220 α -Al₈Fe₂Si phase. The HRTEM shown in Fig.5d presents the interface between the α -Al (top 221 right) and α-Al₈Fe₂Si (bottom left) and demonstrates that although the crystal structure of α-Al 222 223 and α -Al₈Fe₂Si are very different, the interface between α -Al and α -Al₈Fe₂Si is not sharp. A transition region with a layer thickness of approximately 10-20 nm is clearly observed at the 224 interface between the α-Al islands and the α-Al₈Fe₂Si phase, Fig 5d. This layer cannot be 225 characterised according to known FIMCs. 226

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The α -Al cells in the α -Al₈Fe₂Si phase were further examined with TEM, Fig 6, and show that the α -Al cells contained small scale precipitates, Fig. 6a. The bright field TEM image contains coffee bean like contrast observed due to lattice mismatch between the α -Al and the precipitate

phase which has a lath or plate like morphology, Fig 6a. The SAED patterns of α-Al with the 231 incident electron beam paralleled to <1 1 $0>_{Al}$ and <2 1 $1>_{Al}$ zone direction were shown in 232 Figs.6b-c. When the incident electron beam paralleled to <1 1 $0>_{Al}$ zone there are no extra 233 reflection due to the precipitates but when the electron beam is parallel to <2 1 1>_{Al} zone, 234 number of extra diffraction spots are observed on the SAED that is attributed to the precipitates, 235 however the precipitate phase does not seem to be in low index zone in this orientation and 236 cannot be indexed accurately. The HRTEM image of a α-Al particle with the incident electron 237 beam paralleled to <1 1 0>Al is shown in Fig.6d. A multi atomic layer structure with well-238 defined orientation relationship with α-Al and a lath or plate like morphology. The HRTEM 239 image (Fig.6e) when the electron beam paralleled to <2 1 $1>_{Al}$ zone did not show clear 240 precipitates but spherical regions which suggest that the precipitates have a plate like 241 morphology with <1 1 1>_{Al} type habit planes. The precipitates, however do not show clear 242 atomic planes when viewed along <211 >_{Al}. TEM-EDX analysis, Table 1, show the presence 243 of Fe and Si in the regions containing these precipitates and it is likely that these are β-Al₅FeSi 244 phase. 245

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247 **3.4** α-Al₈Fe₂Si/β-Al₅FeSi interface

248 The β -Al₃FeSi phase is observed at the surface of the parent θ -Al₁₃Fe₄ particles in the as-cast

sample. This phase is associated with both the parent $Al_{13}Fe_4$ phase and the α -Al_8Fe_2Si with an irregular interface. Additionally, this phase has an interface with the α -Al cells which from in

251 the two-phase (α -Al₈Fe₂Si + α -Al) structure and the α -Al cells in β -Al₅FeSi itself. The interface

between the β -Al₅FeSi and the intermediated two-phase structure (α -Al₈Fe₂Si + α -Al) was

examined carefully with TEM, and shown in Fig.7.

The bright filed TEM image in Fig.7a shows the interface between the two-phase region and

255 the β -Al₅FeSi phase. The main interface between the two-phase (α -Al₈Fe₂Si + α -Al) structure

and β -Al₅FeSi is the α -Al₈Fe₂Si/ β -Al₅FeSi interface. High resolution TEM micrograph in Fig.7b shows the interface between α -Al₈Fe₂Si (bottom right) and β -Al₅FeSi (top left) viewed

along the zone direction of $[0 \ 1 \ 0]$ of β -Al₅FeSi, showing a faceted interface between α -

259 Al₈Fe₂Si and β -Al₅FeSi. The selected area electron diffraction (SAED) pattern contains both

260 the β -Al₅FeSi with the incident electron beam paralleled to [0 1 0] zone direction and α -

- Al $_8$ Fe₂Si with the incident electron beam paralleled to [1 2 3] zone direction is shown in Fig.7c.
- 262 The OR between α -Al₈Fe₂Si and β -Al₅FeSi was identified: (0 0 2) β -Al₅FeSi //10.2°(1 0 0) α -
- 263 Al₈Fe₂Si, $[0\ 1\ 0]$ β -Al₅FeSi // $[1\ 2\ 3]$ α -Al₈Fe₂Si.

