Partial-Neurons-Based State Estimation for Delayed Neural Networks with State-Dependent Noises under Redundant Channels

Shuai Liu^a, Zidong Wang^b, Bo Shen^{c,d}, Guoliang Wei^e

^aDepartment of Control Science and Engineering, Shanghai Key Laboratory of Modern Optical System, University of Shanghai for Science and Technology, Shanghai 200093, China.

^bDepartment of Computer Science, Brunel University London, Uxbridge, Middlesex, UB8 3PH, United Kingdom. ^cCollege of Information Science and Technology, Donghua University, Shanghai 201620, China.

^dEngineering Research Center of Digitalized Textile and Fashion Technology, Ministry of Education, Shanghai 201620, China.

^e College of Science, University of Shanghai for Science and Technology, Shanghai 200093, China.

Abstract

In this paper, the partial-neurons-based state estimation problem is studied for a class of delayed neural networks with state-dependent noises under redundant channels. For the purpose of improving the success rate of the data transmission from the sensor to the estimator, the redundantchannel-based transmission mechanism is considered. The main aim of the addressed problem is to design a state estimator to estimate the neurons' state by use of a small fraction of the sensor measurements. With the help of the Lyapunov stability theory, a sufficient condition is provided to ensure that the estimation error dynamics is exponentially mean-square bounded. The desired estimator gain is acquired by minimizing an asymptotic upper bound of the estimation error. Finally, a numerical simulation is carried out to demonstrate the usefulness of the presented estimator design scheme.

Keywords: Neural networks, state estimation, partially measurable nodes, redundant channels, state-dependent noises.

1. Introduction

It is well known that an artificial neural network (ANN) is an information response network composed of a large number of neurons stored in the network through the node connection weight, which has the capacity of fast processing speed and strong fault tolerance in a parallel and distributed mechanism. The past few decades have seen a popularity surge with ANNs for their wide range of application potentials in engineering practice including image processing, pattern recognition, associative memory, and optimization problems [3, 4, 9, 17, 28, 29, 34, 39, 42, 43]. In recent years, as the technology improvement in the storage and computing power, the ANN has shown its fast growing in large scale, strong coupling and nonlinearities that have contributed greatly to the complexities in the analysis of the dynamical behaviors for ANNs. Accordingly, a rich body of related results has been reported on the stability, synchronization and estimation problems for various ANNs (see [6, 8, 11, 16, 25, 38, 41, 44, 48] and the references therein).

For a large-scale ANN, due to the environment changing, limited sensing capacities or the complicated topology structure, it is difficult to get access to all the neural's state information. In this case, a more realistic situation is to estimate the neurons' states by using the noisy measurement signals measured by sensing devices. To this end, the state estimation problem of ANNs has been a research hotspot in the industrial filed and the artificial intelligence filed and much effort has been devoted to the design of easy-to-implement state estimators for ANNs [46, 47]. On the other hand, given the long-distance transmission of signals and the finite speed of information processing, the time-delay phenomenon ubiquitously exists in ANNs and could guide the system dynamics to an undesirable behavior (e.g instability and chaos). In this context, some in-depth discussions have been conducted on the analysis and synthesis of various delayed ANNs (e.g., constant, time-varying, random, or distributed), see [1, 12, 14, 23, 24, 33, 47] and the references therein.

In most existing literature related to the state estimation issue of ANNs, it implies a prerequisite that the measurement signals are accessible to each neuron node. Nevertheless, such a condition is against reality when considering the harsh measurement environment and the limited measurement technology [27, 30, 31]. This means that, in engineering practice, it is mostly the case that only partial measurement signals can be collected by sensing devices, which results in the so-called *partial-neurons-based state estimation problem*. Lately, the partial-neurons-based state estimation problem has received some initial research attention and the relevant results have been reported, see [13, 21]. For example, in [13], the passivity-guaranteed state estimation problem has been studied for the ANN with randomly occurring time delays and the partial availability of the neurons' measurement information. Moreover, for the sensor networks, the related results have been investigated in [7] for scalable H_{∞} -consensus filtering issue with censored measurements.

It should be emphasized that, due to the limited bandwidths of the communication channel, the packet dropout phenomenon inevitably occurs and widely exists in networked systems [2, 10, 15, 45, 36], which would deteriorate the system performance to an extent. Up to now, many data compensation schemes have been put forward with the intention to decrease the negative effect caused by the missing phenomenon including the one-step delay strategy and the prediction compensation [26]. On the other hand, from the viewpoint of reducing the occurrence probability of the packet dropout, the redundant channel mechanism has recently proven to be an effective tool to enhance the transmission reliability, whose main idea is to introduce additional channels for the signal transmission in case the current channel fails to work. Special attention has been paid to the redundant channel mechanism and fruitful achievements have appeared in the literature [32, 49, 50]. However, a thorough literature search has displayed that the relevant research work has not been extended to the partial-neurons-based state estimation problem for delayed neural networks owing mainly to the mathematical complexities and this constitutes the main motivation of our current investigation.

Concluding the above discussion, our research focus is on the partial-neurons-based state estimator design and performance analysis issues for delayed neural networks under the redundant channel mechanism. In doing so, the following two major challenges should be overcome: 1) how to compensate the estimation performance when only partial neurons can obtain the measurement signals; and 2) how to design a suitable partial-neurons-based estimation scheme to achieve the exponential boundedness of estimation errors for the overall neural network. To deal with the above two challenges, in this paper, by means of the redundant-channel-based communication scheme, a partial-neurons-based state estimator is first designed based on the partially available measurement signals. Then, with the help of the Lyapunov stability theory, some criteria are derived to ensure the overall exponential boundedness of estimation errors in the mean square sense.

The main contribution of this paper is highlighted as threefold: 1) a partial-neurons-based state estimator is proposed for delayed ANNs with state-dependent noises under redundant channels; 2)

a criterion is established to guarantee the overall exponential boundedness of estimation errors in the mean square sense; and 3) the expected estimator gain is acquired in terms of the solution to a convex optimization problem with certain matrix inequality constraints.

The remainder of this paper is organized as follows. Section 2 gives the problem formulation and some preliminaries. Section 3 establishes the main results where some sufficient conditions are provided to ensure the exponentially ultimate boundedness of the estimation error. A numerical simulation is used to show the effectiveness of the proposed estimator design scheme in Section 4 and Section 5 summarizes the paper.

Notation. The notation used here is fairly standard except where otherwise stated. The occurrence probability of the event "·" is denoted by $\operatorname{Prob}\{\cdot\}$. diag $\{X_1, X_2, \cdots, X_n\}$ stands for a block diagonal matrix whose diagonal blocks are matrices X_1, X_2, \cdots, X_n . \mathbb{I}_p denotes the *p*-dimensional column vector with all entries 1. $\lambda_{\min}\{P\}$ denotes the minimum eigenvalue of the matrix *P*. In symmetric block matrices, the symbol * is used as an ellipsis for terms induced by symmetry.

2. Problem Formulation

The neural network considered in this paper is described by n coupled discrete time-delayed nonlinear systems where the dynamics of individual nodes is characterized by:

$$\begin{cases} x_{i,k+1} = a_i x_{i,k} + \sum_{j=1}^n b_{ij} f_j(x_{j,k}) + \sum_{l=k-d}^{k-1} \sum_{j=1}^n c_{ij} g_j(x_{j,l}) + \bar{a}_i x_{i,k} w_k \\ x_{i,k} = \varphi_{i,s}, \ s = -d, -d+1, \dots, 0 \end{cases}$$
(1)

where $i \in \mathcal{N} \triangleq \{1, 2, ..., n\}$; $x_{i,k} \in \mathbb{R}$ denotes the state of the neuron i; $g_i(\cdot) : \mathbb{R} \to \mathbb{R}$ and $f_i(\cdot) : \mathbb{R} \to \mathbb{R}$ are the activation functions; a_i stands for the state feedback coefficient; \bar{a}_i is the weight coefficient of the state-dependent noise; The constants b_{ij} and c_{ij} represent, respectively, the connection weights of the activation functions $f_i(\cdot)$ and $g_i(\cdot)$; $w_k \in \mathbb{R}$ is the state-dependent Gaussian white noise satisfying $\mathbb{E}\{w_k\} = 0$ and $\mathbb{E}\{w_k^2\} = 1$; $d \ge 1$ denotes the constant distributed time delay; $\varphi_{i,s}$ (s = -d, -d + 1, ..., 0) are given initial conditions; The scalar nonlinear functions $f_i(\cdot)$ and $g_i(\cdot)$ satisfy $f_i(0) = g_i(0) = 0$ and the following sector-bounded conditions:

$$(f_i(u) - f_i(v) - \phi_1(u - v))(f_i(u) - f_i(v) - \phi_2(u - v)) \le 0$$

$$(g_i(u) - g_i(v) - \psi_1(u - v))(g_i(u) - g_i(v) - \psi_2(u - v)) \le 0$$
(2)

for $\forall u, v \in \mathbb{R}$, where ϕ_1, ϕ_2, ψ_1 and ψ_2 are known scalars.

