

1 **Understanding the Initial Solidification Behavior for Al-Si Alloy in**
2 **Cold Chamber High Pressure Die Casting (CC-HPDC) Process**
3 **Combining Experimental and Modelling Approach**

4
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13 **Abstract:** In the cold chamber high pressure die casting (CC-HPDC) process, alloy
14 solidification in the shot sleeve due to heat loss leads to the formation of externally solidified
15 crystals (ESCs), which have been proven to be closely related to microstructure
16 inhomogeneity and mechanical properties of cast components. In this paper, the solidification
17 behavior of aluminium alloy inside the shot sleeve is studied using a numerical modelling
18 approach. Fluid flow, heat transfer and solidification of aluminium alloy melt inside the shot
19 sleeve are studied using ProCAST software in three dimensions. A comparison between
20 modelling and experiments shows good correspondence. Moreover, the evolution and
21 distribution of ESCs in the shot sleeve along with their dependence on the piston motion
22 profile are analysed accordingly. The results show that after the melt impinges the shot sleeve
23 wall, a thin layer of initial solid forms on the wall with a non-uniform distribution along the
24 sleeve in both the longitudinal and radial directions. With piston movement, the initial solid
25 fraction first increases and then decreases to some extent before being injected into the die
26 cavity. The amount of ESCs at the melt free surface are quantitatively analyzed and validated
27 for different piston motion profiles. The results of this work would be useful in further
28 microstructure and mechanical property variability study of high pressure die casting
29 products.
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32 **Key words:** Al-Si alloy, HPDC, Solidification, ESCs, microstructure

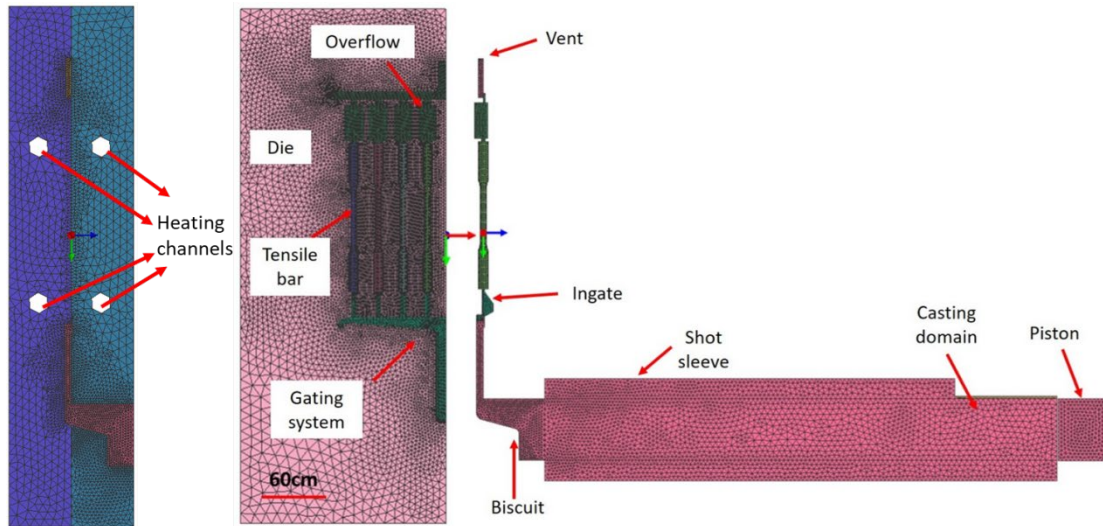
33 1. Introduction

34 The HPDC process has gained considerable interest within the automotive industry, primarily
35 due to its high productivity and excellent cast dimensional accuracy [1-3]. During the cold
36 chamber high pressure die casting process (hereinafter CC-HPDC), the superheated alloy is
37 first poured into a relatively cold shot sleeve (~200 °C) and then injected into the die cavity
38 via the motion of a piston. As the melt impinges the cold wall of the shot sleeve, its
39 temperature decreases below liquidus, forming a thin layer of solid on the sleeve wall. The
40 solid formed at this stage is commonly termed as externally solidified crystals (ESCs). The
41 amount and distribution of ESCs in a cast component varies even under fixed operational
42 conditions, with numerous studies demonstrating their important role in microstructure
43 uniformity and mechanical properties of cast components. Laukli et al. [4-6] studied the
44 solidification microstructure of A356 alloy produced by cold chamber high pressure die
45 casting and concluded that ESCs fraction influences the position of defect band as well as the
46 mechanical properties. Timelli [7] studied the microstructural features of AlSi9Cu3(Fe) alloy
47 produced by CC-HPDC and found that ESCs were concentrated towards the central region of
48 castings and lead to an increase in the average grain size. Fan et al. [8,9] used melt conditioned
49 high pressure die casting technology (MC-HPDC) to refine the ESCs formed inside the shot
50 sleeve, but results shows that microstructure inhomogeneity persisted due to the different
51 cooling conditions in the shot sleeve and die cavity. Such microstructural inhomogeneity
52 could be one potential source of the variability in mechanical properties commonly reported
53 for HPDC components [10,11]. Hence, it is essential to understand how solidification develops
54 inside the shot sleeve and how it will evolve during die filling. In this paper, the formation of
55 ESCs in the shot sleeve during the CC-HPDC process of Al-Si alloy melt (with measured
56 chemical composition 9.66 wt. % Si, 0.64 wt. % Mn, 0.34 wt. % Mg, 0.096 wt. % Fe) is
57 studied using numerical modelling techniques. The influence of piston movement on ESCs
58 evolution is studied quantitatively.

59 2. Process Modelling

60 2.1 Model Description

61 The filling and solidification in the shot sleeve of the CC-HPDC machine is modelled using
62 finite element method (FEM) and can be found in the previous works of the authors [12-14]. The
63 calculation domain which takes into account the entire HPDC machine is shown in **Figure 1**.
64 A detailed view of the shot sleeve region is shown in **Figure 2**. The general FEM mesh size
65 for the entire domain is 1mm, while in the specific thin regions such as ingate and runner, the
66 mesh size of 0.2mm is used. Three dimensional Navier-Stokes equations for mass,
67 momentum and heat transfer are solved using the finite element method. The evolution of the
68 melt free surface during melt flow is modelled using the volume of fluid (VOF) method.
69 Solidification of alloy melt and remelting of the pre-solidified ESCs are described using the
70 enthalpy curve of the alloy calculated with the thermodynamic database provided by ESI. The
71 time duration for the shot sleeve filling is 3s. The melt is poured with an initial temperature of
72 675°C. The constant pouring amount for the alloy is 750g, which equals to a filling ratio of
73 20% in the shot sleeve.

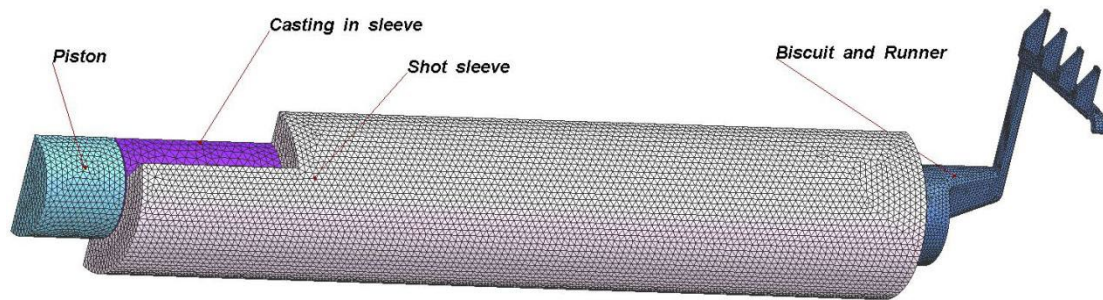


74

75 Fig. 1 Geometry and finite element mesh of the modelled HPDC system

76

77



78

79 Fig. 2 Geometry and FEM mesh for calculation domain (shot sleeve length: 500mm, inner
80 diameter: 60mm)

81 *2.2 Governing equations*

82 The fluid flow, heat transfer and solidification of the liquid alloy during the HPDC process
83 are calculated mathematically using the following governing equations on the three-
84 dimensional finite element meshes.