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265 **3.5** β -Al₅FeSi/ α -Al interface

Fig.8a shows that the α -Al cells associated with β -Al₅FeSi not only from in the two-phase 266 region, but also from as some large regions of α -Al in β -Al₅FeSi. There is no evidence of an 267 orientation relationship between β -Al₅FeSi and the nano α -Al cells in the two-phases (α -268 Al₈Fe₂Si + α -Al) structure. However, an orientation relationship between β -Al₅FeSi and the 269 larger regions of α-Al which associated each other were observed as shown in Fig.8. Fig.8a 270 shows the bright field TEM image of the interface of the β -Al₅FeSi and the large α -Al. The 271 SAED which contains both β -Al₅FeSi and α -Al is shown in Fig.8b. The corresponding 272 schematic representation of the two overlapped patterns from the interface of β-Al₅FeSi and 273 the large α-Al were shown in Fig.8c. The HRTEM image shown in Fig.8d illustrates the 274 interface between β -Al₅FeSi and the α -Al. It shows that the β -Al₅FeSi has a faceted interface 275 with the α -Al. The orientation relationship between the β -Al₅FeSi and the large α -Al as shown 276 in the yellow frame of Fig.8a is defined as (0 0 1) [1 1 0] β -Al₅FeSi // 2.5° { $\overline{3}$ 3 $\overline{1}$ } <1 1 0> α -277 Al using both the SAED analysis and the HRTEM image. 278

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280 **3.6 Faulted structure within β-AlsFeSi**

The defects in β-Al₅FeSi can be observed from the HRTEM in Fig.8d. The further investigation 281 on the β-Al₅FeSi was conducted and an example is shown in Fig.9. When observed under 282 TEM bright field mode, some defaults can be observed within the β-Al₅FeSi (Fig.9a). Fig.9b 283 illustrates the boundary between two β-Al₅FeSi regions. The boundary/interface between these 284 two β-Al₅FeSi regions was investigated with HRTEM. The HRTEM image (Fig.9c) shows a 285 clear interface which contains defects which are planar defects and are likely to be twins or 286 stacking faults. The inserted corresponding FFT patterns of β-Al₅FeSi from the top and bottom 287 grains were shown in Figs.9d-e. They demonstrate that these two grains have the exactly same 288 crystal orientation but there are extra reflections on the FFT collected from the region below 289 the interface as compared with the region above. The monoclinic crystal structure (Space group 290 291 symmetry C12/c1) of the β -Al₅FeSi phase mean that some reflections such as (1 0 1) and (1 0 0) are forbidden [4]. These forbidden reflections are visible in the FFT pattern recorded from 292 the area below the interface suggesting that the structure contain defects. 293

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295 **3.7** β-Al₅FeSi/ δ-Al₄FeSi₂ interface

In order to understand the phase transformation sequence observed in this alloy, a prepared sampled was heat treated at 530°C for 30 minutes to observe whether the FIMCs will transform further. The SEM image in Fig.1d shows the phase transition of Al-20Si-0.7Fe alloy after heated treatment. It demonstrates that the volume of two phases (α -Al₈Fe₂Si + α -Al) structure decreased significantly while a new phase, which was identified as δ -Al₄FeSi₂ with SEM- 301 EDXS and TEM was formed at the edge of the particles adjacent to smaller volume of β -302 Al₅FeSi.

