1	Enhancing methane production in anaerobic digestion through hydrogen assisted

- 2 pathways A state-of-the-art review
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23 Abstract

Anaerobic digestion has been widely accepted for energy and resource recovery from 24 biomass residues. However, the produced biogas from the process mainly composed of 25 methane and carbon dioxide is lower in calorific content, which is a major drawback for 26 27 its direct application as an energy fuel. Therefore, different biogas upgradation systems based on physical, chemical, and biological processes have been applied to remove 28 29 carbon dioxide and other gaseous constituents from the biogas and utilize carbon dioxide into methane. This review discusses the possible hydrogen-assisted pathways 30 for converting carbon dioxide into methane in the presence of hydrogen and improving 31 32 its proportion in the biogas composition during anaerobic digestion through *in-situ* biogas upgradation. Additionally, a co-production of hydrogen and methane in two-33 34 stage anaerobic digestion has been proposed for methane enrichment. Technical 35 challenges, stabilization of process parameters, innovative modification and microbial pathways have been explored and discussed. The findings and prospects from this 36 37 article could be an interesting state-of-art for optimizing process parameters during hydrogen-assisted pathways and its mainstream application on existing digestion 38 systems. 39

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## 43 Highlights

44• *In-situ* microbial methane enrichment technique through hydrogen assistance is45 reviewed.

- 46• Organics rich substrates are suitable for hydrogen assisted microbial methane47 enrichment.
- 48• Hydrogenotrophic methanogenesis and homoacetogenesis are the key pathways49 involved.
- 50• Modified two-stage anaerobic digestion for microbial methane enrichment is proposed.
- 51 Keywords: Anaerobic digestion, Hydrogenotrophic methanogenesis, In-situ methane
- 52 enrichment, Microbial interactions, Wood-Ljungdahl pathway
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## **Graphical Abstract**



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## 68 List of Abbreviations

69	AB	—	Acidogenic bacteria
70	AcB	—	Acetogenic bacteria,
71	AD	_	Anaerobic digestion
72	AM	_	Acetoclastic methanogens
73	ASBR	_	Anaerobic sequential batch reactor
74	BESs	_	Bio-electrochemical systems
75	C/N ratio	_	Carbon-to-nitrogen ratio
76	CH <sub>4</sub>	_	Methane
77	CO <sub>2</sub>	_	Carbon dioxide
78	COD	_	Chemical oxygen demand
79	CSTR	_	Continuous stirred tank reactor
80	DF	_	Dark fermentation
81	FAA	_	Free acetic acid
82	FBR	_	Fed-batch reactor
83	FW	_	Food wastes
84	H <sub>2</sub>	_	Hydrogen
85	$H_2S$	_	Hydrogen sulfide
86	HA	_	Homoacetogens
87	HB	_	Hydrolytic bacteria
88	HM	_	Hydrogenotrophic methanogens
89	HRT	_	Hydraulic retention time
90	MC	_	Moisture content
91	NH <sub>3</sub>	_	Ammonia
92	OLR	_	Organic loading rate
93	SAOs	_	Syntrophic acetate oxidizers
94	sCOD	_	Soluble chemical oxygen demand
95	SFAOB	_	Syntrophic fatty-acids oxidizing bacteria
96	SMA	_	Specific methanogenic activity
97	TKN	_	Total Kjeldahl nitrogen

98	TOC	_	Total organic carbon
99	TS	_	Total solids
100	UASB	_	Upflow anaerobic sludge blanket reactor
101	VFAs	_	Volatile fatty acids
102	VS	_	Volatile solids

103

#### 104 **1. Introduction**

Overexploitation of fossil fuels and accelerated energy demand substantially decreased their 105 106 fuel abundance in the earth's natural reserves. The combustion of fossil fuels, in addition to unscientific solid wastes disposal, contributed 15 billion tonnes of carbon dioxide (CO<sub>2</sub>) [1] 107 108 and 30 to 70 million tonnes of methane (CH<sub>4</sub>) [2] emissions annually, aiding global 109 warming. Hence, major nations target adopting renewable energy production to cut off fossil fuels usage and greenhouse gas emissions, supporting the Kyoto protocol [3]. Biomass is an 110 abundant renewable energy resource [4], capable of continuous energy production throughout 111 the year [5], which is still in an expansion mode in terms of global energy production [6], 112 also reducing the burden over conventional solid waste management practices. 113 114 Biomass to energy is accomplished either through thermochemical (for e.g., pyrolysis, gasification) [7,8] and biochemical methods (for e.g., anaerobic digestion (AD), composting) 115 [6]. The multifaceted applicability of biofuel production and derived digestate as biofertilizer 116 and carbon neutrality in nature prefers AD over other methods [1,9] from the late 1800s 117 [10,11]. It is established through a series of four microbial pathways: hydrolysis, 118 119 acidogenesis, acetogenesis, and methanogenesis. The biogas productivity during AD depends on the substrates used, microbial pathways, and environmental conditions [12]. Acetoclastic 120 121 methanogenesis (AM) and hydrogenotrophic methanogenesis (HM) are the major 122 methanogenic pathways contributing to CH<sub>4</sub> content in the biogas. In addition, another

methylotrophic pathway also exists negligibly consuming limitedly available methanol or 123 methylamines to produce CH<sub>4</sub>, CO<sub>2</sub> and water [1]. Biogas produced can be applied for 124 125 cooking, lighting and thermal purposes and as a vehicular fuel. However, a prior enrichment of biogas is essential for the latter case since biogas is a mixture of various gases by volume: 126 CH<sub>4</sub> (40 – 65%), CO<sub>2</sub> (35 – 55%) and other trace gas elements such as H<sub>2</sub>S (0.1 – 3%), H<sub>2</sub>, 127 water vapour, siloxanes, etc. [13]. The enrichment of biogas improves its calorific value (>90 128 129 % CH4 content) and other fuel properties and confirmed the elimination of incombustible and 130 corrosive gases [14].

Several biogas enrichment techniques based on physical and chemical methods have been 131 successfully operated from laboratory to field-scale stages [15]. These techniques could be 132 133 generally classified into two: CO<sub>2</sub> removal and CO<sub>2</sub> utilization techniques. The pressurized water scrubbing, chemical scrubbing using acids or bases, organic scrubbing, pressure swing 134 adsorption, vacuum swing adsorption, membrane separation and cryogenic separation are 135 136 categorized as CO<sub>2</sub> removal techniques [15–19]. In that sense, biological biogas upgradation based on CO<sub>2</sub> absorption through algal photosynthesis [20] also comes under this category. 137 However, most of these techniques are either energy or cost-intensive, requiring advanced 138 materials and equipment, high resource demand (water, chemical, etc.), and escalated capital 139 investment [15,17]. More importantly, such techniques are preferable for large-scale plants 140 considering the internal rate of return and system performance that depends entirely upon the 141 142 biogas composition. Another limitation is the reduction in the volume of enriched CH4 after the upgradation, which is a significant loss in a broader context. For instance, currently in 143 144 India, biogas production is approx. 2.07 million m<sup>3</sup>/year [21]. If this produced biogas is enriched through any of these upgradation techniques, 0.83 million m<sup>3</sup>/year of CO<sub>2</sub> would be 145 removed (considering 40% CO<sub>2</sub> content in biogas and 100% CO<sub>2</sub> removal). Other than that, 146

these techniques release the removed CO<sub>2</sub> openly into the atmosphere [16], while research on
the utilization of removed CO<sub>2</sub> for agricultural and industrial applications is underway.

149 Recently, microbial biogas enrichment has been on focus as a CO<sub>2</sub> utilization technique, which converts CO<sub>2</sub> into CH<sub>4</sub> through specific hydrogen utilizing microbial species 150 (hydrogenotrophic methanogenesis and homoacetogens) [22,23]. Such enrichment methods 151 are technically feasible for all kinds of biogas plants irrespective of their working capacity if 152 they are successfully established, and the process parameters are rightly optimized [23]. From 153 the previous example, this technique has the potential to convert the entire CO<sub>2</sub> available into 154 CH<sub>4</sub> in the presence of H<sub>2</sub>. Several reviews have been published previously on biological 155 biogas enrichment, including the fundamental mechanisms and comparison with other 156 157 technologies [12,16,24,25]. However, the possibilities of developing strategies for the startup of a hydrogen-assisted pathway in existing systems for the microbial biogas up-gradation are 158 not yet reported and reviewed. This review discusses the prospects and challenges of 159 160 establishing microbial biogas enrichment through an innovative in-situ method for the coproduction of H<sub>2</sub> and CH<sub>4</sub> in an existing AD system. The review also explores the likely 161 syntrophic microbial activity pathways that might be achieved during the transformation from 162 AM to hydrogen assisted pathway. 163