85 Continuity equation:

$$86 \quad \frac{\partial \rho_l}{\partial t} + \nabla \cdot (\rho_l \langle \mathbf{v}_l \rangle) = 0 \quad \text{Eq. 1}$$

87

88 Momentum equation:

$$89 \quad \frac{\partial}{\partial t} \left(\frac{\rho_l}{\mathbf{g}} \langle \mathbf{v}_l \rangle \right) + \nabla \cdot \left(\frac{\rho_l}{\mathbf{g}^2} \langle \mathbf{v}_l \rangle \langle \mathbf{v}_l \rangle \right) + \nabla p - \nabla \cdot \left(\frac{\mu_l^{eff}}{\mathbf{g}} [\nabla \langle \mathbf{v}_l \rangle + \nabla \langle \mathbf{v}_l \rangle^T] \right) \\ 90 \quad = \rho \mathbf{g} - \mu_l K^{-1} \langle \mathbf{v}_l \rangle \quad \text{Eq. 2}$$

91 Energy equations:

$$92 \quad \frac{\partial(\rho h)}{\partial t} + \nabla \cdot (\rho \mathbf{g} h \langle \mathbf{v}_l \rangle) = \nabla \cdot (\kappa \nabla T) + S \quad \text{Eq. 3}$$

93
$$h(T) = \int_0^T C_p(T)dT + L(1 - f_s(T))$$
 Eq. 4

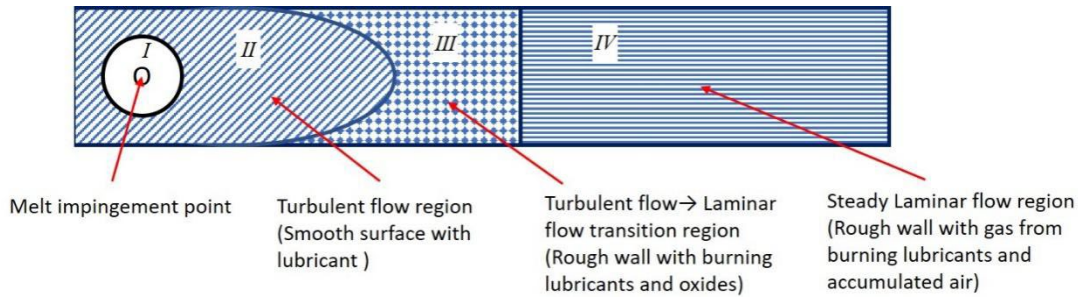
94 In the above equations, $\langle v \rangle_l$ is the intrinsic phase averaged velocity, ρ is the density, p is the
 95 pressure, μ_l is the melt viscosity, T is the temperature, C_p is the specific heat, L is the latent
 96 heat of solidification, and h is the enthalpy.

97

98 **2.3 Parameter Adjustment**

99 According to relevant research from Helenius, Raimo, et al [15] and Shoumei Xiong et al. [16-
 100 18]. The shot sleeve wall condition would differ along sleeve length direction due to oxides
 101 formation, non-uniform lubricant distribution as well as surface roughness, as is depicted in
 102 **Figure 3.**

103

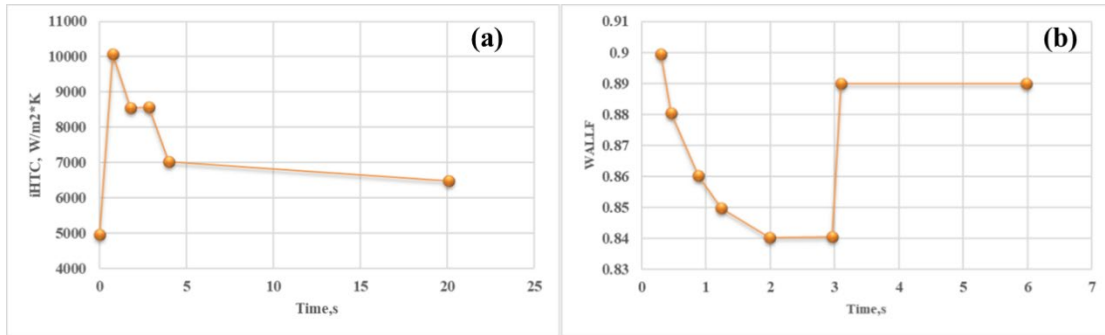


104

105 Fig. 3 Mechanism for melt flow development in shot sleeve, adapted from reference [15]

106 **Figure 3** illustrates that the sleeve wall may be divided into four characteristic regions. As the
 107 melt impinges the sleeve wall (zone I), the flow is initially turbulent for some distance along
 108 the longitudinal axis of the shot sleeve (zone II, covered by lubricant). Then, in zone III with
 109 reduced lubrication, the flow regime transforms from turbulent to laminar due to the steady
 110 melt velocity and friction induced by the rough sleeve wall. In the meantime, gas will form
 111 due to the burning of lubricant and residual air at the sleeve wall may be entrained into the
 112 melt. As the melt enters zone IV, flow ceases due to the decrease in melt temperature and gas
 113 pores accumulate at melt-sleeve wall interface, which will influence further solidification of
 114 the melt.

115 Whilst all these phenomena would influence the heat transfer and flow of aluminium melt, the
 116 model needs to be properly modified before it can be used for further analysis. In ProCAST
 117 software, two parameters WALLF and WSHEAR are responsible for adjusting the fluid flow
 118 behavior near to the wall. Whilst the heat transfer calculations can be properly adjusted by
 119 modifying the interfacial heat transfer coefficient (iHTC) between the melt and sleeve wall. In
 120 this paper, six combinations of time-dependent WALLF, WSHEAR and iHTC values are
 121 compared before a suitable selection is obtained and applied in the model. The description of
 122 these parameters could be referenced from the previous work of the authors [12]. The applied
 123 value of WSHEAR is 2, and the time-dependent iHTCs and WALLF values are shown in
 124 Figure 4(a) and (b).



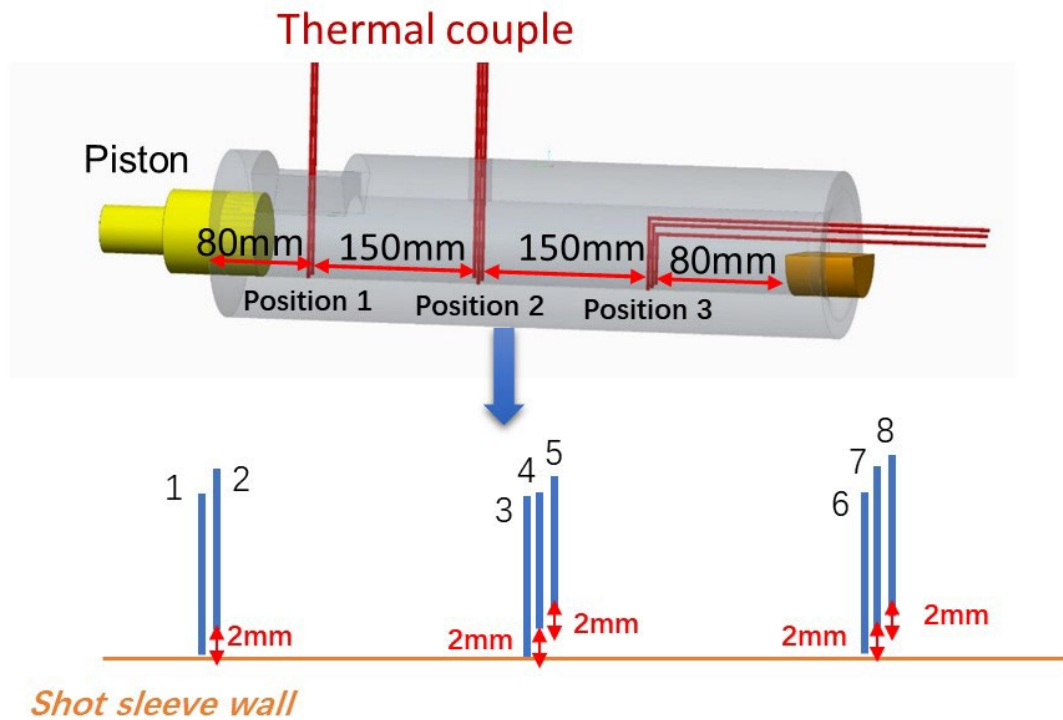
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126 Fig. 4 Time-dependent (a) iHTC and (b) WALLF values used in this work