The interface between the β -Al₃FeSi and δ -Al₄FeSi₂ phases on primary Al₁₃Fe₄ particle in Al-20Si-0.7Fe alloy after heat treated at 530°C for 0.5 hour was examined with HRTEM analysis. Fig.10 shows that the β -Al₅FeSi has a faceted interface with the δ -Al₄FeSi₂ phase when viewed along [1 1 0] zone direction of both β -Al₅FeSi and δ -Al₄FeSi₂. A transition region of a few nano-meter in thickness was observed at the interface between β -Al₅FeSi and δ -Al₄FeSi₂. A well-defined orientation relationship was identified from the HRTEM as: (0 0 2) [1 1 0] β -Al₅FeSi // (0 0 2) [1 1 0] δ -Al₄FeSi₂.

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311 4. Discussion

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313 4.1 Formation of Al₁₃Fe₄

The casting experiments carried under different conditions showed an interesting phenomenon. 314 When solidified at a very slow cooling rate (0.01K/s), some large size primary Al₁₃Fe₄ particles 315 with phase transformation at the surface were observed in the Al-20Si-0.7Fe alloy which has 316 composition supposed not be possible to form according to the calculated equilibrium phase 317 diagram, Fig 11 a and the solidification path calculation using the Scheil model Fig 11 b. The 318 319 phase diagram of Al-0.7Fe-xSi alloys (x=6~22wt.%) shows that the primary equilibrium phase for Al-0.7Fe-(>14.48)Si alloys was calculated to be Si. The formation temperature range for 320 the equilibrium of primary Si is large which is up to 111K (Al-20Si-0.7Fe). However, when 321 the Si concentration decreases during solidification process to the range of 9.45~14.48wt.%, 322 the calculated equilibrium primary phase become β-Al₅FeSi. The solidification paths of the 323 324 studied A1-20Si-0.7Fe and the eutectic A1-12.6Si-0.7Fe alloys were calculated and shown in Figs.11b-c. 325

During the casting process, when solidified at a very slow cooling rate as 0.01K/s, the primary Si formed and grow consuming Si in the melt. Therefore, the alloy concertation in the remaining melt moves to near the eutectic point. As the calculated phase diagram show,

- 329 Fig.11a, before the composition reached the eutectic point, the primary FIMCs may form. Our
- 330 previous report shows that the phase selection between FIMCs are competitive which due to
- the difficulties in nucleation of these FIMCs [8]. Due to the smaller nucleation undercooling
- required, the $Al_{13}Fe_4$ is nucleated and form initially, which is same as our other reported [10].
- However, when the alloy solidified at a faster cooling rate such as 3.5K/s, the solidification
- 334 process goes quickly. Therefore, the expected primary FIMCs did not form and the primary
- Al₁₃Fe₄ form in place of the expected FIMC in the Al-20Si-0.7Fe alloy.
- 336

337 4.2 Phase transformation sequence

In this study, the phase reaction between θ -Al₁₃Fe₄, α -Al₈Fe₂Si, β -Al₅FeSi and δ -Al₄FeSi₂ were 338 investigated with SEM, EBSD and TEM to understand the sequence of phase transformation 339 that may be observed in an Al-Si-Fe alloy. The relevant solidification curves using the Scheil 340 solidification model for the Al-20Si-0.7Fe alloy were calculated and shown in Fig.11b. The 341 phase diagram and the solidification path calculation (Scheil model) shows that β is the only 342 FIMC observed in this alloy. However, the experimental investigation does not support this 343 phase transformation path. The experimental results demonstrated that the θ -Al₁₃Fe₄ 344 decomposed and transformed into α -Al₈Fe₂Si + α -Al two phase structure during the 345 solidification process at a very slow cooling rate (0.01K/s). The θ -Al₁₃Fe₄ observed in this 346 347 investigation could be considered to be a pseudo binary phase with some Si (~2 at.%) dissolved in the compound. The phase transformation is likely to be controlled by the diffusion of Si and 348 Fe in liquid phase at the start of the reaction. The nature of the microstructure that forms during 349 the phase transformation reactions involved in this alloy suggest that the changes in the 350 composition of Al₁₃Fe₄ results in the formation of α -Al₈Fe₂Si and α -Al dual phase structure. 351 As the phase transformation reaction progressed further, the intermediate phase α-Al₈Fe₂Si 352 transformed into β -Al₅FeSi, and the nano-scaled α -Al grew in size. Both the parent θ -Al₁₃Fe₄ 353 phase and the β-Al₅FeSi have monoclinic crystal structures with many inherent defects within 354 to accommodate the growth of these particles. Subsequent heat treatment after casting resulted 355 in the transformation of the β -Al₅FeSi finally to δ -Al₄FeSi₂ which has a similar crystal structure 356 to that of β -Al₅FeSi. The details of the phase transformation between β -Al₅FeSi and δ -Al₄FeSi₂ 357 will be discussed in a subsequent contribution. The phase transformations observed in the 358 FIMC are complex and discussed as steps that occurred during processing below. 359

