

1 Article

# 2 Preliminary Investigation of the Performance of an 3 Engine Equipped with an Advanced Axial 4 Turbocharger Turbine

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12 **Abstract:** Stringent emission regulations and increased demand for improved fuel economy has  
13 called for advanced turbo technologies in automotive engines. The use of turbochargers on smaller  
14 engines is one such concept but they are limited by the time delay to reach the required boost  
15 during transient operation. The amount of turbocharger lag plays a key role in the driver's  
16 perceived quality of a passenger vehicle's engines response. This paper investigates an alternative  
17 method to the conventional design of a turbocharger turbine to improve the transient response of a  
18 passenger vehicle. The investigation utilises the Ford Eco-Boost 1.6 litre petrol engine, an  
19 established production engine, equipped with a turbocharger of similar performance to the GT1548  
20 produced by Honeywell. Commercially available Ricardo WAVE was used to model the engine.  
21 Comparing the steady state performance showed that the axial turbine provides higher efficiencies  
22 at all operating conditions of engine. The transient case demonstrated an improved transient  
23 response at all operating conditions of the engine. The study concluded that by designing a similar  
24 sized axial turbine, the mass moment of inertia can be reduced by 12.64% and transient response  
25 can be improved on average by 11.76%, with a maximum of 21.05% improvement. This study  
26 provides encouragement for the wider application of this turbine type to vehicles operating on  
27 dynamic driving cycles such as passenger vehicles, light commercial vehicles and certain off-road  
28 applications.

29 **Keywords:** turbocharger; axial turbine; genetic algorithms; multidisciplinary design optimisation

30

## 31 1. Introduction

32 During recent years, emissions legislation for automotive manufacturing has become more  
33 restrictive, requiring much more advanced exhaust after treatment systems. This requirement puts  
34 heavy demand on manufacturers to provide unique solutions to meet these targets. The downsizing  
35 of engines provides an alternative solution to reduce the emission levels produced in passenger cars,  
36 as well as improving fuel consumption. Spark ignition engines can have an expected 40% smaller  
37 displacement, along with 25% improved combustion performance by implementing a turbocharger  
38 [1]. The use of smaller engines, operating with less fuel than that of their larger counterparts directly  
39 reduces the amount of emissions produced, which in addition also reduces fuel consumption.

40 With the increase in turbocharged passenger vehicles, driver demand for reduced 'turbo lag'  
41 will likely follow, or from the driver's point of view, the delay in throttle response. Turbo lag is a  
42 measure of the time delay for a turbo spinning at a low speed, where no boost is produced, to be

43 brought up to the speed where it can function effectively [2]. The reduction of turbo lag can be  
44 associated with the design of the turbine component of the turbocharger, used to recover energy in  
45 the exhaust gases to mechanical energy. Turbo lag is mostly apparent at low engine speeds, where  
46 the exhaust mass flow rate is not sufficient to provide enough energy to drive the turbine. Many  
47 methods have been suggested and tested to reduce turbo lag, but fundamentally, the most important  
48 is reducing the mass moment of inertia. An alternative method suggested by the Ford Motor  
49 Company was the implementation of an axial inflow turbine to improve transient response [3].

50 Conventionally, turbocharger turbines employed in the automotive industry are radial inflow,  
51 whereby the exhaust gas enters the rotor radially and leaves axially. The axial turbine is different, in  
52 that the exhaust gases are axially guided by stationary guide vanes (stators) onto the rotor. Thus the  
53 flow through the rotor is predominantly in axial and tangential directions and there is no radial  
54 change in the flow across the rotor. The benefit of this method over its conventional counterpart is  
55 that it typically, at the same diameter, provides a higher total-to-static efficiency, and thus produces  
56 more power for the same flow conditions. This means that the axial rotor diameter can be reduced to  
57 produce the same power as its radial counter-part, thus reducing its mass and its inertia [4].

58 Even with lower inertia, like its radial counterpart, the axial turbine is still limited by turbo-lag,  
59 which is more evident at lower engine speeds and load conditions. Variable geometry turbines  
60 (VGT) technology is used to improve the performance of turbine at lower flow rates as well as higher  
61 flow rates, by interacting with the flow to change the velocity and flow angles to achieve better  
62 turbine performance. Literature also reports the use of mixed flow turbines in turbocharger  
63 applications [5, 6]. The use of mixed flow turbines reduces the inertia and they also provide a flat  
64 efficiency characteristic, enhancing the performance at lower blade speed ratios.

65 This paper however carries forward the analysis of an axial turbine concept carried out by  
66 Pesyridis et al [7, 8]. The objective of this paper is to analyse the transient response of the  
67 turbocharger system using the axial turbine and to compare it with a conventional radial turbine. By  
68 necessity certain assumptions are embedded (see section 3) and a detailed scaling method is  
69 presented (in section 2.2) in converting the turbine for appropriate use for this particular engine  
70 application. The methodologies and results presented below, are extensive using real-world engine  
71 data but did not intend to be applicable to the specific production engine. The methodology was  
72 based around the idea of proximity to the performance of a popular, mass production engine with  
73 the ultimate goal being the detailed comparison and discussion of the merits of the presented axial  
74 turbine versus the baseline radial turbine in current use.

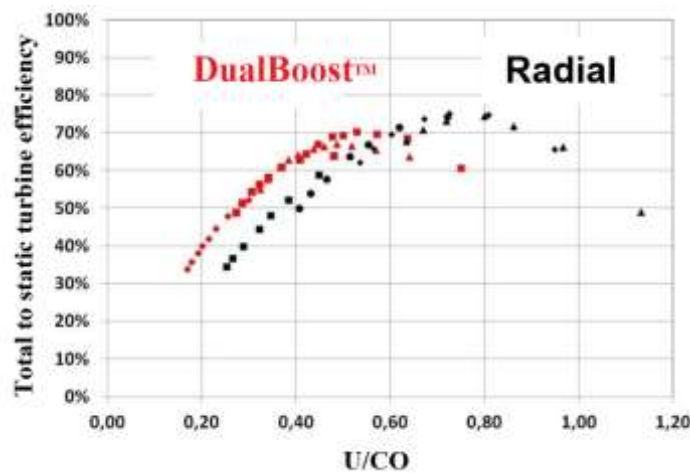
## 75 2. Literature review

### 76 2.1 Axial Turbines for Turbocharging

77 Honeywell Turbo Technologies (HTT) presented a report detailing a breakthrough in the  
78 development of an axial turbine for improved transient response with their DualBoost™  
79 turbocharger. The results demonstrated a reduction in turbo lag of 25%, by reducing the mass  
80 moment of inertia by 50% [9]. Figure 1 shows the comparison of the total to static efficiency between  
81 the newly developed DualBoost™ turbine and the baseline radial. The figure demonstrates that the  
82 axial turbine is more effective under the low velocity (blade tip to absolute gas flow velocity) ratios,  
83 in recovering the energy from the exhaust gas. However, the theoretical maximum efficiency of the  
84 axial turbine is lower than that of the radial turbine.

85 This technology, however, is not relatively new. Ford Motor Company conducted a testing in  
86 1985 to assess the performance of an axial turbine when compared to a radial turbine on a 2.3-litre  
87 engine under transient conditions. The study identified that the axial turbine could produce the  
88 same “flow and peak efficiency characteristics” as its radial counterpart at different engine speeds  
89 but with a lower inertia. The results of the study demonstrated a 25% to 40% improvement on the  
90 transient response of the turbocharger with just under 50% mass moment of inertia reduction. This  
91 provides a target for the design considered in the present work to achieve at least a 25%  
92 improvement in transient response [3].

93 Despite the discussed advantages in the implementation of the axial turbines, there are few  
 94 challenges associated with it. Axial turbines are predominantly found in aerospace and large power  
 95 generation applications. For automotive turbochargers, as the power range is in kilowatts, blade  
 96 height for axial turbines is comparatively smaller than radial turbines and would result in  
 97 disproportionate increase in leakage flow effects. Therefore, a key challenge is the corresponding  
 98 decrease in efficiency with a decrease in size. This calls for finer manufacturing tolerances and in  
 99 turn makes the employment of axial turbines for turbocharger applications more costly [10]. Hence,  
 100 increases in aerodynamic efficiency are of paramount importance in the successful employment of  
 101 such designs. In addition, for the successful implementation of an axial turbine design, a detailed  
 102 flow field and rotor dynamic analysis of the turbine needs to be performed followed by transient  
 103 performance testing of the turbocharger and engine. This type of research is on-going but outside the  
 104 scope of this paper.  
 105  
 106



**Figure 1.** Comparison of turbine total to static efficiency vs blade to gas speed ratio between a radial and axial turbine [9].

107 *2.2 Turbocharging map scaling*

108 Matching a turbocharger map to any given engine model is a difficult task to accomplish;  
 109 several different methods throughout literature have been attempted. However, all methods start  
 110 with the same fundamental principle, of scaling the map in terms of dimensionless coefficients. By  
 111 using this method, a map is obtained that is representative of a family of turbochargers of similar  
 112 performances, but of different operating conditions and sizes. For turbomachinery in the gas turbine  
 113 industry, the following scaling law relationship (Eq. 1) is used to define the pressure ratio as a  
 114 function of rotor tip Mach number, the mass flow coefficient, the Reynolds number and the Mach  
 115 number based on inlet conditions [11].  
 116

$$\frac{P_d}{P_u} = f \left[ \frac{N_{TC} D}{\sqrt{RT_u}}, \frac{\dot{m} \sqrt{RT_u}}{P_u D^2}, R_e, M_n \right] \quad (1)$$

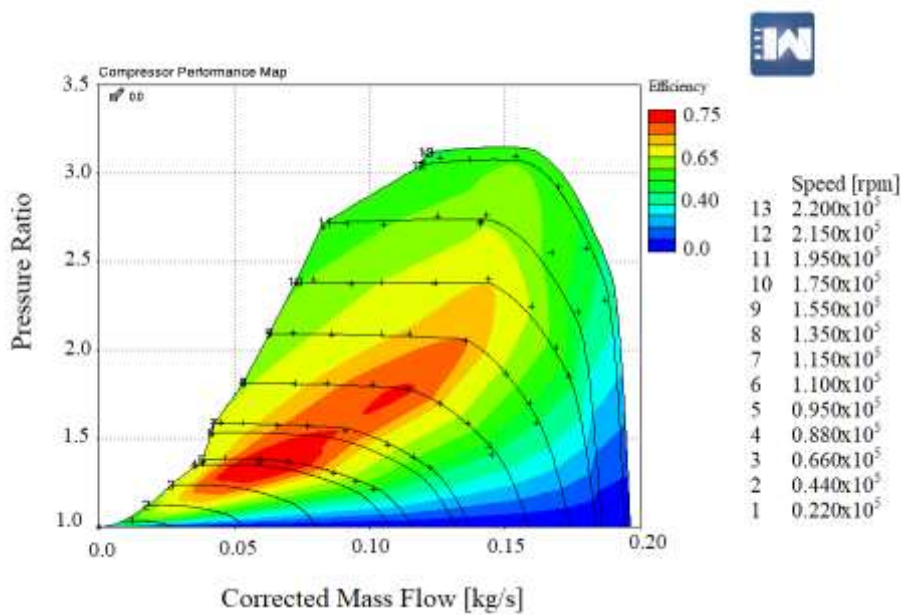
117  
 118  
 119 Equation 1 shows the pressure ratio for a compression process. For a turbine, it would be for the  
 120 expansion process which would be the inverse of the left-hand side of Eq. 1, but the right hand side  
 121 stays the same. The above equation allows for the ability to treat a tested turbocharger performance  
 122 as a black box system, and similar pressure ratios can be obtained for compressors and turbines of  
 123 the same family by keeping these parameters constant at each point. Thus, this assumption leads to  
 124 the first stage used in most literature to scale maps to their dimensionless coefficients, giving a  
 125 generic map that will govern the family. This is extremely useful when designing new equipment, as  
 126 it is far easier and quicker to simply scale a map theoretically to test turbomachinery, at various  
 127 diameters, then manufacture and test.

128 The laws can yield comparable results for differently sized turbomachinery; however an exact  
 129 match between the similar turbomachinery cannot be achieved [12]. Therefore to simplify the scaling  
 130 of turbomachinery it can be assumed for small scaling factors of diameter, the Reynolds number can  
 131 be free to vary and the gas specific properties of the flow are constant [13]. This assumption has also  
 132 been used in an alternative method for scaling using the polytropic infinitesimal stage [14].  
 133 Therefore when scaling, Eq. 1 can be further reduced to Eq. 2.

134  
 135 
$$\frac{P_d}{P_u} = f \left[ \frac{N_{TC} D}{\sqrt{T_u}}, \frac{\dot{m} \sqrt{T_u}}{P_u D^2} \right] \quad (2)$$

136  
 137 Equation 2 along with the black box treatment of the turbomachinery, can be better put into  
 138 context by looking at a performance map shown below in Fig. 2.