In this paper, the measurement output is only available for a small fraction of the n neuron nodes. Without lose of generality, it is assumed that $\mathcal{N}_a = \{1, 2, \ldots, p\}$ $(p \leq n)$ denotes the set of those nodes whose outputs are measurable. Moreover, due to the bandwidth constraint and the unreliable transmission of communication network, the packet loss may inevitably occur in the network between the sensor and the receiver. To reduce the rate of packet loss, an effective method is to employ additional transmission channels instead of the traditional single transmission channel. In this paper, N-1 redundant channels are extra introduced to transmit the measurement outputs, whose model is described by the following equation:

$$y_{i,k} = \alpha_{1,k} d_1 x_{i,k} + m_i v_{i,k} + \sum_{l=2}^{N} \left\{ \prod_{s=1}^{l-1} ((1 - \alpha_{s,k}) \alpha_{l,k} d_l) x_{i,k} \right\}$$
(3)

for $i \in \mathcal{N}_a$, where $y_{i,k} \in \mathbb{R}$ represents the output received by the receiver; $v_{i,k} \in \mathbb{R}$ are the Gaussian white noises satisfying $\mathbb{E}\{v_{i,k}\} = 0$, $\mathbb{E}\{v_{i,k}v_{i,k}\} = 1$ and $\mathbb{E}\{v_{i,k}v_{j,k}\} = 0$ $(i \neq j)$; d_l (l = 1, ..., N) and m_i are known scalars; $\alpha_{l,k}$ is a random Bernoulli distributed variable with the following statistical properties:

$$\operatorname{Prob}\{\alpha_{l,k}=1\} = \bar{\alpha}_l, \quad \operatorname{Prob}\{\alpha_{l,k}=0\} = 1 - \bar{\alpha}_l \tag{4}$$

with $\bar{\alpha}_l \in [0,1]$ (l = 1, ..., N) being the given constants. $\alpha_{l,k}$ (l = 1, ..., N) are assumed to be uncorrelated with $w_{i,k}$, $v_{i,k}$ and $\varphi_{i,s}$ (s = -d, -d + 1, ..., 0).

Remark 1. We have the following observations from the measurement model (3). First, considering the environment changing and the limited measurement capacity of the sensing device, certain measurement data may be difficult to be obtained. As such, in this paper, it is assumed that the measurement outputs are accessible for only a small fraction of the n neuron nodes to better reflect the engineering practice. Second, it is well known that the phenomenon of the packet dropout would inevitably degrade the system performance in a network environment. For the sake of decreasing the occurrence rate of packet loss, the redundant channel mechanism is employed in this paper, *i.e.* the communication network is equipped with additional N-1 channels to enhance the transmission reliability. All N channels are sequentially detected whether the data packet is delivered to the receiver side until one of channels successfully transmits the data packet. Third, under the N-channel-based communication scheme, the probability of packet dropouts is $\prod_{i=1}^{N} \bar{\alpha}_i$, which is far less than $\bar{\alpha}_i$ (i = 1, 2, ..., N). To this end, an alternative and feasible approach is to increase the number of redundant channels to improve the reliability of the data transmission. However, it should be pointed out that the implementation of such a strategy needs some additional detection devices at each channel terminal to check whether the packet loss occurs. Therefore, one would like to fine-tune the number of redundant channels so as to play the balance between the cost of equipment/energy and the estimation performance.

Based on (3), the following estimator is designed for the neuron i:

$$\begin{cases} \hat{x}_{i,k+1} = a_i \hat{x}_{i,k} + \sum_{j=1}^n b_{ij} f_j(\hat{x}_{j,k}) + \sum_{l=k-d}^{k-1} \sum_{j=1}^n c_{ij} g_j(\hat{x}_{j,l}) + l_i(y_{i,k} - \bar{\gamma} \hat{x}_{i,k}), \ i \in \mathcal{N}_a \\ \hat{x}_{i,k+1} = a_i \hat{x}_{i,k} + \sum_{j=1}^n b_{ij} f_j(\hat{x}_{j,k}) + \sum_{l=k-d}^{k-1} \sum_{j=1}^n c_{ij} g_j(\hat{x}_{j,l}), \ i \in \mathcal{N}/\mathcal{N}_a \\ \hat{x}_{i,k} = \hat{\varphi}_{i,s}, \ s = -d, -d+1, \dots, n \end{cases}$$

$$(5)$$

where $\bar{\gamma} \triangleq \bar{\alpha}_1 d_1 + \sum_{l=2}^N \left\{ \prod_{s=1}^{l-1} (1 - \bar{\alpha}_s) \bar{\alpha}_l d_l \right\}$ and l_i is the estimator gain to be determined. Denote by $e_{i,k} \triangleq x_{i,k} - \hat{x}_{i,k}$ the estimation error whose dynamics can be written as

$$\begin{cases} e_{i,k+1} = a_i e_{i,k} + \sum_{j=1}^n b_{ij} \tilde{f}_j(e_{j,k}) + \bar{a}_i x_{i,k} w_k + \sum_{l=k-d}^{k-1} \sum_{j=1}^n c_{ij} \tilde{g}_j(e_{j,l}) \\ -l_i m_i v_{i,k} - l_i (\tilde{\gamma}_k x_{i,k} + \bar{\gamma} e_{i,k}), \ i \in \mathcal{N}_a \end{cases}$$
(6)
$$e_{i,k+1} = a_i e_{i,k} + \sum_{j=1}^n b_{ij} \tilde{f}_j(e_{j,k}) + \bar{a}_i x_{i,k} w_k + \sum_{l=k-d}^{k-1} \sum_{j=1}^n c_{ij} \tilde{g}_j(e_{j,l}), \ i \in \mathcal{N}/\mathcal{N}_a$$

where

$$\tilde{f}_j(e_{j,k}) \triangleq f_j(x_{j,k}) - f_j(\hat{x}_{j,k}), \ \tilde{g}_j(e_{j,k}) \triangleq g_j(x_{j,k}) - g_j(\hat{x}_{j,k})$$
$$\tilde{\gamma}_k \triangleq \gamma_k - \bar{\gamma}, \ \gamma_k \triangleq \alpha_{1,k} d_1 + \sum_{l=2}^N \left\{ \prod_{s=1}^{l-1} (1 - \alpha_{s,k}) \alpha_{l,k} d_l \right\}.$$