360 Step 1:
$$\theta$$
-Al₁₃Fe₄ $\rightarrow \alpha$ -Al₈Fe₂Si + α -Al

During this step, it is expected that the diffusion of Si continues through the structure at the 361 elevated temperatures to allow the compositional change associated with transformation of θ -362 Al₁₃Fe₄ phase in to α -Al₈Fe₂Si + α -Al. The slow cooling rate kept the sample at high 363 temperatures for a significant long time. This allowed the alloying elements to diffuse from θ -364 Al₁₃Fe₄ to surrounding α -Al, and the θ -Al₁₃Fe₄ transformed into the intermediate α -Al₈Fe₂Si 365 phase. Some α-Al formed within α-Al₈Fe₂Si to accommodate the compositional change 366 associated with the θ -Al₁₃Fe₄ transformation to α -Al₈Fe₂Si. Some of Fe and Si diffused into α -367 Al resulting in the formation of lath like precipitates within the α -Al grains (Fig.5). 368

369 Step 2:
$$\alpha$$
-Al₈Fe₂Si $\rightarrow \beta$ -Al₅FeSi+ α -Al

370 As the transformation progressed, the nano-scale α -Al cells grow which results in 371 destabilisation of α -Al₈Fe₂Si due to continuous reduction in Al and enrichment of Si. That

372 caused the α -Al₈Fe₂Si transform into β -Al₅FeSi. During this process, faceted interfaces

between α-Al₈Fe₂Si and β-Al₅FeSi is observed with an orientation relationship between the β-

374 Al₅FeSi and α -Al. During this step, diffusion of Al and Si are the most important factor that 375 contribute to the phase reaction. 376 Step 3: β -Al₅FeSi \rightarrow δ -Al₄FeSi₂ + α -Al

377 The transformed β -Al₅FeSi contain many defects as observed from the HRTEM (Fig.7d)

- images. As the Fe and Si diffuse, the lattice parameter changed correspondingly. Interfaces within a single crystal of β -Al₅FeSi is observed (Fig.8b). With the further Si diffusion into the
- 380 β-Al₅FeSi during heat treatment, the β-Al₅FeSi transformed into δ -Al₄FeSi₂.
- p-Alsresi during near treatment, the p-Alsresi transformed into o-Alfresi2.
- 381

382 4.3 Mechanism of phase transformation

The calculated phase diagram in Fig.11 shows that under equilibrium solidification conditions 383 the primary phase in this alloy to be Si. The experimental results show that the FIMCs particles 384 at the bottom of the crucible are not connected with the primary Si particles. Therefore, during 385 casting process, the Fe-containing intermetallic compounds may be nucleated on the other 386 potent substrates such as inclusions or crucible wall. Our recent paper [8] examined the 387 heterogeneous nucleation undercooling among several types of FIMCs and showed that the 388 Al₁₃Fe₄ requires smallest nucleation undercooling among 5 different types of FIMCs observed 389 in Al based casting alloys. Therefore, the Al₁₃Fe₄ phase nucleated initially as it is likely to the 390 easier phase for heterogeneous nucleation. It was reported that the Si can be incorporated in 391 Al₁₃Fe₄ phase in Al-Fe-Si alloys forming a pseudo binary (Al,Si)₁₃Fe₄ phase [37]. The 392 extension of Si solubility in Al₁₃Fe₄ forms only as a metastable phase and with further cooling 393 394 and diffusion of Si and Fe through the structure results in Al₁₃Fe₄ becoming unstable and transform into the other higher Si containing FIMCs such as α-Al₈Fe₂Si, β-Al₅FeSi and δ-395 Al₄FeSi₂. 396