139  
 140  
 141



**Figure 2.** Typical map of a compressor

142 Even with the scaling laws simplified, matching a turbocharger to an engine is a far more  
 143 difficult task in itself, as the turbomachinery diameter is not chosen but needs to be obtained to  
 144 provide the desired performance, and this task needs to be accomplished simultaneously for both  
 145 compressor and turbine. Bell et al. [15] showed good results for scaling process by first identifying  
 146 the compressor diameter and the torque required from the turbine to reach the necessary boost,  
 147 yielding good turbocharger performance results at various engine sizes. However, the author does  
 148 not show whether or not the results were matched to specific engine performances. Nevertheless,  
 149 Ricardo WAVE balances the turbocharger shaft speed using a torque balance equation, meaning this  
 150 method is viable [16].

151 When scaling for pressure ratios, the results shown have a good correlation between differently  
 152 sized turbomachinery. However, most methods investigated have not considered scaling the  
 153 efficiency, which leads to the question, should the efficiency be scaled? Or is it acceptable to assume  
 154 the change as negligible to the final results? The efficiency can be established as a function of the  
 155 corrected shaft speed and the pressure ratio as shown in Eq. 3 [15].

156  
 157 
$$\eta_{isen} = f \left[ N_{corr}, \frac{P_u}{P_d} \right] \quad (3)$$

158

159 Based on a previous analysis, if the pressure ratio is not changed during the scaling process,  
160 then it should be considered as to how much the function is dependent on the corrected shaft speed.  
161 In theory with only a small change to the turbomachinery diameter based on Eq. 2, only a small  
162 change to the corrected shaft speed would be calculated, thus dependent on the level of influence  
163 this parameter has, it could be assumed that the impact to the efficiency is negligible. Comparing  
164 this assumption with Fig. 2, it is clear that a significant change to the corrected shaft speed would be  
165 needed to affect the efficiency results. Analysis by Ernst et al. [12] demonstrated that ‘for small trim  
166 variations (4%) the changes in pressure ratio and efficiency are negligible’ and therefore scaling for  
167 efficiency should only be considered for large variations in trim (24%).

### 168 3. Methodology

169 The present study involves the analysis of an axial turbine design developed by Pesyridis et al  
170 [7, 8]. Preliminary design and optimization of the developed axial turbine is reported in the same  
171 literature [7, 8]. Ford Eco Boost 1.6 litre petrol engine with a Garrett turbocharger was chosen for the  
172 analysis. The selection procedure following the Garrett manual observed that the GT1548 to be best  
173 suited for the Ford EcoBoost engine and was thus opted for further study.

174 The study includes

175 (i) Development of a correlated engine model by scaling the original GT1548 turbocharger test  
176 results to match the operating performances of the engine model to Ford Eco-Boost 1.6 litre petrol  
177 engine data provided by earlier works

178 (ii) Obtain baseline steady-state performance results of the engine model with the scaled radial  
179 turbine implemented

180 (iii) Develop a transient model within Ricardo WAVE to compare the turbo-lag between the  
181 baseline and the new designs.

182 (iv) Obtain performance maps to be used to implement in all engine models to provide  
183 comparative steady-state results between designs.

184 (v) Conduct inertia testing to obtain an estimated mass moment of the GT1548 turbocharger,  
185 and then scale it to the diameters used to match the turbocharger to the engine.

186 (vi) Obtain all transient turbocharger data to compare the times to reach maximum shaft speed  
187 and manifold pressure against the baseline radial turbine.

#### 188 3.1 Transient one-dimensional engine modelling

189 The chosen engine modelling software for this study is Ricardo WAVE. Within WAVE there are  
190 two methods for performing a transient simulation. The first is built into WAVE and requires the  
191 implementation of a control system, the other requires exporting the model using WAVE RT to  
192 combine the model within Simulink. The first method was chosen for the present study as it is far  
193 less complex to set up, as well as reduces the need for debugging to one software.

194 With the transient modelling, it is important that the moment of inertia is calculated correctly,  
195 taking into consideration all components of the system. Otherwise, the results could be inaccurate  
196 and yield only theoretical results. Mishra and Saad [17], indicated a 68% transient response  
197 improvement using an electrically assisted compressor. This was achieved without including a  
198 moment of inertia for the electrical motor system by applying the assisted torque directly. Similar to  
199 this analysis Gilkes et al. [18] have investigated an air injection assist in a turbocharged 2-litre diesel  
200 engine, in which the simulation (WAVE RT) suggested an excess of 15 seconds for the compressor to  
201 reach the required manifold pressure. Therefore, care should be taken when analysing the results to  
202 ensure they are realistic by comparing to real test data reported in literatures.

203 Real test data from the Ford Motor Company report indicated that for the 2.3 litre engine, the  
204 time to reach the desired shaft speed was approximately 2 seconds at most tested conditions, and for  
205 HTT and the DualBoost™, took no longer than 3 seconds [3, 9]. This range is also concurred by  
206 results obtained by Eriksson et al. [19] from an engine model that had been fully validated with  
207 engine test data that estimated around 2 seconds to reach desired shaft speeds. Therefore it is highly  
208 feasible to achieve realistic results, provided the modelling has been set up correctly.

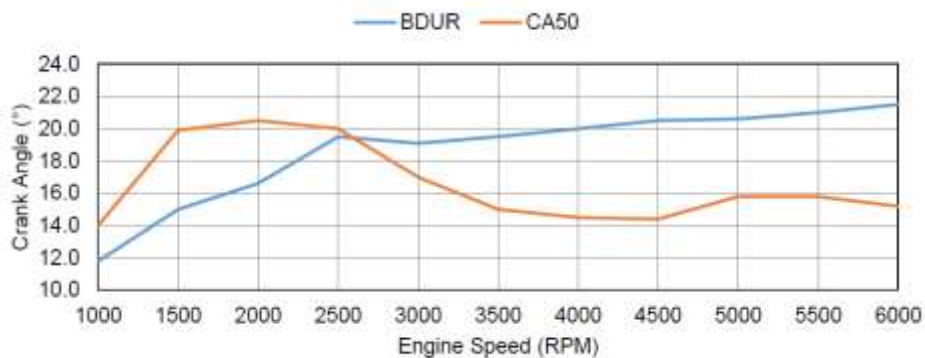
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210 **4 Ricardo wave engine modelling**

211 *4.1 Engine model setup*

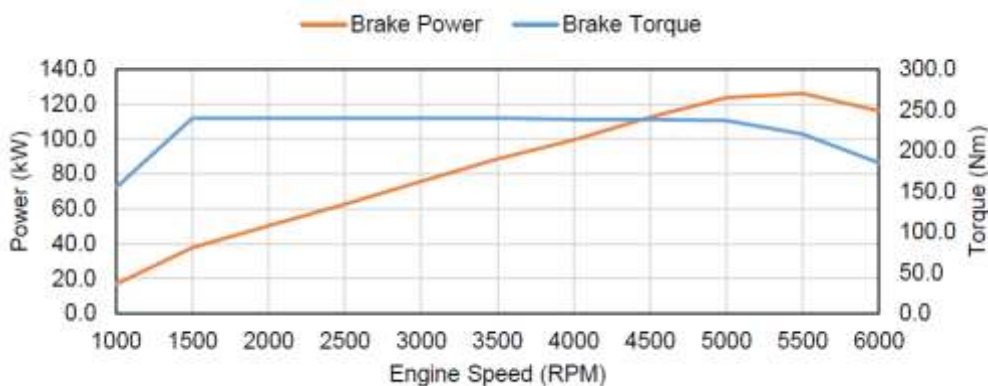
212 The engine model used in the present study is the Ricardo WAVE engine model of the Ford  
 213 eco-boost 1.6 litre petrol engine. A few adjustments were made to the engine model. Firstly, the  
 214 catalytic duct placed after the turbine was removed, as the modelling was causing excessively high  
 215 static pressures in the exhaust manifold. Also, the length of the duct entering the turbine was  
 216 increased to 10 mm from 0 mm.

217 The next step in the setting up the model was to implement appropriate parameters for 'SI  
 218 Wiebe Combustion' sub-model used. For this, values for the location of the 50% mass fraction  
 219 burned point (CA50) position and burn (combustion) duration (BDUR) from 10% - 90% are required.  
 220 These values have been obtained from literature and are shown in Fig. 3 adapted from [20]. The  
 221 turboshaft was also changed from fixed speed to a balanced configuration, as fixed speed would  
 222 result in the compressor absorbing more power than the turbine was providing, and thus be  
 223 simulating an electrically assisted turbocharger, resulting in fabricated simulation results. As the  
 224 inertia at the time was not known, the shaft model was changed to use a dimensionless balance  
 225 parameter which allows the turboshaft to accelerate/decelerate based upon the power balance  
 226 between the compressor and the turbine [16]. The corresponding engine performance plots of the  
 227 actual engine are shown in Fig. 4 and Fig. 5 adapted from [20].  
 228



229 **Figure 3.** SI Wiebe combustion model parameters [20]

229



230 **Figure 4.** Torque and Power performance of Ford Eco Boost 1.6 litre petrol engine at full load [20]

230



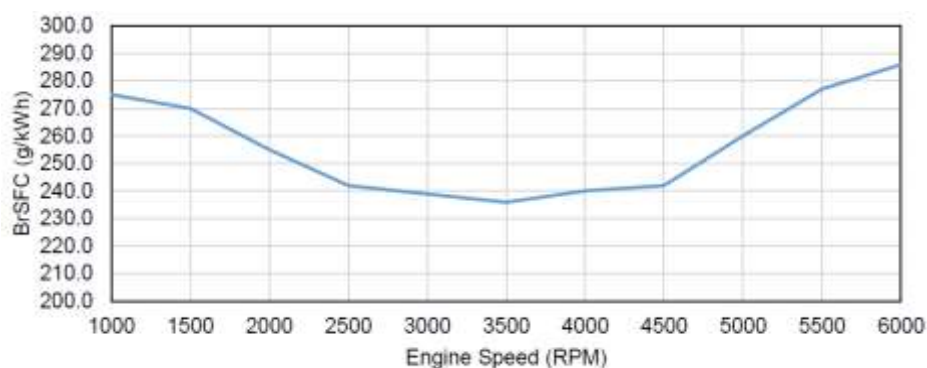


Figure 5. BSFC of Ford Eco Boost 1.6 litre petrol engine at full load [20]

231 4.2 GT1548 Turbocharger matching to engine

232 4.2.1 Turbocharger test data implementation

233 The test data from the original GT1548 turbocharger was implemented into the model. The  
 234 hot-gas test compressor data was used along with the warm-air test data for the turbine. Before  
 235 implementing the maps into the engine model, the mass flow rates and turbocharger shaft speeds  
 236 require correction to normalise the raw data to ambient conditions for a direct comparison between  
 237 the radial and axial turbine results. This is done by using Eq. 4 and Eq. 5. The reference values used  
 238 are the ones from the test report and are shown in Table 1.

239 The corrected maps are then implemented into the WAVE model, along with the reference  
 240 conditions and are shown in Fig. 2 and Fig. 6.

241

242 
$$m_{corr} = \frac{m \sqrt{T_u/T_{ref}}}{(P_u/P_{ref})} \tag{4}$$

243

244 
$$N_{corr} = N_{Tc} / \sqrt{T_u/T_{ref}} \tag{5}$$

245

246 Table 1. Reference conditions for conducting turbocharger maps

247

Reference condition	Compressor	Turbine
Pressure	100	101.3
Temperature	298	288

248

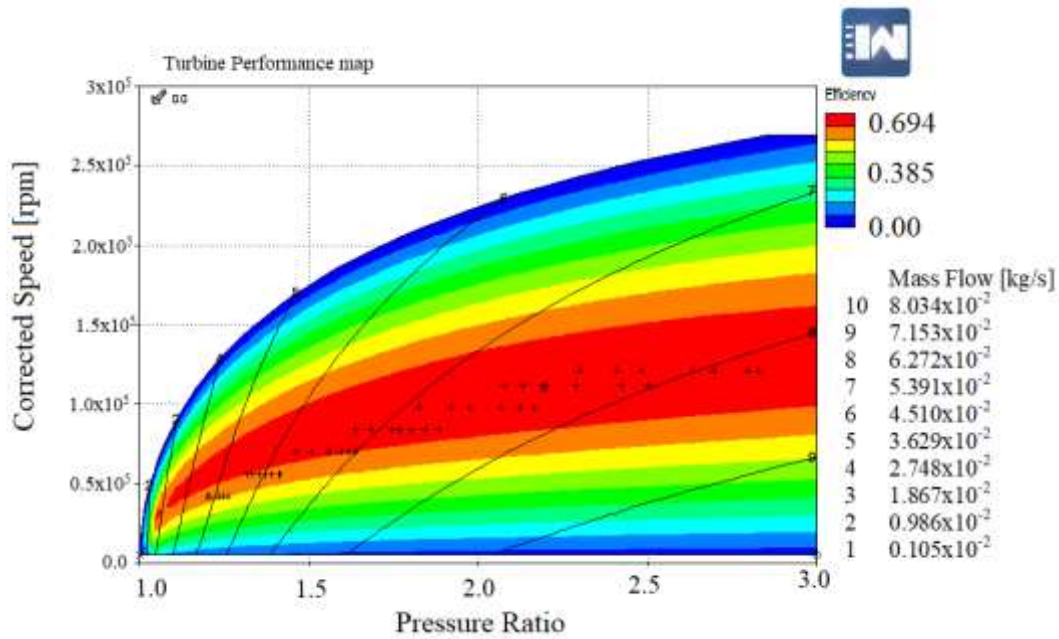


Figure 6. Turbine Ricardo WAVE map

249 4.2.2 Compressor and turbine map scaling

250 The method suggested by Bell et al. [15] was used for scaling the turbocharger maps, as the  
 251 baseline principles yielded good results for generation of maps with similar performances but at  
 252 different sizes. Yet the suggested method was not followed in full, as while the method provides  
 253 conclusive results based on the work conducted, it was unable to provide a methodology that would  
 254 allow effective engine operating conditions matching. The method was followed until the target  
 255 parameters were identified, from then on the method utilises an iterative process of a discontinuous  
 256 function to achieve the compressor outlet and turbine inlet conditions that were tested on the model  
 257 to provide the correct operating condition at the team’s design point.