127

128 *2.4 Model Validation*

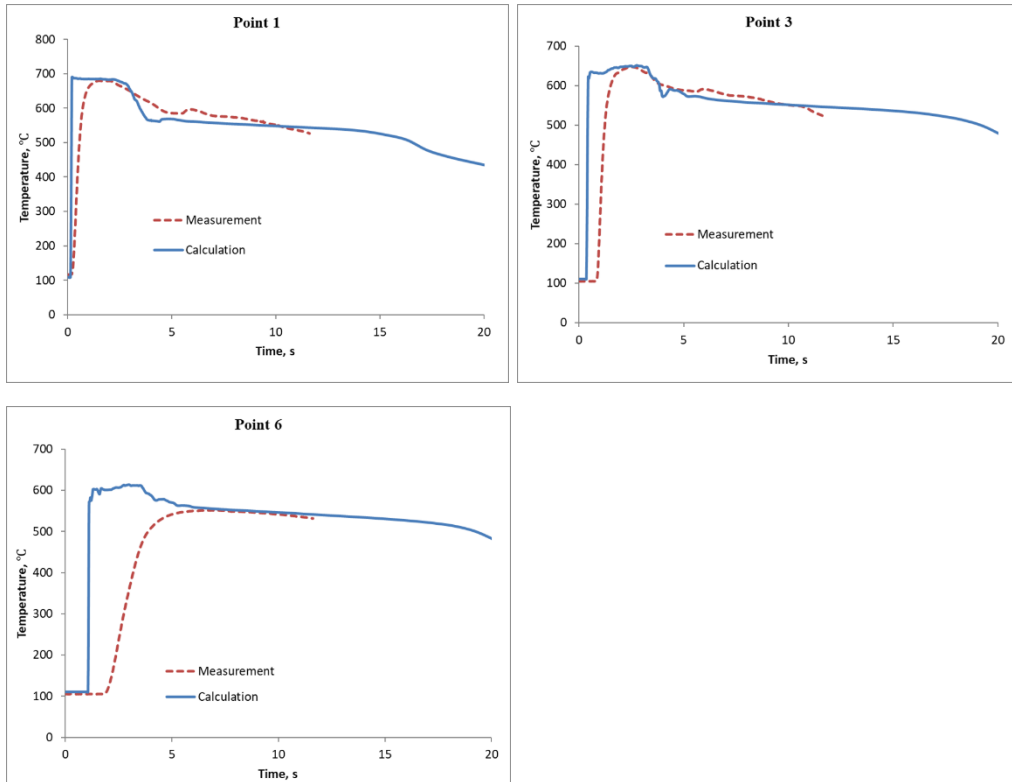
129 Based on these, a filling test was conducted in the shot sleeve with the exact same condition
 130 as the modelling the shapes of the solid formed in the sleeve were compared with actual
 131 filling tests and the temperature distributions at different locations in the shot sleeve were
 132 obtained using thermal couples which positions were shown in **Figure 5**. The calculated and
 133 measured temperatures curves are shown in **Figure 6**, main discrepancies were observed
 134 when the melt came into initial contact with the thermocouples, which attribute to their
 135 relatively high response time (~1 s). In addition, the shapes of the metal after partial
 136 solidification in the sleeve closely resemble those predicted, as is indicated in **Figure 7**.



137

138 Fig. 5 Configuration of temperature measurements during shot sleeve filling experiments

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142 Fig. 6 Comparison of measured and calculated temperature curves at Point 1, Point 3 and
 143 Point 6, as is indicated in Fig. 4



144

145 Fig. 7 Comparison of solid shape in sleeve between filling tests and model calculations

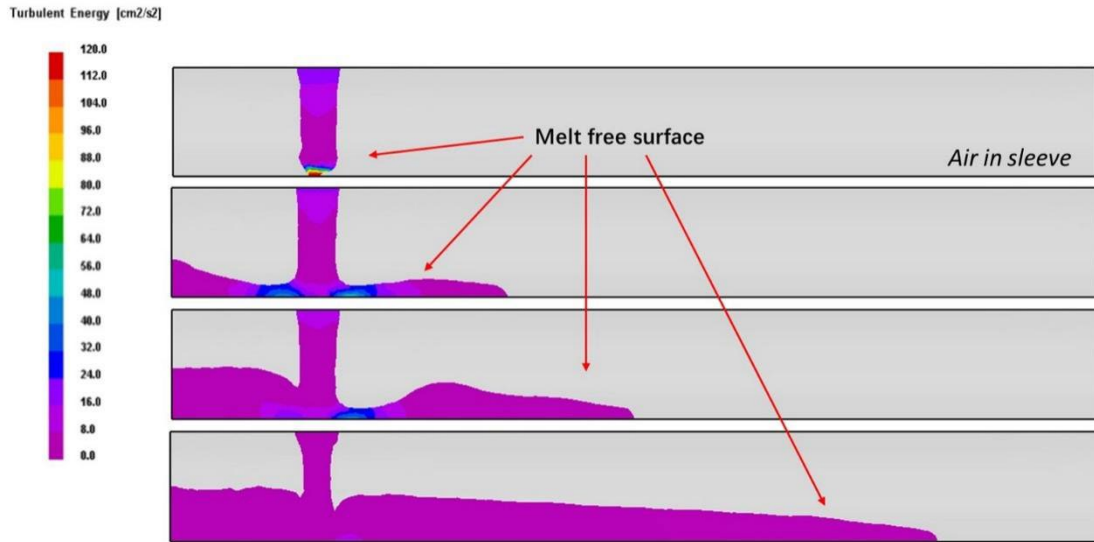
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147 **3. Modelling Results**

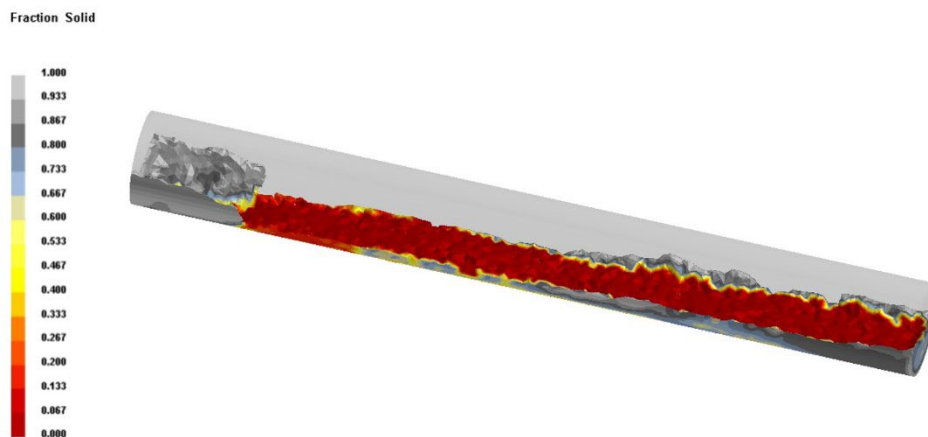
148 *3.1 Initial solidification of melt before piston movement*

149 **Figure 8** shows the evolution of the melt free surface (the interface between melt and the air
 150 in shot sleeve and die cavity) and turbulence in the shot sleeve. It could be observed that
 151 turbulence occurs the moment the melt collides the sleeve wall and it calms as the continual
 152 filling the sleeve. **Figure 9** reveals the solidification of the melt in the sleeve when filling for
 153 5s. Combining above two figures, it could be observed that melt solidifies as soon as it

154 contacts the sleeve wall. At the initial filling stage, with down-pouring of liquid metal from
 155 the above pouring hole, the melt flow is turbulent at the contact point, then two flows
 156 develop. The left flow would first flow towards the piston and be forced back. Due to cooling
 157 water circulation inside the piston, more solid forms near to the piston region. The right flow
 158 develops towards the far end of sleeve and fluid velocity decreases, attributed to two
 159 phenomena: (1) continual heat loss of melt along the longitudinal axis of the sleeve, which
 160 causes increase of melt viscosity and (2) friction between the sleeve and melt.



161
 162 Fig. 8 Evolution of melt free surface and turbulence in the shot sleeve, with colour map
 163 indicating turbulent energy at different locations-2D section cut from longitudinal axis of
 164 sleeve
 165

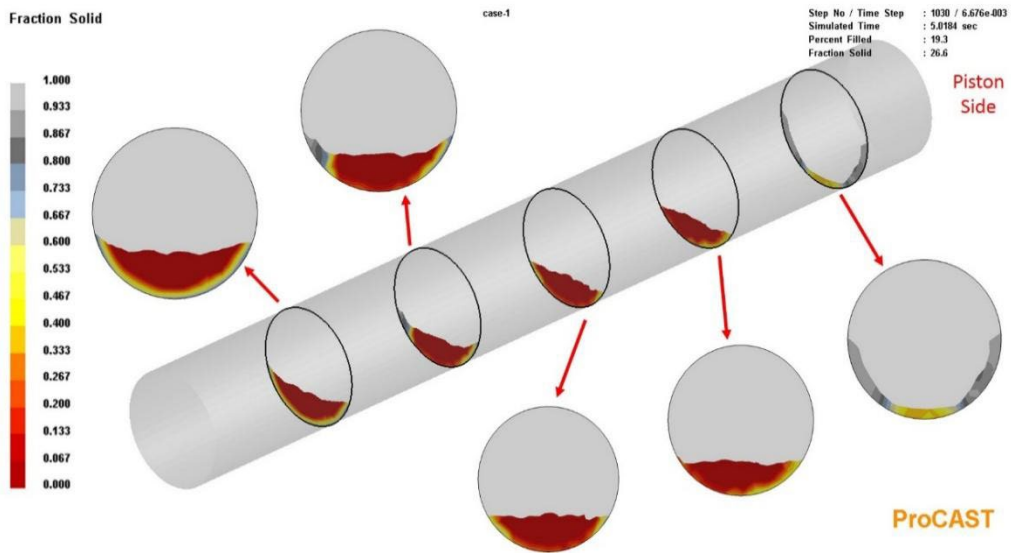


166
 167 Fig. 9 Solidification of the melt in shot sleeve after filling for 5s (color bar shows the solid
 168 fraction, with piston side on the left)