## 164 2. Anaerobic digestion: principles and governing factors

Research and development over the AD process have improved rapidly following the energy crisis in the 1970s [26,27]. Anaerobic digestion is usually carried out using a single substrate (mono-digestion) and combinations of two or more substrates together, termed co-digestion.
Biochemical reactions taking place are crucial for the conventional AD process and the positive advancement in microbial methane enrichment. Figure 1 shows the biochemical process involved in the AD process. The hydrolysis process is the primary step (stage I) in AD where complex structures (i.e., cellulose, lipids, carbohydrates, polysaccharides, proteins, and nucleic acids) experiences hydrolytic transformation using exo-enzymes secreted by facultative and obligatory anaerobic fermentative microbes [1]. The complex structures break down into monomers, simple sugars, saccharides, peptides, glycerol, amino and other higher fatty acids as in eq. (1).

$$(C_6H_{10}O_5)_n + nH_2O \rightarrow n(C_6H_{12}O_6) \Delta G^{0^\circ} = -215.67 \text{ to } -357.87 \text{ kJ}....(1)$$

The initiation of the AD process depends upon the rate of hydrolysis as it directly influences 177 178 the lag phase of microbes involved [1] and the chances for the formation of toxic by-products or a wide variety of non-desirable volatile fatty acids (VFAs) [28]. In the next acidogenesis 179 step (stage II), the hydrolysed products get converted to VFAs such as acetates, butyrate, 180 181 propionate, lactate, ethanol and other weak acids depending upon the partial pressure of H<sub>2</sub> and associated pH environment [29]. These by-products are further transformed into acetic 182 acids, ammonia (NH<sub>3</sub>), H<sub>2</sub> and CO<sub>2</sub> commonly through four major pathways (propionate, 183 butyrate, lactate and ethanol) during the third stage, i.e., acetogenesis, a result of active 184 acetogenic bacteria (stage IV) [29]. And also, acetate is produced along with H<sub>2</sub> in the 185 186 acetogenesis stage through syntrophic fatty acids oxidizing bacteria (SFAOB) activity (stage III) [29]. Another pathway in acetogenesis is homoacetogenesis (HA), also known as the 187 Wood-Ljundahl pathway, where H<sub>2</sub> and CO<sub>2</sub> are utilized to form acetates which further 188 189 supports acetoclastic methanogenic (stage V) or syntrophic acetate oxidizing microbial activities (stage VI). Methanogenesis, the fourth stage, takes place after the acetogenesis 190 191 stage (stage VII). In the process, the acetates, CO<sub>2</sub> and H<sub>2</sub> are converted into CH<sub>4</sub> by AM and 192 HM. Among the microbial population contributing to AD, the growth rate of methanogens, in 193 general, is slowest, which, in turn, demands an improvement in the hydrolysis rate and CH4 content [29]. 194

195





212 On the other hand, the biochemical process involved in AD requires optimal operating conditions for proper microbial activity. The AD process occurs at a broader temperature 213 spectrum, including mesophilic and thermophilic temperatures with an optimal temperature 214 range between 20 - 65°C [30]. Meanwhile, the ideal pH range for hydrolysis is 6.0, 215 216 acidogenesis 5.0 - 6.2, acetogenesis 6.0 - 7.0, and methanogenesis within 6.5 - 7.5 [31] with 217 an optimum C/N ratio of 25 - 35 [32,33]. The pH variation in digesters relies heavily on alkalinity, VFAs, NH<sub>3</sub> concentration and the extent of available CO<sub>2</sub> inside the AD system 218 219 [32]. Low pH around 4.0 favours VFAs production, while higher pH around 8.0 favours NH<sub>3</sub> 220 production [34]. However, CH<sub>4</sub> production is inhibited when the VFAs and NH<sub>3</sub> accumulate

221	above $2000 - 6900 \text{ mg/L} [35-38]$ and $80 - 1500 \text{ mg/L} [36,39,40]$ . In contrast, another study
222	reported that an $NH_3$ concentration less than 200 mg/L is beneficial for the AD process and
223	stated that concentrations above the mentioned value inhibit both acetogenic and
224	methanogenic microbial activity [41]. NH <sub>3</sub> concentration is directly related to the carbon-to-
225	nitrogen (C/N) ratio of the substrate used [35], operating temperature and pH environment
226	under anaerobic conditions [42,43]. Anaerobic digestion of substrates with lower C/N ratio
227	values of $15.60 - 17.20$ released NH <sub>3</sub> inhibiting the methanogenic activity and subsequently
228	caused VFAs accumulation particularly, acetic acid [35]. However, at a desirable C/N ratio,
229	NH <sub>3</sub> directly or in the form of ammonium (NH <sub>4</sub> ) enhanced the buffering capacity of the AD
230	system [44] with a desirable alkalinity range within 1000 to 18000 mg/L [44,45].
231	Thermophilic microflora tolerance is expected to be active twice better than mesophilic
232	microflora under NH <sub>3</sub> prone conditions [46]. However, another study witnessed that at
233	thermophilic temperature (60°C), NH <sub>3</sub> adversely affected the biogas yield; however, it
234	improved when the reactor temperature was lowered down to mesophilic temperature (37°C)
235	[47]. Similarly, another study reported that NH <sub>3</sub> value surged notably with escalated pH at a
236	controlled temperature [48]. The study observed that the NH <sub>3</sub> value hiked up to 10% at a pH
237	value of 8.0 than 7.0 at a temperature of 35°C. Hence, the entire bioprocess in AD is relied
238	upon several parameters and stabilized performance relied upon the control over these
239	parameters during operation.

240

## 3. Improving methane content in the biogas through hydrogen assistance

#### Basics of hydrogenotrophic methanogenesis 241 3.1

242 In this pathway, HM reduces CO<sub>2</sub> for CH<sub>4</sub> production when H<sub>2</sub> or formate are provided as substrates, by indirect electron transfer from a cathode (e.g., zero-valent iron) and electricity 243

termed as electro-methanogenesis or bio-electrochemical methane production [49] or by 244

direct electron transfer through syntrophic microbial activity [50,51]. Further, prior 245 importance is given to interspecies/syntrophic microbial activity electron transfer and its 246 247 effect on biogas composition during the introduction of H<sub>2</sub>. In the general AD process, the HM pathway contributes to a maximum of 30 % of CH<sub>4</sub> content in the biogas composition 248 with the lower levels of H<sub>2</sub> concentration available [52]. However, the dominance of AM and 249 250 HM species depends upon the substrate used in AD [53]. When thermodynamic stability is 251 considered, the HM pathway is more promising than the AM pathway [54]. The general 252 stoichiometry of HM is autotrophic; it consumes CO<sub>2</sub> (one mole) as the sole carbon source 253 and H<sub>2</sub> (four moles) as the electron donor to produce one mole of CH<sub>4</sub> (eq. 3). It is entirely different from the stoichiometry of the AM pathway, as shown in eq. (2). The detailed 254 consumption pathway of hydrogenotrophic methanogenesis is elaborated in Lai et al. [21]. 255

256 
$$CH_3COOH \to CH_4 + CO_2 \Delta G^{0^\circ} = -31.60 \text{ kJ}.....(2)$$

257 
$$4H_2 + CO_2 \rightarrow CH_4 + 2H_2O \ \Delta G^{0'} = -135.00 \text{ kJ}.....(3)$$

## 258 3.2 Understanding the biomethanation process

The application of HM based AD can be obtained through three approaches: (a) when H<sub>2</sub> is 259 added directly into the reactor, *in-situ* method, (b) when H<sub>2</sub> is allowed to react with CO<sub>2</sub> in a 260 separate reactor as a post-treatment, succeeding the anaerobic digester reactor, as *ex-situ* 261 approach and (c) hybrid technology combining both in-situ and ex-situ approaches [55,56]. 262 The *in-situ* method permits the transformation of existing biogas plants into the HM pathway 263 to enhance CH<sub>4</sub> content in the biogas generated through direct H<sub>2</sub> addition. It has been 264 reported that 65 - 100 % of CH<sub>4</sub> enrichment can be obtained through the *in-situ* approach 265 under mesophilic conditions [57-60]. 266

AD process through the *in-situ* approach directly exploits the differential solubility 267 268 characteristics of CO<sub>2</sub> and CH<sub>4</sub> in the digestate liquor, makes it an economical way of biogas 269 up-gradation. Meanwhile, in the ex-situ approach, the construction and operation of a second reactor significantly increase capital investments and hinders rapid application in the field. In 270 271 addition, the post-treatment reactor should be equal or larger in size than the anaerobic 272 reactor to accommodate the residence time of the H<sub>2</sub> gas, directly contributing to the capital 273 investments [61]. The advantage of the *in-situ* technique over *ex-situ* and hybrid technologies 274 is that it allowed utilization of existing infrastructures with slight modification for the 275 upgradation of biogas [54] and reduced the expenses [22].