As discussed above, the phase transformations between various FIMCs observed are diffusion-397 controlled transformations. The phase transformation of FIMCs (Al₁₃Fe₄) with a low Si content 398 to finally other FIMCs with a higher Si content (δ-Al₄FeSi₂) depends on the Fe and Si diffusion 399 at high temperature and long holding times. The TEM-EDX results from various FIMCs 400 observed in this study are listed in Table1. It shows that the Fe content decreased and the Si 401 content increased in each of the FIMC as the phase transformation sequence progressed, 402 confirming that in high Si containing Al alloys, the FIMCs such as δ-Al₄FeSi₂ with higher Si 403 content is more stable than those with a lower Si content FIMCs such as Al₁₃Fe₄. 404

In this study, the β was calculated to be the equilibrium FIMC according to the Scheil model. 405 However, the experimental results showed that the selected primary FIMC is θ which contains 406 much lower Si content than all the other FIMCs reported in this manuscript. Then the non-407 equilibrium θ particles transformed into intermediate α (Al₈Fe₂Si) which contained a higher Si 408 content, which then transformed into β (higher Si content) during the solidification. However, 409 410 it can be seen from Fig.2a that the surface of θ only partially transformed during solidification. Therefore, the phase transformation among FIMCs which starts from FIMCs with lower Si 411 content and then progress to FIMCs with higher Si content can be a continuous process driven 412

by diffusion of Si until the rate of diffusion is not sufficient to feed the phase transformation. 413 The phase transformation following the heat treatment from β to δ indicates that the stability 414 of β is lower than that of δ . In addition, some defected structures (Fig.9) observed in the 415 transformed β also indicate that the β phase may not be the stable phase. However, it does not 416 means that the δ is the most stable phase, further phase transformation still might be possible 417 with further heat treatment. However, according to the calculated equilibrium phase diagram 418 β-Al₅FeSi should be the only FIMC forming in this system. It is noted that the majority of 419 420 equilibrium phase diagrams for Al-Fe-Si system are verified through experimental investigations where specimens were continuously cooled rather than held isothermally to 421 promote phase transformations [41-42]. In this case β -Al₅FeSi and δ -Al₄FeSi₂ phase 422 423 transformation was only observed following isothermal heating following very slow cooling at 0.01K/s. Therefore, we propose that the current version of the Al-Fe-Si phase diagram based 424 on cooling and heating experiments and microstructure characterisation with bulk techniques 425 such as X-ray diffraction (XRD) may not have captured a localised phase transformation from 426 β -Al₅FeSi and δ -Al₄FeSi₂. 427

428 To understand the crystal structure transformation during cooling and heat treatment, the lattice 429 parameters of these relevant FIMCs were measured to compare with the literature data [4-6] 430 and shown in Table 2. The phase transformation from $Al_{13}Fe_4$ to α - Al_8Fe_2Si is discussed in 431 detail below to illustrate the crystal structure change associated with the phase transformation.