For notational simplicity, we denote

$$\begin{split} e_{k} &\triangleq [e_{1,k}, e_{2,k}, \dots, e_{n,k}]^{T}, \ v_{k} \triangleq [v_{1,k}, v_{2,k}, \dots, v_{p,k}]^{T}, \ x_{k} \triangleq [x_{1,k}, x_{2,k}, \dots, x_{n,k}]^{T} \\ \tilde{f}(e_{k}) &\triangleq [\tilde{f}_{1}(e_{1,k}), \tilde{f}_{2}(e_{2,k}), \dots, \tilde{f}_{n}(e_{n,k})]^{T}, \ \tilde{g}(e_{k}) \triangleq [\tilde{g}_{1}(e_{1,k}), \tilde{g}_{2}(e_{2,k}), \dots, \tilde{g}_{n}(e_{n,k})]^{T} \\ f(x_{k}) &\triangleq [f_{1}(x_{1,k}), f_{2}(x_{2,k}), \dots, f_{n}(x_{n,k})]^{T}, \ g(x_{k}) \triangleq [g_{1}(x_{1,k}), g_{2}(x_{2,k}), \dots, g_{n}(x_{n,k})]^{T} \\ A &\triangleq \text{diag}\{a_{1}, a_{2}, \dots, a_{n}\}, B \triangleq [b_{ij}]_{n \times n}, \ \bar{A} \triangleq \text{diag}\{\bar{a}_{1}, \bar{a}_{2}, \dots, \bar{a}_{n}\}, \ C \triangleq [c_{ij}]_{n \times n} \\ M &\triangleq \text{diag}\{m_{1}, m_{2}, \dots, m_{p}\}, \ L \triangleq \text{diag}\{l_{1}, l_{2}, \dots, l_{p}\}, \ \bar{L} \triangleq [L^{T} \ 0]_{n \times p}^{T} \\ \bar{\Gamma} &\triangleq [I_{p} \otimes \bar{\gamma} \ 0]_{p \times n}, \ \tilde{\Gamma}_{k} \triangleq [(I_{p} \otimes \tilde{\gamma}_{k}) \ 0]_{p \times n}, \ \theta_{i,k} \triangleq \begin{cases} \alpha_{1,k}, \ i = 1 \\ \prod_{l=1}^{i-1} (1 - \alpha_{l,k})\alpha_{i,k}, \ 2 \leq i \leq N \end{cases} \\ \theta_{k} \triangleq [\theta_{1,k}, \theta_{2,k}, \dots, \theta_{N,k}]^{T}, \ \bar{\theta}_{i} \triangleq \mathbb{E}\{\theta_{i,k}\}, \ \bar{\theta} \triangleq [\bar{\theta}_{1}, \bar{\theta}_{2}, \dots, \bar{\theta}_{N}]^{T}, \ \tilde{\theta}_{k} \triangleq \theta_{k} - \bar{\theta}_{k} \\ \bar{\phi}_{1} \triangleq \text{diag}_{2n}\{\psi_{1}, \dots, \phi_{1}\}, \ \bar{\psi}_{1} \triangleq \text{diag}_{2n}\{\psi_{1}, \dots, \psi_{1}\}, \ \bar{\phi}_{2} \triangleq \text{diag}_{2n}\{\phi_{2}, \dots, \phi_{N}\}^{T} \\ \Sigma \triangleq \mathbb{E}\{(\tilde{\theta}_{k}\tilde{\theta}_{k}^{T})\}, \ \tilde{d} \triangleq d^{T}\bar{d}, \ \bar{\sigma} \triangleq d^{T}\Sigma\bar{d}. \end{split}$$

Then, the error dynamics (6) can be written in a more compact from:

$$e_{k+1} = (A - \bar{L}\bar{\Gamma})e_k + B\tilde{f}(e_k) + \sum_{l=k-d}^{k-1} C\tilde{g}(e_l) + \bar{A}x_k w_k - \bar{L}Mv_k - \bar{L}\tilde{\Gamma}_k x_k.$$
(7)

Furthermore, the plant (1) can be compactly denoted as

$$x_{k+1} = Ax_k + Bf(x_k) + \sum_{l=k-d}^{k-1} Cg(x_l) + \bar{A}x_k w_k.$$
(8)

Letting $\xi_k \triangleq \begin{bmatrix} e_k^T & x_k^T \end{bmatrix}^T$, one has

$$\xi_{k+1} = \mathcal{A}\xi_k + \mathcal{BF}(\xi_k) + \sum_{l=k-d}^{k-1} \mathcal{CG}(\xi_l) + \bar{\mathcal{A}}\xi_k w_k - \bar{\mathcal{L}}_1 M v_k - \bar{\mathcal{L}}_1 \tilde{\Gamma}_{1,k} \xi_k$$
(9)

where

$$\mathcal{A} \triangleq \begin{bmatrix} A - \bar{L}\bar{\Gamma} & 0\\ 0 & A \end{bmatrix}, \ \bar{\mathcal{A}} \triangleq \begin{bmatrix} 0 & \bar{A}\\ 0 & \bar{A} \end{bmatrix}, \ \mathcal{G}(\xi_k) \triangleq \begin{bmatrix} \tilde{g}(e_k)\\ g(x_k) \end{bmatrix}, \ \mathcal{F}(\xi_k) \triangleq \begin{bmatrix} \tilde{f}(e_k)\\ f(x_k) \end{bmatrix}$$
$$\bar{\mathcal{L}}_1 \triangleq \begin{bmatrix} \bar{L}\\ 0 \end{bmatrix}_{2n \times p}, \ \tilde{\Gamma}_{1,k} \triangleq \begin{bmatrix} 0 & \tilde{\Gamma}_k \end{bmatrix}_{p \times 2n}, \ \mathcal{B} \triangleq \operatorname{diag}\{B,B\}, \ \mathcal{C} \triangleq \operatorname{diag}\{C,C\}.$$

For the sake of sequel developments, we provide the following definition and lemma.

Definition 1. The estimation error dynamics (9) is said to be exponentially mean-square bounded if there exist constants $\vartheta > 0$, $\pi > 0$ and $\varrho \in (0, 1)$ such that the inequality

$$\mathbb{E}\{\|\xi_k\|^2\} \le \vartheta \varrho^k \sup_{k=-d, -d+1, \dots, 0} \mathbb{E}\{\|\xi_k\|^2\} + \pi$$
(10)

holds for all k.

Lemma 1. [22] Given a positive semidefinite matrix $Y \in \mathbb{R}^{n \times n}$, a vector $z_i \in \mathbb{R}^n$ and constants $\zeta_i \geq 0$ (i = 1, 2, ..., s). The following inequality holds

$$\left(\sum_{i=1}^{s} \zeta_i z_i\right)^T Y\left(\sum_{i=1}^{s} \zeta_i z_i\right) \le \left(\sum_{i=1}^{s} \zeta_i\right) \sum_{i=1}^{s} \zeta_i z_i^T Y z_i.$$
(11)

3. Main results

In this section, a sufficient condition is established to ensure the exponential boundedness of the estimation error in the mean square sense. Then, the estimator gain is obtained in the sense of the minimum of an asymptotic upper bound of the estimation error.

Theorem 1. Given the scalar $0 < \mu < 1$. Considering the system (1) under redundant channels (3), assume that there exist positive definite matrices P_1 and P_2 , positive scalars ϵ_1 and ϵ_2 such that the following inequalities

$$\Omega_{2} \triangleq \begin{bmatrix} \Omega_{11} & \Omega_{12} & \Omega_{13} & \Omega_{14} \\ * & \bar{\Omega}_{22} & 0 & \Omega_{24} \\ * & * & \bar{\Omega}_{33} & 0 \\ * & * & * & \Omega_{44} \end{bmatrix} < 0$$
(12)

hold, where

$$\begin{split} \bar{\Omega}_{11} &\triangleq \Omega_{11} - \epsilon_1 \frac{\bar{\phi}_1^T \bar{\phi}_2 + \bar{\phi}_2^T \bar{\phi}_1}{2} - \epsilon_2 \frac{\bar{\psi}_1^T \bar{\psi}_2 + \bar{\psi}_2^T \bar{\psi}_1}{2} \\ \bar{\Omega}_{12} &\triangleq \Omega_{12} + \epsilon_1 \frac{\bar{\phi}_1^T + \bar{\phi}_2^T}{2}, \ \bar{\Omega}_{13} \triangleq \epsilon_2 \frac{\bar{\psi}_1^T + \bar{\psi}_2^T}{2} \\ \bar{\Omega}_{22} &\triangleq \Omega_{22} - \epsilon_1 I, \ \bar{\Omega}_{33} \triangleq \Omega_{33} - \epsilon_2 I \\ \Omega_{11} &\triangleq \mathcal{A}^T P_1 \mathcal{A} + \bar{\mathcal{A}}^T P_1 \bar{\mathcal{A}} + (\mu - 1) P_1 + \bar{\sigma} \mathbb{H}^T \bar{\mathcal{L}}_1^T P_1 \bar{\mathcal{L}}_1 \mathbb{H} \\ \Omega_{12} &\triangleq \mathcal{A}^T P_1 \mathcal{B}, \ \Omega_{14} \triangleq \mathcal{A}^T P_1 \mathcal{C}, \ \Omega_{22} \triangleq \mathcal{B}^T P_1 \mathcal{B} \\ \Omega_{24} &\triangleq \mathcal{B}^T P_1 \mathcal{C}, \ \Omega_{33} \triangleq dP_2, \ \Omega_{44} \triangleq \mathcal{C}^T P_1 \mathcal{C} + \frac{(\mu - 1)^d}{d} P_2. \end{split}$$

Then, the estimation error satisfies the exponential boundedness in the mean square sense. Moreover, an asymptotic bound is $\frac{\delta}{\rho\mu}$, i.e. $\lim_{k\to\infty} \mathbb{E}\{\|e_k\|^2\} = \frac{\delta}{\lambda_{\min}\{P_1\}\mu}$ where $\delta \triangleq \operatorname{tr}\{M^T \bar{\mathcal{L}}_1^T P_1 \bar{\mathcal{L}}_1 M\}$.