258 To start the scaling process, both maps are required to be converted into dimensionless maps,  
 259 representative of the family of compressors and turbines with similar trims [15]. Both maps are  
 260 scaled using the corrected mass flow rates to obtain the mass flow coefficient (Eq. 6 and 7) and the  
 261 rotor tip Mach number (Eq. 8 and 9) as a function of the upstream conditions. The results are shown  
 262 in Fig. 7 and Fig. 8. Note the compressor map was generated using a simple MATLAB script with an  
 263 interpolation function.

264

$$\phi_C = \frac{\dot{m}_C \sqrt{RT_u}}{P_u D_C^2} \quad (6)$$

$$\phi_T = \frac{\dot{m}_T \sqrt{RT_u}}{P_u D_T^2} \quad (7)$$

$$C_{0,C} = \left(\frac{2\pi}{60}\right) N_C \frac{D_C}{\sqrt{\gamma_a RT_u}} \quad (8)$$

$$C_{0,T} = \left(\frac{2\pi}{60}\right) N_T \frac{D_T}{\sqrt{\gamma_E RT_u}} \quad (9)$$

265

266



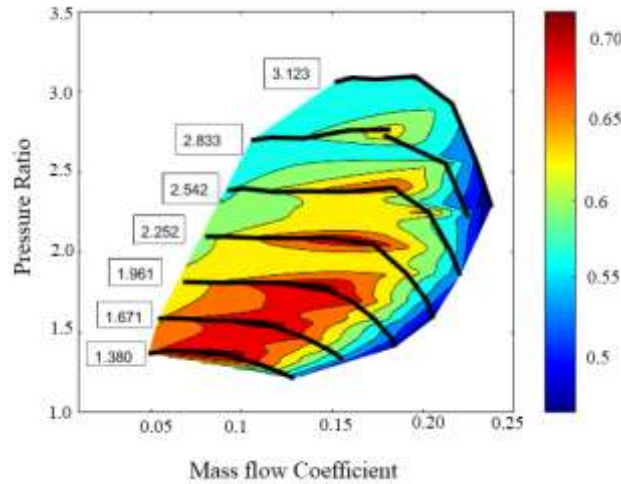


Figure 7. Compressor dimensionless map

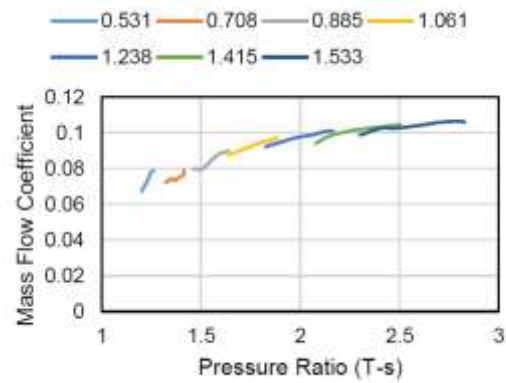


Figure 8. Turbine map for different dimensionless speeds.

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270  
271  
272  
273  
274

With the maps now dimensionless, they can be scaled according to the diameters that match the engine. The next step in the process of scaling the turbocharger maps is to determine the scaled diameters. For this, the air mass flow rate and manifold pressure required to achieve the desired engine performance needs to be calculated [16]. The calculation is done at an engine speed of 5,000 rpm. The mass flow rate was found to be 0.118 kg/s using Eq. 10 and manifold pressure to be 2.14 bar (Eq. 11).

275  
276

$$\dot{m}_{air} = \frac{\dot{W}_{AFR}}{\eta_{THELHV}} \tag{10}$$

277  
278

$$P_m = \frac{120 \dot{m}_{air} RT_m}{\eta_V N_E V_d} \tag{11}$$

279  
280  
281  
282  
283  
284  
285  
286  
287  
288  
289  
290  
291

The next stage is to determine the inlet conditions of the turbine and outlet conditions of the compressor. This was achieved by following the instructions for calibrating a boosted model set out by WAVE's help manual [21]. The compressor outlet and turbine inlet are disconnected from the model and replaced by ambient ducts. Using WAVE's built-in Design of Experiments tool (DoE), and the Box Benken method of DoE, 25 simulations were conducted varying the compressor outlet conditions and turbine inlet conditions. An empirical equation was then obtained from the results using a multiple regression methodology and a MATLAB script. The script then solved the equation to identify the best combination to achieve the desired engine air mass flow rate. These conditions were then run in the WAVE model to confirm the output matched the predicted empirical response. Finally, the turbine mass flow rate is obtained using the AFR, as while the fuel has combusted, the same amount of mass is expected to flow through the exhaust. The turbine mass flow rate is calculated using Eq. 12. The target parameters are shown in Table 2.

292  
293  
294

$$\dot{m}_T = \dot{m}_C \left( 1 + \frac{1}{AFR} \right) \tag{12}$$

Table 2. Target conditions for turbocharger

Parameter	Compressor target	Turbine target
T <sub>targ</sub>	411 K	1170 K
P <sub>targ</sub>	2.14 bar	2.65 bar
m <sub>targ</sub>	0.118 kg/s	0.127 kg/s

295

296 With target parameters obtained, the diameters can be calculated using the dimensionless  
 297 relationship, assuming that the mass flow coefficient is constant for the unscaled and scaled  
 298 turbocharger maps. Equations 6 and 7 can be equated and re-arranged using the current upstream  
 299 parameters and the target upstream parameters, resulting in two new equations (Eq. 13 and 14), in  
 300 which 'ref' subscript denotes the current parameter values and 'targ' denotes the target parameter  
 301 values [11]. Similarly, Equation 8 and 9 is used to scale the turbocharger speed by taking the average  
 302 results obtained and is shown in Eq. 15. As the aim of this is to also achieve the correct compressor  
 303 outlet condition, these were used instead of the inlet conditions to obtain the compressor diameter.  
 304 The reason this method worked is due to the assumption of the efficiency not changing, and for the  
 305 target conditions, the power of the compressor absorbed will be the same for the scaled unscaled  
 306 maps.

309 
$$D_{C,new} = \sqrt{\frac{D_{C,ref}^2 P_{d,targ} \dot{m}_{C,ref} \sqrt{RT_{d,ref}}}{P_{d,ref} \dot{m}_{C,targ} \sqrt{RT_{d,targ}}}} \quad (13)$$

310 
$$D_{T,new} = \sqrt{\frac{D_{T,ref}^2 P_{u,targ} \dot{m}_{T,ref} \sqrt{RT_{u,ref}}}{P_{u,ref} \dot{m}_{T,targ} \sqrt{RT_{u,targ}}}} \quad (14)$$

312 
$$N_{TC} = \frac{1}{2} \left[ \frac{N_{T,ref} D_{T,ref} \sqrt{\gamma_E RT_{u,targ}}}{D_{T,new} \sqrt{\gamma_E RT_{u,ref}}} + \frac{N_{C,ref} D_{C,ref} \sqrt{\gamma_a RT_{C,d,targ}}}{D_{C,new} \sqrt{\gamma_a RT_{C,d,ref}}} \right] \quad (15)$$

314 Running this calculation once, yielded better performance results, however the maps were still  
 315 not matched to the engine, and the target conditions were not achieved. It was decided to continue  
 316 iterating the calculation using Eq. 13, 14 and 15, and updating the reference parameters with the  
 317 current values.

318 The final results were then used for the turbocharger maps shown in Fig. 9 and Fig. 10. These  
 319 maps were then used to identify the correct compressor speeds for the rest of the engine model at  
 320 full load. It should be noted that comparing the literature it was not necessary to scale the efficiency  
 321 of the compressor as the scale factor was relatively low (2.1%). However, for the turbine with a scale  
 322 factor of 29%, it may not have been ideal to assume that the efficiency would not change. Therefore it  
 323 is possible that the turbine would, in theory, have a higher efficiency and thus a greater power  
 324 output.  
 325  
 326  
 327

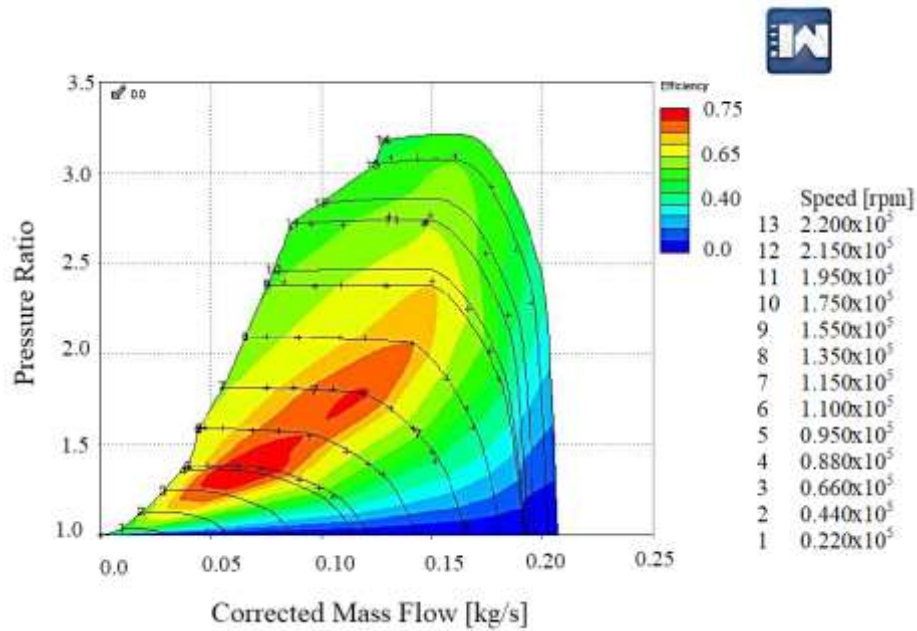


Figure 9. Scaled compressor map

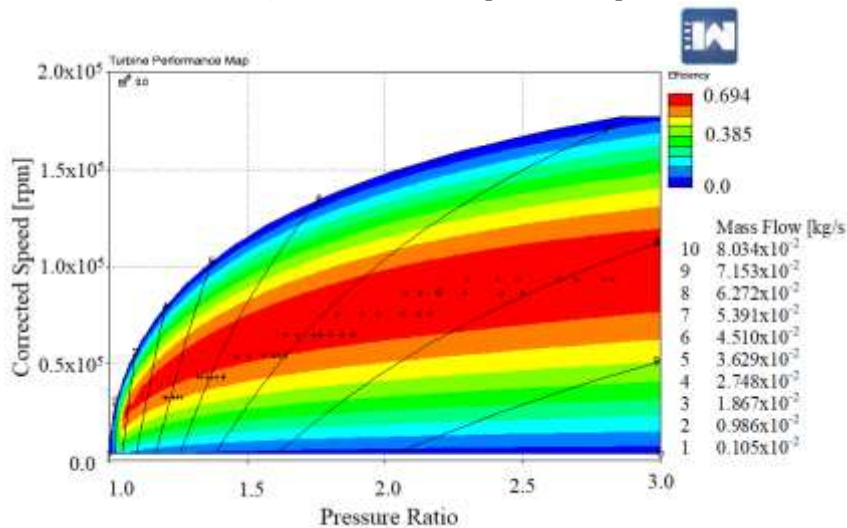


Figure 10. Scaled turbine map

328 4.2.3 Turbocharger speeds for full load modelling

329 To identify the correct turbocharger speeds to be used for each full load engine speed, a speed  
 330 sweep on the turbocharger shaft speed was conducted from 4,000 rpm to 150,000 rpm in intervals of  
 331 4,000 rpm. While this speed sweep analysis excessively uses low speeds that would likely not be  
 332 used for full loading, the data would be used for part load analysis to identify the correct speeds. The  
 333 reason for this is, during a DoE optimum search procedure run in WAVE, it was identified that the  
 334 throttle position had minimal impact on the flow rate. The results of the sweep to identify the full  
 335 load turbocharger shaft speed are shown in Fig. 11 and 12.