169 **Figure 10** reveals the solid-melt distribution on several sleeve radical direction slices. As
 170 illustrated in **Figure 10**, ESCs tend to distribute non-uniformly along the shot sleeve, with
 171 more ESCs found towards the piston and biscuit regions, whilst less ESCs are observed in the
 172 middle. The ESCs distribution can be further examined by taking a longitudinal slice as in
 173 **Figure 11**, it could be noticed that in a steady filling process, the minimum solid thickness
 174 location is below the pouring hole. Based on **Figure 11**, the solid fraction curve along line

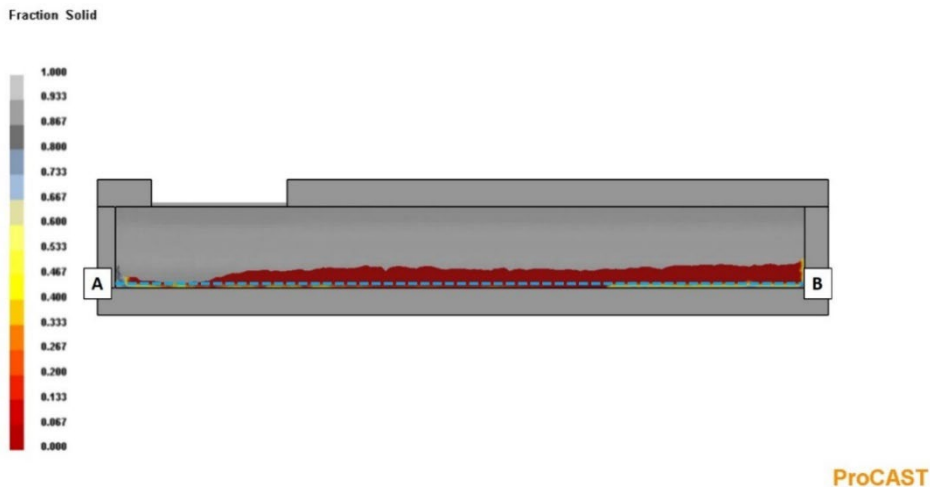
175 AB (3mm above sleeve bottom) is plotted as in **Figure 12**. It could be noticed that after
 176 filling for 5s, the solid fraction at piston end is ~1% while at the other side of sleeve, solid
 177 fraction is ~0.5%. The solid fraction drops below 0.1 gradually from both ends of sleeve to
 178 centre due to slow heat transfer and steady flow of melt.

179



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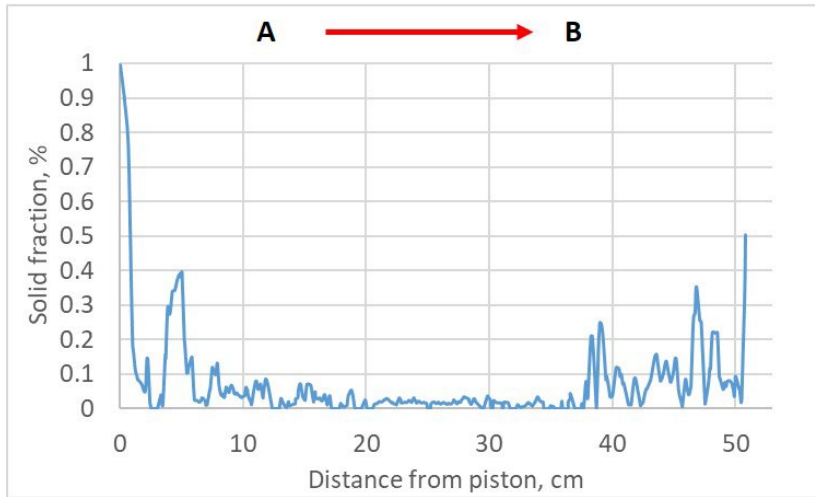
181 Fig. 10 Slice view for solid fraction along sleeve length direction



182

183 Fig. 11 Solid fraction longitude cross-section of sleeve after filling for 5s

184 (Piston at side A)

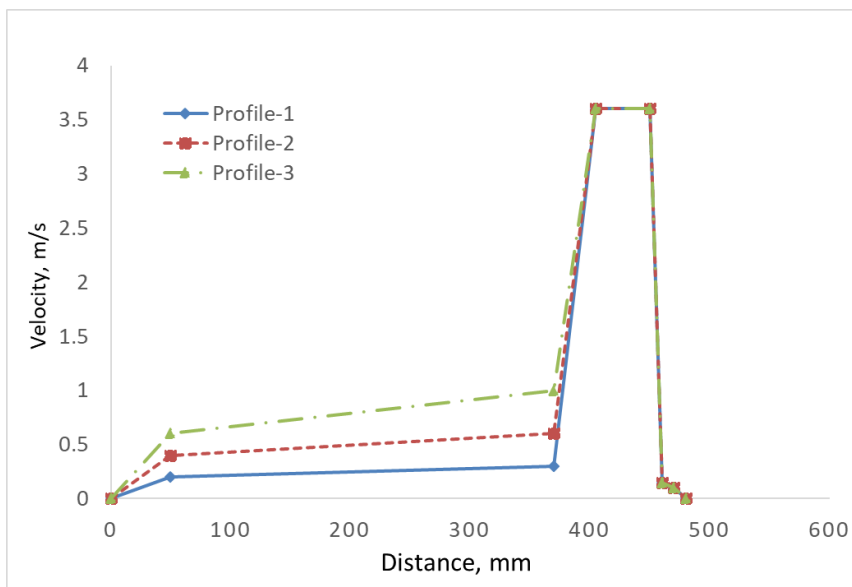


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186 Fig. 12 Solid fraction along AB line in Fig. 10 after filling for 5s

187 *3.2 Evolution of melt solidification during piston movement*

188 During the actual CC-HPDC processing, piston velocity will clearly influence the evolution
 189 of ESCs in the shot sleeve and the following injection as well as their distribution inside the
 190 die cavity. Here in this paper, the evolution of ESCs in the shot sleeve with three different
 191 piston velocity profiles are analyzed numerically. The piston movement profiles used in this
 192 work are illustrated in **Figure 13**. The first stage velocity varies among 0-0.2-0.3m/s, 0-0.4-
 193 0.6m/s and 0-0.6-1m/s in Profile-1, Profile-2 and Profile-3, respectively. The second stage
 194 velocity of 3.6m/s is kept constant in all the three piston velocity profiles.

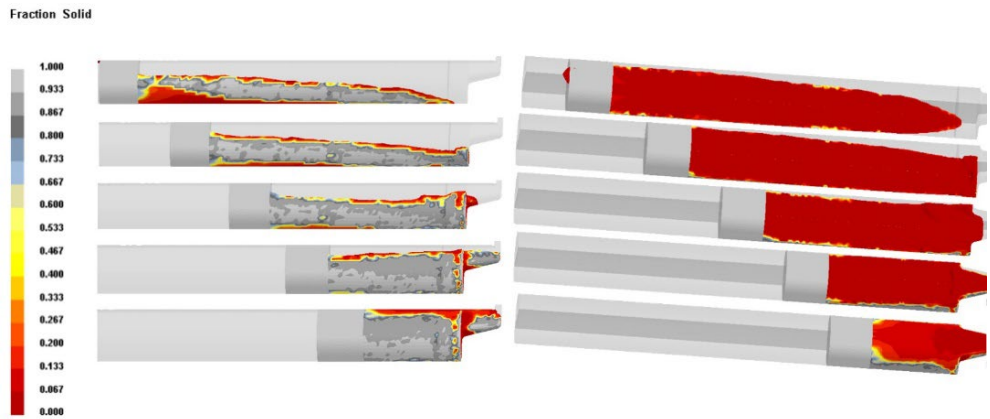


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196 Fig. 13 Piston movement profiles used in this work