276 Table 1 shows the operational strategies and results obtained from different studies 277 investigating *in-situ* methane enrichment. *In-situ* methane enrichment has been successfully investigated using the substrates, cattle manure [62], sludge and straw [57], cattle manure and 278 whey [58,63], sewage sludge [59], swine manure [64], food waste [65], potato starch 279 280 wastewater [66] and maize leaf [67]. Luo et al. [57] continuously fed H<sub>2</sub> into an anaerobic reactor treating cattle manure. A maximum hydrogen utilization efficiency of 79.72% and 281 methane content of 65% was achieved at thermophilic conditions. The study concluded that 282 the results could be improved if acidic waste streams are co-digested with cattle manure 283 maintaining the pH around 7.0 and 8.0. The recommended pH range is essential for maximal 284 hydrogen utilization through HM [62]. Thus, the same author later investigated the 285 performance through co-digestion of cattle manure with whey, a known acidic substrate [58]. 286 Maximum methane content of 75% was achieved with an H<sub>2</sub> utilization efficiency of 87.05% 287 288 at the thermophilic range. A similar result was achieved in the case of swine manure with a methane content of 70% in the biogas composition at a mesophilic range, even though only 289 an 8% increase in CH<sub>4</sub> content was achieved at a thermophilic temperature [64]. Much higher 290 291 results were obtained using sludge and straw substrate and sewage sludge with 98.80 - 100 %

- CH4 content [57,59]. Overall, the studies recommended that successful development of the *in-situ* approach relies on the seed sludge and substrates used, mixing rate, reactor
  configuration, diffusers used, HRT, and OLR. The following section explains the technical
  challenges and how various strategies improved the performance of the biomethanation
- 296 process.

Reactor	Temperatu	Substrate	Inoculum	H <sub>2</sub>	React	pН	HR	Stirrin	H <sub>2</sub>	CH <sub>4</sub>	CH <sub>4</sub>	Referenc
configurati	re (°C)	used	source	diffusion	or		Т	g	utilizatio	productio	enrichme	es
on				techniqu	volum		(d)	speed	n	n rate	nt (%)	
				e	e (L)			(rpm)	efficienc	(L/Lreactor.		
									y (%)	d)		
CSTR	55	Cattle	Digestate	-	3.5	8.30	14	65	80	0.79	65	[62]
		manure	manure									
CSTR	38	Sludge	Anaerobic	-	2	7.90	20	1000	100	0.44	100	[57]
		and straw	sludge									
CSTR	55	Cattle	Digestate	Ceramic	0.6	7.89	15	150	87	0.89	75	[58]
		manure	sludge	diffuser								
CSTR	55	and whey	Digestate	Column	0.6	7.74	15	150	81	0.76	53	
			sludge	diffuser								
CSTR	55		Digestate	Column	0.6	7.84	15	300	83	0.84	68	
			sludge	diffuser								

# **Table 1.** Reactor configurations, operating strategies and results of *in-situ* microbial methane enrichment studies

CSTR	37	Primary	Digested	Hollow	2	8.00	10	200	96	0.65	98.8	[59]
		and	sewage	fiber								
		secondary	sludge	membra								
		sewage		ne with								
		sludge		coke								
				oven								
CSTR	35	Swine	Anaerobic	-	11.2	7.59	25	228	18	0.78	70	[64]
		manure	sludge									
CSTR	55		Anaerobic	-	11.2	7.77	25	228	60	0.91	78	
			sludge									
CSTR	55	Cattle	Digestate	Hollow	0.6	8.30	15	150	22	0.90	96.1	[63]
		manure	sludge	fiber								
		and whey		membra								
				ne								
FBR	37	Food	Anaerobic	Ceramic	0.075	8.50	21	-	72	0.09	77.2	[65]
		waste	sludge	diffusion								

UASB	55	Potato	Anaerobic	-	3.5	8.40	20	200	94	1.15	82	[66]
		starch	granules									
		wastewat										
		er										
FBR	52	Maize	Thermophil	-	0.12	7.00	24	100	100	0.13	89	[67]
		leaf	ic			-						
			anaerobic			8.00						
			digestate									
Anaerobic	37	Maize	Process	Venturi	130	8.55	16	-	62	0.35	57	[68]
filters		silage	liquid	nozzle								
		hydrolysa	digestate									
		te										
CSTR	37	-	Anaerobic	-	3.5	8.60	90	350	99	0.145	94.57	[69]
			digestate									
CSTR	55	-	Anaerobic	-	3.5	8.74	90	250	99	0.174	94.87	
			digestate									

#### **4.** Transformation of acetoclastic methanogenesis to hydrogen assisted pathways

300 4.1 Technical challenges occurred in hydrogen assisted pathways and likely solutions

301 Several studies monitored the start-up of HM-based AD under different operating strategies

302 [57–59,64]. Still, most of these studies were limited to laboratory-scale due to the technical

303 challenges linked with the process parameters. For instance, poor H<sub>2</sub> dissolution in the

aqueous phase, which is crucial for HM reaction, directly affected the process performance

305 [24] and the H<sub>2</sub> feeding above stoichiometric H<sub>2</sub>: CO<sub>2</sub> ratio (4:1) accelerates the consumption

and depletion of CO<sub>2</sub>, directly affected the pH of the medium [62].

307 The  $H_2$  gas-liquid mass transfer rate is typically expressed as (eq. (4)):

308 
$$r_t = 22.40 \times k_L a (H_{2aTh} - H_{2l}).....(4)$$

309 where  $r_t$  is the H<sub>2</sub> liquid mass transfer rate (L/L<sub>reactor</sub>.d), 22.40 is the gas volume to mole ratio, 310  $k_La$  is the gas transfer coefficient per day, H<sub>2gTh</sub> is the H<sub>2</sub> concentration in the gas phase 311 (mol/L), H<sub>2l</sub> is the H<sub>2</sub> dissolved in the liquid phase (mol/L).

Thus, from eq. (4)  $r_t$  can be enhanced by improving  $k_{La}$  [70]. In order to enhance  $r_t$ , several 312 313 studies have investigated different strategies to improve its mass transfer rate inside the 314 reactor. Continuous and stepped supply of H<sub>2</sub> through ceramic diffusers and hollow fiber 315 membranes under continuous and intermittent stirring were monitored to improve the gasliquid mass transfer during HM-based AD [57,59,62,64]. Increased H<sub>2</sub> concentrations 316 induced by injections offer growth opportunities for HA with a higher H<sub>2</sub> affinity and HM 317 with a low  $H_2$  affinity, which would otherwise be outcompeted at the normal  $H_2$ 318 319 concentrations found in conventional anaerobic digesters [71]. Agneessens et al. [52] observed that about 61% of the injected H<sub>2</sub> was utilized for acetate production through the 320 HA pathway. The study also emphasized that stepped feeding of H<sub>2</sub> gas is far more effective 321

than continuous supply achieving the complete conversion of CO<sub>2</sub> into CH<sub>4</sub> at HRT of 20 d
and OLR of 0.77 g. VS/L. d. Through this strategy, the usual pH drop that is occurred due to
high solubilization, partial pressure of H<sub>2</sub> and depletion of CO<sub>2</sub> could be eliminated. This is
because one-time pulsed H<sub>2</sub> is not completely utilized for HM pathway alone even fed at
controlled stoichiometric ratio, but also for cell synthesis and homoacetogenesis [57].

Similarly, the H<sub>2</sub> diffusion through the hollow fiber membrane is more effective than a
column or ceramic diffuser [58,63]. A combination of venturi-based injection and external
mixing was more effective as per a recently reported study[72]. However, the research on H<sub>2</sub>
dissolution is still in the primary stages. As discussed earlier, the major constraints for
establishing HM-based AD are the poor H<sub>2</sub> solubility and the extent to which H<sub>2</sub> injection
may stimulate CH<sub>4</sub> production. In addition, it is strongly correlated to the mass transfer
efficiency of the injected H<sub>2</sub>.

334 Alfaro et al. [64] highlighted that elevated gas recirculation rates enhanced the H<sub>2</sub> gas-liquor mass transfer inside the reactor. At a high gas recirculation rate of 202 L/Lreactor/d, more 335 prominent H<sub>2</sub> utilization was achieved than lower gas recirculation rates of 55 to 101 336 L/Lreactor/d. Agneessens et al. [52] optimized a sludge volume ratio of 2.5 % with a larger 337 338 contact surface area with the headspace and intense stirring (~ 1000 rpm), being closest to a situation without gas-liquid mass transfer limitations. It was adversely affected when the 339 sludge volume ratio was increased by more than 2.5 %. Zhu et al. [59] explained that the 340 341 incorporation of intermittent stirring and lower H<sub>2</sub> feeding enhanced the CH<sub>4</sub> content in reactors irrespective of operating temperatures (mesophilic and thermophilic), overcoming 342 343 gas-liquid mass transfer challenges. However, as the feeding mode changed from intermittent to higher H<sub>2</sub> feeding and intermittent to continuous stirring hiked the H<sub>2</sub> consumption more 344

significantly. Among the various reactor configurations, continuously stirred tank reactorsvastly improved the gas-liquid mass transfer limitations (Table 1).