336 The turbocharger speeds were found by interpolating the results for the torque and power that  
 337 matched the provided data, and then the average speed from both interpolations was used to  
 338 identify the correct turbocharger speed for each engine speed. The choice of linear interpolation was  
 339 used as although the profiles of the sweeps are slightly curved when observing the full sweep  
 340 graphs shown later in Fig. 14, a linear interpolation was used, since it can be reasonably assumed  
 341 that between relatively small changes in turbocharger speed (4,000 rpm), the response is linear. This  
 342 assumption is confirmed from Fig. 11 and Fig. 12. For the lower engine speeds (1000 and 2000 rpm) it  
 343 was noted that the shaft speed would not increase enough to provide the conditions to match the

344 provided data. However, this is to be expected for a few reasons. Firstly, the scaling point of the map  
 345 (5000 rpm), while chosen to ensure the design point operating conditions were matched to the  
 346 provided data, may not have been ideal for the lower speeds, resulting in the map performing well  
 347 at high engine speeds only. The second reason is that the provided data is likely the engine data with  
 348 the stock turbocharger mounted; therefore it is not easy to match all the operating points of the  
 349 turbocharger, as the GT1548 is designed to provide more power than the stock turbocharger.  
 350 Another reason is due to the choice of assuming constant turbine efficiency for the map scaling  
 351 process. This means the turbine is in theory producing less power as discussed in the previous  
 352 section, thus resulting in the compressor not receiving enough power to reach higher shaft speeds.  
 353

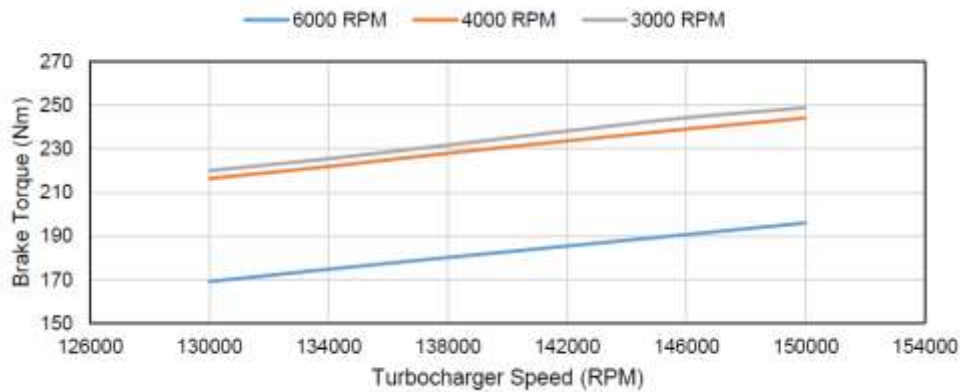


Figure 11. Variation of engine torque during compressor speed sweep at different engine speeds

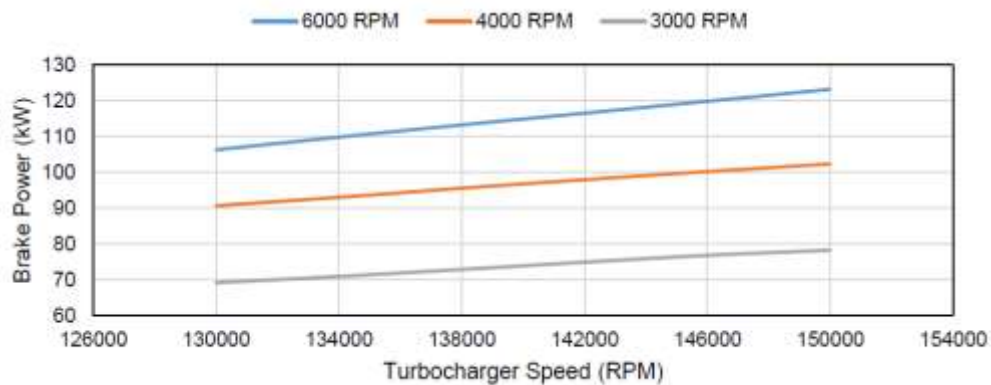


Figure 12. Variation of engine power during compressor speed sweep at different engine speeds

354 4.2.4 Investigation into chosen scaling point effect

355 To confirm the effect of chosen scaling point, the entire scaling process, including the  
 356 identification of the correct compressor outlet and turbine inlet conditions, was repeated for 3  
 357 additional engine speeds. The process was conducted for 10 iterations to get an indication of the  
 358 results, resulting in 30 additional iterations. The diameter results for each engine speed are shown in  
 359 Table 3. The above results have highlighted two important effects that the chosen scaling point has  
 360 on the results. Lower engine speeds, where the air mass flow rate is significantly lower results in a  
 361 larger compressor to increase the pressure sufficiently and the chosen point significantly affects the  
 362 results. Relative to the compressor, the turbine results, while they have been affected, it is not as  
 363 significant. Considering the significant variation of the results obtained, it is suggested that, it is a far  
 364 more sensible and logical option to scale for a mid-range speed, than an end-range speed. Thus  
 365 ensuring the turbomachinery is better matched at all operating points, and not just at a selected  
 366 range.

367 Based on this new information, a better clarification on the results for 2000 rpm is understood,  
 368 and it would have been ideal to use 3000 or 4000 rpm as the chosen scaling point. Nevertheless, the

369 results thus far have been acceptable for comparable bases as both baseline and new turbines are still  
 370 going to be tested with the same compressor.

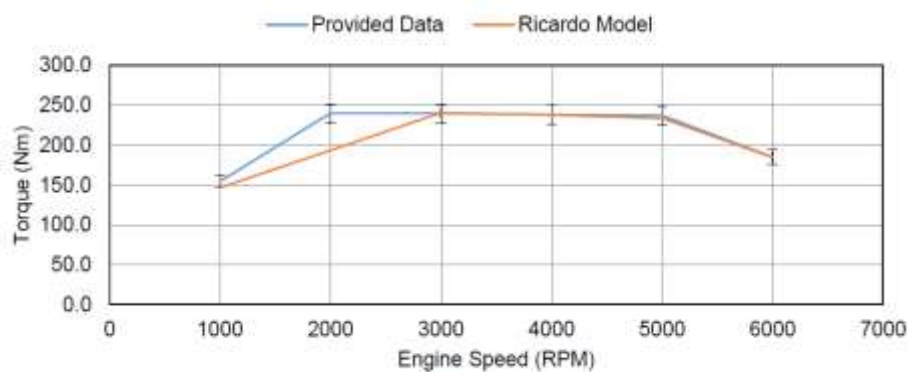
371  
 372

**Table 3.** Results of scaling for different engine speed performances

Engine Speed	$D_C$ (m)	$D_T$ (m)
1000 rpm	0.05571	0.05186
3000 rpm	0.05253	0.05258
5000 rpm	0.04985	0.05298
6000 rpm	0.04664	0.05308

373 *4.3 Engine modelling validation*

374 The full load results have been compared to the provided engine data and are shown in Fig. 13,  
 375 14 and 15. For all but one engine speed, the results correlate well and are within 5% of the data. At  
 376 2000 rpm, the results at full load do not correlate with the data provided and is a result of the  
 377 turbocharger shaft being unable to reach the speed required to deliver the performance data. As  
 378 discussed previously, the chosen point for map scaling may not have been ideal and the data  
 379 provided is assumed to be with the stock turbocharger fitted. However, looking at Fig. 16, the BSFC  
 380 for this speed correlates to within 5% of the provided data and demonstrates that while the target  
 381 performance is not achieved, the fuel consumption and air mass flow rate results are providing the  
 382 correct proportion of power. In addition, 3000 rpm is the only point on the graph that is not within  
 383 5% (6.03%), indicating a higher consumption than the provided data. This could be a result of the  
 384 combustion model data that was provided not being correct for the data point, resulting in a higher  
 385 air mass flow rate needed to achieve the same performance. Nevertheless, the majority of full load  
 386 conditions are correlated to adequate levels for the purpose of this analysis, and as long as the  
 387 modelling of the maps are accurate, results can still be compared effectively. It should be noted at  
 388 this point that 2000 rpm engine speed results should be critically evaluated for realistic values under  
 389 the transient simulation. The data provided to the team for the chosen design boundary conditions is  
 390 shown in Table 4.  
 391



**Figure 13.** Comparison between provided engine torque and Ricardo WAVE model

392  
 393  
 394  
 395  
 396

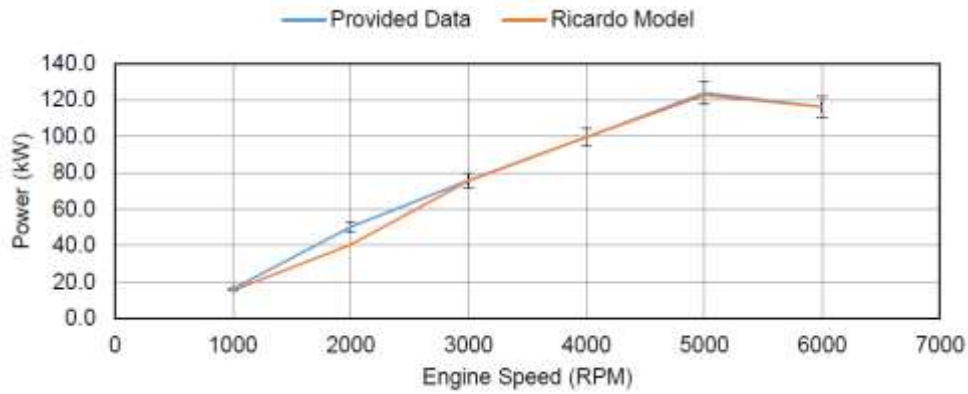


Figure 14. Comparison between provided engine power and Ricardo WAVE model

397

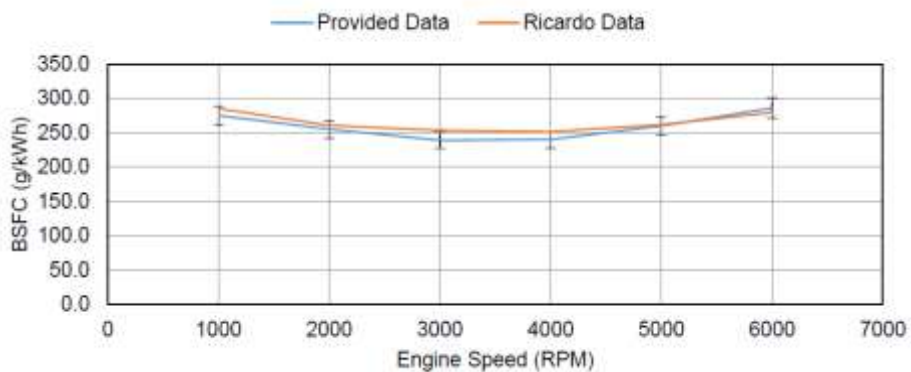


Figure 15. Comparison between provided engine BSFC and Ricardo WAVE model

398

399

Table 4. Design point turbine boundary conditions generated in WAVE

Condition	Value
Inlet total pressure (bar)	2.65611
Outlet static pressure (bar)	1.30352
Inlet total temperature (K)	1168.55
Outlet static temperature (K)	1052.44
Mass flow rate (kg/s)	0.1272

400 4.4 Part load modelling

401 The part load modelling was achieved by adjusting the turbocharger’s shaft speed so that the  
 402 mass flow rate corresponded to 40%, 60% and 80% of the full load mass flow rate, based on the  
 403 assumption that engine load is directly proportional to air mass flow rate.

404 To identify the correct turbocharger speed to achieve the appropriate mass flow rate, the  
 405 previous speed sweep analysis was used from Section 4.2.3. The results for each engine speed are  
 406 shown below in Fig. 16. To identify which turbocharger speeds should be used for each condition,  
 407 the results were then linearly interpolated for the mass flow rate that corresponded to the expected  
 408 part load air mass flow rate for each loading case at each engine speed. The choice of linear  
 409 interpolation was used, as although the profiles of the sweeps are curved, a linear interpolation was  
 410 used, since it can be reasonably assumed that between relatively small changes in turbocharger  
 411 speed (4,000 rpm), the response is linear.

412 The final turbocharger shaft speeds for each loading case are shown below in Table 5 and the  
 413 mass flow rates for each loading case are shown in Table 6. Using the shaft speeds, the mass flow  
 414 rates correlated well with the expected mass flow rates and are within 1%. This shows that the choice  
 415 of linear interpolation was appropriate.

