

**Functionalized Thermo-responsive Microgels for High Performance  
Forward Osmosis Desalination**

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## 1 **Abstract**

2 Stimuli-responsive hydrogels were recently proposed for energy-saving forward osmosis  
3 (FO) process. However, their low water flux and dewatering ability for reuse make them  
4 unsuitable for desalination process. In this work, the co-polymer microgels of N-  
5 isopropylacrylamide and acrylic acid with different mixing ratios were synthesized using  
6 surfactant-free emulsion polymerization to produce submicron-size hydrogels with high  
7 surface area and fast swelling-deswelling response. The microgels were employed as draw  
8 agents in a laboratory scale FO desalination system to treat synthetic brackish water. The  
9 microgel-based FO process displayed a high water flux up to 23.8 LMH and high water  
10 recovery ability up to 72.4%. In addition, we explored a new approach to measure water flux  
11 via the on-line conductivity measurement of feed solution. This on-line conductivity analysis  
12 approach appeared to be an accurate and efficient method for evaluating microgel-based FO  
13 desalination performance. Our experimental data revealed that the stimuli-responsive  
14 microgel was an efficient draw agent for FO desalination.

15

16 **Keywords:** microgels; forward osmosis; desalination; water flux; dewatering ability; N-  
17 isopropylacrylamide; on-line conductivity; thermo-responsive

## 18 **1. Introduction**

19 Water scarcity is one of the top global challenges that currently affects over one-third of  
20 world population in water-stressed countries and this situation is worsen due to increasing  
21 population, water pollution, industrialization, and climate change (McCutcheon et al., 2006;  
22 Elimelech and Phillip, 2011). Over 96 % of water resources worldwide are in the ocean,  
23 which have high salinity and cannot be directly used without desalination process (Smalley,  
24 2005). To date, reverse osmosis (RO) process via a polymeric membrane is the most widely  
25 used technology for seawater desalination. However, the high energy and capital costs  
26 together with the low water permeability and fouling resistance associated with RO  
27 membrane have been an on-going challenge for its industrial applications. There is a pressing  
28 need for the development of a cost-effective desalination process.

29 In the recent years, forward osmosis (FO) membrane process has gained a lot of attention due  
30 to its potential as low energy membrane separation process. The FO desalination is a two-  
31 stage desalination process where the water is drawn from a saline solution as a result of  
32 osmotic gradient and then the water can be recovered from draw solute by means of  
33 membrane separation, distillation, external magnetic field, extraction, precipitation and  
34 combination of these separation processes (Luo et al., 2014). Inorganic salts, such as  $MgCl_2$ ,  
35  $MgSO_4$ ,  $NaCl$ ,  $KCl$ ,  $KHCO_3$ ,  $Ca(NO_3)_2$ ,  $NH_4HCO_3$  have been employed as the draw solutes  
36 for FO processes (Achilli et al., 2010). Although these inorganic reagents have excellent  
37 capability to create significant osmotic pressure gradient, pressure-driven membrane  
38 processes such as nanofiltration and reverse osmosis are still required to recover water from  
39 these salts (Zhao et al., 2012). That leads to an increase in the energy consumption for the  
40 overall FO process. Furthermore, reverse salt flux and internal concentration polarization are  
41 presented when these salts are employed as draw solutes, which subsequently reduce the

42 performance of separation process. Scientists found that the use of ammonium bicarbonate  
43 ( $\text{NH}_4\text{HCO}_3$ ) as the draw agents could lead to a low energy FO process, as water can be  
44 recovered via low temperature distillation process using low grade heat (McCutcheon et al.,  
45 2006; McGinnis and Elimelech, 2007). The draw solute can decompose into ammonia and  
46 carbon dioxide upon heating and leave water phase. Unfortunately, the purity of water  
47 resulted from such process was not able to meet standard drinking water regulation due to  
48 very high ammonium bicarbonate leaked to the water product (Ge et al., 2013).

49 Subsequent research proposed that poly(ethyleneglycol)diacid-coated superparamagnetic  
50 nanoparticles could be used as draw solutes and the adsorbed water can be recovered using  
51 external magnetic field to produce fresh water (Ge et al., 2010). However, water flux  
52 performance was far below the water flux generated by inorganic salts. Furthermore, these  
53 magnetic nanoparticles formed aggregates during the recovery stage which decreased their  
54 effectiveness to be reused (Ling and Chung, 2011; Ling et al, 2011). Other materials have  
55 also been explored for FO processes, including the sodium salt of polyacrylic acid (Ge et al.,  
56 2012), 2-methylimidazole-based organic compounds (Swee Kuan et al., 2010), switchable  
57 polarity solvent (Stone et al., 2013), hydroacid complexes (Ge and Chung, 2013),  $\text{Na}^+$   
58 functionalized carbon quantum dots (Guo et al., 2014) and N,N',N''-triacylated tris(2-  
59 aminoethyl)amine (acyl-TAEA) derivatives (Noh et al., 2012). Although these materials  
60 displayed reasonable water flux, their low water recovery ability is a drawback for their  
61 applications in industrial desalination processes.

62 Stimuli-responsive hydrogels are three dimensional polymer networks that swell and deswell  
63 in respond to the applied stimuli, such as temperature, pH, external magnetic field, ionic  
64 strength, and light. Due to their ability to reversibly swell and deswell, they have been  
65 recently introduced as a new class of draw agent for FO desalination. In the early study, the

66 hydrogel was synthesized using N-isopropylacrylamide and hydrophilic monomers of sodium  
67 acrylate or acrylamide (Li et al., 2011). The water contained in the hydrogels was recovered  
68 by heating the hydrogels and applying pressure. Unfortunately, the water flux of this  
69 hydrogels (0.30-0.96 LMH) with salt rejection of 95.4% was relatively lower than other types  
70 of synthetic draw agents. In order to improve the performance of hydrogels-based FO  
71 process, composite hydrogels were recently prepared by incorporating inorganic  
72 nanoparticles such as carbon particles, magnetic nanoparticles and reduced graphene oxide  
73 (rGO) (Razmjou et al., 2013a; Zeng et al., 2013). These composite hydrogels showed an  
74 enhanced water flux and the water recovery ability. However, the recovered water from  
75 hydrogels was mostly in the form of water vapour. Additional condensation unit is then  
76 needed to recover water in the form of liquid and will increase the overall costs of FO  
77 process.