347 The rapid consumption of CO<sub>2</sub> during H<sub>2</sub> injection leads to increased pH up to 8.3, inactivating both the HM and AM [57]. Also, rapid CO<sub>2</sub> consumption and lack of its 348 availability inactivated the HM and HA [57]. These inhibitions were observed during the AD 349 of low organic content substrates(such as cattle manure) [54]. However, substrates with high 350 organic content, such as food wastes (FW) [65], sewage sludge [73] or co-digestion of 351 substrates together such as cattle manure and whey [58,63], could overcome this effect. 352 Alfaro et al. [64] observed that the elevated partial pressure of  $H_2$  gas, pH rise (> 8.1), and 353 excess VFAs production did not inhibit the HM during biomethanation of sewage sludge. 354 355 Also, the performance in terms of VS removal was comparable to those without H<sub>2</sub> addition. Okoro-Shekwaga et al. [60] investigated the HM-based mesophilic AD of FW. They 356 achieved a CH<sub>4</sub> enrichment of 77%. No inhibition on VFAs production and decomposition 357 358 occurred, which is directly associated with the AM activity when H<sub>2</sub> (gas mixture: 5% H<sub>2</sub>, 95% N<sub>2</sub>) was fed into a batch reactor. The increase in CH<sub>4</sub> content in the biogas composition 359 was about 12 %, which resulted in a 39 % reduction in CO<sub>2</sub>. The study stated that the rapid 360 acidification potential characteristics of FW regulated the pH when CO<sub>2</sub> was depleted. Thus, 361 this present study further considers FW substrate as an example for *in-situ* biogas 362 upgradation, possible technicalities, parameters involved and likely solutions and is initiated 363 from the analysis of characteristics of FW and taking it further. 364

Proximate, elemental, and compositional characteristics of FW have been summarized in Table 2. Since the composition of the FW is heavily dependent on the time, culture, habits, region and seasons, the characteristics varied accordingly. AD of FW has been well studied as a potential energy source due to its high organics and moisture content (MC). Generally,

369	FW contains MC of 48.80 – 94.36 % and TS around 5.64 – 51.20 %, with about 65.43 - 97.58
370	% of which are volatile solids (VS) [74–87]. FW is also composed of easily degradable
371	carbohydrates (11.17 – 48.00 %), proteins (3.29 – 23.00 %) and lipids (2.33 – 23.00 %). Even
372	though FW consists of macronutrients, however, FW is known for the lack of suitable C/N
373	ratio (average: $17.50 \pm 7.22$ ) and trace elements [74–87]. With these characteristics, FW
374	possesses a total biogas potential of $880.28 \pm 12.90$ L/kg. VS with a maximum CH <sub>4</sub> content
375	of $55.19 \pm 3.29$ % and CO <sub>2</sub> content of $44.78 \pm 3.29$ % [74–87].

Parameters	Range	Average (SD)
рН	3.70 - 7.32	5.12 (1.31)
MC, %	48.80 - 94.36	78.25 (10.67)
TS, %	5.64 - 51.20	21.75 (10.67)
VS, %	3.69 - 28.02	17.94 (6.67)
VS/TS, %	65.43 - 97.58	90.32 (8.97)
sCOD, mg/L	2423 - 106600	69505.75 (46503.53)
COD, mg/L	103687 - 238500	114249.00 (119321.11)
TKN, mg/L	1.85 - 5.42	3.19 (1.56)
TOC, %	48.73 - 51.63	50.49 (1.54)
C/N ratio	4.80 - 55.00	20.38 (12.49)
Proteins, %	3.29 - 23.00	13.15 (13.94)
Lipids, %	2.33 - 23.00	12.67 (14.62)
Carbohydrates, %	11.17 - 48.00	29.59 (26.04)
Carbon, %	42.70 - 51.40	46.96 (4.35)
Hydrogen, %	6.10 - 9.10	7.60 (2.12)

**Table 2.** Characteristics of food waste [74–87]

Oxygen, %	38.90 - 46.20	42.55 (5.16)
Nitrogen, %	1.97 - 3.50	2.88 (0.80)
Sulphur, %	0.10 - 0.81	0.40 (0.37)
TBGP <sup>a</sup> (L/kg. VS)	871.16 - 889.40	880.28 (12.90)
TBMP <sup>a</sup> (L/kg. VS)	470.22 - 501.09	485.66 (21.83)
TBCP <sup>a</sup> (L/kg. VS)	369.90 - 418.98	394.44 (34.71)
CH4 content <sup>a</sup> (%)	52.87 - 57.52	55.19 (3.29)
CO <sub>2</sub> content <sup>a</sup> (%)	42.46 - 47.11	44.78 (3.29)

TBGP- Theoretical biogas potential, TBMP- Theoretical biomethane potential, TBCP- Theoretical bio carbon
dioxide potential, 'a' denotes the parameters calculated from the ultimate analysis values given in the Table using
Buswell's equation.

The schematic representation of the interrelationship web of process parameters and its 380 381 influence on stabilization during *in-situ* microbial methane enrichment when H<sub>2</sub> is injected into an AD reactor treating FW is shown in Figure 2. The acidification due to VFAs 382 production during AD of FW prevents any pH rise under dominant HM activity. Hence, the 383 addition of H<sub>2</sub> into the AD reactors treating FW has a high potential for enhanced CH<sub>4</sub> yield 384 385 and biogas upgradation, supported by VFAs-induced pH buffering through the HM pathway. 386 During the AD of FWs, apart from carbohydrates easily utilized for producing CH<sub>4</sub> and CO<sub>2</sub>, high proteins and lipids available get transformed into VFAs, NH<sub>3</sub>, CO<sub>2</sub>, and H<sub>2</sub> [88–90], 387 overcoming the depletion of CO<sub>2</sub> during HM activity. The release of VFAs leads to an initial 388 389 reduction in pH and alkalinity that enhances the HM [65], while NH<sub>3</sub> and CO<sub>2</sub> help to retain a high amount of bicarbonate in the slurry mixture in the form of ammonium bicarbonate, 390 thereby regaining the lost alkalinity as in eq. (5). It improves the buffering capacity inside the 391 system. 392

393 
$$NH_3 + CO_2 + H_2O = NH_4HCO_3.....(5)$$

Meanwhile, the dissolved CO<sub>2</sub> is again utilized by HM to produce CH<sub>4</sub> in the presence of H<sub>2</sub> (Eq. 3), buffering the low pH-induced by high VFAs production [65]. On the other hand, NH<sub>3</sub> can also react with VFAs (C<sub>x</sub>H<sub>y</sub>COOH), especially at a higher organic loading rate (OLR), inducing buffering capacity according to the eq. (6) [91].

398 
$$C_x H_y COOH + NH_3 + H_2 O \rightarrow C_x H_y COO^- + NH_4^+ + H_2 O.....(6)$$

Hence, the pH environment during the AD is controlled by an overall set of reactions
inclusive of bicarbonate formation, NH<sub>3</sub> accumulation, and VFAs production and
degradation.

Another inhibitory factor is the presence of free acetic acid (FAA), a predominant contributor
to the VFAs component that affects the AM [92], a result of higher OLR [93]. It was reported
that the specific methanogenic activity (SMA) of a mixed culture of AM and HM inhibited
up to 50 % at 0.31 g/L and 90 % at 2.36 g/L of free acetic acid concentration [92].
Furthermore, the study found that specific methanogenic activity of the mixed culture was
strongly correlated with the free acetic acid according to the eq. (7):

408 
$$SMA (gCH_4/gVSS.d) = \frac{(0.86 \times 0.31)}{(0.31 + FAA(mg/L))}.....(7)$$

409 where, the value 0.86 is the reaction constant, K, and the value 0.31 is the FAA with SMA 410 equal to 0.5 g/L (FAA<sub>50%</sub>).

These conditions are generally observed under AM and HM dominant environments. Thus, the concern is on the probable inhibition of AM due to potential inhibitory effects of VFAs particularly, acetates and NH<sub>3</sub>, at higher concentrations and have no control over these parameters. It results in reduced CH<sub>4</sub> yield and eventual digester breakdown in the long run [94,95]. On the other hand, increased OLR up to 2 g VS/L. d along with acetic acid

accumulation and high H<sub>2</sub> partial pressure propitiated the HA species [96,97] and syntrophic 416 acetate oxidizing bacteria (SAOB) activities [29]. Hence, the development of in-situ 417 418 microbial methane enrichment has relied on a syntrophic microbial activity through HA-AM and SAO-HM pathway than being specific to normal AM and HM activity. Under a high H<sub>2</sub> 419 partial pressure environment or high OLR, HA utilizes 4 moles of H<sub>2</sub> and 2 moles of CO<sub>2</sub> 420 producing 1 mole of acetate (eq.8) or direct utilization of monomers to produce acetates 421 422 (eq.9) and subsequently, either SAOB reverses the process (eq.10), or under favorable conditions, AM utilizes the produced acetates (eq.2). The HA-SAO pathway can act as 423 424 temporary energy storage for H<sub>2</sub> under unfavorable AD conditions that could be converted to CH<sub>4</sub> when the favorable conditions initiate at H<sub>2</sub>: CO<sub>2</sub> ratio of 4:1 utilizing the accumulated 425 acetates [57]. The thermodynamic stability of the mentioned pathways depends upon the 426 operating temperature; thermophilic (55°C) is most suitable than mesophilic (25°C) [98,99]. 427

428  $4H_2 + 2CO_2 \rightarrow CH_3COOH + 2H_2O.....(8) \Delta G^{0'} = -104.60 \text{ kJ}$  (Autotrophic

429 homoacetogens)