416



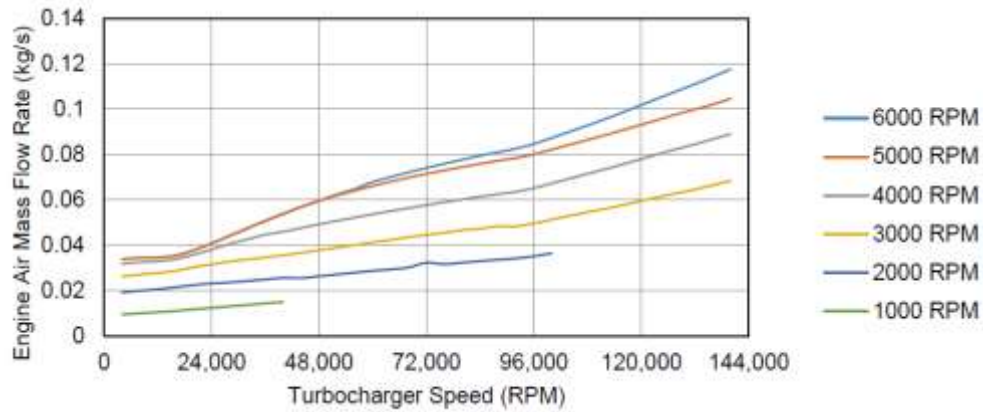


Figure 16. Variation of engine air mass flow rate during compressor speed sweep at each engine speed

417  
418

Table 5. Turbocharger speed map

N <sub>TC</sub> (RPM)	Engine speed (RPM)						
	Load	1,000	2,000	3,000	4,000	5,000	6,000
100 %		46700	106500	143630	145210	158850	141757
80 %		26978	69536	112308	111906	121476	111412
60 %		5214	24751	63182	64313	69697	66690
40%		n/a	n/a	13395	21609	31429	32202

419  
420

Table 6. Air mass flow rate at each engine speed and load

m <sub>ae</sub> (kg/hr)	Engine speed (RPM)						
	Load	1,000	2,000	3,000	4,000	5,000	6,000
100 %		57.9623	143.255	257.264	335.677	427.272	433.747
80 %		46.2953	113.751	207.029	269.308	342.331	347.253
60 %		34.8423	85.4361	153.386	202.144	256.701	259.625
40%		n/a	n/a	102.079	132.553	167.475	171.063

421 **5. Results and discussion**

422 *5.1 Baseline radial turbine engine data*

423 *5.1.1 Baseline performance data*

424 The baseline performance results achieved from the model setup are shown in Fig. 17, 18 and  
425 19. No results were obtained for 40 % part load at low speeds. As previously mentioned modelling of  
426 these would require turbocharger shaft speeds of < 0 RPM, which is not possible to model in WAVE  
427 and also in real world.

428 The BSFC results are also as expected, with higher values at lower engine loads due to pumping  
429 losses. In addition to this, the validation results (Section 4.3) demonstrated that the BSFC was  
430 matched at full load to an acceptable level providing the correct amount of air mass flow rate to the  
431 power produced by the engine. Overall the performance results from the model setup, turbocharger  
432 matching and part load modelling have resulted in a satisfactory correlation with the engine [22].

433  
434

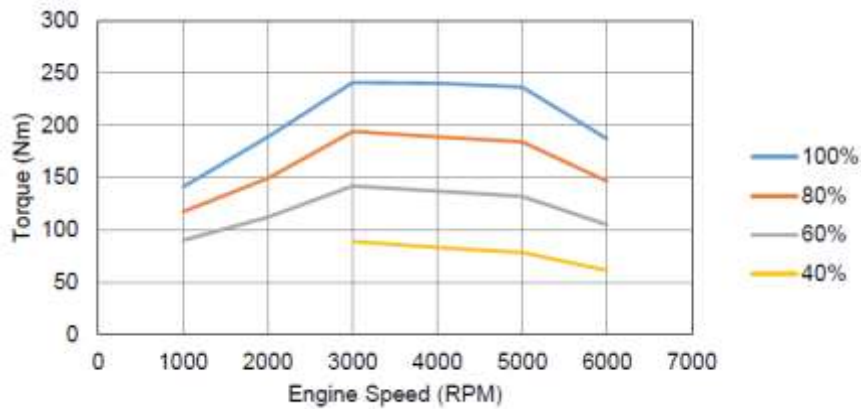


Figure 17. Baseline engine torque at different engine speeds

435

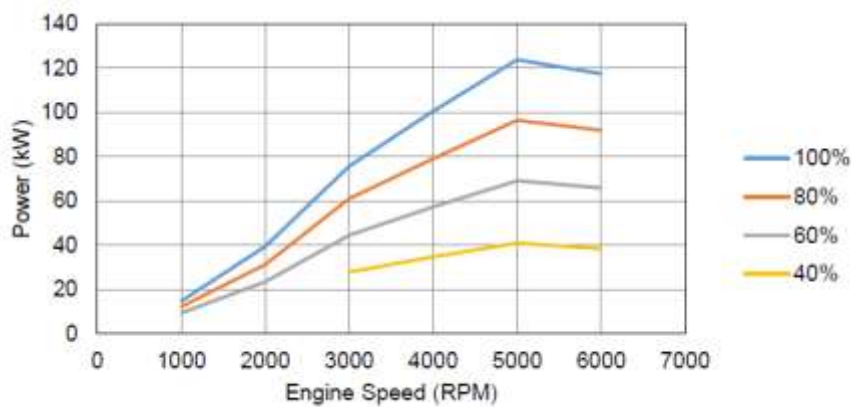


Figure 18. Baseline engine brake power at different engine speeds

436

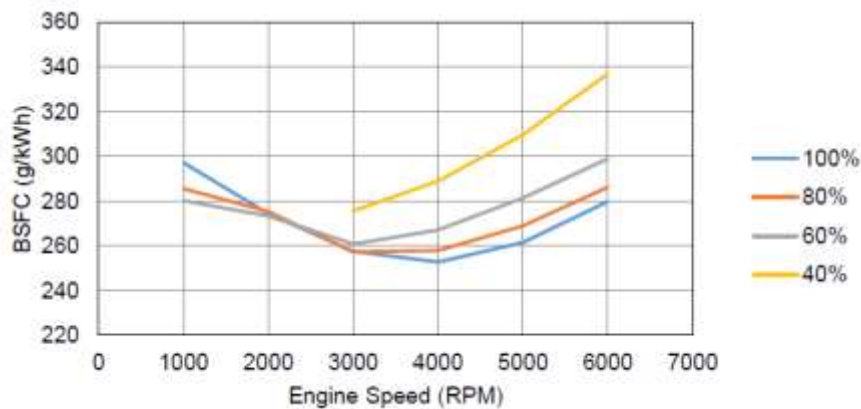


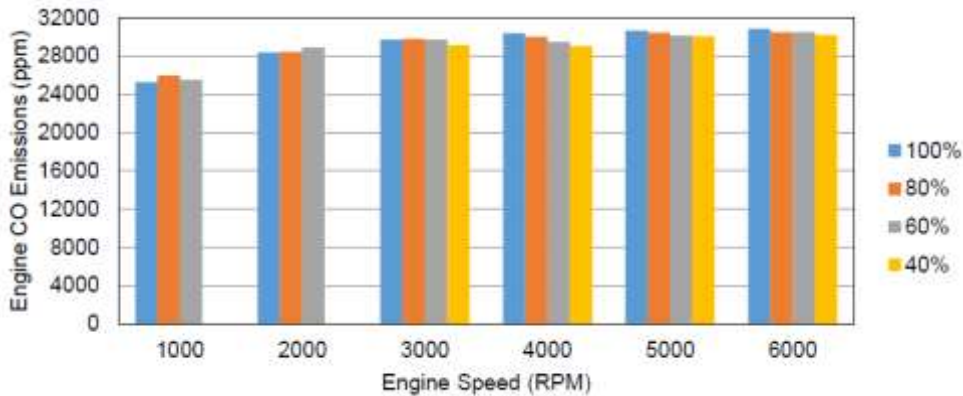
Figure 19. Baseline engine BSFC at different engine speeds

437 5.1.2 Baseline emissions data

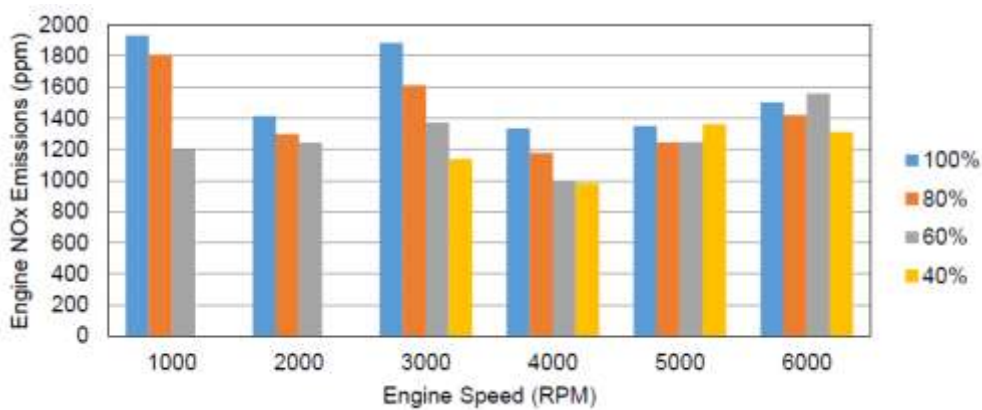
438 The baseline emissions results achieved from the model setup are shown in Fig. 20, 21 and 22.  
 439 The results obtained are only to provide an indication of the effect of the new turbine on the  
 440 in-cylinder emissions. The NOx results demonstrate higher values at full load in most cases, which is  
 441 to be expected for the higher air mass flow rate. As more fuel is being burnt to maintain the  
 442 air-to-fuel ratio, higher will be the combustion cylinder temperatures.

443 Looking at the uHC emissions in Fig. 22, the lower speed emissions at full load do appear to be  
 444 excessively high relative to the other operating conditions. However, no specific model was used to  
 445 calculate these emissions and so the default process used in WAVE is likely to over calculate the  
 446 amount of uHC produced. Nevertheless, this study has only been conducted to provide an

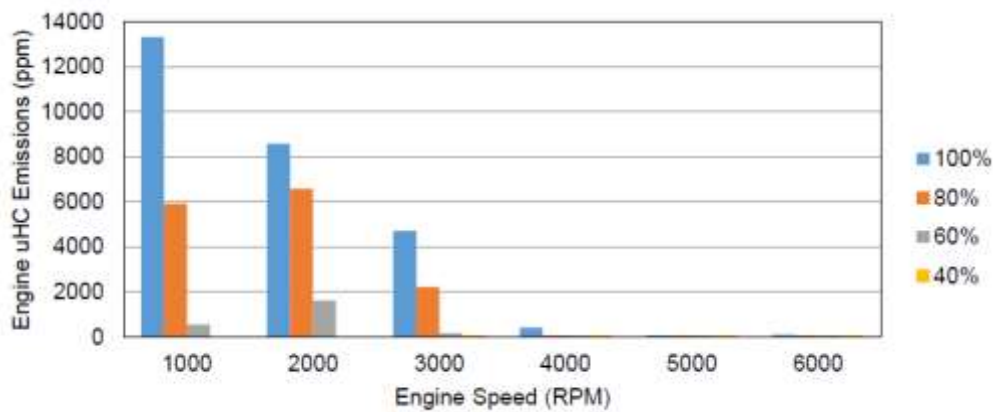
447 indication of the new turbines effect on emissions and therefore as long as the performance test  
 448 results show similar correlation, the data is acceptable.  
 449



450 **Figure 20.** Baseline engine carbon monoxide emissions at different engine loads



451 **Figure 21.** Baseline engine NOx emissions at different engine loads



**Figure 22.** Baseline engine uHC emissions at different engine loads

452 *5.2 Transient Engine modelling*

453 *5.2.1 Transient simulation setup*

454 One of the main objectives of this paper is to demonstrate an improved transient response of the  
 455 turbocharger with the new turbine. As an engine model is already set up and WAVE has the  
 456 functionality to perform transient calculations, it was chosen to perform the transient analysis. To set

457 up a transient model, several modifications need to be made to the original model, these were  
 458 identified by following the setup specified in the user manual [23].