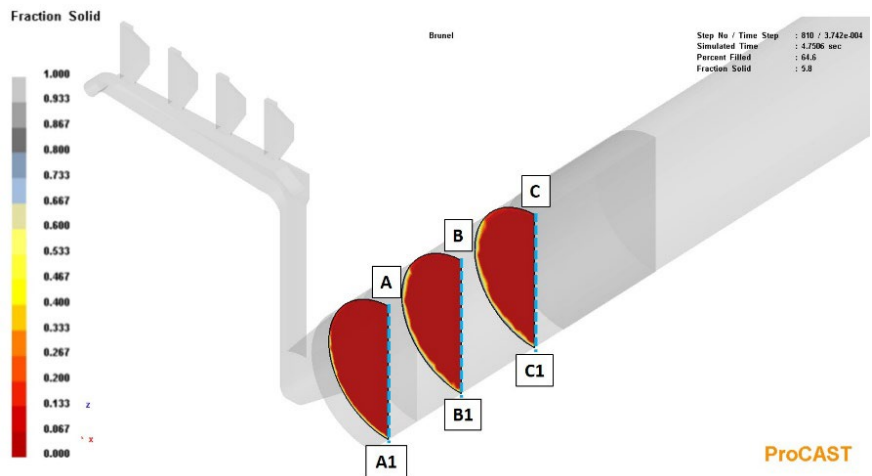
197 **Figure 14** depicts the evolution of ESCs during piston movement in shot sleeve from side
 198 view (left) and top view (right) with Profile-1. It could be seen that during the first stage
 199 piston movement where piston moves forward at low velocity, ESCs accumulate towards the
 200 piston and mix with the melt until the remaining sleeve chamber is filled with the mixture.
 201 Afterwards, the piston velocity increases to secondary stage velocity of 3.6m/s for injection
 202 into the die cavity. The solid distribution from sleeve top to bottom is non-uniform according
 203 to the colour map shown here. To further investigate this, three slices are taken inside the

204 chamber before fast shot stage starts in shot sleeve, as shown in **Figure 15**. It is worth noting
 205 that the solid fraction (f_s) at melt and sleeve wall interface is larger compared with melt in
 206 sleeve central region, which is a result of strong cooling condition at sleeve wall. Moreover,
 207 f_s plots along line A1-A, B1-B and C1-C are obtained as in **Figure 16**. In sleeve radial
 208 direction, the maximum solid fraction appears at sleeve bottom with a range between 0.7%-
 209 1%. Solid fraction of the mixture approximates zero in sleeve central region while continually
 210 increases to the range of 0.02%~0.07% at sleeve top. Comparing the solid fraction
 211 distribution along the longitudinal length of the shot sleeve, the f_s curve along C1-C exhibits
 212 largest values compared with the other two, which means that more ESCs tend to aggregate
 213 near to the piston region compared to any other region.



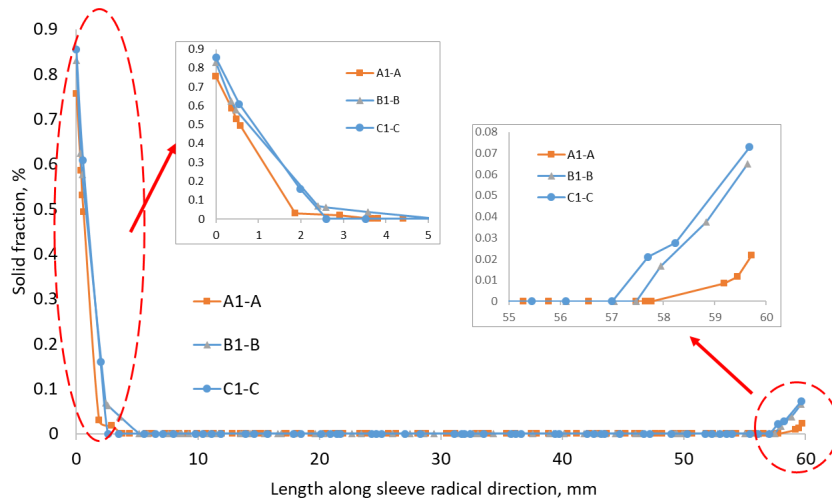
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 215 Fig. 14 Solid fraction during piston movement in shot sleeve from side view (left) and
 216 top view (right) with Profile-1 in Fig. 12

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218
 219 Fig. 15 Solid fraction at piston front in shot sleeve after slow shot phase in CC-HPDC-slice
 220 views with Profile-1 in Fig. 12

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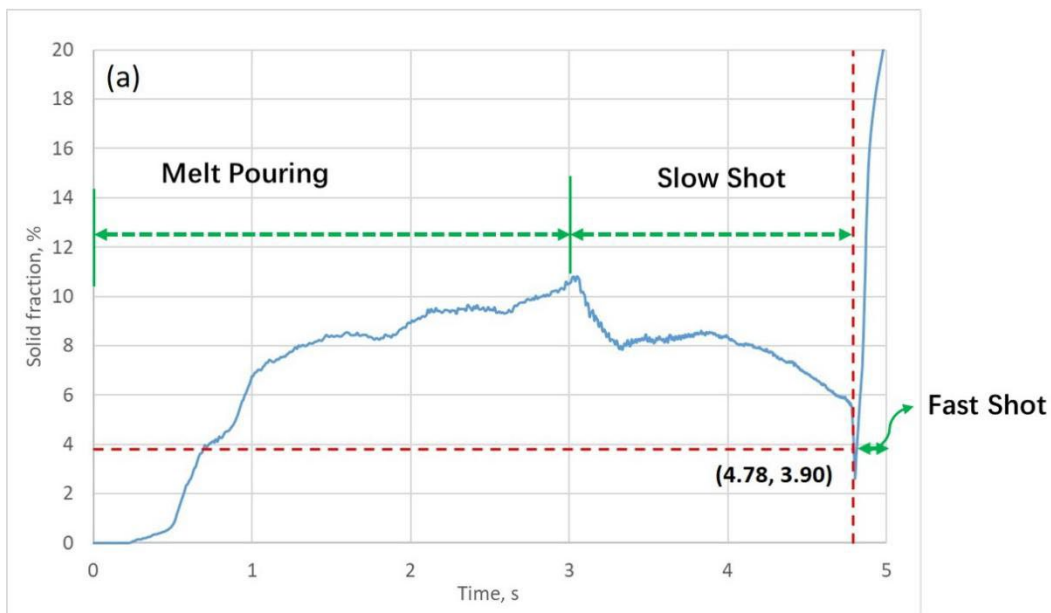
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223 Fig. 16 Solid fraction curves along line A1-A, B1-B and C1-C from Fig. 14

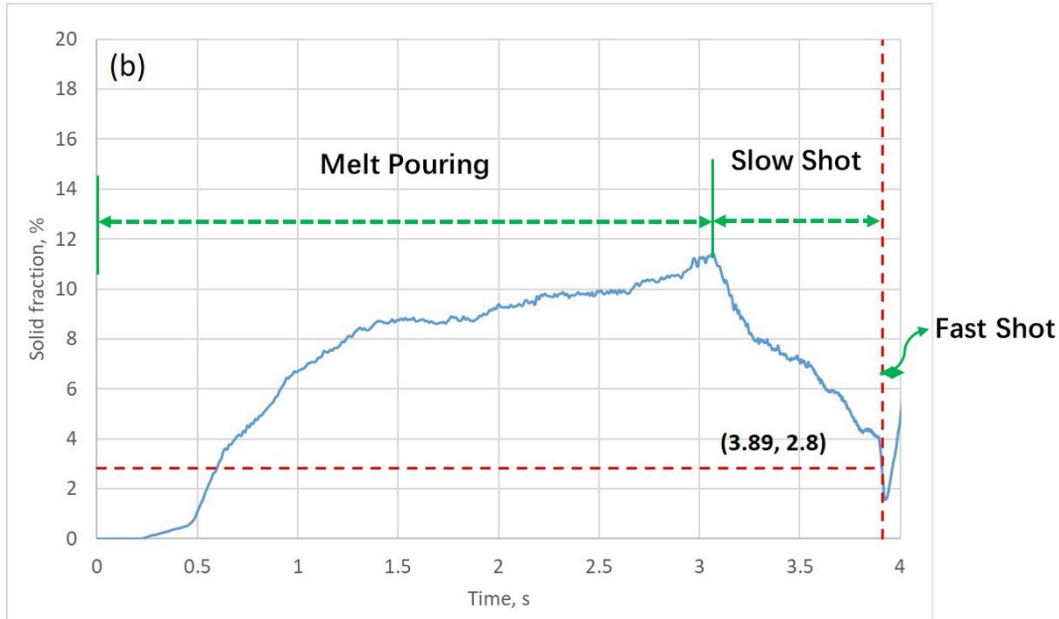
224 **4. Discussion**

225 *4.1 Evolution of ESCs amount in shot sleeve with different first stage velocities.*