78 Currently, the high energy costs for water recovery is still an issue for FO desalination  
79 technologies (Altaee et al., 2014). This leads to higher operational cost of FO desalination  
80 compared to RO process due to inappropriate selection of water recovery process and  
81 materials employed as draw solutes (McGovern and Lienhard V, 2014). Other major  
82 limitations are incomplete separation of draw agents and lower water flux compared to the  
83 RO process (Cai et al., 2013). In this study, we applied N-isopropylacrylamide (NIPAM) and  
84 acrylic acid (AA) as co-monomers to synthesize functional co-polymer microgels P(NIPAM-  
85 AA) as the draw agents for FO process. The microgels with different ratios of AA and  
86 NIPAM were prepared using surfactant-free emulsion polymerization (SFEP) approach to  
87 eliminate the contamination of small surfactants. Moreover, a laboratory FO system was  
88 established to evaluate the desalination performance of the P(NIPAM-AA) microgel-based  
89 FO process. Our results show that the employed P(NIPAM-AA) microgels can significantly  
90 improve water flux and water recovery ability of the FO desalination process. For the first

91 time, we use microgels in FO desalination process. The microgels are also functionalized  
92 with acrylic acid rather than sodium acrylate to improve the water flux. Besides, we explored  
93 and employed new on-line conductivity monitoring method to analyse water flux of the FO  
94 system.

## 95 **2. Materials and methods**

### 96 **2.1. Materials**

97 N-isopropylacrylamide (NIPAM, > 98%), purchased from Tokyo Chemical Industry, was  
98 purified by recrystallization in n-hexane and dried at room temperature. N-N'-  
99 methylenebisacrylamide (MBA, >98%), acrylic acid (AA, >99.5%) and ammonium  
100 persulfate (APS) were purchased from Sigma-Aldrich. Sodium chloride was purchased from  
101 VWR. Cellulose triacetate forward osmosis (CTA-FO) membranes were purchased from  
102 Hydration Technologies Inc. (HTI, USA).

### 103 **2.2. Synthesis of co-polymer microgels**

104 The P(NIPAM-AA) microgels were synthesized using surfactant-free semi-batch emulsion  
105 polymerization. In a typical experiment, 0.5 g of NIPAM and AA at different mass ratios and  
106 0.005 g of MBA were dissolved in 47 mL of Millipore water. The solution was transferred to  
107 a 250 mL three-necked flask fitted with a condenser, a mechanical stirrer and gas inlet/outlet.  
108 The semi-batch feeding solution was prepared by dissolving 2.5 g of NIPAM and AA at  
109 different mass ratios, 0.025 g of MBA and 0.025 g of APS in 30 mL of Millipore water.

110 After degassing both batch and semi-batch feeding solutions for one hour, the batch solution  
111 was heated to 75 °C under nitrogen atmosphere. 3.0 mL of APS solution (0.005 g) was  
112 injected to initiate the polymerization. Semi-batch feeding solution was injected slowly at a  
113 rate of 6.25 mL/hour using a syringe pump after the batch solution turned into cloudy. The

114 polymerization was carried out overnight under continuous stirring. After cooling, the  
115 microgels were purified using membrane dialysis (MWCO 12–14 kDa) against Millipore (or  
116 DI) water for three days. During three days purification, the water was changed every two  
117 hours. The purification was confirmed by comparing the conductivity of dialysis water and  
118 the conductivity of Milipore (or DI) water. The purified microgels were dried at 70 °C and  
119 grounded into fine powders.

### 120 **2.3. Conductometric and potentiometric titration**

121 The amount of acrylic acid in the microgels was quantified using conductometric and  
122 potentiometric titration. Typically, the pH of a 100 mL P(NIPAM-AA) microgel dispersion  
123 (~ 1 mg/mL) was adjusted to pH 3 using hydrochloric acid. The solution was then back  
124 titrated using 0.1 M NaOH. After each addition of NaOH, the solution conductivity and pH  
125 were measured using a pre-calibrated Aqua-CP/A pH and conductivity meter.

### 126 **2.4. Dynamic light scattering**

127 The hydrodynamic diameters ( $d_h$ ) of the synthesized P(NIPAM-AA) microgels were  
128 measured by dynamic light scattering (DLS) at different temperatures using a Zetasizer  
129 (Malvern, Nano-ZS). The DLS data were collected on an autocorrelator and the CONTIN  
130 software package was used to analyse the intensity autocorrelation functions. The swelling  
131 ratio (SR) of microgels can be calculated using the following equation:

$$132 \quad SR = \left( \frac{d_{h,25}}{d_{h,40}} \right)^3 \quad (1)$$

133 where SR is the swelling ratio of the microgels,  $d_{h,25}$  (nm) is the hydrodynamic diameter of  
134 the microgels at 25 °C and  $d_{h,40}$  (nm) is the hydrodynamic diameter of the microgels at 40 °C.

## 135 2.5. Water flux evaluation

136 100 mg of grounded microgel powders were placed in our customized membrane setup  
137 equipped with on-line conductivity monitoring system as shown in Figure 1. The photo of  
138 this laboratory on-line conductivity monitoring system for FO process can be found in Figure  
139 S1 (Supporting Information). Calibrated conductivity probe (with probe cell constant,  $k =$   
140 1.0) was immersed in the feed solution of 2000 ppm NaCl to continuously monitor the  
141 conductivity against time for five hours. The conductivity data can be converted into the  
142 concentration of sodium chloride after fitting with the following equation:

$$143 \lambda = 1.7821C_t \quad (2)$$

144 where  $\lambda$  is the conductivity of feed solution ( $\mu\text{S}/\text{cm}$ ) and  $C_t$  is the feed concentration at time  $t$   
145 (ppm).