459 The transient model is created by utilising the steady-state model. The first step in creating a  
 460 transient model requires the setup of two cases. First, a steady state case is required to initialise the  
 461 transient simulation. It is necessary to turn off convergence control during the transient modelling as  
 462 WAVE might stop the simulation part way through, if it deems convergence has been reached. The  
 463 second case is the initial conditions for the start of the transient simulation. To implement a transient  
 464 simulation, a composition of an actuator to regulate the engine speed, a control switch and a  
 465 transient profile are used. The switch is used to change the simulation from the steady-state case to  
 466 the transient profile and the setup is shown below in Fig. 23. The transient profile is then determined  
 467 by the user. As the study aims to reduce the time to spool up the turbocharger, a constant engine  
 468 speed is used from 0-3 seconds as this is the expected maximum duration based on literature [10].  
 469 For low load speeds where the exhaust mass flow rate is not sufficient to drive the turbine, the time  
 470 is increased accordingly.  
 471

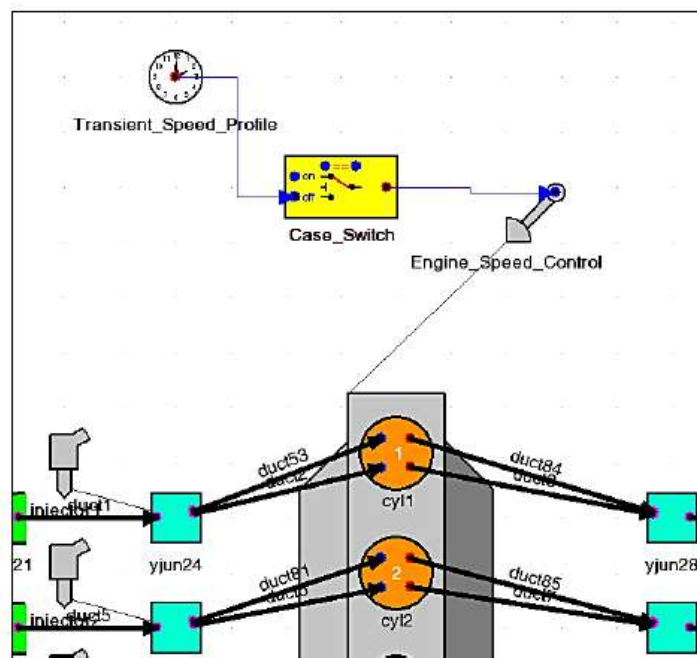


Figure 23. Transient WAVE simulation component setup

472 Next, the number of cycles for the transient profile needs to be set up properly; otherwise, the  
 473 simulation may not run for the specified time. WAVE has a pre-generated Excel worksheet to  
 474 estimate the number of cycles needed to complete the simulation, using a simple integration shown  
 475 in Eq. 16, where 'ncyc' is the number of cycles required. The user is only required to enter in their  
 476 specific transient profile, and the sheet can determine the number of cycles required. In WAVE, the  
 477 number of cycles in the simulation setup is then set as a parameter for each transient case.  
 478

479  
 480 
$$ncyc = \frac{1}{120} \int_0^{time} N_E(t) dt \quad (16)$$

481  
 482 As the engine loading condition does not affect this calculation, the number of cycles for each  
 483 engine speed is kept constant when simulating part load. The calculated number of cycles required  
 484 to complete a 3-second transient simulation for a constant engine speed is shown below in Table 7.  
 485  
 486  
 487

488 **Table 7.** Number of cycles required to complete a 3 second transient simulation for each engine  
489 speed

Engine speed (RPM)	Number of cycles
1000	25
2000	50
3000	75
4000	100
5000	125
6000	150

490 5.2.2 Inertia testing of GT1548 Turbocharger

491 With the transient simulation set up, all that is required is the inertia of the turbines. As there is  
492 no data available for the GT1548, a simple experiment was conducted to determine the mass  
493 moment of inertia of the original turbocharger about its axis of rotation, and then it is scaled up to  
494 the matched engine model turbocharger diameters.

495 The moment of inertia of the turbine was measured by suspending it freely so it could rotate  
496 about the shaft axis with string on two sides of the shaft, approximately 180° apart. The shaft is then  
497 turned 180°. The turbine was then allowed to oscillate around the axis of rotation until the angular  
498 displacement was relatively small. The time to complete 10 and 5 oscillations was then recorded and  
499 this was repeated 10 times to get an average time period. This was repeated with the compressor  
500 wheel attached. The moment of inertia could then be obtained from Eq. 17, if  $\kappa$  the torsion coefficient  
501 of the string used is known [24].  
502

$$I = \kappa \frac{t_p^2}{4\pi^2} \tag{17}$$

503 If the torsion coefficient is not known, then before being able to obtain the moment of inertia,  
504 the string torsion coefficient must be calculated. This is done by repeating the above experiment for a  
505 disk of which the inertia is known, and therefore the torsion coefficient of the string can be obtained  
506 using the previous equation. In this specific case, the torsion coefficient of the string was calculated  
507 to be 0.0002266. With both inertias now obtained, the calculated compressor wheel moment of  
508 inertia is given in Table 8.  
509  
510

511 **Table 8.** Experimentally obtained mass moment of inertia for GT1548 turbocharger

Turbocharger	Turbine	Compressor
Moment of Inertia (kg/m <sup>2</sup> )	Moment of Inertia (kg/m <sup>2</sup> )	Moment of Inertia (kg/m <sup>2</sup> )
5.54 x 10 <sup>-6</sup>	4.72 x 10 <sup>-6</sup>	8.22 x 10 <sup>-7</sup>

512 Once the moment of inertia of the turbocharger has been obtained, it requires scaling up to the  
513 matched engine condition. As the turbine and compressor have been scaled differently, their inertia  
514 needs to be scaled up separately according to the scale factor. The final results are shown in Table 9.  
515  
516

517 **Table 9.** Results of inertia testing of GT1548 turbocharger

Parameter	GT 1548 Turbocharger	GT1548 Scaled Turbocharger	Scale factor
Compressor diameter	0.048 m	0.04902 m	1.02125
Turbine diameter	0.0412 m	0.05343 m	1.29684
Compressor inertia	8.22x10 <sup>-7</sup> kg m <sup>2</sup>	9.13x10 <sup>-7</sup> kg m <sup>2</sup>	(1.02125) <sup>5</sup>
Turbine inertia	4.72x10 <sup>-6</sup> kg m <sup>2</sup>	1.73x10 <sup>-5</sup> kg m <sup>2</sup>	(1.29684) <sup>5</sup>
Turbocharger inertia	5.54x10 <sup>-6</sup> kg m <sup>2</sup>	1.82x10 <sup>-5</sup> kg m <sup>2</sup>	n/a

518 This method has obtained a realistic value of the moment of inertia of the original turbine scaled  
519 up, which correlates well with the axial turbine of the same diameter. Finally, the inertia has been  
520

521 implemented into the transient model with the shaft model switch from dimensionless balance  
 522 parameter to inertia, to provide the actual transient responses.

523 5.2.3 Baseline transient response

524 The baseline transient results are shown in Fig. 24. The results are realistic compared with  
 525 literature experimental and numerical results, with 3 points in excess of 2 seconds and one point well  
 526 above what was expected [3], [9]. At 2000 rpm full load, the time to reach maximum shaft speed is  
 527 5.16 seconds. However, as previously discussed in the model validation (Section 4.3), the  
 528 performance at this speed was the only exception for the entire model, but the ratio of power to fuel  
 529 mass flow rate was matched to that of the original engine. Looking at the trend of each speed at their  
 530 part loading, the results show a consistent percentage change for all engine speeds, with 2000 RPM  
 531 being the exception.  
 532

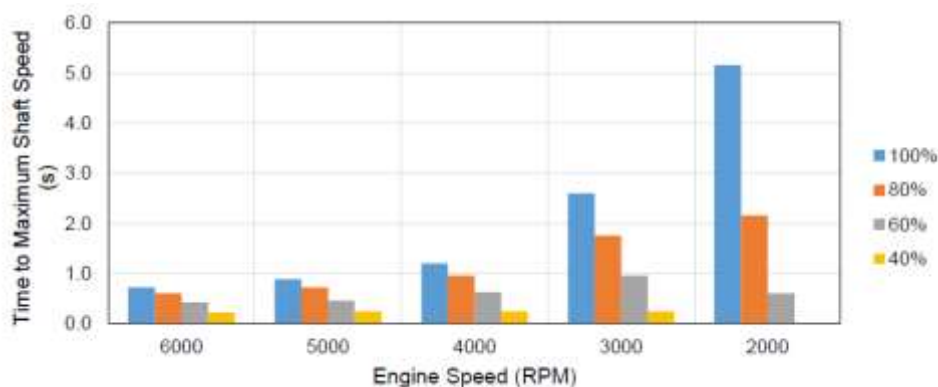


Figure 24. Baseline time to reach maximum shaft speed at various engine speeds and loads

533 5.3 Turbine map generation

534 5.3.1 Axial turbine map generation

535 Generating the map in WAVE requires a minimum of 5 constant speed lines with at least 7 mass  
 536 flow rates for each speed line. As WAVE’s fitting algorithm performs better with more lines, a total  
 537 of 7 speeds lines were chosen. With the design point being one of the constant speed lines, additional  
 538 speeds need to be selected. The speeds were chosen to correspond with the current operating  
 539 conditions of the engine range with enough spacing between each line to achieve a complete profile  
 540 of the corrected mass flow rate against the total to static pressure ratio. The selected points are  
 541 shown in Table 10.  
 542

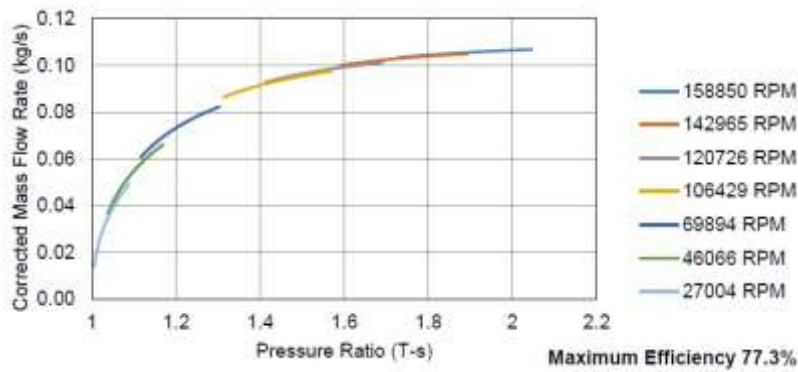
543 Table 10. Turbocharger shaft speed based on engine model operating conditions for map  
 544 generation

Engine speed (rpm)	Load (%)	Turbocharger speed (rpm)
5000	100	158850
3000	100	143360
5000	80	121476
2000	100	106500
2000	80	69536
1000	100	46700
1000	80	26978

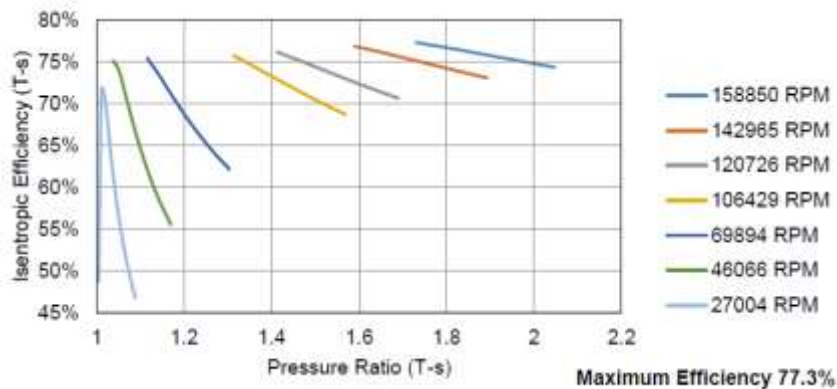
545  
 546 The performance map was generated by utilising ANSYS ‘Performance Map’ module, in which  
 547 the design point operating conditions for turbocharger speed and mass flow rate are input, and then



548 several speed lines can be generated based on their percentage of the design point condition. The  
 549 module can then determine the mass flow rates for each speed line to be run. These are then linked  
 550 to the optimisation CFX setup that is already created to test the new blade under these conditions.  
 551 The mesh specification and numerical methodology employed for the CFD analysis are reported in  
 552 an earlier publication related to this project [7]. Figure 25 and 26 shows the mass flow rates and  
 553 efficiencies for each map points. It is important to note that the stage efficiencies and pressure ratios  
 554 have been obtained and not the full system efficiencies and pressure ratios of the final design.  
 555 However, the data is satisfactory enough to allow the implementation of the Axial Turbine and VGT.  
 556 With the data obtained, the shaft speeds and mass flow rates are normalised to ambient conditions  
 557 to allow a comparison with the radial turbine. This is done by following the process outlined in  
 558 Section 4.2.  
 559



560 **Figure 25.** Mass flow rate vs pressure ratio plots at constant shaft speeds for axial turbine



**Figure 26.** Total to static efficiency vs pressure ratio plots at constant shaft speeds for axial turbine

561 Overall the result shows that the majority of the corrected mass flow rate profile was captured  
 562 and results have allowed for the generation of the maps in WAVE. While the design point yielded an  
 563 efficiency of 75.87%, the maximum efficiency of the blades is actually achieved at a lower mass flow  
 564 rate (0.1143 kg/s) along 158850 RPM speed line, and it is 77.3%. Yet, this is to be expected, as the  
 565 optimisation was set up to find the best compromise between power generation and efficiency. So  
 566 while this efficiency is higher, it is likely at this test point that the power is lower than at design  
 567 point.  
 568

569 **5.3.2 Variable nozzle axial turbine map generation**

570 Once the setup of the variable stator pivot angles to be tested had been decided, the map  
 571 generation was conducted using the same method that was used to generate the original axial  
 572 turbine map (Section 5.3.1). The same speed lines and mass flow rates were used, but the process had  
 573 to be repeated for each rack position, this led to a total of 343 CFD simulations to generate all rack  
 574 positions. Once the results were obtained they are again corrected to normalise them to ambient

575 conditions (Fig. 27 and Fig. 28). As before, it should be noted that these maps are based on the flow  
 576 across the blade stage and are not representative of the full system. Overall the result show that the  
 577 variable geometry setup significantly affects the flow conditions of the turbine, providing higher  
 578 efficiencies at lower pressure ratios than the original fixed geometry.