Grin. et.al [6] reported the monoclinic structure of Al₁₃Fe₄ by considering a cell with a=432 15.492Å, b = 8.078Å, c = 12.471Å, $\beta = 107.69$ °. In Grin's model, in the monoclinic Al₁₃Fe₄ 433 crystal, there are 15 Al positions, 5 Fe positions. The Al2 position in the structure is partially 434 occupied (0.92) and the occupancy of Si in each Al positions is 0.08. All Fe positions in the 435 crystal structure are fully occupied (1). Our recent paper [38] show that Fe can partially occupy 436 Al5, Al7 and Al9 positions in the structure. In a perfect Al₁₃Fe₄ crystal, the number of positions 437 Si occupied sites are limited. With increased Si in the Al₁₃Fe₄ crystal Al will be replaced with 438 439 Si to accommodate the Si resulting in a lattice parameter change. In this study, with the formation of Al cells from Al₁₃Fe₄, the Si content in the Al₁₃Fe₄ phase is enriched causing nano-440 scaled faults within the structure and lattice parameters variation. Corby et.al (1977) [39] 441 reported a hexagonal structure of α-Al₈Fe₂Si with a cell with a=b=12.404 Å, c=26.234 Å, 442 $\alpha=\beta=90^\circ$, and $\gamma=120^\circ$. There are 18 Al positions and 5 Fe positions. All Al positions are 443 partially occupied, and Si can replace Al in each of the Al positions. The TEM-EDX results in 444 Table 1 shows that the Si content increased from 2.7±0.2 at.% to 11.6±0.1 at.% when phase 445 transit from Al₁₃Fe₄ to α-Al₈Fe₂Si. This result indicated that the increased Si content occupied 446 the rest Al positions and causing the crystal transform to α-Al₈Fe₂Si. 447

448

449 The monoclinic β-Al₅FeSi was reported [4] with a= 6.1676 Å, b= 6.1661 Å, c= 20.8093 Å, 450 β=91°. In the monoclinic β-Al₅FeSi crystal, there are 6 Al positions, 1 Fe positions. And each

451 Al position is partial occupied (0.82). The occupancy of Si in each Al positions is 0.18.

- 452 Compared with α -Al₈Fe₂Si, the capacity accommodate Si is higher in the β -Al₅FeSi. Therefore,
- 453 in the high Si content Al alloys, the non-equilibrium FIMCs will transit into the higher FIMCs
- 454 with Si content. Thus, following heat treatment, the β -Al₅FeSi finally transformed into the δ -
- 455 Al₄FeSi₂ which has highest capacity to accommodate Si among the Fe-containing intermetallic
- 456 compounds reported [29]. The details of the phase transformation from β -Al₅FeSi to δ -Al₄FeSi₂
- 457 will be discussed in detail in a subsequent contribution.
- 458

459 **5. Conclusions**

Multi-step phase transformations between Fe-containing intermetallic compounds in high Si
Al alloys were observed following solidification sequence. The phase transformation sequence
can be described as:

- 463 (1) $L \rightarrow \theta Al_{13}Fe_4;$
- 464 (2) θ -Al₁₃Fe₄ $\rightarrow \alpha$ -Al₈Fe₂Si + α -Al;
- 465 (3) α -Al₈Fe₂Si \rightarrow β -Al₅FeSi + α -Al;
- 466 ④ β -Al₅FeSi \rightarrow δ -Al₄FeSi₂ + α -Al.
- The phase transformation is controlled by the diffusion of constituent elements especially Si in 467 the FIMCs. Some nano-size α -Al reservoirs were observed to form within the FIMCs to 468 accommodate the changes in composition of FIMCs allowing smaller diffusion paths. The α -469 Al₈Fe₂Si forms as the intermediate FIMC during the phase transformation from Al₁₃Fe₄ with a 470 low Si content to higher Si containing β-Al₅FeSi. Small amount Fe and Si remains in the α-Al 471 cells which caused the precipitation of Fe and Si rich phase and these precipitates were thought 472 to be β-Al₅FeSi. Clearly defined orientation relationships were observed at the interfaces 473 between θ -Al₁₃Fe₄// α -Al₈Fe₂Si, α -Al₈Fe₂Si// β -Al₅FeSi, α -Al₈Fe₂Si// α -Al and β -Al₅FeSi// α -Al. 474
- 475
- 476

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