PROOF. Construct the Lyapunov-Krasovskii functional as

$$V_k = V_{1,k} + V_{2,k} \tag{13}$$

where

$$V_{1,k} \triangleq \xi_k^T P_1 \xi_k, \ V_{2,k} \triangleq \sum_{j=k-d}^{k-1} \sum_{l=j}^{k-1} (1-\mu)^{k-l-1} \mathcal{G}^T(\xi_l) P_2 \mathcal{G}(\xi_l).$$

Calculating the term $\Delta V_k + \mu V_k$ and taking the mathematical expectation result in

$$\mathbb{E}\left\{\Delta V_{1,k} + \mu V_{1,k}\right\}$$

$$=\mathbb{E}\left\{V_{1,k+1} + (\mu - 1)V_{1,k}\right\}$$

$$=\mathbb{E}\left\{\left(\mathcal{A}\xi_{k} + \mathcal{BF}(\xi_{k}) + \sum_{l=k-d}^{k-1} \mathcal{CG}(\xi_{l}) + \bar{\mathcal{A}}\xi_{k}w_{k}\right)^{T} P_{1}\left(\mathcal{A}\xi_{k} + \mathcal{BF}(\xi_{k})\right)$$

$$+ \sum_{l=k-d}^{k-1} \mathcal{CG}(\xi_{l}) + \bar{\mathcal{A}}\xi_{k}w_{k} - \bar{\mathcal{L}}_{1}Mv_{k} - \bar{\mathcal{L}}_{1}\tilde{\Gamma}_{1,k}\xi_{k}\right)\right\}$$

$$=\mathbb{E}\left\{\xi_{k}^{T}\left(\mathcal{A}^{T}P_{1}\mathcal{A} + \bar{\mathcal{A}}^{T}P_{1}\bar{\mathcal{A}}\right)\xi_{k} + \mathcal{F}^{T}(\xi_{k})\mathcal{B}^{T}P_{1}\mathcal{BF}(\xi_{k})\right)$$

$$+ \left(\sum_{l=k-d}^{k-1} \mathcal{G}(\xi_{l})\right)^{T}\mathcal{C}^{T}P_{1}\mathcal{C}\left(\sum_{l=k-d}^{k-1} \mathcal{G}(\xi_{l})\right)$$

$$+ v_{k}^{T}M^{T}\bar{\mathcal{L}}_{1}^{T}P_{1}\bar{\mathcal{L}}_{1}Mv_{k} + \xi_{k}^{T}\tilde{\Gamma}_{1,k}^{T}\bar{\mathcal{L}}_{1}^{T}P_{1}\bar{\mathcal{L}}_{1}\tilde{\Gamma}_{1,k}\xi_{k}$$

$$+ 2\xi_{k}^{T}\mathcal{A}^{T}P_{1}\mathcal{BF}(\xi_{k}) + 2\xi^{T}\mathcal{A}^{T}P_{1}\mathcal{C}\left(\sum_{l=k-d}^{k-1} \mathcal{G}(\xi_{l})\right)$$

$$+ 2\mathcal{F}^{T}(\xi_{k})\mathcal{B}^{T}P_{1}\mathcal{C}\left(\sum_{l=k-d}^{k-1} \mathcal{G}(\xi_{l})\right) + (\mu - 1)\xi_{k}^{T}P_{1}\xi_{k}\right\}$$
(14)

 $\quad \text{and} \quad$

$$\mathbb{E}\{\Delta V_{2,k} + \mu V_{2,k}\} = \mathbb{E}\left\{\sum_{j=k-d+1}^{k} \sum_{l=j}^{k} (1-\mu)^{k-l} \mathcal{G}^{T}(\xi_{l}) P_{2} \mathcal{G}(\xi_{l}) - \sum_{j=k-d}^{k-1} \sum_{l=j}^{k-1} (1-\mu)^{k-l} \mathcal{G}^{T}(\xi_{l}) P_{2} \mathcal{G}(\xi_{l})\right\} = \mathbb{E}\left\{\sum_{j=k-d}^{k-1} \left(\sum_{l=j+1}^{k} -\sum_{l=j}^{k-1}\right) (1-\mu)^{k-l} \mathcal{G}^{T}(\xi_{l}) P_{2} \mathcal{G}(\xi_{l})\right\} = \mathbb{E}\left\{d\mathcal{G}^{T}(\xi_{k}) P_{2} \mathcal{G}(\xi_{k}) - (1-\mu)^{d} \sum_{j=k-d}^{k-1} \mathcal{G}^{T}(\xi_{j}) P_{2} \mathcal{G}(\xi_{j})\right\}.$$
(15)

Next, we pay our attention to the term $\tilde{\Gamma}_{1,k}^T \bar{\mathcal{L}}_1^T P_1 \bar{\mathcal{L}}_1 \tilde{\Gamma}_{1,k}$. First, noting that $\tilde{\gamma}_k = \sum_{i=1}^N \tilde{\theta}_{i,k} d_i$, we derive

$$\tilde{\Gamma}_{1,k} = \left(\sum_{i=1}^{N} \tilde{\theta}_{i,k} d_i\right) \otimes \mathbb{H} = (\tilde{\theta}_k^T \bar{d}) \otimes \mathbb{H}.$$
(16)

Then, setting $\mathcal{P} \triangleq \bar{\mathcal{L}}_1^T P_1 \bar{\mathcal{L}}_1$, one has

$$\mathbb{E}\{\tilde{\Gamma}_{1,k}^{T}\mathcal{P}\tilde{\Gamma}_{1,k}\} = \mathbb{E}\{(\tilde{\theta}_{k}^{T}\bar{d})\otimes\mathbb{H})^{T}\mathcal{P}((\tilde{\theta}_{k}^{T}\bar{d})\otimes\mathbb{H})\}$$

$$= \mathbb{E}\left\{((\tilde{\theta}_{k}^{T}\bar{d})^{T}(\tilde{\theta}_{k}^{T}\bar{d}))\otimes(\mathbb{H}^{T}\mathcal{P}\mathbb{H})\right\}$$

$$= (\bar{d}^{T}\Sigma\bar{d})\otimes(\mathbb{H}^{T}\mathcal{P}\mathbb{H})$$

$$= \bar{\sigma}\mathbb{H}^{T}\mathcal{P}\mathbb{H}.$$
(17)

According to Lemma 1, it is not difficult to see that

$$-\sum_{l=k-d}^{k-1} \mathcal{G}^T(\xi_l) P_2 \mathcal{G}(\xi_l) \le -\frac{1}{d} \left(\sum_{l=k-d}^{k-1} \mathcal{G}(\xi_l) \right)^T P_2 \left(\sum_{l=k-d}^{k-1} \mathcal{G}(\xi_l) \right).$$
(18)

Therefore, we conclude from (14)-(18) that

$$\mathbb{E}\left\{\Delta V_{k}+\mu V_{k}\right\}$$

$$=\mathbb{E}\left\{\Delta V_{1,k}+\mu V_{1,k}+\Delta V_{2,k}+\mu V_{2,k}\right\}$$

$$\leq \mathbb{E}\left\{\xi_{k}^{T}\left(\mathcal{A}^{T}P_{1}\mathcal{A}+\bar{\mathcal{A}}^{T}P_{1}\bar{\mathcal{A}}+(\mu-1)P_{1}+\bar{\sigma}\mathbb{H}^{T}\bar{\mathcal{L}}_{1}^{T}P_{1}\bar{\mathcal{L}}_{1}\mathbb{H}\right)\xi_{k}\right.$$

$$+\mathcal{F}^{T}(\xi_{k})\mathcal{B}^{T}P_{1}\mathcal{B}\mathcal{F}(\xi_{k})+d\mathcal{G}^{T}(\xi_{k})P_{2}\mathcal{G}(\xi_{k})$$

$$+v_{k}^{T}M^{T}\bar{\mathcal{L}}_{1}^{T}P_{1}\bar{\mathcal{L}}_{1}Mv_{k}+2\xi_{k}^{T}\mathcal{A}^{T}P_{1}\mathcal{B}\mathcal{F}(\xi_{k})$$

$$+\left(\sum_{l=k-d}^{k-1}\mathcal{G}(\xi_{l})\right)^{T}\left(\mathcal{C}^{T}P_{1}\mathcal{C}+\frac{(\mu-1)^{d}}{d}P_{2}\right)\left(\sum_{l=k-d}^{k-1}\mathcal{G}(\xi_{l})\right)$$

$$+2\mathcal{F}^{T}(\xi_{k})\mathcal{B}^{T}P_{1}\mathcal{C}\left(\sum_{l=k-d}^{k-1}\mathcal{G}(\xi_{l})\right)+2\xi_{k}^{T}\mathcal{A}^{T}P_{1}\mathcal{C}\left(\sum_{l=k-d}^{k-1}\mathcal{G}(\xi_{l})\right)\right\}$$

$$\triangleq \mathbb{E}\left\{\eta_{k}^{T}\Omega_{1}\eta_{k}\right\}+\mathrm{tr}\left\{M^{T}\bar{\mathcal{L}}_{1}^{T}P_{1}\bar{\mathcal{L}}_{1}M\right\}$$

$$(19)$$

where

$$\eta_{k} \triangleq \begin{bmatrix} \xi_{k}^{T} \quad \mathcal{F}^{T}(\xi_{k}) \quad \mathcal{G}^{T}(\xi_{k}) \quad \sum_{l=k-d}^{k-1} \mathcal{G}^{T}(\xi_{l}) \end{bmatrix}^{T}$$
$$\Omega_{1} \triangleq \begin{bmatrix} \Omega_{11} \quad \Omega_{12} \quad 0 \quad \Omega_{14} \\ * \quad \Omega_{22} \quad 0 \quad \Omega_{24} \\ * \quad * \quad \Omega_{33} \quad 0 \\ * \quad * \quad * \quad \Omega_{44} \end{bmatrix}.$$