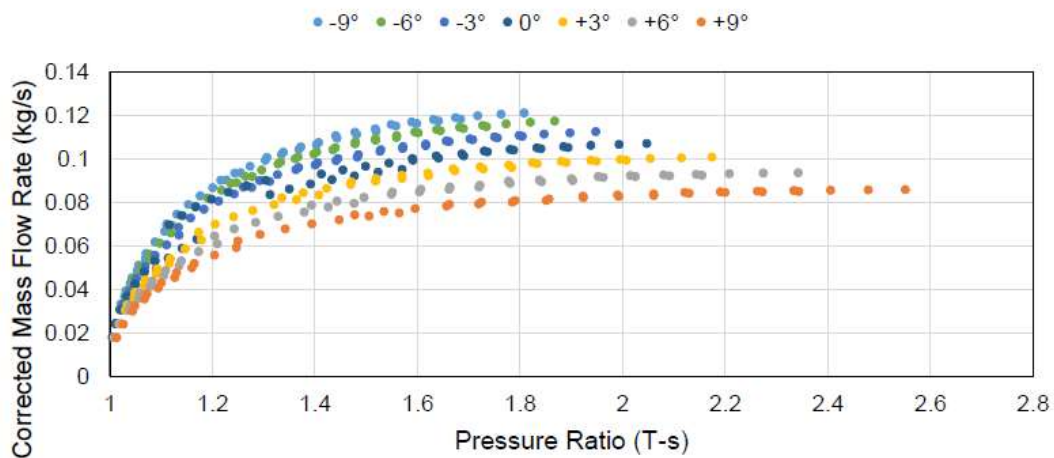


Figure 27. Corrected mass flow rate vs pressure ratio plots at constant shaft speeds at different rack positions for VNT

579

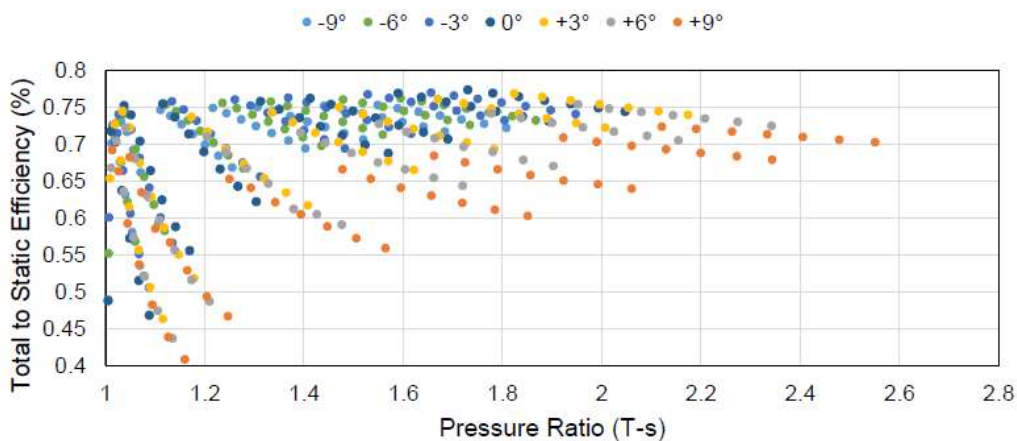


Figure 28. Total to static efficiency vs pressure ratio plots at constant shaft speeds at different rack positions for VNT

580 5.4 Analysis of Axial Turbine impact on engine performance

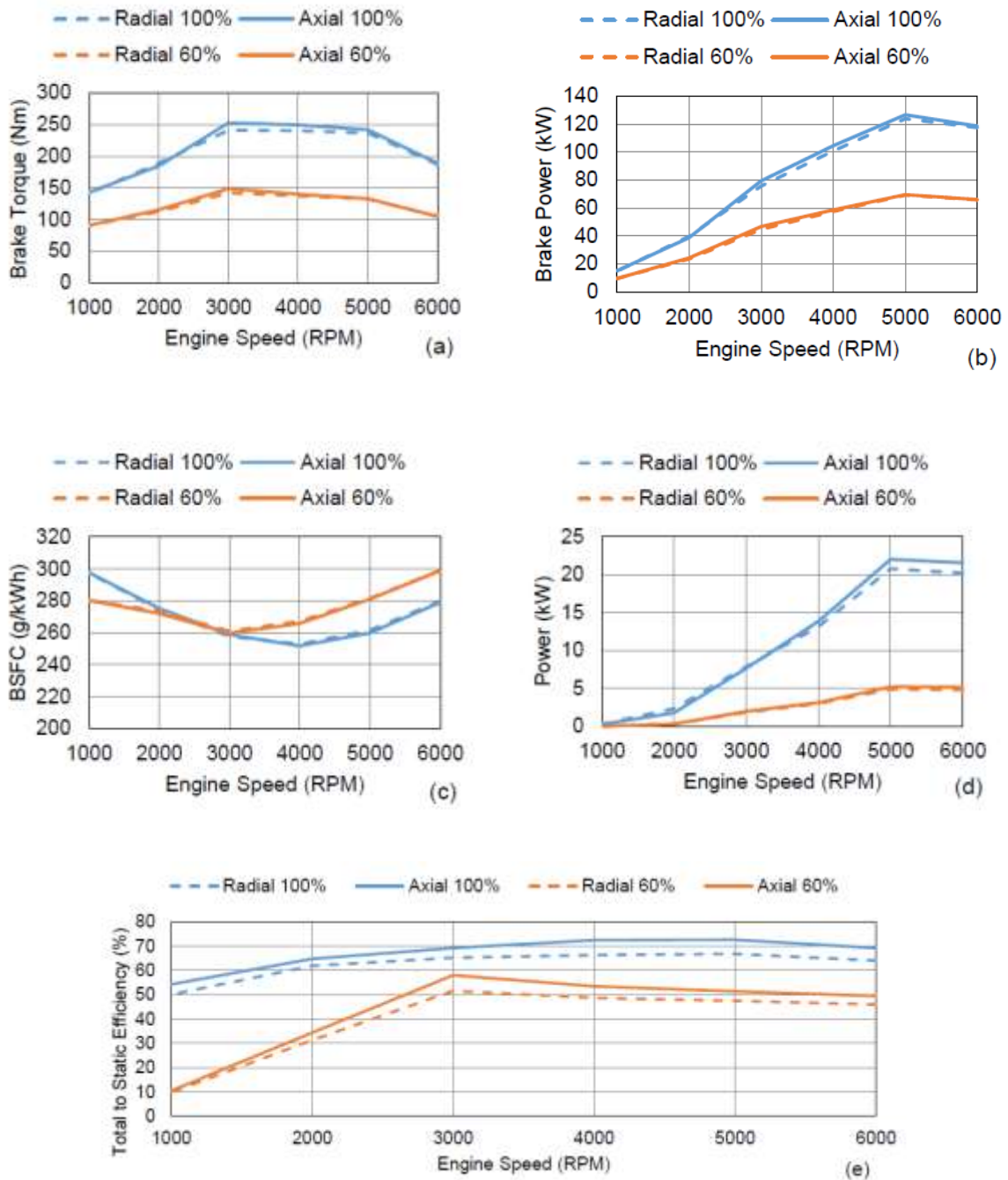
581 5.4.1 Steady state performance comparison

582 The performance results from the steady-state model between the radial and axial turbine are  
 583 shown in Fig. 29. Firstly, it can be observed that with the implementation of the axial turbine the  
 584 engine has better performance at most operating conditions (Fig. 29a and 29b), even though the  
 585 compressor is still spinning at the same speed. This is likely due to high mass flow rates as a result of  
 586 lower back pressure to the engine due to better flow expansion of flow by the turbine. However,  
 587 2000 rpm at 100% load is the only design point in which the performance is poor than the baseline.  
 588 This is likely due to the choice of scaling for the design point as discussed in Section 4.2.4.

589 Observing the BSFC results (Fig. 29c), for most operating conditions the BSFC for axial turbines  
 590 compared to radial turbines mostly remains same or if not slightly better due to improved  
 591 performance. This demonstrates the benefit of the axial turbine with regards to fuel consumption, as  
 592 the engine has not been re-calibrated, and for most cases aside from 2000 rpm at 100% load the  
 593 power produced is always greater (Fig. 29d). This shows the turbine is capable of producing the

594 same power as of a radial turbine for a reduced exhaust gas mass flow rate, which in turn allows for  
 595 increasing the air-to-fuel ratio during re-calibration. Consequently, fuel consumption will decrease,  
 596 further demonstrating the improvement of the newly designed axial turbine.

597 Looking at the final graph (Fig. 29 (e)), the axial turbine provides higher efficiencies at all  
 598 operating conditions of engine. But this is not correspondingly reflected in the turbine power plot in  
 599 Fig. 29d. For the axial turbines, the figure does not show a significant increase in power, or in some  
 600 case it actually shows less power (compared to radial turbines) at lower speeds and high loads (Fig.  
 601 29d). This could be a result of the difference in map performance, as the axial turbine pressure ratio  
 602 could be lower at this point, and therefore even though the efficiency is higher, there is not enough  
 603 power available.



**Figure 29.** Comparison of (a) Engine brake torque (b) Engine brake power (c) Engine BSFC (d) Turbine power and (e) Turbine efficiency between radial and axial turbine at different engine operating conditions

604 5.4.2 Steady state emissions comparison

605 The results of the comparison between the radial and axial turbine emissions are shown in Fig.  
 606 30. A common trend noted in the comparison is the axial turbine results show an increase in the  
 607 in-cylinder emissions at most operating conditions. This demonstrates that by just implementing the  
 608 new turbine would have a negative impact on emission. This is likely a result of the increased air  
 609 mass flow rate into the system due to the better expansion of the exhaust flow by the turbine,  
 610 consequently burning more fuel and producing emissions. However, it should be considered that  
 611 when implementing a new turbocharger, or new component that affects the way the engine  
 612 operates, a re-calibration activity should also follow. But this was not attempted in this study.  
 613 Nevertheless, the new turbine is producing more power than its radial counterpart which means the  
 614 turbine requires a lower mass flow rate to produce the same power. Therefore, the air to fuel ratio  
 615 can be increased, reducing the amount of fuel flowing into the engine and thus reducing the  
 616 in-cylinder emissions.  
 617