146 The water flux was calculated using the conductivity data based on the mass balance equation  
147 which is described by the following equations:

$$148 V_t = \frac{C_i V_i}{C_t} \quad (3)$$

$$149 J_w = \frac{V_i - V_t}{A_{\text{eff}} \Delta t} \quad (4)$$

150 where  $V_t$  (mL) is the volume of feed at time  $t$ ,  $V_i$  (mL) is the initial volume of feed,  $C_i$  (ppm)  
151 is the initial feed concentration,  $C_t$  (ppm) is the feed concentration at time  $t$ ,  $J_w$  (LMH) is the  
152 water flux,  $A_{\text{eff}}$  ( $\text{m}^2$ ) is the effective membrane surface area and  $\Delta t$  (h) is the time interval  
153 where the volume of the feed solution changes.

## 154 2.6. Water recovery

155 After five hours, the swelled microgels were transferred to centrifuge tubes and weighed. The  
156 swelled microgels were then centrifuged at 40 °C and 12,000 rpm for 10 minutes to separate



157 adsorbed water from polymer microgels. The recovered water was determined using  
158 gravimetric analysis. The water recovered from microgel deswelling was calculated using the  
159 following equations:

$$160 \quad C_P = \frac{W_P}{W_P + W_W} \quad (5)$$

$$161 \quad W_{WG} = W_H(1 - C_P) \quad (6)$$

$$162 \quad R = \frac{W_R}{W_{WG}} \times 100\% \quad (7)$$

163 where  $C_P$  (g microgels/g H<sub>2</sub>O) is the concentration of microgels in the centrifuge tube,  $W_P$  (g)  
164 is the weight of dry microgel powders,  $W_W$  (g) is the weight of water adsorbed by the  
165 microgels determined from water adsorption from water flux measurement,  $W_{WG}$  (g) is the  
166 weight of water in the microgels,  $W_H$  (g) is the weight of microgels in the centrifuge tube,  $W_R$   
167 (g) is the weight of adsorbed water recovered from the tube and  $R$  (%) is the percentage of  
168 water recovered from the deswelled microgels .

## 169 **2.7. Microgels recycling in three cycles**

170 The swelled microgels after the 1<sup>st</sup> cycle were dried in oven until constant weights. The dried  
171 microgels were grounded into fine powders and placed in our FO system for the 2<sup>nd</sup> cycle  
172 measurement, where the conductivity readings of sodium chloride solution against time were  
173 recorded to calculate water flux. Similar approach was repeated for the 3<sup>rd</sup> cycle  
174 measurement.

## 175 **3. Results and Discussion**

### 176 **3.1. Synthesis and characterization of the microgels**

177 Semi-solid forward osmosis draw agent like stimuli-responsive hydrogels requires fast water  
178 absorption and releasing capability in order to produce high water flux and water recovery

179 rate. Unfortunately, hydrogels synthesized by bulk polymerization approach has slower water  
180 absorption and releasing rate compared to small hydrogels (microgels) synthesized using  
181 emulsion polymerization approach. This is due to the formation of dense skin layer in bulk  
182 hydrogels which retards water diffusion in and out of hydrogels (Hoare, 2012). For this  
183 reason, emulsion polymerization is chosen to overcome this problem to produce faster water  
184 absorption and releasing rate.

185 The conventional approach to synthesize microgels is emulsion polymerization where  
186 surfactants, such as sodium dodecyl sulphate (SDS), are added to the mixture of monomers,  
187 crosslinker and initiator to stabilize the formed microgels. The obtained microgels can be  
188 purified by dialysis against deionized water to remove surfactants and unreacted monomers.  
189 However, complete removal of all surfactant might not be possible. These unremoved  
190 surfactants could affect physio-chemical characteristics and performance of the microgels,  
191 depending upon their final applications (Pelton and Hoare, 2011). For example, the water  
192 adsorption capability of the microgels could be reduced in the presence of charged  
193 surfactants. For this reason, surfactant-free emulsion polymerization (SFEP) synthesis route  
194 was chosen in this study. Different from traditional emulsion polymerization, SFEP always  
195 produces monodisperse microgels. In addition, the synthesis was conducted in a semi-batch  
196 mode rather than a batch mode to produce high solids content of microgel suspension which  
197 is an effective technique for mass production of microgels to meet the industrial scale  
198 quantity of draw agent for microgels-based FO desalination. The yields of the microgels vary  
199 in a range of 70-90%, indicating most of feed monomers have been polymerized.

200 The amount of AA incorporated into the microgels can be calculated from pH and  
201 conductivity titration curves as shown in [Figure S2 \(Supporting Information\)](#). The calculated  
202 amount of AA incorporated into the microgels is above 75% of the feed for all microgels,  
203 indicating high conversions of AA during copolymerization. The high incorporation of AA is

204 crucial when doing copolymerization as some co-monomers are not readily incorporated due  
205 to their different reactivity ratios with other monomers (Sheikholeslami et al., 2012).  
206 Furthermore, dynamic light scattering was performed at different temperatures to determine  
207 the volume phase transition temperature (VPTT) of the microgels. This characterization  
208 method serves two main purposes, determination of individual microgel particle size and  
209 volume phase transition temperature (VPTT). Size larger than FO membrane pore size is  
210 needed to prevent leakage of microgels to the feed solution and hence minimizing draw agent  
211 replenishment. The volume phase transition temperature (VPTT) of microgels can be useful  
212 preliminary information on energy requirement of dewatering process of microgels.  
213 Microgels with higher VPTTs require more energy in the form of heat to raise their  
214 temperature and to recover the absorbed water. Dynamic light scattering was performed at  
215 different temperatures to determine the volume phase transition temperature (VPTT) of the  
216 microgels. The profiles of hydrodynamic diameter vs. temperature are shown in [Figure 2a](#).  
217 Table 1 shows that the hydrodynamic particle sizes of the microgels at 25 °C vary in a range  
218 of 200-300 nm. Below the transition temperatures, the microgels show a swelled state where  
219 polymers are fully hydrated. As temperature increases to phase transition temperatures, the  
220 microgels shrink significantly due to the dehydration of PNIPAM segments (Shen et al.,  
221 2014). As a result, the microgels can release up to 70% of water absorbed into bulk solution.  
222 Shen et al. (2012) observed that the VPTTs of these microgels did not change significantly as  
223 the AA mass varied between 0 wt% and 50 wt%. This result agrees well with the obtained  
224 VPTTs from our P(NIPAM-AA) microgels with AA varied from 0 to 70 wt% (Table 1).