It follows readily from (2) that

$$(\mathcal{F}(\xi_k) - \bar{\phi}_1 \xi_k)^T (\mathcal{F}(\xi_k) - \bar{\phi}_2 \xi_k) \le 0,$$
(20)

which is equivalent to

$$\frac{(\mathcal{F}(\xi_k) - \bar{\phi}_1 \xi_k)^T (\mathcal{F}(\xi_k) - \bar{\phi}_2 \xi_k)}{2} + \frac{(\mathcal{F}(\xi_k) - \bar{\phi}_2 \xi_k)^T (\mathcal{F}(\xi_k) - \bar{\phi}_1 \xi_k)}{2} \le 0.$$
(21)

As a result, we have

$$\Theta_{1,k} \triangleq \mathcal{F}^{T}(\xi_{k})\mathcal{F}(\xi_{k}) - \frac{\mathcal{F}^{T}(\xi_{k})\bar{\phi}_{2}\xi_{k}}{2} - \frac{\mathcal{F}^{T}(\xi_{k})\bar{\phi}_{1}\xi_{k}}{2} - \frac{\xi_{k}^{T}\bar{\phi}_{2}^{T}\mathcal{F}(\xi_{k})}{2} - \frac{\xi_{k}^{T}\bar{\phi}_{1}^{T}\mathcal{F}(\xi_{k})}{2} + \frac{\xi_{k}^{T}\bar{\phi}_{1}^{T}\bar{\phi}_{2}\xi_{k}}{2} + \frac{\xi_{k}^{T}\bar{\phi}_{2}^{T}\bar{\phi}_{1}\xi_{k}}{2} \leq 0.$$
(22)

Similarly, one has

$$\Theta_{2,k} \triangleq \mathcal{G}^{T}(\xi_{k})\mathcal{G}(\xi_{k}) - \frac{\mathcal{G}^{T}(\xi_{k})\bar{\psi}_{2}\xi_{k}}{2} - \frac{\mathcal{G}^{T}(\xi_{k})\bar{\psi}_{1}\xi_{k}}{2} - \frac{\xi_{k}^{T}\bar{\psi}_{2}^{T}\mathcal{G}(\xi_{k})}{2} - \frac{\xi_{k}^{T}\bar{\psi}_{1}^{T}\mathcal{G}(\xi_{k})}{2} + \frac{\xi_{k}^{T}\bar{\psi}_{1}^{T}\bar{\psi}_{2}\xi_{k}}{2} + \frac{\xi_{k}^{T}\bar{\psi}_{2}^{T}\bar{\psi}_{1}\xi_{k}}{2}$$

$$\leq 0.$$
(23)

Therefore, it is known from (19)-(23) that the following inequality holds

$$\mathbb{E}\{\Delta V_k + \mu V_k\} \leq \mathbb{E}\{\eta_k^T \Omega_1 \eta_k - \epsilon_1 \Theta_{1,k} - \epsilon_2 \Theta_{2,k}\} + \operatorname{tr}\{M^T \bar{\mathcal{L}}_1^T P_1 \bar{\mathcal{L}}_1 M\} \\ \triangleq \mathbb{E}\{\eta_k^T \Omega_2 \eta_k\} + \delta.$$
(24)

Then, it follows from (12) that

$$\mathbb{E}\{\Delta V_k + \mu V_k\} \le \delta. \tag{25}$$

Through straightforward algebraic manipulations, we have

$$\mathbb{E}\{V_k\} \leq (1-\mu)^k \left(\mathbb{E}\{V_0\} - \frac{\delta}{\mu}\right) + \frac{\delta}{\mu}$$

$$\leq (1-\mu)^k \mathbb{E}\{V_0\} + \frac{\delta}{\mu}.$$
(26)

It is easily seen from (26) that

$$\lambda_{\min}\{P_1\}\mathbb{E}\{\|e_k\|^2\} \le \lambda_{\min}\{P_1\}\mathbb{E}\{\|\xi_k\|^2\}$$
$$\le \lambda_{\min}\{P_1\}\mathbb{E}\{\|\eta_k\|^2\} \le \mathbb{E}\{V_k\}$$
$$\le (1-\mu)^k\mathbb{E}\{V_0\} + \frac{\delta}{\mu}$$
(27)

and one further has

$$\mathbb{E}\{\|e_k\|^2\} \le \frac{(1-\mu)^k}{\lambda_{\min}\{P_1\}} \mathbb{E}\{V_0\} + \frac{\delta}{\lambda_{\min}\{P_1\}\mu}.$$
(28)

Then, letting $k \to \infty$, one has

$$\lim_{k \to \infty} \mathbb{E}\{\|e_k\|^2\} = \frac{\delta}{\lambda_{\min}\{P_1\}\mu}.$$
(29)

As a consequence, the proof of this theorem is complete.

Having established a sufficient condition to ensure the exponential boundedness of the estimation error dynamics (9), next, we are interested in providing an analytical solution of the desired estimator gain and developing an optimization problem to obtain an asymptotic upper bound of the estimation error.

Theorem 2. Given the scalars $0 < \mu < 1$ and $\rho > 0$. Considering the system (1) under redundant channels (3), suppose that there exist a positive definite diagonal matrix $P_1 \triangleq \text{diag}\{p_{11}, \ldots, p_{2n,2n}\}$, a positive definite matrix P_2 , a real-value matrix $X \triangleq [X_1 \ 0]^T \in \mathbb{R}^{2n \times p}$ with $X_1 \triangleq \text{diag}\{\chi_{11}, \chi_{22}, \ldots, \chi_{pp}\} \in \mathbb{R}^{p \times p}$, positive scalars ϵ_1 , ϵ_2 and ϱ such that the following inequalities

$$\left[\Omega_{4} \triangleq \begin{bmatrix} \tilde{\Omega}_{11} & \tilde{\Omega}_{12} & \tilde{\Omega}_{13} & 0 & \tilde{\Omega}_{15} & \tilde{\Omega}_{16} & \tilde{\Omega}_{17} \\ * & \tilde{\Omega}_{22} & 0 & 0 & \tilde{\Omega}_{25} & 0 & 0 \\ * & * & \tilde{\Omega}_{33} & 0 & 0 & 0 & 0 \\ * & * & * & \Omega_{44} & \tilde{\Omega}_{45} & 0 & 0 \\ * & * & * & * & \tilde{\Omega}_{55} & 0 & 0 \\ * & * & * & * & * & \tilde{\Omega}_{66} & 0 \\ * & * & * & * & * & * & \tilde{\Omega}_{77} \end{bmatrix} < 0$$

$$(30)$$

$$\left[\begin{array}{c} -\varrho I & M^{T} X^{T} \\ -P_{1} \end{array} \right] < 0$$