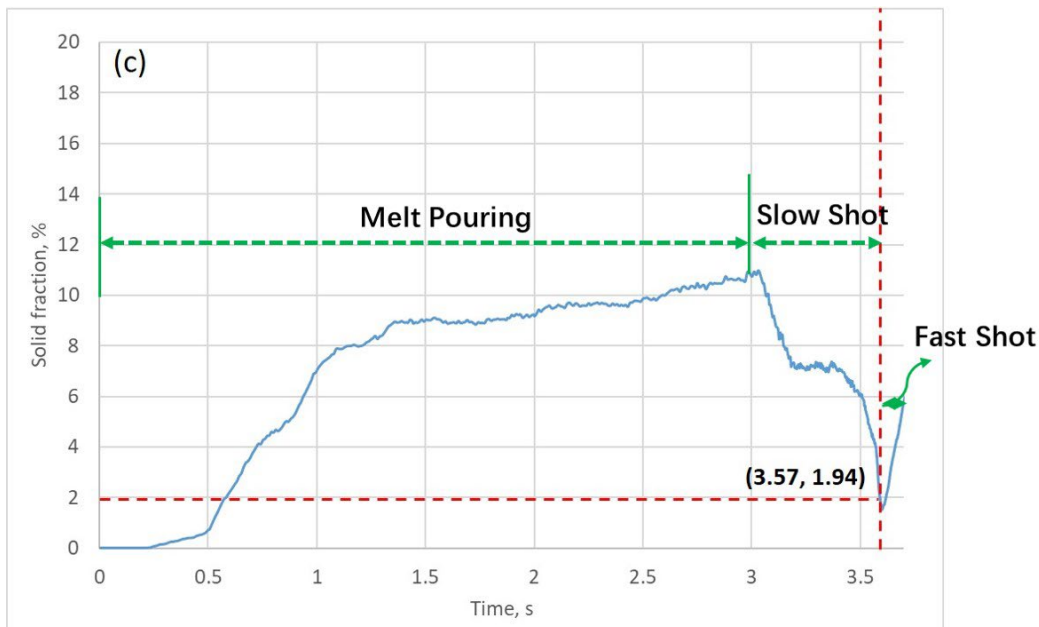
226 During slow shot phase, the total residence time of the melt inside shot sleeve varies with
 227 different first stage piston velocities. **Figure 17 (a)-(c)** reveals the solid fraction evolution
 228 inside shot sleeve with different piston profiles indicated in **Figure 13**. The value for solid
 229 fraction for the mixture in the shot sleeve can be calculated and obtained automatically in the
 230 software. According to shot sleeve length, total melt pouring time and piston velocity, the
 231 transition point from slow shot to fast shot can be determined, and the final solid fraction
 232 before fast shot can be identified in fs curves, as illustrated in **Figure 17**. The critical solid
 233 fraction at shot transition point is labelled accordingly.



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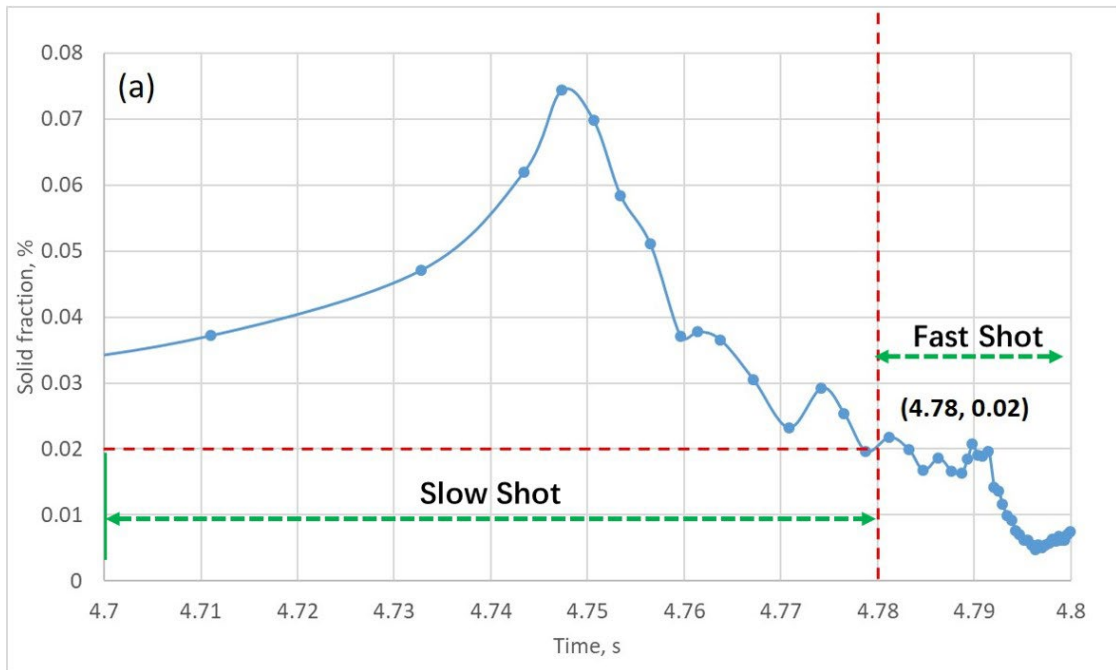
237 Fig. 17 Evolution of ESCs inside shot sleeve during melt pouring and slow shot stage under
 238 various piston profiles indicated in Fig. 13. Solid fraction at transition point from slow shot to
 239 fast shot are marked

240 Before piston movement, the maximum solid fraction inside shot sleeve is shown to reach
 241 about 11% after the melt is poured and is continuously cooled by the sleeve wall. As the slow
 242 shot stage proceeds, the solid fraction decreases to some extent for a dynamic equilibrium due
 243 to the mixing of ESCs with the remaining melt, and it is more obvious with lower piston slow
 244 shot velocity. The amount of ESCs remaining in the melt before die filling decreases with
 245 increasing slow shot velocity. Considering the initial pouring amount of the alloy, which is
 246 750g, the amount of ESCs remaining in the melt prior to die filling under the three different
 247 piston velocity profiles are 29.25g, 21g and 14.55g, respectively. Moreover, it should be
 248 noticed that in this model, only the ESCs floating on the melt free surface are assumed to be
 249 transported into die cavity, while a portion of those formed on the sleeve wall undergoes
 250 remelting to some extent, and the remaining stays in the biscuit, this phenomenon has also

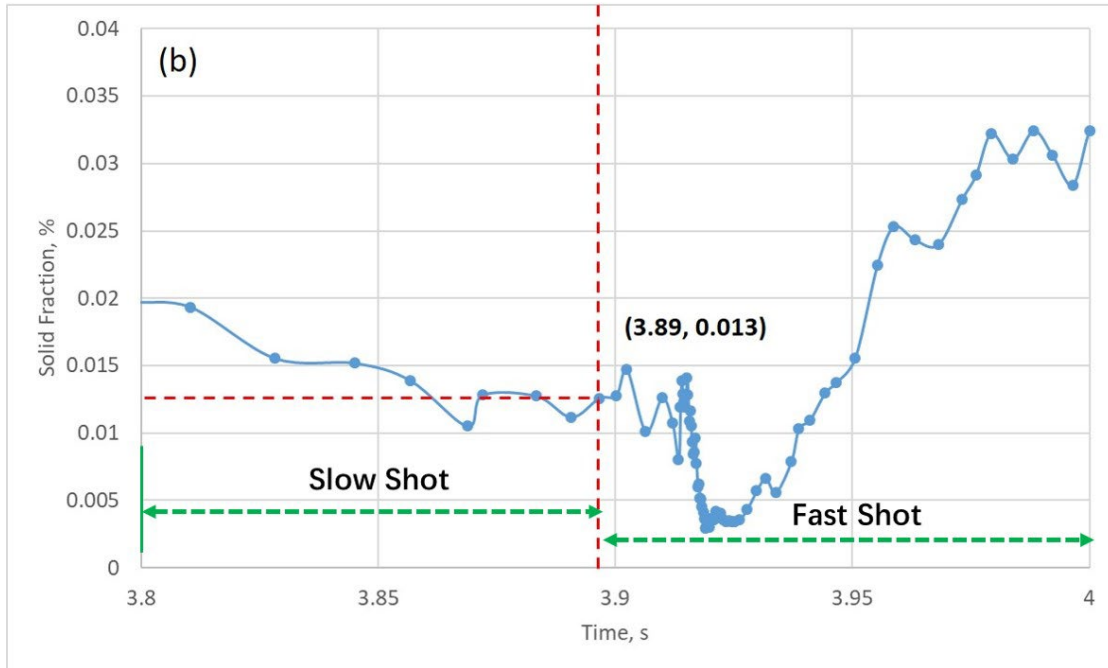
251 been reported in other work [27].