225 While the AA content in this study does not affect the VPTTs of the microgels, the swelling  
226 ratios do vary with the AA contents in the microgels. The swelling ratios of the microgels  
227 with different AA contents at 40 °C are shown in [Figure 2b](#). As the AA content increases in a  
228 range of 0 – 70%, the swelling ratio decreases from 15.9 to 2.3. The microgels with a low AA

229 content (5% or 8%) display higher swelling ratio than those with a high content of AA (50%  
230 or 70%). That is due to the fact that PNIPAM moieties dominate the hydrophobic interaction  
231 in NIPAM-rich microgels. The high AA content microgels shrink slightly due to the strong  
232 electrostatic repulsion among these deprotonated carboxylic groups, preventing polymer  
233 network to collapse.

### 234 **3.2. New method for water flux evaluation via conductivity measurement**

235 The commonly used technique to quantify water flux is to measure the mass increase of  
236 hydrogels through gravimetric method, where the mass of swelled hydrogels is weighed at  
237 certain time intervals (Li et al., 2011; Razmjou et al., 2013a; Cai et al., 2013; Razmjou et al.,  
238 2013b; Razmjou et al., 2013c). However, this approach could lead to large systematic errors  
239 when the powder quantity is small. We found that the water flux data fluctuate during the  
240 measurement period, as presented in [Figure S3 \(Supporting Information\)](#). In this study, we  
241 developed a new approach to analyse water flux for batch microgel-based FO process. Due to  
242 the difference in the osmotic pressures of feed solution and microgels at both sides of FO  
243 membrane, water is transferred to microgels, resulting in changing the feed concentration  
244 with time (Wang et al., 2014). These concentration changes can be monitored using a  
245 conductivity meter. Our data revealed that conductivity measurement provides much more  
246 accurate results of water flux for laboratory based evaluation than the commonly used  
247 gravimetric method. Importantly, the conductivity analysis can provide efficient on-line  
248 measurements to determine desalination performance of FO process. The conductivity  
249 calibration curve in [Figure 3](#) shows a linear relationship between sodium chloride  
250 concentration and solution conductivities, which was established using statistic regression  
251 method. Based on the calibration curve, the concentration of sodium chloride can be  
252 calculated from conductivity measurement based on Eq. 2. The variation in NaCl  
253 concentration can be further used to calculate the amount of water drawn by microgels using

254 Eq. 3. Then, the water flux can be estimated from Eq. 4 by dividing the water adsorption rate  
255 with effective membrane area.

256 Before each conductivity measurement, the FO membrane was allowed to soak in 2000 ppm  
257 NaCl solution overnight to reach an equilibrium condition (Li et al., 2011). The equilibrium  
258 condition is needed to saturate the FO membrane with sodium chloride and to minimize the  
259 diffusion of sodium chloride through the membrane. Furthermore, the transport of water  
260 molecules is faster than the transfer of sodium chloride through cellulose acetate membrane  
261 (Lonsdale et al., 1965). Hence, the assumption of no sodium chloride leakage during the  
262 water flux evaluation is valid. In addition, the conductivity probe was conditioned for 30  
263 minutes in 2000 ppm NaCl solution prior to conductivity measurement to ensure that the  
264 conductivity changes are not affected due to external factors, such as temperature, possible  
265 NaCl adsorption on the membrane and equilibrium readings in conductivity meter. In order to  
266 validate the reproducibility of our new method of using conductivity measurement to  
267 calculate water flux, all experiments were conducted three times for each microgel. [Figure 4](#)  
268 presents these calculated water flux results, indicating that a good repeatability of  
269 conductivity method for all microgels. Water flux for each sample follows a similar profile  
270 where high fluxes are observed during the first 5 to 10 minutes, resulting in a rapid change in  
271 conductivity. After 60 minutes, water flux declines rapidly and reaches equilibrium. In  
272 contrast, high water fluxes for bulk P(NIPAM) and bulk co-polymer of NIPAM and sodium  
273 acrylate hydrogels, P(NIPAM-SA), were observed during the first two hours and reached  
274 equilibrium after 7 hours (Li et al., 2011). The flux for P(NIPAM) hydrogels after 60 minutes  
275 was 0.30 LMH, while a water flux of 0.55 LMH was given by P(NIPAM-SA) hydrogels with  
276 50 wt% of SA. Another study conducted by the same group, using the P(NIPAM-SA)  
277 hydrogel with 50 wt% of SA as a model draw agent, showed that the dimensions of the  
278 hydrogel affect water flux magnitudes. They reported that small hydrogel had a higher water

279 flux than the large one due to greater membrane contact area. The water fluxes for the  
280 hydrogels with sizes of 2-25  $\mu\text{m}$  and 500-1000  $\mu\text{m}$  were approximately 1.3 LMH and 0.8  
281 LMH, respectively, in the first hour (Razmjou et al., 2013b). On the other hand, the water  
282 flux of our P(NIPAM) and P(NIPAM-AA) microgels with the same monomers were  
283 approximately 2 LMH and 4 LMH, respectively. The higher fluxes observed from our study  
284 can be the result of the large surface area of the microgels produced from surfactant-free  
285 emulsion polymerization. The large surface area of the microgels is beneficial for enhancing  
286 interfacial contact between microgels and FO membrane, and therefore results in a shorter  
287 time needed to reach the equilibrium condition compared to bulk hydrogels reported by Li et  
288 al. (2011). Table 2 summarises key results from this and previous studies on hydrogel-based  
289 FO process.