430 
$$C_6H_{12}O_6 \rightarrow 3CH_3COO^- + 3H^+$$
.....(9)  $\Delta G^{0'} = +310.90$  kJ (Heterotrophic

431 homoacetogens)

432  $CH_3COOH + 2H_2O \rightarrow 4H_2 + 2CO_2....(10) \Delta G^{0'} = +104.60 \text{ kJ}$  (Syntrophic acetate 433 oxidizers)

Although the Wood-Ljungdahl pathway is not ideal, the phenomenon is expected to
outcompete HM since HA are known for their higher specific H<sub>2</sub> consumption [100]. The
study saw that at H<sub>2</sub>: CO<sub>2</sub> ratio of 4:1 and an H<sub>2</sub> partial pressure of 0.96 bar, HM and HA
consumed H<sub>2</sub> at a proportion of 60 and 40%, respectively, thus increased the CH<sub>4</sub> production
via the Wood-Ljungdahl pathway [100]. However, a long-term operation and repeated pulsed

H<sub>2</sub> injection stimulated HM over HA activity even at a high OLR of 2 g. VS/L. d [96]. The
study cited that the advantage of thermodynamic stability that HM consists over HA
contributed to their proliferation. The hydrogen consumption pathway can also be linked with
the operating temperature as Zhu et al. [59] observed that at thermophilic temperature (55°C),
HA dominated over HM activity with much shift in microbial consortia than at mesophilic
temperature (35°C).

Similarly, during the process start-up, promotes faster degradation of propionate through the 445 enhanced activity of the H2-consuming bacteria, thereby reducing likely propionate-induced 446 447 inhibitions [101]. Another study reported accelerated degradation of both propionate and acetate within 10 days when a higher concentration of H<sub>2</sub> was injected [67], which is 448 449 supported by other studies [62,66]. Likewise, prolonged operation (200 d) of an unstable AD reactor treating FW at an OLR of 1.6 g. VS/L. d reversed propionate accumulation through 450 HM pathway under high H<sub>2</sub> partial pressure when feeding strategy was changed from 451 452 continuous to pulsed [53]. However, the optimization of inhibitory parameters still lacking proper investigation and could be adjusted only through intensive evaluation by long-term 453 advanced laboratory and pilot-scale studies. 454



Fig. 2. Inter-relation web of process parameters and its influence on stability during anaerobic digestion of FWs.

471	Different innovative strategies have been reported to optimize the process parameters in
472	anaerobic digestion for long-term operation. The NH3 stripping [102–104], the addition of
473	trace elements [105–107], amendment of carrier materials [108–113], leachate recirculation
474	[114] and intermittent micro-aeration [115,116] have been investigated to improve the
475	process stability and CH4 yield from FW. NH3 stripping through bubbling of biogas directly
476	into the slurry resulted in maximum NH3 removal of 4.5 - 10.4% per day at a temperature
477	range of 35 - 70 $^{\circ}$ C and found that even a small amount of NH <sub>3</sub> removed is sufficient to allow
478	the microbial consortia to operate more effectively [102]. Trace element addition yielded
479	465 mL CH <sub>4</sub> / g. VS <sub>added</sub> from FW with no hints of VFAs at an OLR of 1.0 –
480	5.0 g VS/ L. d [105]. The incorporation of carrier materials such as cermasite, filter media,
481	the combination of vermiculite shells and granular perlite, and biochar improved the CH4
482	content of up to 95% [108–110] and sequestrated 51 - 61 % of CO2 in the case of biochar
483	[108]. The availability of cations and nutrients, including calcium, magnesium, sodium, and
484	potassium in trace elements, stimulated the microbial synergy, and carrier materials stabilized
485	the digestion of FWs. Sequestrated CO <sub>2</sub> and enhanced specific surface area in biochar
486	amended reactors may improve the contact time of H <sub>2</sub> in the slurry, and the chances of the
487	microbes to survive even under unsuitable environmental conditions [117]. Biochar can also
488	potentially act as a pH neutralizer, and a redox-active mediator stimulating the direct transfer
489	of electrons between syntrophic microorganisms and inhibits H2-based syntrophic pathways
490	simultaneously [118], improving the activity of both AM and HM [109,110].
491	The recirculation of leachate at a dilution ratio of up to 0.50 stimulated methanogenic activity
492	and caused enhanced biogas generation during AD of FW [114]. Recirculation of this

digestate effluent also reduces the freshwater requirement in the system. The performance

494 was negatively affected when the dilution ratio increased above 0.50. In another study,

intermittent micro-aeration was effectively used as a strategy to enhance the hydrolysis rate,
CH4 yield, VFAs production, and consumption and H2S removal at higher OLR and without
pH buffering [115,116]. High precise oxygen-dosing systems are required in this strategy,
which may not be economically feasible for small-scale digesters. Co-digestion of acidic
substrates or increased supply of H2 gas is also considered as an excellent option to overcome
the challenges faced (i.e., rise in pH and VFA accumulation) during the biomethanation using
HM [62].

Table 3. Technical challenges and likely solutions for the successful development of *in-situ* microbial methane enrichment

Technical challenges	Reasons	Likely solutions	References
Failure treating low	Faster CO <sub>2</sub>	Co-digestion with	[63,64]
organic substrates	depletion	suitable substrate	
High H <sub>2</sub> partial pressure	AM inhibition	Pulsed H <sub>2</sub> and substrate	[53,57,62]
		feeding	
Low H <sub>2</sub> gas-liquid mass	Limited	Biogas recirculation,	[57,63,102]
transfer	hydrogen	low sludge volume	
	assisted pathway	ratio, HFM diffusion	
	reaction	and pulsed H <sub>2</sub> feeding	
Rapid consumption of	Inhibition of AM	Use of high organics	[63–65,73]
CO <sub>2</sub> and subsequent rise	and hydrogen	substrates or co-	
in pH and low buffering	assisted	digestion followed by	
capacity	pathways		

likely	VFAs	and	NH <sub>3</sub>	
produc	ction			

Requirement of	high	AD proces	ss Propitiation of HA-AM	[64,100]
OLR, acetate		hindering	or SAO-HM pathway	
accumulation	and			
propionate degradation				
Selection or controlling		For enhance	d Control over operating	[22,64]
the H <sub>2</sub> consuming (HA		performance	temperature	
or HM) pathways			(mesophilic or	
			thermophilic) and long-	
			term reactor	
			acclimatization	
Long term rea	actor	Process	Biochar amendment	[73,102,108]
acclimatization	and	stabilization an	d and biogas recirculation	
stabilization n		microbial		
		acclimatization		

504

4.2 Microbial interaction during transformation from acetoclastic to hydrogenotrophic
methanogenesis

508 0.30 – 7.10% archaea [67,119,120]. During hydrolysis, microbial species such as *Clostridia*,

509 *Bacteriodetes*, *Proteobacteria*, *Firmicutes*, and *Actinobacteria* actively help solubilize

510 complex organic structures into monomers [121,122]. Additionally, acetogenic and

<sup>507</sup> In general, the anaerobic digestate in the AD reactor comprises 93 - 98 % of bacteria and

syntrophic bacteria of Firmicutes and Proteobacteria sp. degrades these organics into VFAs 511 and other weak acids [122]. Some of the Actinobacteria sp. contribute to VFAs and 512 513 propionate production along with hydrolysis [123]. Lactobacillus, Clostridium, Pediococcus, and *Streptococcus* play a vital role in hydrolysis and acidogenesis [121]. These species are 514 515 the major contributors to  $H_2$  production in the AD process [124]. Acetogenium sp. and 516 Syntrophococcus sp. are the potential acetogens supporting the acetogenesis stage and 517 homoacetogens such as Clostridium aceticum sp. and Acetobacterium woodii sp. taking the Wood-Ljungdahl pathway [125]. About 54 - 72 % relative abundance of microbial species 518 519 present in the anaerobic digester supports the stages of hydrolysis, acidogenesis and acetogenesis [53,67]. In conventional AD, the methanogenic microbial population consists of 520 Methanomicrobiales, Methanobacteriales, and Methanosarcinales, which are very active 521 reported up to 95 % relative abundance at the genus level methanogenic species with a co-522 existence of HM communities such as Methanobrevibacter, Methanobacterium, and 523 Methanosphaera [57]. Meanwhile, the high availability of organics initiates a significant shift 524 from AM to HA due to available acetate accumulation and outcompete HM inside the reactor 525 [73]. Likewise, similar conditions inhibit AM species Methanosaeta, which is vulnerable at 526 elevated acetate concentrations, while Methansarcina with a higher growth rate at similar 527 conditions is expected to dominate [126]. In contrast, under the high availability of organics, 528 Li et al. [112] observed an increased abundance of *Tenericutes*- affiliated bacteria, which are 529 530 considered as facultative anaerobes and produce organic acids utilized by AM. Hence under an optimized AM dominating environment, the existence of HA is negligible, with only 2-5531 % of  $H_2$  is consumed by HA [127]. 532

The introduction of  $H_2$  into the reactor does not cause a significant change in the rate of the first three stages in AD (hydrolysis, acidogenesis and acetogenesis) even though there can be changes regarding prominent bacterial species among the population and the bacterial