252 *4.2 Evolution of ESCs amount at melt free surface during HPDC process*

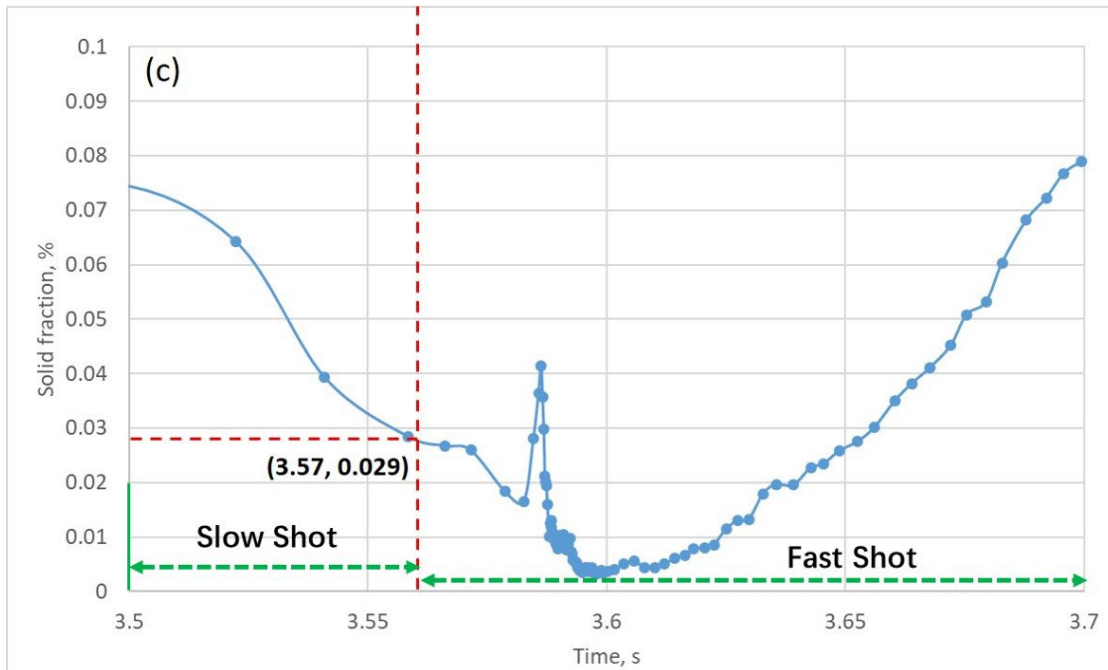
253 During the melt injection process, the melt is accumulated at piston front during slow shot
254 phase and is injected into die cavity during the fast shot phase. Meanwhile, a portion of ESCs
255 would float at melt free surface (the fluid flow front in shot sleeve and die cavity, which is
256 illustrated in Figure 8) and end up in die cavity. Subsequently, these ESCs would migrate and
257 distribute in casting and affect the microstructure and mechanical properties of the products
258 [20-22]. Hence, it would be valuable to know the amount of ESCs end up in the die cavity. In
259 this work, the solid fraction curve at the melt free surface is obtained during shot sleeve filling
260 and injection, the time when melt enters die cavity is determined by the transition point from
261 slow shot to fast shot. Accordingly, the fraction of solid being transported into die cavity with
262 free surface can be quantified. The solid fraction curves at the melt free surface with three
263 different piston velocity profiles are shown in **Figure 18 (a)-(c)**. The critical amount of ESCs
264 at slow-fast shot transition point are labelled in figures.
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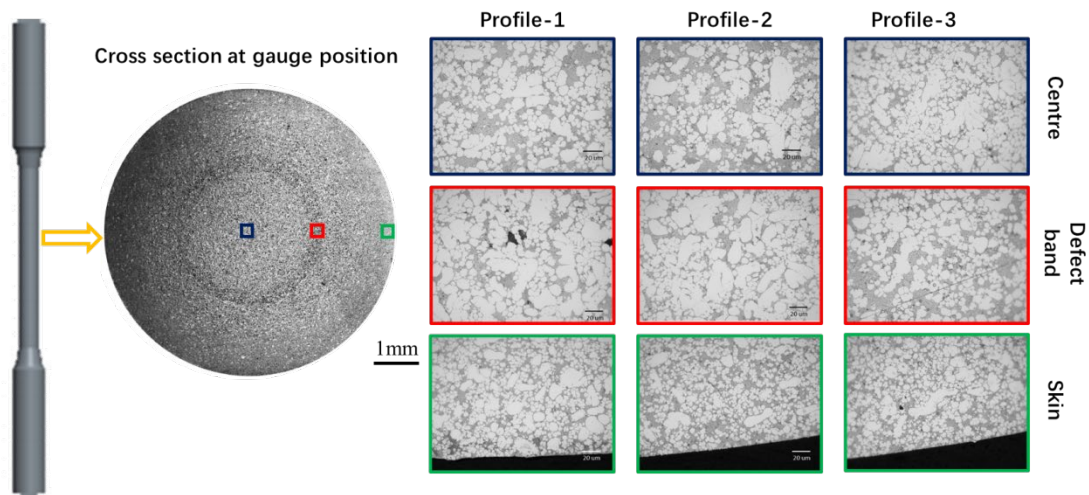


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271 Fig. 18 Evolution of ESCs amount at melt free surface near slow shot-fast shot transition
272 point under various piston profiles indicated in Fig. 12. Solid fraction at transition point from
273 slow shot to fast shot are marked

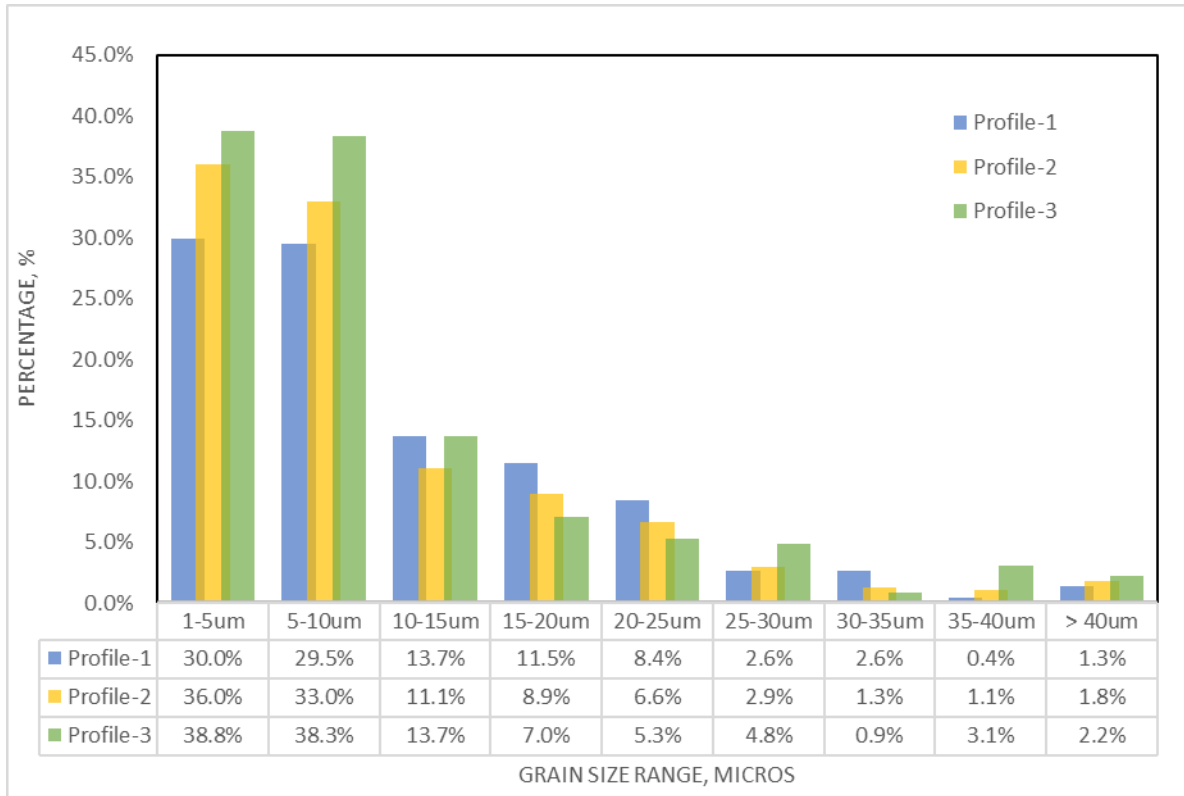
274 As is shown in **Figure 18**, solid fraction evolution curve at melt free surface is plotted (only
275 the time interval near slow-fast shot transition point is shown here), which indicates complex
276 flow behavior during HPDC processing. Melt flow and collision or folding of the free surface
277 induced by piston motion would all contribute to variation in the amount of ESCs transported
278 into the die cavity. For this work, the amount of ESCs transported into the die cavity were
279 calculated to be 0.15g, 0.10g and 0.22g, for the three piston velocity profiles respectively. The
280 ESCs transported into the die cavity undergo further solidification, thus affecting the average
281 grain size of the residual microstructure, as previously proven by numerous researchers ^[23-26].