### 290 **3.3. Effect of acrylic acid on water flux**

291 In practice, thermo-responsive microgels are rarely polymerised from a single monomer. Co-  
292 monomers are usually employed to synthesize microgels with objectives to provide certain  
293 functionalities, such as dual-response microgels (temperature and pH), growing inorganic  
294 nanoparticles inside the microgels (Zhang et al., 2004), and enhanced swelling-deswelling  
295 ratios. Our results show that the AA contents in P(NIPAM-AA) can significantly influence  
296 the hydrophilic and hydrophobic properties of the microgels. Below the pKa of AA ( $\sim 4.75$ ),  
297 the AA moieties are hydrophobic after their protonation. As the pH is above the pKa of AA,  
298 the carboxylic groups become deprotonated and thus make the microgels be hydrophilic. The  
299 hydrophilic characteristic of the microgels at moderate pH will facilitate water adsorption.

300 [Figure 4-f](#) shows that the P(NIPAM-AA) microgels perform an improved water flux  
301 compared to the P(NIPAM) microgels. For example, the addition of 5% acrylic acid resulted  
302 in a significantly higher water flux of 21 LMH than the initial water flux of 8.9 LMH for the

303 microgels without AA incorporation. The flux increases slightly to 23.8 LMH when  
304 microgels are synthesized with 8% AA. It is interesting to note the addition of excess AA  
305 from 50% to 70% appears to have limited impact on the water flux of the co-polymer  
306 microgels measured after five hours. The water flux after five hours absorption period for  
307 P(NIPAM) microgels is 1.01 LMH, while P(NIPAM-AA) microgels with 5% and 8% AA  
308 show a flux of 1.31 LMH and 1.62 LMH, respectively. A further increase in AA moieties to  
309 50% and 70% results in slight improvement of water flux from 1.49 LMH to 2.05 LMH,  
310 respectively. In summary, AA does not have important effect on the water flux when its  
311 content is beyond 8% in the microgels.

312 The water fluxes of our microgels are higher than the hydrogels reported in previous study  
313 (Li et al., 2011). The major reason might come from the structure-property relationship of  
314 materials synthesized. In this work, we used emulsion polymerization method to synthesize  
315 submicron-size gels as the building block for temperature responsive hydrogels compared to  
316 those prepared by bulk polymerization in previous studies. This approach allows us to  
317 produce submicron-size gels which have large surface area and fast response. As a result, the  
318 water fluxes are improved significantly for microgels.

#### 319 **3.4. Effect of acrylic acid on dewatering performance of the microgels**

320 As the temperature is above the VPTT, the PNIPAM moieties become hydrophobic, resulting  
321 in shrinking the microgels, and consequently releasing the adsorbed water. However, AA  
322 moieties do not change their chemical properties since the carboxylic groups only response to  
323 pH variation. When the swelled microgels are heated to 40 °C, the co-polymer microgels with  
324 up to 8% AA undergo a phase separation while the microgels incorporated with 50% or more  
325 AA do not show obvious phase separation. As the AA content increases, the hydrophilicity of  
326 the microgels increases because of the increment of carboxylic groups in microgels. This

327 means that microgels with a higher AA content can keep more water than the PNIPAM  
328 homopolymer microgels. As a result, an increase in AA has adverse effect on the recovery of  
329 adsorbed water as increasing temperature over their VPTTs.

330 [Figure 5](#) shows that the dewatering ability of the microgels decreases as AA contents  
331 increase. Homopolymer PNIPAM microgels demonstrate the highest water recovery (72%),  
332 while the co-polymer P(NIPAM-AA) microgels with 5% and 8% AA have 55% and 52%  
333 water recovery. It is interesting to note that when the AA content in the microgels is high,  
334 such as 50% and 70%, almost no water can be recovered from the microgels at the  
335 temperature beyond the VPTT. However, when trace amount of hydrochloric acid is added to  
336 adjust the pH to about 5, a temperature of 40 °C can lead to a phase separation of the  
337 microgels with 50%wt of AA. The pH change using hydrochloric acid facilitates the  
338 protonation of carboxylate and relevant dewatering performance of microgels. The amount of  
339 water recovered from our microgel-based FO process is over 50% for PNIPAM-AA  
340 microgels which is higher than the water recovery from previous studies where only over  
341 17% of absorbed water recovered (Li et al., 2011).

342 Increasing AA moieties has an opposite effect on water flux and water recovery in our  
343 microgel-based FO system. A high AA content of the microgels leads to an enhanced water  
344 flux, while reducing the water recovery. To determine the best microgels which show a  
345 balanced performance in water flux and water recovery, the water flux and water recovery are  
346 multiplied to give the overall water production rate from microgel-based FO process. [Figure](#)  
347 [6](#) presents the overall water production rates of FO process using the co-polymer P(NIPAM-  
348 AA) microgels. We note that overall FO performance is the function of the AA contents in  
349 microgels, and the microgel with 8% AA gives the best overall water production rate.



### 350 **3.5. Recyclability of thermo-responsive microgels in forward osmosis**

351 FO process relies on the reversible abilities of water adsorption and releasing of the draw  
352 agent. In order to examine the recycling ability of our thermo-responsive microgels for the  
353 reuse in the FO desalination, recycling experiments were carried out three times to assess the  
354 performance of water flux and water recovery. Homopolymer and co-polymer microgels with  
355 8% AA are compared in this study in terms of their recovery recyclability. [Figure 7](#) shows  
356 that both thermo-responsive homopolymer and co-polymer microgels demonstrate promising  
357 water flux and water recovery performance in the three recycling FO processes. Generally,  
358 the initial water flux remains constant throughout the subsequent cycles and the equilibrium  
359 swelling time remains the same as the first cycle except for the copolymer microgels with 8%  
360 AA where a slight decrease of water flux from 23.8 LMH to 20.6 LMH.