536	metabolism [128]. Zhu et al. [59] observed that the addition of $H_2$ into the reactors enhanced
537	the relative abundance of Firmicutes over Bacteriodetes with its ability to strive under high
538	H <sub>2</sub> partial pressure. Also, the <i>Treponema_2</i> and <i>Terrisporobacter</i> ( <i>Clostridium sp.</i> ) known
539	homoacetogens were found in abundance [59,64]. The addition of H <sub>2</sub> also caused a shift
540	towards HM species of Methanobacterium and Methanobacteriales_OTU_16 with a more
541	diverse microbial consortium [57]. Besides, the Methanomicrobium genera increased
542	substantially after H <sub>2</sub> addition at an H <sub>2</sub> : CO <sub>2</sub> ratio above 4:1 [57,67]. Within a short interval
543	of time after H <sub>2</sub> injection, Kakuk et al. [126] observed that the activity of the Methanoculleus
544	genus related to HM substantially increased. Agneessens et al. [52] also observed that the
545	relative abundance of genera Methanosarcinales (capable of acting as AM and HM) reduced
546	gradually from 10.20 % to 7.8 % at the end of the experiment in $H_2$ injected reactors,
547	instilling a gradual shift towards HM species. Under thermophilic conditions, the unusual
548	syntrophic activity of <i>Desulfovibrio</i> sp. that produces acetate, H <sub>2</sub> , and CO <sub>2</sub> under limited
549	sulfate conditions and HM increased and reduced the microbial population diversity [52].
550	From the externally added H <sub>2</sub> , around 40% of H <sub>2</sub> are reported to be consumed by the HA,
551	significantly contributing to the Wood-Ljungdahl pathway [100], since the half-velocity
552	constant of HA for H <sub>2</sub> is ten times higher than HM [130]. However, long-term
553	acclimatization of the digester may entertain AM due to the readily available acetates
554	produced by HA, enhancing CH <sub>4</sub> production and stabilizing the HM pathway over time [29].
555	Furthermore, it leads to the same stoichiometric biogas upgrading equivalent to the
556	stoichiometric HM reaction [59]. But, an increase in pH favors the HA [131] and decreases
557	the AM activity [132]. Additionally, partial H <sub>2</sub> pressures at lower values of 6 kPa further
558	hinder acetate consumption by AM species [133,134] and contribute to acetate build-up
559	during H <sub>2</sub> injection [67].

Temperature plays a vital role in the initiation of these microbial activities. High bacterial and 560 archaeal population diversity was found at mesophilic temperature (30 - 40 °C) than 561 thermophilic temperature (50 - 60 °C) [135,136]. Zhu et al. [59] compared the microbial 562 population shift observed in thermophilic and mesophilic hydrogen-assisted in-situ microbial 563 methane enrichment reactors. Table 4 shows the major microbes identified after H<sub>2</sub> was 564 injected into the reactor. The study classified microbes into five according to the biochemical 565 566 processes they involved with: (a) hydrolysis, acidogenesis and acetogenesis, (b) SFAOB, (c) SAOB, (d) HA, and (e) methanogens. The study observed that as the operating temperature is 567 568 increased from mesophilic to thermophilic, the hydrolysis and acidogenesis rate accelerate, contributing to increased VFA concentration. Hence, the relative abundance of Methanosaeta 569 sp. reduced substantially from 12.60 % at 35°C and 0.06% at 55°C. This resulted in flourish 570 571 of SAOB coupled with HM in thermophilic reactors. In contrast, hydrogen pathway in mesophilic reactor promoted Wood-Ljungdahl pathway over SAO-HM pathway assisting 572 AM pathway. However, the methane yield from both the reactors were comparable (Table 1). 573 Figure 3 shows the possible microbial pathway in a conventional AD reactor and H<sub>2</sub> assisted 574 AD reactor conceptualized and modified from the literature. Thus, it could be concluded that 575 a syntrophic activity between HM, AM, SAO, and HA must be co-existing inside a reactor to 576 achieve higher CH<sub>4</sub> content in the biogas composition through hydrogen assisted pathways. 577 However, more research is required for the optimization of the system, since the microbial 578 population are highly influenced with the seed sludge used and other operating parameters. 579



**Fig. 3.** The microbial pathway during acetoclastic methanogenesis and after transformation to hydrogenotrophic methanogenesis pathway

<sup>595 (</sup>quantitative values and relative abundance of the microbial population were taken from [29,52,67]).

**Table 4.** Comparison of dominant microbial population in mesophilic and thermophilic *in-situ* 

Biochemical		Thermophilic reactor	Mesophilic reactor
process			
Hydrolysis,		Psychobacter,	Clostridium_sensu_stricto_1,
Acidogenesis	and	Ruminofilibacter,	vadinBC27_wastewatersludge_group,
Acetogenesis		Ruminiclostridium_1,	Treponema_2, Streptococcus,
		Norank_o_MBA03,	Marinilabiaceae, Christenellaceae R-
		Clostridium_sensu_stricto_1,	7 group
		norank_f_Family_XI	
SFAOB		Syntrophomonadacaea,	Syntrophomonas
		Synergistaceae	
SAOB		Gelria	-
HA		-	Terrisporobacter, Treponema_2
AM		Methanosarcina	Methanosaeta
HM		Methanoculleus,	-
		Methanobrevibacter,	
		Methanobacterium	

597 microbial methane enrichment reactors (Relative abundance is greater than 1) (taken from [64]

598

## 599 5. Preferential ways for H<sub>2</sub> production aiding hydrogen assisted microbial pathways

600 From the discussions, it is clear that the availability of H<sub>2</sub> is the primary factor required for

601 the transformation from AM to HM-based AD. Thus, the economical, efficient, and

- sustainable production of  $H_2$  is necessary to keep the system more practical for the
- application. Various studies focussed upon the H<sub>2</sub> production using water electrolysis [137],

604 gasification [138], bio-electrochemical systems (BESs) [49] and microbial pathways of 605 fermentation [139,140]. In water electrolysis, H<sub>2</sub> is produced by splitting water into O<sub>2</sub> and 606 H<sub>2</sub> [137], which can also be a potential storage mechanism of surplus electricity in the form 607 of H<sub>2</sub> [62]. Gasification converts carbonaceous organic materials into a mixture of gases 608 mainly composed of carbon monoxide, H<sub>2</sub>, and CO<sub>2</sub> completely with slight traces of ash 609 [138].

Meanwhile, BESs integrated water electrolysis inside the AD reactor for cathodic electron 610 transfer propitiating the HM activity. Water electrolysis can be an economical option 611 612 considering electricity used is produced from renewable energy sources or surplus electrical energy [23,25]; however, the requirement of a continuous supply of electricity and water is a 613 614 concern. Moreover, the need for electricity is also a concern for BESs as well. Also, a portion of the energy produced from the AD system should be stored or fed as input energy for the 615 water electrolysis and BESs. Meanwhile, gasification needs much controlled operating 616 617 conditions and leads to the complete utilization of substrates.

Hence, a non-powered biological production of H<sub>2</sub> possesses a significant upper hand over 618 619 other techniques such as water electrolysis and gasification. Cyanobacteria and algae may 620 produce H<sub>2</sub> through bio-photolysis of water [141] or by photosynthetic and chemosynthetic fermentative bacteria. To initiate these techniques through a continuous supply of photo-621 energy (natural or artificial) is itself a challenge. Anaerobic fermentative bacteria produce H<sub>2</sub> 622 623 without photo-energy. Thus, the cost of H<sub>2</sub> production is lower than the photosynthetic process (about 340 times less), only requiring simple reactor configuration and continuous 624 625 production and yield per unit of the reactor [142,143]. Another added advantage of this anaerobic process, termed dark fermentation (DF), is that it is already an intermediate process 626 within the AD process. Also, it is well known that carbohydrates are the primary source of H<sub>2</sub> 627
during fermentative processes. Therefore, any organic waste rich in carbohydrates can be
considered the potential source of H<sub>2</sub> [144]. An intensive literature review of possible H<sub>2</sub>
production through the DF pathway is earlier reported [145,146]. The production of H<sub>2</sub>
through the DF also results in acetic and butyric acids followed by ethanol and acetic acids as
by-products [140,147]. The two stoichiometry pathways of H<sub>2</sub> production from the available
simple sugars are given in eq. (11) and (12).