282 To further validate the prediction for transported ESCs amount in the die cavity, the
 283 microstructures at the cross sections of totally 418 tensile samples (142 for profile-1, 136 for
 284 profile-2, 140 for profile-3) were observed using standard optical microscope (OM) method
 285 after polishing and etching. A typical microstructure feature of the cross section in a tensile
 286 sample is shown in **Figure 19**. It could be seen that the microstructure shows a typical
 287 morphology of fine-grain outer layer and relatively coarse-grain central region and a porosity-
 288 containing defect band in between. The microstructure mainly comprises of α -Al grains
 289 (white), eutectics (grey) and porosities (black). The large, elongated grains with branches
 290 are typically ESCs formed in shot sleeve, while the small, spherical grains are formed in the die
 291 cavity where there's high cooling rate to promote heterogeneous nucleation. It could be seen
 292 that the fraction of large grains increased from skin to central region in the cross section. On
 293 this basis, the distribution of grain size was measured using image processing software, the
 294 total number of grains analyzed is 452 for profile-1, 518 for profile-2 and 477 for profile-3.
 295 The statistical result is summarized in **Figure 20**. To determine the ESCs size range in the die
 296 cavity, the microstructures of the solidified alloy in the sleeve is characterized using scanning
 297 electron microscopy (SEM), as is indicated in **Figure 21**. It could be seen that the average
 298 grain size in the sleeve is above 30 micros, as the ESCs originated from the shot sleeve and
 299 would continue their growth after injected into the die cavity. The in-cavity grains whose size
 300 were larger than 40 micros and were defined as ESCs. Based on this, the ECSs fractions could
 301 be determined from **Figure 20**. The ESCs fraction with piston profile-1 to profile-3 was 1.3%,
 302 1.8% and 2.2%, respectively. In this way, the weight of ESCs that were transported into the
 303 die cavity could be deduced and the measured and predicted values were summarized as in
 304 **Figure 22**. It could be observed that the prediction about the ESCs fraction in the die cavity
 305 agrees to some extent with the measurements, in the future works, it is expected to further
 306 develop this model, which will couple the influence of fluid dynamics on the ESCs migration
 307 to improve the prediction accuracy.
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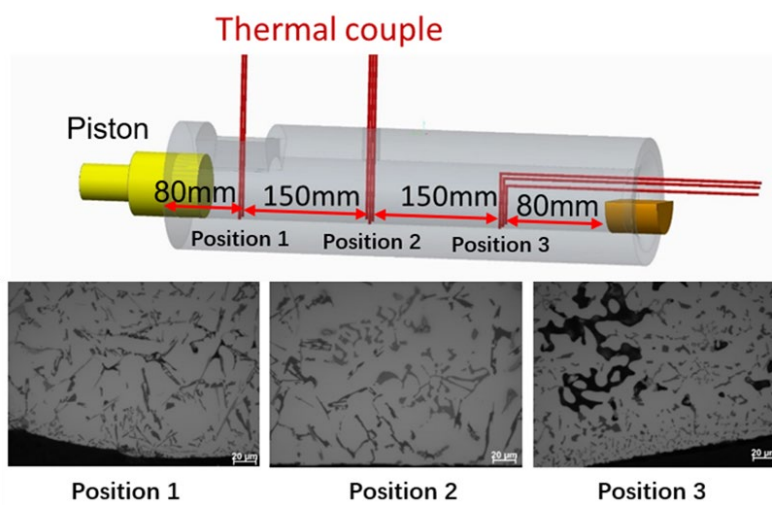
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310 Fig. 19 Microstructures at gauge cross section (left) of tensile bars and enlarged zones (right)
 311 from centre, defect band and skin region with different piston profiles
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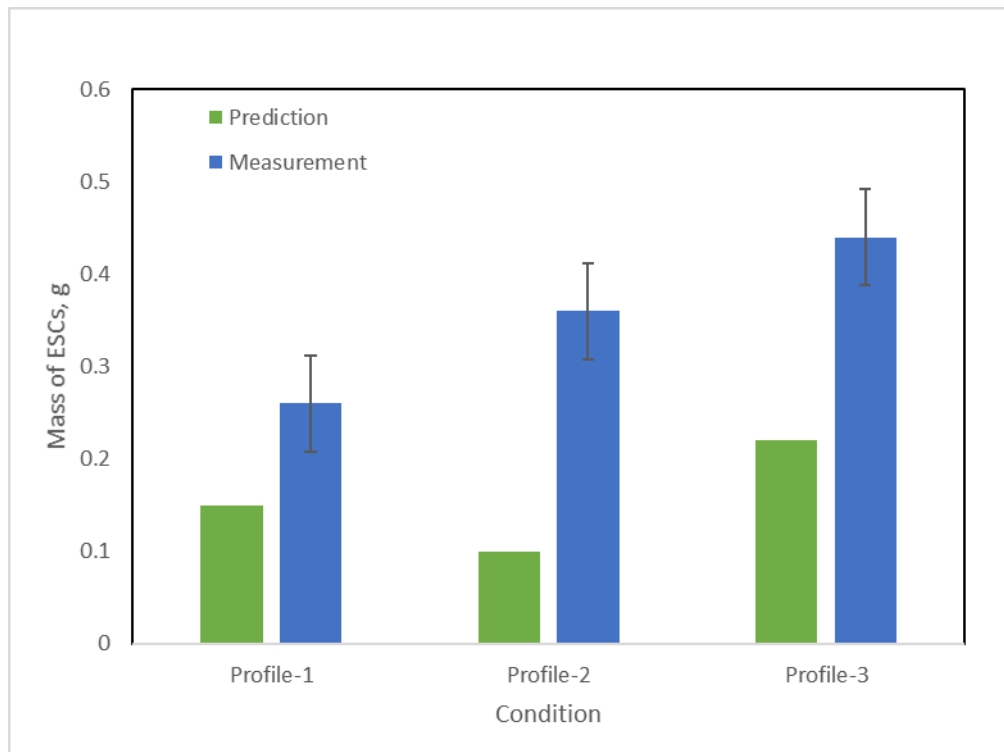
314 Fig. 20 Grain size distribution for α -Al in tensile samples with different piston profiles



315

316 Fig. 21 Characterization of grain formation in the shot sleeve produced with sleeve fill test as
 317 shown in Fig. 5

318



319

320 Fig. 22 Comparison between ESCs fractions obtained from measurement and prediction in the
 321 die cavity with different piston profiles

322 5. Conclusions

323 In this work, the initial solidification behavior of Al-Si alloy THE in shot sleeve of CC-HPDC
 324 process is studied combining modelling and experimental methods. Main conclusions are as
 325 follows.

326 1. The filling, heat transfer and solidification process of THE aluminium melt during the CC-
 327 HPDC process was simulated in ProCAST, modification of wall functions and interfacial heat
 328 transfer coefficients between melt and shot sleeve wall was undertaken considering actual
 329 casting condition. Validation against experiments demonstrates good reliability of the model.

330 2. During shot sleeve filling and before piston movement, a thin layer of solid forms along the
 331 length of the shot sleeve with a non-uniform distribution. More ESCs tend to aggregate
 332 towards the piston region due to the intensive cooling of the piston, while away from the melt
 333 impingement region, solid shell thickness increases due to a transition from turbulent to
 334 laminar flow. At the far end of shot sleeve, solid thickness increases due to the cooling of the
 335 die.

336 3. During injection, the solid fraction in the sleeve first increases during the slow shot phase,
 337 then, with piston acceleration, some ESCs re-melt. Meanwhile, a portion of remaining ESCs
 338 flow into the die cavity along with the melt. The evolution of ESCs in the shot sleeve and
 339 those end up in the die cavity with the melt free surface under three sets of piston movement
 340 profiles were analyzed quantitatively and validated with materials characterization and
 341 statistical measurements.

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347 **Conflict of Interest**

348 The authors declare that they have no conflict of interest.

349 **Data Availability**

350 The data used to support the findings of this study are available from the corresponding author
351 upon request.

352

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