hold, where

$$\begin{split} \tilde{\Omega}_{11} &\triangleq (\mu - 1)P_1 - \epsilon_1 \frac{\bar{\phi}_1^T \bar{\phi}_2 + \bar{\phi}_2^T \bar{\phi}_1}{2} - \epsilon_2 \frac{\bar{\psi}_1^T \bar{\psi}_2 + \bar{\psi}_2^T \bar{\psi}_1}{2} \\ \tilde{\Omega}_{12} &\triangleq \epsilon_1 \frac{\bar{\phi}_1^T + \bar{\phi}_2^T}{2}, \ \bar{\Omega}_{13} \triangleq \epsilon_2 \frac{\bar{\psi}_1^T + \bar{\psi}_2^T}{2}, \ \tilde{\Omega}_{77} \triangleq -P_1 \\ \tilde{\Omega}_{22} &\triangleq -\epsilon_1 I, \ \tilde{\Omega}_{33} \triangleq dP_2 - \epsilon_2 I, \ \tilde{\Omega}_{44} \triangleq \frac{(\mu - 1)^d}{d} P_2 \\ \tilde{\Omega}_{15} &\triangleq \begin{bmatrix} A^T P_{11} - \bar{\Gamma}^T [X_1^T \ 0] & 0 \\ 0 & A^T P_{22} \end{bmatrix}, \ \tilde{\Omega}_{25} \triangleq \mathcal{B}^T P_1 \\ \tilde{\Omega}_{45} \triangleq \mathcal{C}^T P_1, \ \tilde{\Omega}_{55} \triangleq -P_1, \ \tilde{\Omega}_{16} \triangleq \bar{\mathcal{A}}^T P_1, \ \tilde{\Omega}_{66} \triangleq -P_1 \\ \tilde{\Omega}_{17} \triangleq \sqrt{\bar{\sigma}} \mathbb{H}^T [X_1^T \ 0], \ P_{11} \triangleq \mathrm{diag}\{p_{11}, \dots, p_{pp}\} \\ P_{22} \triangleq \mathrm{diag}\{p_{(p+1), (p+1)}, \dots, p_{2n, 2n}\}. \end{split}$$

Then, the estimation error is exponentially bounded in the mean square sense, whose asymptotic upper bound is $\lim_{k\to\infty} \mathbb{E}\{\|e_k\|^2\} = \frac{\varrho}{\rho\mu}$. Furthermore, the minimum of this asymptotic upper bound can be derived by solving the following minimization problem

$$\min\{\varrho\}\tag{31}$$

subject to the LMI constraints (30). The estimator gain can be given as

$$l_i = p_{ii}^{-1} \chi_{ii}, \ i = 1, 2, \dots, p.$$
(32)

PROOF. Substituting $X_1 = P_1 \overline{L}$ into the first and second inequalities in (30) yields

$$\Omega_{3} \triangleq \begin{bmatrix}
\tilde{\Omega}_{11} & \tilde{\Omega}_{12} & \tilde{\Omega}_{13} & 0 & \tilde{\Omega}_{15} & \tilde{\Omega}_{16} & \tilde{\Omega}_{17} \\
* & \tilde{\Omega}_{22} & 0 & 0 & \tilde{\Omega}_{25} & 0 & 0 \\
* & * & \bar{\Omega}_{33} & 0 & 0 & 0 & 0 \\
* & * & * & \Omega_{44} & \tilde{\Omega}_{45} & 0 & 0 \\
* & * & * & * & \tilde{\Omega}_{55} & 0 & 0 \\
* & * & * & * & * & \tilde{\Omega}_{66} & 0 \\
* & * & * & * & * & * & \tilde{\Omega}_{77}
\end{bmatrix} < 0$$
(33)

where $\tilde{\Omega}_{15} \triangleq \mathcal{A}^T P_1$ and $\tilde{\Omega}_{17} \triangleq \sqrt{\bar{\sigma}} \mathbb{H}^T \bar{\mathcal{L}}_1^T P_1$. Then, applying the Schur Complement Lemma to (33), one easily obtains the first inequality of (30).

Then, according to the second inequality of (30), we have

$$\mathbb{E}\{\|e_k\|^2\} \le \frac{(1-\mu)^k}{\rho} \mathbb{E}\{V_0\} + \frac{\delta}{\rho\mu}.$$
(34)

Furthermore, with the help of the Schur Complement Lemma, it is easily seen from the third inequality of (30) that

$$\delta \triangleq \operatorname{tr}\{M^T \bar{\mathcal{L}}_1^T P_1 \bar{\mathcal{L}}_1 M\} < \varrho I.$$
(35)

Combining (34) and (35) results in

$$\mathbb{E}\{\|e_k\|^2\} \le \frac{(1-\mu)^k}{\rho} \mathbb{E}\{V_0\} + \frac{\varrho}{\rho\mu}.$$
(36)

Consequently, it can be concluded that an asymptotic bound of $\mathbb{E}\{\|e_k\|^2\}$ is $\frac{\rho}{\rho\mu}$ and the minimum of this asymptotic bound can be derived by minimizing ρ , which is equivalent to the optimization problem (31). The proof of this theorem is ended.

Remark 2. So far, the analysis and synthesis problem has been successfully solved by resorting to the Lyapunov stability theory, the stochastic analysis technique and the linear matrix inequality method. To be more specific, Theorem 1 has established a sufficient condition to guarantee the resulting estimation error systems (9) to achieve the overall exponential mean-square boundedness. However, notice that these conditions are non-convex with respect to the estimator gain matrix $\bar{\mathcal{L}}_1$. To this end, by means of the Schur Complement Lemma, Theorem 2 has been established where the required estimator gain matrix can be acquired by solving an optimization problem with certain matrix inequality constraints. It should be particularly emphasized that all important elements affecting the estimation performance have been involved in the matrix inequalities in Theorem 2, which includes the system parameters, time-delay length, damping exponent and probabilities of the packet dropout. **Remark 3.** It should be stressed that the computational complexity of a standard LMI system is polynomial-time, which is bounded by $O(\mathcal{MN}^3 \log(\mathcal{V}/\epsilon))$ where \mathcal{M} is the total row size of the LMI, \mathcal{N} is the total number of scalar decision variables, \mathcal{V} is a data-dependent scaling factor, and ϵ is the relative accuracy set for the algorithm. In view of this, we focus our attention on the state estimation problem for delayed neural networks (1) where the number of neuron nodes is n, and the dimensions of network variables are, respectively, $x_k \in \mathbb{R}^n$, $w_k \in \mathbb{R}$ and $v_k \in \mathbb{R}^p$. From Theorem 1, we can easily observe that $\mathcal{M} = 8n$ and $\mathcal{N} = n^2 + n + 2$, and therefore the computational complexity of the LMI-based stability criterion of the estimation error is $O(8n^3)$. Similarly, from Theorem 2, the computational complexity of the LMI-based state estimator design problem for delayed neural networks (1) is $O(7n^3+35n^2)$. Obviously, the computational complexity of the LMI-based estimation algorithm is dependent polynomially on the number of neurons. Fortunately, with the accelerated development of the computer technology, the computing speed has been not a main concern, which greatly facilitates the application of the LMI-based technology in the large-scale network.

4. An illustrative example

In this section, a numerical simulation is presented to illustrate the effectiveness of the developed estimator design scheme. A neural network consisting of four neurons is considered with the following parameters:

$$A = \begin{bmatrix} 0.4 & 0 & 0 & 0 \\ 0 & 0.2 & 0 & 0 \\ 0 & 0 & 0.3 & 0 \\ 0 & 0 & 0 & 0.1 \end{bmatrix}, \ \bar{A} = \begin{bmatrix} 0.31 & 0 & 0 & 0 \\ 0 & 0.3 & 0 & 0 \\ 0 & 0 & 0.3 & 0 \\ 0 & 0 & 0 & 0.2 \end{bmatrix}, \ B = C = \begin{bmatrix} 0.5 & 0.2 & 0.3 & 0.1 \\ 0.2 & 0.2 & 0 & 0 \\ 0.2 & 0 & 0.2 & 0 \\ 0.1 & 0 & 0 & 0.1 \end{bmatrix}.$$

The activation functions $f_i(\cdot)$ and $g_i(\cdot)$ (i = 1, ..., 4) are selected as

$$f_1(x_{1,k}) = \tanh(0.2x_{1,k}), \ f_2(x_{2,k}) = \tanh(0.5x_{2,k})$$

$$f_3(x_{3,k}) = \tanh(0.4x_{3,k}), \ f_4(x_{4,k}) = \tanh(0.2x_{4,k})$$

$$g_1(x_{1,k}) = \tanh(0.5x_{1,k}), \ g_2(x_{2,k}) = \tanh(0.35x_{2,k})$$

$$g_3(x_{3,k}) = \tanh(0.24x_{3,k}), \ g_4(x_{4,k}) = \tanh(0.2x_{4,k}),$$

which satisfy the sector-bounded condition (2) with

$$\phi_1 = \text{diag}\{-0.2, -0.5, -0.4, -0.2\}, \ \phi_2 = \text{diag}\{0.2, 0.5, 0.4, 0.2\}$$

$$\psi_1 = \text{diag}\{-0.5, -0.35, -0.24, -0.2\}, \ \psi_2 = \text{diag}\{0.5, 0.35, 0.24, 0.2\}$$

where $x_k = [x_{1,k} \ x_{2,k} \ x_{3,k} \ x_{4,k}]^T$.