### 361 **4. Conclusion**

362 A series of co-polymer P(NIPAM-AA) microgels was prepared by surfactant-free emulsion  
363 polymerization. Their desalination performance in terms of water flux and dewatering ability  
364 were evaluated in a laboratory forward osmosis system. We for the first time developed an  
365 on-line conductivity method to evaluate water flux of microgel-based FO process. This  
366 method was confirmed as an accurate and efficient approach to assess the FO performance.  
367 The systematic results showed that the application of microgels can lead to a cost-effective  
368 FO desalination process. Co-polymer P(NIPAM-AA) microgels show comparably higher  
369 water flux and water recovery ability than those prepared by bulk polymerization, while a  
370 shorter equilibrium time for water adsorption is required. Thermo-responsive microgels also  
371 show promising recyclability of water absorption and water recovery.

### 372 **Acknowledgement**

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**Table 1** Synthesis and characterization of P(NIPAM-AA) microgels

Nomenclature	Monomer Content				AA content (mmol g <sup>-1</sup> polymer)		Yield (%)	d <sub>h</sub> * (nm)	VPTT** (°C)
	in Feed (g)		MBA	APS	Observed	Theoretical			
	NIPAM	AA	(g)	(g)					
<b>MCG-NP100-AA0</b>	4.00	-	0.04	0.04	0	0	88	306	34
<b>MCG-NP95-AA5</b>	3.80	0.20	0.04	0.04	0.56	0.74	72	211	33
<b>MCG-NP92-AA8</b>	3.68	0.32	0.04	0.04	0.82	1.10	97	306	34
<b>MCG-NP50-AA50</b>	2.00	2.00	0.04	0.04	5.71	6.79	84	200	32
<b>MCG-NP30-AA70</b>	1.20	2.80	0.04	0.04	9.23	9.71	69	255	32

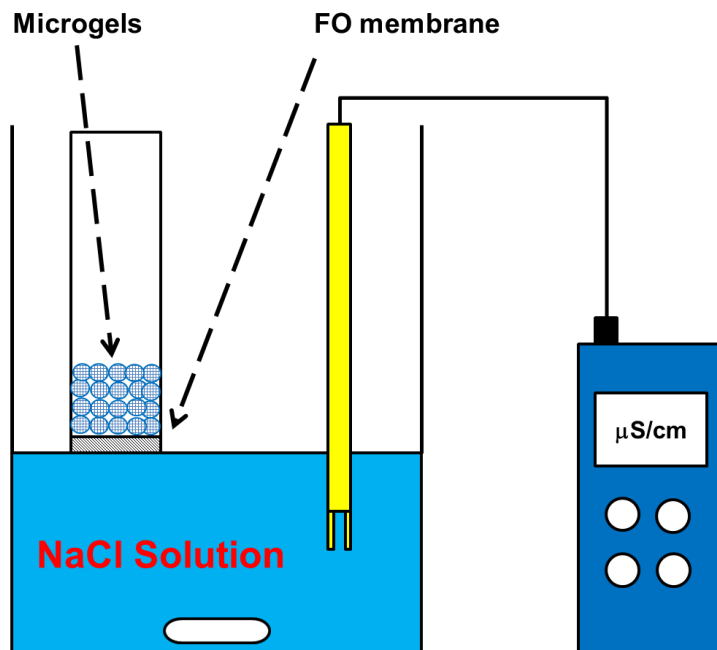
\* Hydrodynamic diameters of microgels measured at 25 °C in water

\*\*Determined from the tangent line of the inflexion point of hydrodynamic diameter vs. temperature curves

**Table 2** Summary of the water flux and swelling equilibrium time of bulk hydrogels and microgels

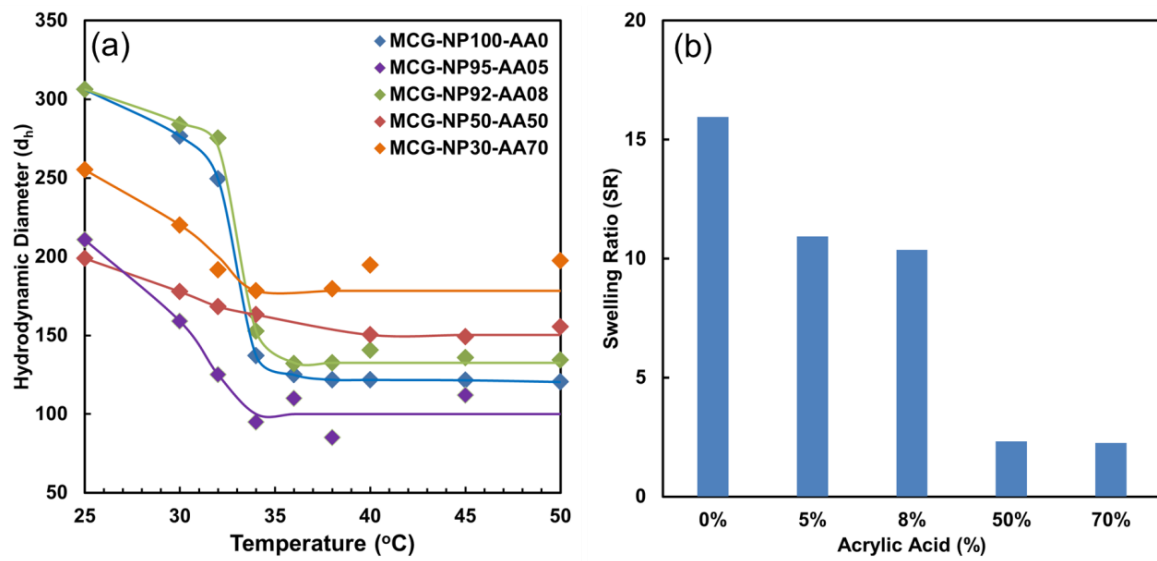
Draw agents	Composition (wt%)			Synthesis Method	Particle size ( $\mu\text{m}$ )	Initial Water Flux (LMH)	Water Recovery (%)	Equilibrium Swelling Time (minutes)	References
	NIPAM	SA	AA						
Homo polymer Hydrogels	100	0	0	Bulk Polymerization	50-150	0.30	75	420	(Li et al., 2011)
Co-polymer Hydrogels	50	50	0			0.55	17		
Co-polymer Hydrogels	50	50	0	Bulk Polymerization	500-1000	0.8	-	600	(Razmjou et al., 2013b)
					2-25	1.3			
Microgels	100	0	0	Surfactant-free Emulsion Polymerization	0.306	2	72	60	This study
	50	0	50		0.200	4	-		