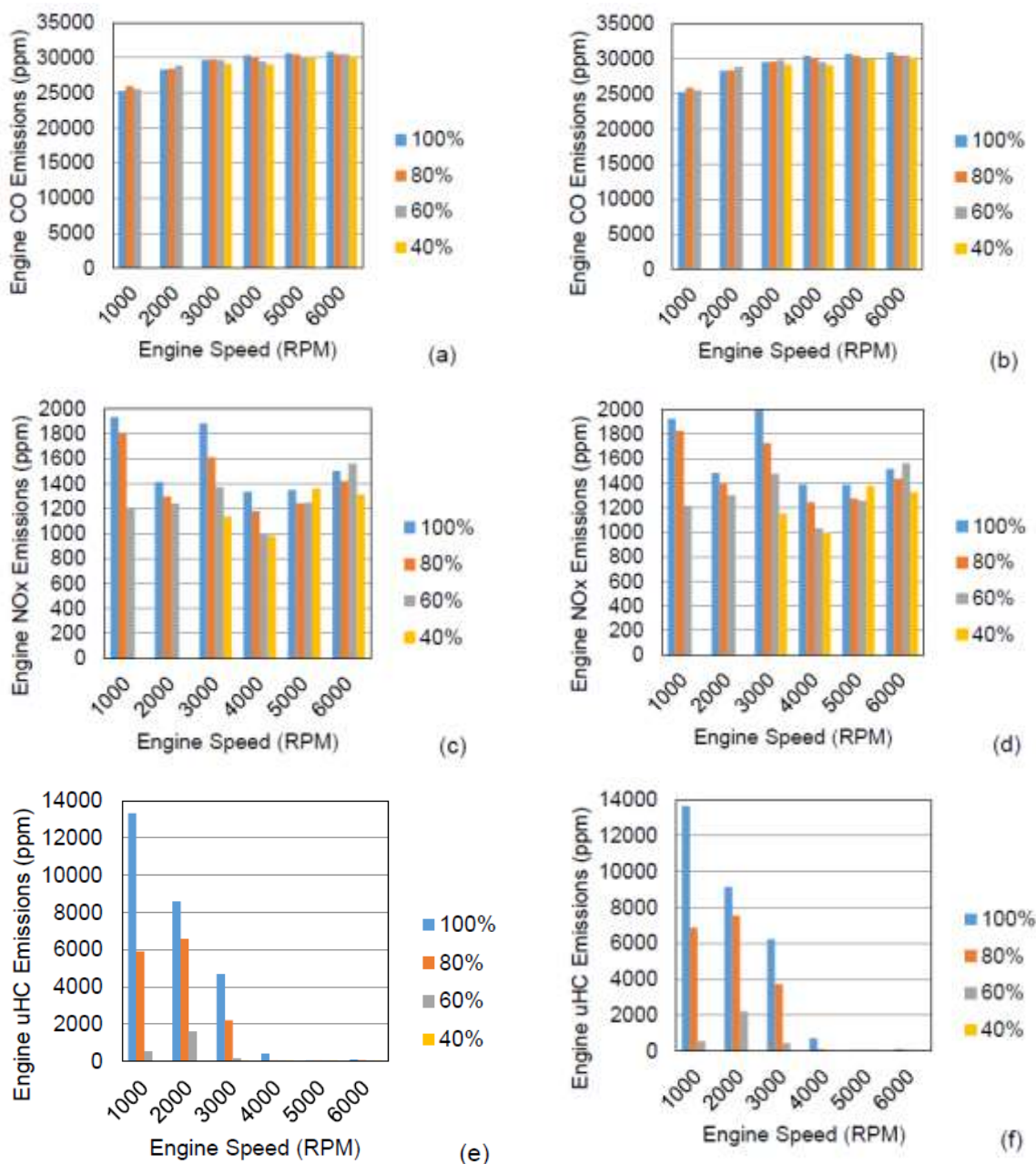


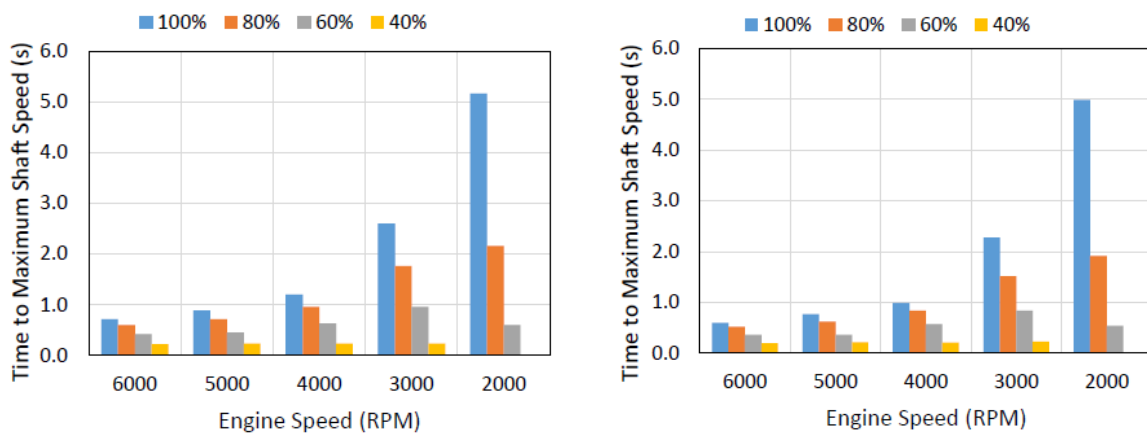
Figure 30. Comparison of engine cylinder emissions between radial (a, c, e) and axial turbines (b, d, f) at different engine operating conditions



618 5.4.3 Transient response comparison

619 A direct comparison between the radial and axial turbine time to maximum shaft speed is  
 620 shown in Fig. 31. The maximum improvement on transient response was 21.05 % and the minimum  
 621 was 3.49 % with an average 11.76 % across all engine conditions. The spool up times are in line with  
 622 the literature with a maximum of 2-3 seconds. However, the improvement does not fully correlate  
 623 with the results available in the literature. This is expected, as the turbine in this study is designed to  
 624 be of same size as of the radial turbine. As demonstrated in Fig. 29, the axial turbine produces more  
 625 power compared to the radial. Therefore if the diameter was reduced, mass reduction could have  
 626 been achieved, decreasing the mass moment of inertia and likely correlating with the literature  
 627 results of 25%- 40% improvement. The results however, demonstrate an improved transient  
 628 response at all operating conditions of the engine. The map and inertia could also be scaled to lower  
 629 diameter using the methodologies discussed earlier. Using this new data a greater improvement of  
 630 transient response could be achieved.

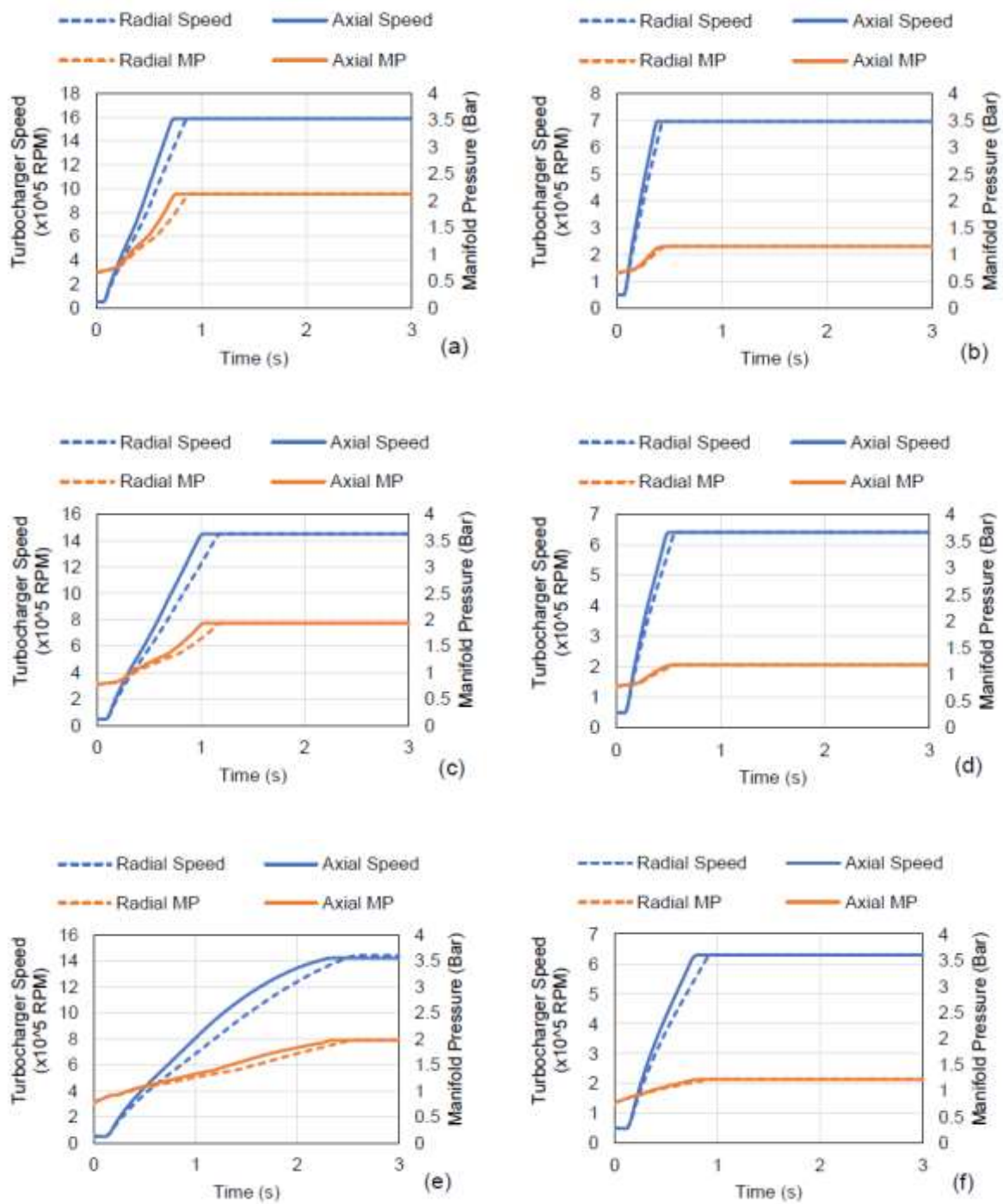
631 It should also be noted that the spool up time of 2000 rpm at 100% load does not correlate well  
 632 with those reported in the literature [3], [9]. This was probably due to the choice of scaling for the  
 633 design point (Section 4.2.4).



634 **Figure 31.** Comparison between radial (left) and axial (right) turbines time to maximum shaft speed  
 635 at different engine operating conditions

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Figure 32 shows the transient profiles of six different engine conditions, showing the profiles of the shaft speeds and manifold pressures over a 3-second transient simulation. The results are realistic with small improvement at lower loads. This is mainly due to the lower maximum rotational speed needed to be achieved, as well as the likely insufficient exhaust flow fundamentally contributing to the turbo-lag at these operating conditions.



**Figure 32.** Transient response profiles for turbocharger shaft speed and manifold pressure at engine speeds of 5000 rpm (a, b), 4000 rpm (c, d) and 3000 rpm (e, f) at 100% (left) and 60% (right) engine loads

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656 5.5 Comparison of moment of Inertia

657 A Genetic Algorithm based optimisation technique was used for the turbine design  
 658 optimisation [25]. Figure 33a and 33b shows the 3D model of the optimized turbine blade wheel and  
 659 the turbine respectively. Once the 3D model was generated, finite element analysis was performed  
 660 to determine the moment of inertia and the factor of safety. The comparison of the moment of inertia  
 661 and the factor of safety between the radial, initial axial and optimised axial turbine design is shown  
 662 in Table 11. The table shows that the use of axial turbine has reduced the mass moment of inertia by  
 663 around 35% without compromising much on the safety factor.  
 664



Figure 33a. Optimized blade design



Figure 33b. 3D model of the axial turbine

665

666 Table 11. Comparison of baseline radial, axial turbine and optimised axial turbine design

	Radial turbine	Axial turbine (initial design)	Axial turbine (optimized design)
Mass (g)	226.76	112.93	85.41
Mass moment of inertia (kg/m <sup>2</sup> )	1.82×10 <sup>-5</sup>	1.59×10 <sup>-5</sup>	1.16×10 <sup>-5</sup>
Safety Factor	NA	2.38	2.16

667 6. Conclusion

668 This paper has investigated the preliminary performance difference provided to an established  
 669 mass production engine, between a radial and axial turbine and by comparison, an improved  
 670 transient response has been demonstrated. The major conclusions from this study are as follows.

671 The engine model has been successfully validated using real engine test data.

672 It was observed that the effect of choice of design point for scaling has significant impact on the  
 673 results, especially for the compressor diameter and therefore choice of design point or scaling for  
 674 multiple design points should be considered.

675 The transient model was successfully developed and provided realistic results for transient  
 676 response of the turbocharger.

677 A performance map for axial turbine was obtained with a maximum efficiency of 77.3%, the  
 678 map was implemented into WAVE and comparative results were obtained.

679 The GT1548 turbocharger inertia was successfully calculated from experimental testing and  
 680 scaled up to the matched diameters to provide an inertia, to the same order of magnitude as the  
 681 designed axial turbine of similar diameter.

682 The transient response of the axial turbine demonstrated an average of 11.76% improvement  
 683 with a maximum of 21.05% over its radial counterpart.

684 The performance difference provides further encouragement to further pursue efforts to fully  
 685 validate the new turbocharger turbine in an engine test bed.

686 **Author Contributions:** Gregory Guarda was the research student that conducted the detailed study and wrote  
 687 the report. Dr Ashish Alex Sam who added expertise and edited the paper. Apostolos Pesyridis is the  
 688 turbomachinery group leader in the Centre of Advanced Powertrains and Fuels (CAPF) at Brunel University  
 689 London, who conceived of the project, the layout of the investigations and checked the outcome of the results  
 690 and subsequent discussion.

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694 **Conflicts of Interest:** The authors declare no conflict of interest.

## 695 Nomenclature

AFR	Air to fuel ratio
$c_{o,c}$	Compressor rotor tip Mach number based on inlet conditions
$c_{o,T}$	Turbine rotor tip Mach number based on inlet conditions
D	Diameter (m)
$D_C$	Diameter of compressor wheel (m)
$D_T$	Diameter of turbine wheel (m)
$E_{LHV}$	Lower heating value of the fuel (J/kg)
$M_n$	Mach number based on inlet flow conditions
$\dot{m}_{air}$	Engine air mass flow rate (kg/s)
$\dot{m}_c$	Compressor mass flow rate (kg/s)
$\dot{m}_{c,corr}$	Compressor corrected mass flow rate (kg/s)
$\dot{m}_T$	Turbine mass flow rate
$\dot{m}_{T,corr}$	Turbine corrected mass flow rate
$N_C$	Compressor speed (rpm)
$N_{corr}$	Turbocharger corrected speed (rpm)
$N_T$	Turbine wheel speed (rpm)
$N_E$	Engine speed (rpm)
$N_{TC}$	Turbocharge speed (rpm)
ncyc	Number of cycles required to complete transient engine speed profile
$P_d$	Pressure downstream (Pa)
$P_m$	Intake manifold pressure (Pa)
$P_u$	Pressure upstream (Pa)
$Re$	Reynolds number
$T_d$	Temperature downstream (K)
$T_u$	Temperature upstream (K)
$T_m$	Intake manifold temperature (K)
$t_p$	Time period (s)
I	Moment of inertia
$\dot{W}$	Engine power (W)
$V_d$	Engine displacement (m <sup>3</sup> )
$\kappa$	Torsion coefficient
$\phi_C$	Non-dimensional mass flow coefficient of compressor
$\phi_T$	Non-dimensional mass flow coefficient of turbine
$\gamma_a$	Ratio of specific heats of air
$\gamma_e$	Ratio of specific heats of exhaust gas
$\eta_{th}$	Engine thermal efficiency
$\eta_v$	Engine volumetric efficiency

**Subscripts**

t	Turbine wheel
c	Compressor wheel
ref	current parameter values
targ	target parameter values
new	new parameter values

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752