The outputs are measured by four sensors. Assume that, during the transmission of the measurement outputs, there exist one redundant channel for the sake of improving the success rate of signal transmission. The corresponding parameters are set as $d_1 = d_2 = 0.5$, $m_1 = 0.16$, $m_2 = 0.18$, $m_3 = 0.2$, $m_4 = 0.25$, $\bar{\alpha}_1 = 0.9$ and $\bar{\alpha}_2 = 0.8$. The length of the time-delay is d = 1. The initial values are given as $x_0 = \begin{bmatrix} 0.25 & 0.3 & -0.2 & 0.1 \end{bmatrix}^T$ and $\hat{x}_0 = \begin{bmatrix} 0 & 0 & 0 & 0 \end{bmatrix}^T$.

Due to the harsh measurement environment and the limited measurement technology, only partial measurements can be available, which is divided into three cases in this simulation. Case I: only the measurement from node 1 can be obtained; Case II: only the measurements from nodes 1 and 2 can be obtained; and Case III: all measurements from nodes 1–4 can be obtained.

For Case II, according to Theorem 2, the state estimation problem can be solved by using LMI Toolbox. The corresponding parameters are computed as

$$p_{11} = 1.2329, p_{22} = 2.1213, \chi_{11} = 0.1966, \chi_{22} = 0.1627.$$

Then, based on the relationship $l_i = p_{ii}^{-1} \chi_{ii}$ (i = 1, 2), the estimator gains are $l_{11} = 0.1594$ and $l_{22} = 0.0767$. The simulation results are shown in Figures 1–5 where Figures 1–4 plot the trajectories of actual states and their estimates and Figure 5 plots the estimation errors.

Figure 6 and Table 1 reveal the influence of the number of available nodes on the estimation performance. Obviously, with the increase of the obtained measurement information, the mean-square error defined by $MSE = \frac{1}{T} \sum_{k=1}^{T} (e_k^T e_k)$ and the optimized upper $\frac{\varrho}{\rho\mu}$ accordingly decrease, which implies that the more nodes' information is used and better estimation performance is achieved. The simulation has well confirmed the effectiveness of our theoretical results.

Table 1: The asymptotic upper bound $\frac{\rho}{\rho\mu}$ for different cases

Case I	Case II	Case III
5.1797	3.8505	2.3922



Figure 1: State trajectory x_1 and its estimate.

5. Conclusions

In this paper, the state estimation problem has been discussed for a class of time-delay neural networks subject to the state-dependent noises under the redundant channel transmission mecha-



Figure 2: State trajectory x_2 and its estimate.



Figure 3: State trajectory x_3 and its estimate.



Figure 4: State trajectory x_4 and its estimate.



Figure 5: Estimation errors.



Figure 6: MSE.

nism. Additional transmission channels have been employed in order to decrease the occurrence probability of packet loss. A redundant-channel-based estimator has been designed by using a small fraction of the measurement outputs. In the simultaneous presence of both the redundant channels and the state-dependent noises, a sufficient condition has been established to ensure that the estimation error dynamics achieves the exponential mean-square boundedness. The required estimator gain has been derived by means of the solution to an optimization problem with certain matrix inequality constraints. In the end, the effectiveness of the designed estimator has been illustrated via a numerical simulation. Further research topics would include the extension of our results to 1) the partial-neurons-based state estimator design problem for ANNs with various communication protocols [5, 18, 19, 20, 35] and 2) time-varying probability distributions of packet dropouts [37, 40].

Acknowledgement

This work was supported in part by the National Natural Science Foundation of China under Grants 61873148, 61873169, 61903253, and 61933007, the National Postdoctoral Program for Innovative Talents in China under Grant BX20180202, the China Post-Doctoral Science Foundation under Grant 2019M661571, the Royal Society of the UK, the Alexander von Humboldt Foundation of Germany.

References

 S. Arik, A modified Lyapunov functional with application to stability of neutral-type neural networks with time delays, *Journal of the Franklin Institute*, vol. 356, no. 1, pp. 276–291, Jan. 2019.

- [2] W. Chen, D. Ding, J. Mao, H. Liu and N. Hou, Dynamical performance analysis of communication-embedded neural networks: A survey, *Neurocomputing*, vol. 346, no. 21, pp. 3–11, Jun. 2019.
- [3] Y.-H. Chen, T. Krishna, J. Emer and V. Sze, Eyeriss: An energy-efficient reconfigurable accelerator for deep convolutional neural networks, *IEEE Journal of Solid-State Circuits*, vol. 52, no. 1, pp. 127–138, Jan. 2017.
- [4] L. Cheng, W. Liu, C. Yang, T. Huang, Z.-G. Hou and M. Tan, A neural-network-based controller for piezoelectric-actuated stick-slip devices, *IEEE Transactions on Industrial Electronics*, vol. 65, no. 3, pp. 2598–2607, Mar. 2018.
- [5] D. Ding, Z. Wang and Q.-L. Han, A set-membership approach to event-triggered filtering for general nonlinear systems over sensor networks, *IEEE Transactions on Automatic Control*, vol. 65, no. 4, pp. 1792–1799, Apr. 2020.
- [6] Z. Feng and J. Lam, Stability and dissipativity analysis of distributed delay cellular neural networks, *IEEE Transactions on Neural Networks*, vol. 22, no. 6, pp. 976–981, Jun. 2011.
- [7] F. Han, Z. Wang and H. Dong, Partial-nodes-based scalable H_∞-consensus filtering with censored measurements over sensor networks, *IEEE Transactions on Systems, Man and Cybernetics: Systems*, DOI: 10.1109/TSMC.2019.2907649.
- [8] Y. He, Q. G. Wang, M. Wu and C. Lin, Delay-dependent state estimation for delayed neural networks, *IEEE Transactions on Neural Networks*, vol. 17, no. 4, pp. 1077–1081, Jul. 2006.
- [9] M. Hernandez-Gonzalez, E. A. Hernandez-Vargas and M. V. Basin, Discrete-time high order neural network identifier trained with cubature Kalman filter, *Neurocomputing*, vol. 322, pp. 13–21, Dec. 2018.
- [10] J. Hu, Z. Wang, G.-P. Liu and H. Zhang, Variance-constrained recursive state estimation for time-varying complex networks with quantized measurements and uncertain inner coupling, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 31, no. 6, pp. 1955–1967, Jun. 2020.
- [11] X. Kan, J. Liang, Y. Liu and F. E. Alsaadi, Robust H_{∞} state estimation for BAM neural networks with randomly occurring uncertainties and sensor saturations, *Neurocomputing*, vol. 311, pp. 225–234, 2018.
- [12] H. R. Karimi and H. Gao, New delay-dependent exponential H_∞ synchronization for uncertain neural networks with mixed time delays, *IEEE Transactions on Systems, Man, and Cybernetics, Part B: Cybernetics*, vol. 40, no. 1, pp. 173–185, Jul. 2009.
- [13] J. Li, H. Dong, Z. Wang and X. Bu, Partial-neurons-based passivity-guaranteed state estimation for neural networks with randomly occurring time delays, *IEEE Transactions on Neural Networks and Learning Systems*, DOI: 10.1109/TNNLS.2019.2944552.
- [14] L. Li, X. Shi and J. Liang, Synchronization of impulsive coupled complex-valued neural networks with delay: The matrix measure method, *Neural Networks*, vol. 117, pp. 285–294, Sept. 2019.