634 
$$C_6H_{12}O_6 + 2H_2O \rightarrow 2C_2H_4O_2 + 4H_2 + 2CO_2 \Delta G^{0'} = -206.00 \text{ kJ}....(11)$$

635 
$$C_6H_{12}O_6 + 2H_2O \rightarrow 2C_4H_8O_2 + 2H_2 + 2CO_2 \Delta G^{0'} = -254.00 \text{ kJ}....(12)$$

636 From eq. (11), the maximum H<sub>2</sub> yield is about 4 moles from one mole of hexose or 33% H<sub>2</sub> recovered from the substrate when acetic acid is the only by-product. On the other hand, if 637 butyric acid is the only fermentation by-product, the maximum H<sub>2</sub> yield is only 2 moles from 638 639 one mole of hexose, or 17% H<sub>2</sub> recovered from the substrate (eq. 12) as experienced during the co-digestion of organic fraction of MSW and sewage sludge [139]. Similar to the CH4 640 641 yield, the actual H<sub>2</sub> yield is always lower than the theoretical value since the substrate is often converted to other metabolic products and biomass [148] and is usually observed below 20 % 642 [149]. In contrast, [111] reported lower H<sub>2</sub> yield in the range of 5 - 10 % (as in eq. 8) and 643 644 VFAs production. It would mean another pathway, as shown in eq. (13) where no production of H<sub>2</sub> takes place, as the substrate is consumed for lactic acid production. Thus, carbon 645 sources completely convert into lactic acid instead of  $H_2$  under lower pH (< 4.5) [150]. 646

647 
$$C_6 H_{12} O_6 \rightarrow 2C_3 H_6 O_3 \Delta G^{0'} = -225.4 \text{ kJ}....(13)$$

Inhibition of H<sub>2</sub> consuming bacteria such as HM, HA, lactic acid bacteria, propionate
producing bacteria and sulfate reducers is one of the main steps for initiating DF-based H<sub>2</sub>
production when using inoculum consisting of mixed microbial communities. Thermal [151–

- 153] and chemical [111,139,154] pre-treatments were applied to enrich H<sub>2</sub> producing bacteria
- and inhibit remaining microbial competitors successfully. Table 5 shows the summarization
- of the results obtained from the DF of food wastes.

## **Table 5.** Summarization of dark fermentation studies for bio-H<sub>2</sub> production

Substrate used	Optimal operating conditions	Maximum bio-H2 yield	References
Food waste	Reactor volume: 1.20 L	169 mL/g. VS <sub>added</sub>	[155]
	Inoculum used: Chemically pretreated sewage sludge		
	Substrate to inoculum ratio: 2.40 VS basis		
	Stirring: 120 rpm		
	Addition of bottom ash: 1 g/L		
	Temperature: 37°C		
Food waste	Reactor volume: 5.00 L	88.8 L H <sub>2</sub> /kg.VS	[156]
	Inoculum used: Untreated activated sludge		
	Substrate to inoculum ratio: 0.14 VS basis		
	Stirring: 150 rpm		
	Temperature: 39°C		
	pH: 6.50		

Crude cheese whey and fru	it Reactor volume: 1.80 L	449.82 mL H <sub>2</sub> /gCOD	[157]
vegetable waste	Inoculum used: Untreated activated sludge		
	C/N ratio: 21		
	Temperature: 37°C		
	pH: 5.50		
Mixed food waste	Reactor volume: 500 mL	57 mL H <sub>2</sub> /g.VS	[158]
	Inoculum used: Untreated anaerobic sludge		
	Food to microorganism ratio: 7 to 10		

#### 656 6. Co-production of H<sub>2</sub> and CH<sub>4</sub>: the way to move forward?

Investigations were reported over the simultaneous production of H<sub>2</sub> and CH<sub>4</sub> in two-stage 657 658 reactors from the past few decades: hydrolysis to acetogenesis in the first stage and methanogenesis in the second stage [124,149,151–153]. The essential mechanisms involved 659 in the AD bioprocess primarily depend upon the acidogenesis and methanogenesis stages 660 require varied nutritional requirements, pH environment and growth kinetics [159]. Hence, 661 two separate digesters for both processes can uplift the process efficiency over a single-stage 662 AD process [160]. The improved process efficiency is also because of no direct influence of 663 VFAs or NH<sub>3</sub> disrupting the microbial activity since the processes occur in two separate 664 reactors [161,162]. The by-products from the first stage reactor comprised of VFAs 665 666 prominently acetates, H<sub>2</sub> and CO<sub>2</sub> [111,124,151–153]. Acetate is a crucial intermediatory product during acidogenesis, and acetogenesis contributing to a significant part of the CH4 667 produced [29] later in the second stage reactor. In two-stage AD, pH is a determinant factor 668 669 in the first stage, deciding the production of desirable by-products through selective microbial metabolic pathways [163]. An optimal pH 5.5 in the first stage reactor supports the 670 production of acetic acid followed by butyric acid and propionic acid through the pathways, 671 which is essential for enhanced H<sub>2</sub> production, as discussed earlier in section 4.3. Maximizing 672 the acetate production during acidogenesis requires either pH adjustment, OLR regulation 673 and subsequent control over H<sub>2</sub> partial pressure inside the reactor [29]. 674

Table 6 summarizes the operating strategies used and results obtained for the simultaneous production of  $H_2$  and  $CH_4$  from different substrates. During AD of FW, only 4% of the total COD is utilized for  $H_2$  production in the first reactor, while about 55% of the COD is converted into  $CH_4$  in the second reactor. It also depended upon the operating temperature [153] and was 16% less in the first stage and 25% less in the second stage during the AD of

sweet sorghum, as reported by [149]. Other studies summarized in Table 3 also reported 680 similar values and observed CH4 to H2 production at a ratio between 1.33 to 41.95 for two-681 stage AD [151–153]. This ratio was significantly influenced by the operating strategies, 682 environmental conditions, and reactor configurations. The volume ratio between the first and 683 second stages is an essential factor affecting the H<sub>2</sub> and CH<sub>4</sub> yield. In comparison to all the 684 studies, a maximal H<sub>2</sub> and CH<sub>4</sub> production of 292.70 and 391.60 mL/g VS was achieved in 685 two-stage CSTR at an OLR of 48 g COD/L d treating FW when the working volume of both 686 the reactors was kept the same [124]. The concept of two-stage AD also lowered the H<sub>2</sub>S 687 688 content in the biogas through limiting the sulphate reducing bacteria [164] by nitrate addition at a nitrate to sulphide ratio of 16: 1 [165], and also aids in satisfactory pathogens removal 689 [166]. 690

Reactor Type	Substrate used	Inoculum Used	Operating conditions	Bio-H <sub>2</sub> produced	CH <sub>4</sub> produced in	References
(volume, in L)				in first stage	second stage	
CSTR (0.07 L x 2)	Sugarcane syrup	Thermally	HRT: 2.5 – 2.75 d (1 <sup>st</sup> stage), 45 d	88 L/kg. VS	271 L/kg.VS	[149]
		pretreated UASB	(2 <sup>nd</sup> stage)			
		granules	Temperature: 30 °C			
		Second stage:	pH: 6.5 (1 <sup>st</sup> stage), 7.0 (2 <sup>nd</sup> stage)			
		Non-pretreated				
		UASB granules				
FBR (0.417 L x 2)	Ozone pretreated	First stage:	HRT: 3 d (1 <sup>st</sup> stage), 39 d (2 <sup>nd</sup> stage)	22.55 mL/g.VS	946 mL/g.VS	[151]
	glycerol trioleate and	Thermally	Temperature: 35 °C			
	FW	pretreated activated	рН: 6.0			
		sludge				
		Second stage:				
		acclimatized				
		activated sludge				

### **Table 6.** Operation strategies and results from co-production of bio-H<sub>2</sub> and CH<sub>4</sub> from two-stage anaerobic digestion

CSTR (4.5 L x 2)	FW	First stage:	HRT: 3 d (1 <sup>st</sup> stage), 39 d (2 <sup>nd</sup> stage)	104.50 L/ g VS	526 L/g VS	[153]
		Thermophilic (55	Temperature: 55 °C (1 <sup>st</sup> stage), 35 °C			
		°C) anaerobic	(2 <sup>nd</sup> stage)			
		sludge	pH: 6.0			
		Second stage:				
		Mesophilic (35 °C)				
		sludge				
CSTR (first stage,	Thermo-chemically	First stage:	HRT: 2.7 d (1st stage), 2-12 d (2nd	115.20 mL H <sub>2</sub> /g	330.20 (UASB),	[152]
5.0 L)	(4.80 % HCl, 93 °C)	Thermally	stage)	dcw	226.50 (ASBR)	
UASB (3.5 L) and	pretreated Laminaria	pretreated (90 °C)	Temperature: 35 °C		mL/ g COD	
ASBR (3.0 L)	japonica	anaerobic sludge	pH: 5.5 to 8.0			
(second stage)		Second stage:				
		anaerobic sludge				
CSTR (first stage,	Diluted organic	First stage:	HRT: 1.1 – 1.5 d (1 <sup>st</sup> stage), 11 – 15	74 mL/ g VS	179 mL/g VS	[154]
1.34 L), CSTR	market waste	Chemically	d (2 <sup>nd</sup> stage)			
			Temperature: 35 °C			

(second stage, 13.4		pretreated	(2 M	pH: 5.5 (1 <sup>st</sup> stage), 7.0 (2 <sup>nd</sup> stage)			
L)		HCl) cow ma	anure				
		Second stage	e: Cow				
		manure					
CSTR (4 L x 2)	FW	First	stage:	HRT: 12 h (1 <sup>st</sup> stage), 24 h (2 <sup>nd</sup> stage)	292.70 mL/ g VS	391.60 mL/g VS	[124]
		Thermally		Temperature: Mesophilic			
		pretreated (1	00 °C)	pH: 6.0 (1 <sup>st</sup> stage), 7.0 (2 <sup>nd</sup> stage)			
		anaerobic slu	ıdge				
		Second	stage:				
		anaerobic slu	ıdge				