Figure

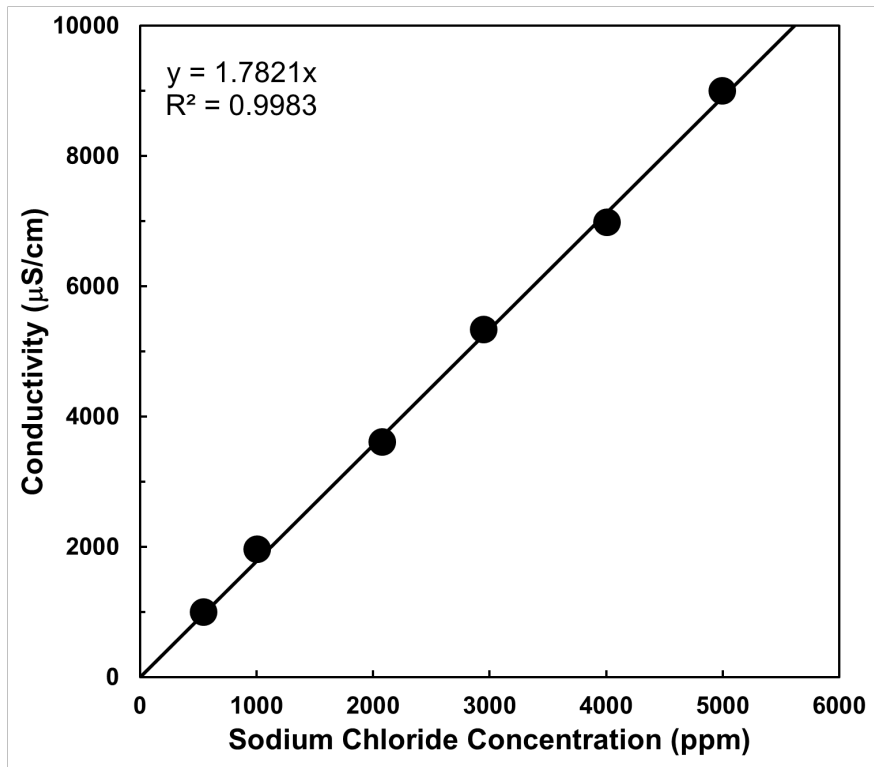


**Figure 1.** Forward osmosis setup using a conductivity probe to monitor water flux.

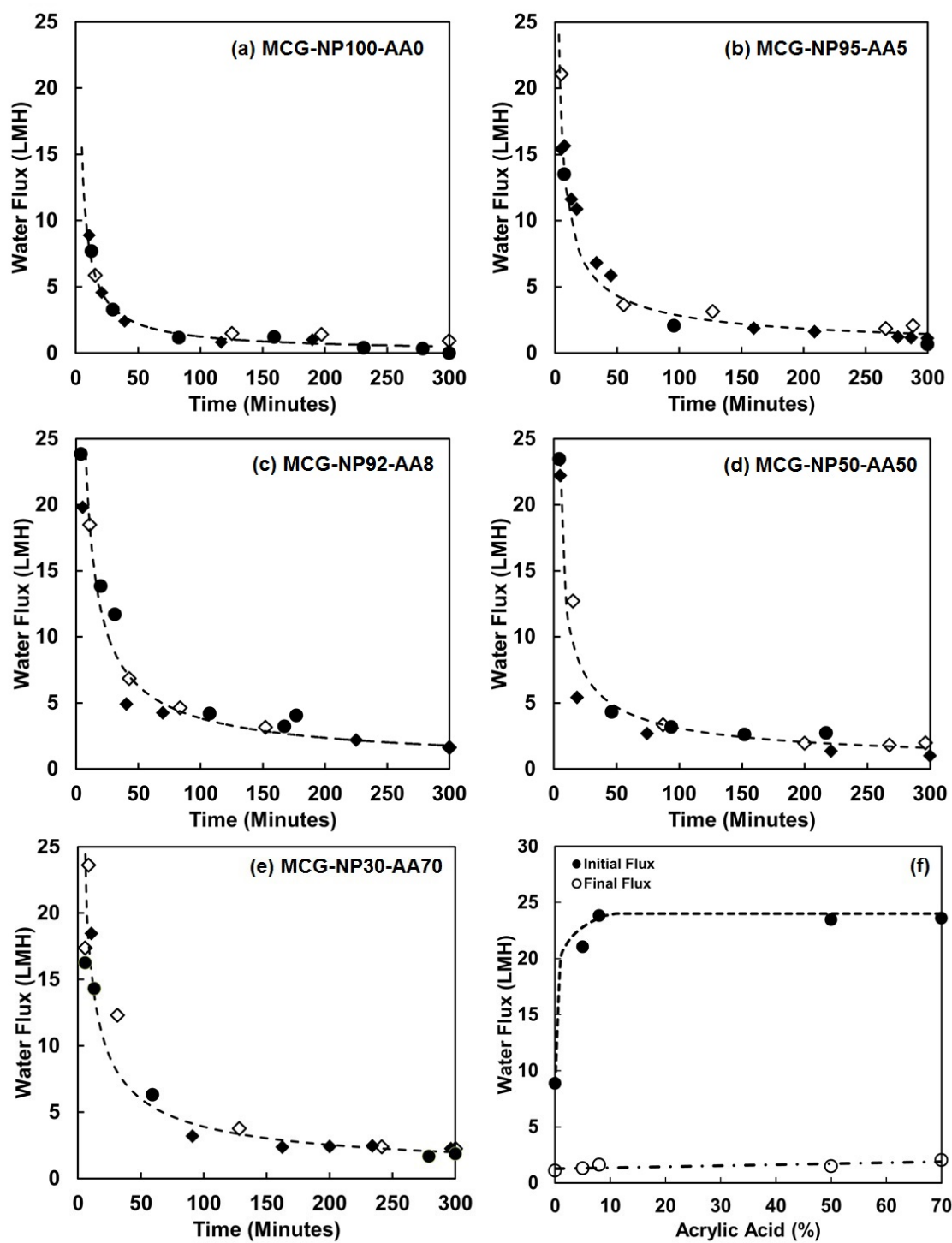




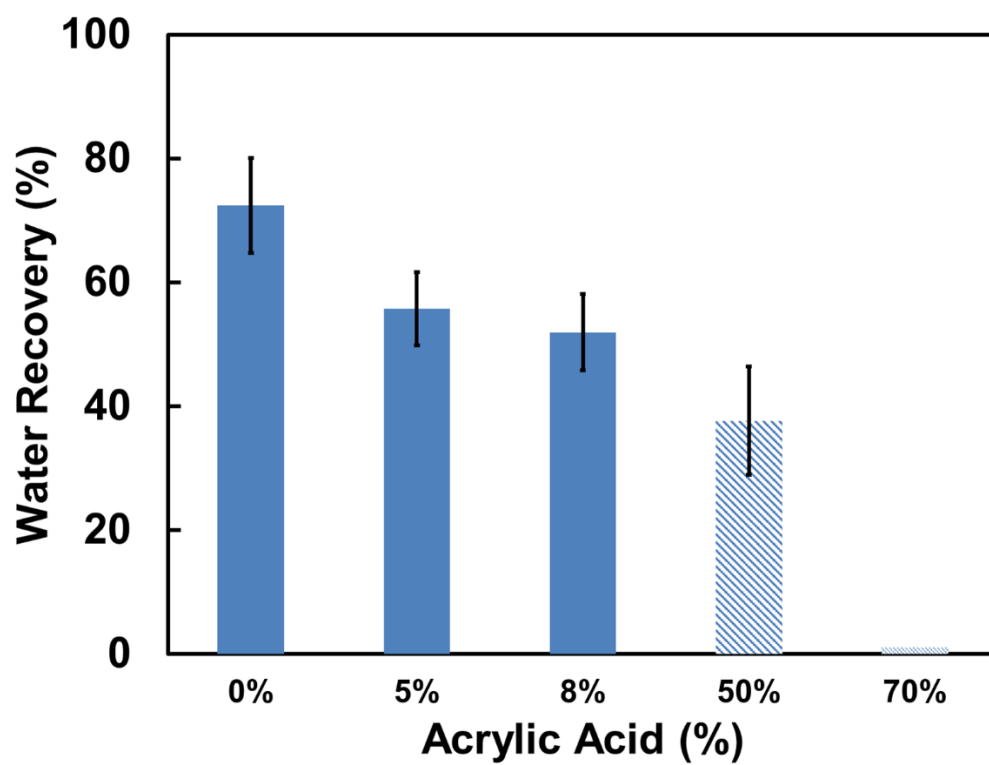
**Figure 2.** (a) Volume phase transition temperatures (VPTT) of