- [15] H. Liu, Z. Wang, B. Shen and X. Liu, Event-triggered H_{∞} state estimation for delayed stochastic memristive neural networks with missing measurements: The discrete time case, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 29, no. 8, pp. 3726–3737, Aug. 2018.
- [16] D.-P. Liu, Y.-J. Liu, S. Tong and C. L. P. Chen, Neural networks-based adaptive control for nonlinear state constrained systems with input delay, *IEEE Transactions on Cybernetics*, vol. 49, no. 4, pp. 1249–1258, Apr. 2019.
- [17] B. Liu, W. Lu and T. Chen, Global almost sure self-synchronization of Hopfield neural networks with randomly switching connections, *Neural Networks*, vol. 24, no. 3, pp. 305–310, Apr. 2011.
- [18] S. Liu, Z. Wang, Y. Chen and G. Wei, Protocol-based unscented Kalman filtering in the presence of stochastic uncertainties, *IEEE Transactions on Automatic Control*, vol. 65, no. 3, pp. 1303–1309, Mar. 2020.
- [19] S. Liu, Z. Wang, G. Wei and M. Li, Distributed set-membership filtering for multirate systems under the Round-Robin scheduling over sensor networks, *IEEE Transactions on Cybernetics*, vol. 50, no. 5, pp. 1910–1920, May 2020.
- [20] S. Liu, Z. Wang, L. Wang and G. Wei, On quantized H_{∞} filtering for multi-rate systems under stochastic communication protocols: The finite-horizon case, *Information Sciences*, vol. 459, pp. 211–223, Aug. 2018.
- [21] Y. Liu, Z. Wang, Y. Yuan and W. Liu, Event-triggered partial-nodes-based state estimation for delayed complex networks with bounded distributed delays, *IEEE Transactions on Systems*, *Man, and Cybernetics: Systems*, vol. 49, no. 6, pp. 1088–1098, Jun. 2019.
- [22] Y. Liu, Z. Wang, J. Liang and X. Liu, Synchronization and state estimation for discretetime complex networks with distributed delays, *IEEE Transactions on Systems, Man, and CyberneticsPart B: Cybernetics*, vol. 38, no. 5, pp. 1314–1325, Oct. 2008.
- [23] Y. Liu, B. Shen and H. Shu, Finite-time resilient H_{∞} state estimation for discrete-time delayed neural networks under dynamic event-triggered mechanism, *Neural Networks*, vol. 121, pp. 356– 365, Jan. 2020.
- [24] C. Lu, X.-M. Zhang, M. Wu, Q.-L. Han and Y. He, Energy-to-peak state estimation for static neural networks with interval time-varying delays, *IEEE Transactions on Cybernetics*, vol. 48, no. 10, pp. 2823–2835, 2018.
- [25] R. Lu, P. Shi, H. Su, Z.-G. Wu and J. Lu, Synchronization of general chaotic neural networks with nonuniform sampling and packet missing: A switched system approach, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 29, no. 3, pp. 523–533, Mar. 2018.
- [26] J. Ma and S. Sun, Linear estimators for networked systems with one-step random delay and multiple packet dropouts based on prediction compensation, *IET Signal Processing*, vol. 11, no. 2, pp. 197–204, Apr. 2017.
- [27] K. Mathiyalagan, H. Su, P. Shi and R. Sakthivel, Exponential H_{∞} filtering for discrete-time switched neural networks with random delays, *IEEE Transactions on Cybernetics*, vol. 45, no. 4, pp. 676–687, Apr. 2015.

- [28] J. Misra and I. Saha, Artificial neural networks in hardware: A survey of two decades of progress, *Neurocomputing*, vol. 74, pp. 1–3, pp. 239–255, Dec. 2010.
- [29] M. Mohammed and C. Lim, An enhanced fuzzy min-max neural network for pattern classification, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 26, no. 3, pp. 417–429, Mar. 2015.
- [30] X. Nie, J. Liang and J. Cao, Multistability analysis of competitive neural networks with Gaussian-wavelet-type activation functions and unbounded time-varying delays, *Applied Mathematics and Computation*, vol. 356, pp. 449–468, Sept. 2019.
- [31] H. Shen, S. Huo, J. Cao and T. Huang, Generalized state estimation for Markovian coupled networks under Round-Robin protocol and redundant channels, *IEEE Transactions on Cybernetics*, vol. 49, no. 4, pp. 1292–1301, 2019.
- [32] J. Song, Y. Niu and H.-K. Lam, Reliable sliding mode control of fast sampling singularly perturbed systems: A redundant channel transmission protocol approach, *IEEE Transactions* on Circuits and Systems I-Regular Papers, vol. 66, no. 11, pp. 4490–4501, Nov. 2019.
- [33] Q. Song, Q. Yu, Z. Zhao, Y. Liu and F. E. Alsaadi, Boundedness and global robust stability analysis of delayed complex-valued neural networks with interval parameter uncertainties, *Neural Networks*, vol. 103, pp. 55–62, Jul. 2018.
- [34] L. Tian, Y. Cheng, C. Yin, D. Ding, Y. Song and L. Bai, Design of the MOI method based on the artificial neural network for crack detection, *Neurocomputing*, vol. 226, pp. 80–89, 2017.
- [35] L. Wang, Z. Wang, G. Wei and F. E. Alsaadi, Observer-based consensus control for discretetime multiagent systems with coding decoding communication protocol, *IEEE Transactions* on Cybernetics, vol. 49, no. 12, pp. 4335–4345, Dec. 2019.
- [36] L. Wang, Z. Wang, Q.-L. Han and G. Wei, Synchronization control for a class of discretetime dynamical networks with packet dropouts: A coding-decoding-based approach, *IEEE Transactions on Cybernetics*, vol. 48, no. 8, pp. 2437–2448, Aug. 2018.
- [37] L. Wang, G. Wei and W. Li, Probability-dependent H_{∞} synchronization control for dynamical networks with randomly varying nonlinearities, *Neurocomputing*, vol. 133, pp. 369–376, Jun. 2014.
- [38] J.-L. Wang, H.-N. Wu, T. Huang, S.-Y. Ren and J. Wu, Passivity analysis of coupled reactiondiffusion neural networks with Dirichlet boundary conditions, *IEEE Transactions on Systems Man Cybernetics-Systems*, vol. 47, no. 8, pp. 2148–2159, Aug. 2017.
- [39] M. Wang, Y. Zhang and C. Wang, Learning from neural control for non-affine systems with full state constraints using command filtering, *International Journal of Control*, DOI:10.1080/00207179.2018.1558285.
- [40] G. Wei, Z. Wang, B. Shen and M. Li, Probability-dependent gain-scheduled filtering for stochastic systems with missing measurements, *IEEE Transactions on Circuits and Systems-II: Express Briefs*, vol. 58, no. 11, pp. 753–757, Nov. 2011.

- [41] Z.-G. Wu, P. Shi, H. Su and J. Chu, Exponential stabilization for sampled-data neural-networkbased control systems, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 25, no. 12, pp. 2180 –2190, Dec. 2014.
- [42] W. Xu, J. Cao, M. Xiao, D. W. C. Ho and G. Wen, A new framework for analysis on stability and bifurcation in a class of neural networks with discrete and distributed delays, *IEEE Transactions on Cybernetics*, vol. 45, no. 10, pp. 2224–2236, Oct. 2015.
- [43] Y. Xu, R. Lu, P. Shi, J. Tao and S. Xie, Robust estimation for neural networks with randomly occurring distributed delays and Markovian jump coupling, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 29, no. 4, pp. 845–855, Apr. 2018.
- [44] B. Zhang, J. Lam and S. Xu, Stability analysis of distributed delay neural networks based on relaxed Lyapunov-Krasovskii functionals, *IEEE Transactions on Neural Networks and Learning* Systems, vol. 26, no. 7, pp. 1480–1492, 2015.
- [45] H. Zhang, J. Hu, H. Liu, X. Yu and F. Liu, Recursive state estimation for time-varying complex networks subject to missing measurements and stochastic inner coupling under random access protocol, *Neurocomputing*, vol. 346, pp. 48–57, Jun. 2019.
- [46] L. Zhang, Y. Zhu and W. X. Zheng, Energy-to-peak state estimation for Markov jump RNNs with time-varying delays via nonsynchronous filter with nonstationary mode transitions, *IEEE Transactions on Neural Networks and Learning Systems*, vol. 26, no. 10, pp. 2346–2356, 2015.
- [47] X.-M. Zhang, Q.-L. Han, X. Ge and D. Ding, An overview of recent developments in Lyapunov-Krasovskii functionals and stability criteria for recurrent neural networks with time-varying delays, *Neurocomputing*, vol. 313, pp. 392–401, Nov. 2018.
- [48] D. Zhao, Z. Wang and G. Wei, Proportional-integral observer design for multi-delayed sensorsaturated recurrent neural networks: A dynamic event-triggered protocol, *IEEE Transactions* on Cybernetics, DOI:10.1109/TCYB.2020.2969377, 2020.
- [49] Z. Zhao, Z. Wang, L. Zou and G. Guo, Finite-time state estimation for delayed neural networks with redundant delayed channels, *IEEE Transactions on Systems, Man, and Cybernetics: Sys*tems, DOI: 10.1109/TSMC.2018.2874508.
- [50] Y. Zhu, L. Zhang and W. Zheng, Distributed H_{∞} filtering for a class of discrete-time Markov jump Lur'e systems with redundant channels, *IEEE Transactions on Industrial Electronics*, vol. 63, no. 3, pp. 1876–1885, Mar. 2016.