# 7. Possible concept for successful development of hydrogenotrophic methanogenic based reactor

695 A possible concept of two-stage H<sub>2</sub> and CH<sub>4</sub> production and mass balance when FW is used as the substrate is represented in Figure 4. A two-stage AD could be designed to separate the 696 involved microbiomes into two bioreactors, as discussed earlier in section 6. The acidification 697 process inside the first stage reactor is rapid. Hence, it requires a lower HRT (4 - 96 h)698 [149,163] with an optimal pH range of 5.0 to 6.0 [163]. Reduced HRT in first stage reactor 699 have several advantages: enhances the acetogenic bacterial activity [167], scales down the 700 701 volume of first stage reactor by 2.25 to 10 times smaller than the second stage reactor [154,164,168], eliminates any chances of competition between H<sub>2</sub> producing acetogens and 702 703 HM in the first stage reactor [154]. Integrating the first stage reactor with the second stage reactor is also possible, beneficial for reducing the requirements of additional mechanical 704 attachments or machines if the first stage is externally operated. A similar concept of 705 706 integrating two stages inside a reactor was designed and successfully operated to hydrolyse 707 fruit and vegetable wastes [169]. Since bio-H<sub>2</sub> production from one-time feeding of the substrate is lower than equivalent CH<sub>4</sub> production from the same amount of feed in the 708 709 second stage reactor, an establishment of more than one first stage reactor could also be an option to consider for the simultaneous operation to achieve the hydrogenotrophic 710 stoichiometry of 4: 1 (H<sub>2</sub>: CO<sub>2</sub>). After initial digestion (hydrolysis, acidogenesis, and 711 acetogenesis), the substrate ultimately breaks down into acetates and results in continuous H<sub>2</sub> 712 and CO<sub>2</sub> production. Following each feed, the digested substrate from the first stage reactor is 713 714 transferred to the second stage reactor, where methanogenesis reactions occur. The good methanogenesis in the second stage results in significant production of  $CH_4$  and  $CO_2$ , which 715 is relied upon the HRT of the first stage reactor and acetate input level into the second stage 716 717 reactor. Hence, the common gas collection section contains biogas containing H<sub>2</sub> and CO<sub>2</sub>

from the first stage, CH<sub>4</sub> and CO<sub>2</sub> from the second stage. It would also eliminate any separate 718 storage system for H<sub>2</sub> and the safety concerns associated with it. To improve the hydrogen-719 720 assisted pathways, the biogas recirculation arrangement facilitates the consumption of H<sub>2</sub> and  $CO_2$  at the required ratio. The biogas recirculation system improves the biogas yield (55%), 721 722 CH<sub>4</sub> content (26%), and COD removal (86 – 99%) [10]. Intermittent feeding of H<sub>2</sub>- rich biogas into the methanogenic reactor slurry could proliferate the HM [57]. From the 723 724 discussion made, it is understood that the biogas recirculation enhances the co-existence of 725 AM, HA, SAOs and HM [73], and helps in stripping off the accumulated NH<sub>3</sub>[102], 726 eliminates the requirement of a separate storage system for H<sub>2</sub>, and could instil an intermittent motion or suspension inside slurry serving homogenous mixing. Recirculating 727 728 the mixture of biogas could also eliminate the possible pH variation in the slurry due to CO<sub>2</sub> 729 consumption and excess H<sub>2</sub> injection [73]. The utilization of biochar in the second stage reactor is also an option that could be considered with its positive characteristics as 730 previously discussed. 731

732 During anaerobic digestion, stoichiometric molar production of CH<sub>4</sub> of up to 67 % through AM followed by CO<sub>2</sub> and H<sub>2</sub> of 33% contributing directly to hydrogen assisted pathways 733 [29]. In continuous mode, the CH<sub>4</sub> content in the biogas could be enriched through substrate 734 addition and recirculation of biogas. However, a molar deficit of H<sub>2</sub> and CO<sub>2</sub> always remains 735 inside the system, considering HM utilizes a stoichiometric H<sub>2</sub>: CO<sub>2</sub> ratio of 4:1. Thus, 736 through this concept, in addition to CH<sub>4</sub> enrichment, CO<sub>2</sub> deficiency in the second stage 737 reactor could be overcome. Also, a stable operation of AD could be achieved. Here, a novel 738 739 concept for the *in-situ* biological biogas upgradation system is proposed (labelled as Hydrogenotrophic Anaerobic Biotechnological System for Enrichment of Biogas (HABSEB) 740 741 Technology by the authors), which is yet to be developed. This concept could make the 742 system self-sustainable rather than relying on other conventional or renewable energy

systems to produce H<sub>2</sub>, thus playing a more significant part in techno-economics and 743 environmental impact. The H<sub>2</sub> production and its utilization for *in*-situ biogas upgradation 744 745 could vastly reduce the cost required for  $H_2$  production, which is otherwise very costly [23]. The non-requirement of additional post biogas polishing facilities and lower energy 746 747 requirement, a salient feature known for *in*-situ biogas upgradation as opposed to conventional biogas upgradation techniques, could also minimize the cost economics. The 748 749 CO<sub>2</sub> capturing and conversion to CH<sub>4</sub> and lower energy requirement could reduce the environmental impact. Carbon neutrality is expected to be almost equivalent to or a step 750 751 higher than a two-stage AD system. The techno-economics and environmental sustainability of the novel concept would also depend upon the collection and transportation of the 752 feedstocks to the plant, biogas productivity and upgradation efficiency [170]. For this 753 754 concept, the factors to be considered could be no. of first stages, H<sub>2</sub> dosing rate and biogas recirculation rate and associated mechanical attachments, the applicability of digestate slurry 755 etc. Furtherance in developing the proposed concept is only possible through validated 756 757 laboratory-scale to pilot-scale investigations and evaluation of its techno-economics and life cycle analysis. 758



**Fig. 4.** The possible concept of two-stage bio-H<sub>2</sub> and CH<sub>4</sub> co-production and mass balance (conceptualized and modified from [149] and [153].

### 775 8. Conclusions

The anaerobic digestion through acetoclastic methanogenesis faces several challenges, such 776 777 as high instability and lower biogas production with less CH<sub>4</sub> content. This article discusses the possibilities of transforming acetoclastic methanogenesis to hydrogenotrophic 778 methanogenesis through *in-situ* biological transformation for enhanced CH<sub>4</sub> content in the 779 780 biogas generated. This review also discusses the change in microbial population during the transformation from acetoclastic to hydrogenotrophic methanogenesis. The study further 781 discusses the ways to achieve the concept considering an example of FW-AD. The idea is 782 achieved through a two-stage AD system where hydrolysis to acetogenesis takes place for H<sub>2</sub> 783 784 production in the first stage, and in the second stage, methanogenesis exists for CH<sub>4</sub> 785 production. This novel concept could up bring the possible challenges faced in conventional acetoclastic methanogenesis. In addition, further research evaluations are required to apply 786 this conceptual system to be evaluated in terms of reactor design and the optimization of 787 788 process parameters, techno-economics, and life cycle analysis for the upscaling of the system 789 for mainstream application.

Finally, based on the overall review, the following summarizes the key findings relevant tothe development of microbial biogas upgradation system:

Although this study emphasized upon food waste, all the high organic substrates or co digestion are suitable for hydrogen-assisted microbial pathways optimizing the process
 parameters

- Optimization of process parameters such as pH, VFAs, NH<sub>3</sub>, HRT could improve the CH<sub>4</sub>
   content and microbial activity irrespective of the operating temperature
- However, the selection of hydrogen utilizing microbial pathway (either SAO-HM or HA-
- AM) is highly dependent upon operating temperature with comparable CH<sub>4</sub> yield

799	٠	Solubility of H <sub>2</sub> gas in aqueous solution and gas-liquid mass transfer are the main
800		challenges faced for mainstream application of hydrogen assisted microbial methane
801		enrichment
802	•	Biological H <sub>2</sub> production through dark fermentation could reduce the economic burden
803		and energy requirement for hydrogen-based microbial biogas upgradation
804	•	A two-stage AD strategy could be the best strategy that could suitably upgrade the
805		existing AD systems to hydrogen assisted pathways
806	•	The selection of a viable pretreatment method for the seed sludge is vital for producing
807		maximum H <sub>2</sub> yield from the substrate in the first stage reactor.
808	•	Long term operation of the system could acclimatize the microbiology inside the
809		hydrogen-assisted AD system for enhanced process performance
810	•	The application of biochar in the second stage reactor could be vital in increasing the
811		buffering capacity, surface area, enhancing the microbial abundance and boosting the $H_2$
812		utilization.
813	•	Syntrophic activities between acetoclastic and hydrogenotrophic methanogens, SAOs and
814		homoacetogens are essential for the practical applicability of the proposed HABSEB
815		system.
816		
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