P(NIPAM)-AA microgels with different of acrylic acid contents; and (b) Swelling ratios of various P(NIPAM-AA) microgels at 40 °C.



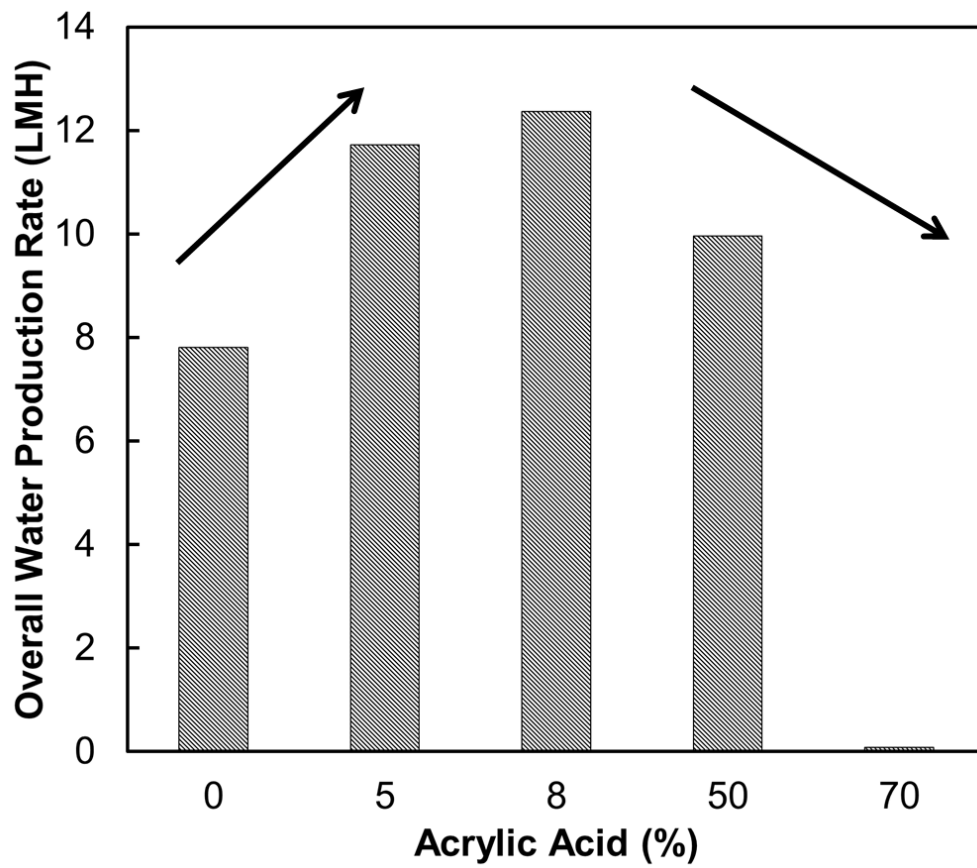
**Figure 3.** Relationship profile between conductivity ( $k = 1.0$ ) and concentration of sodium chloride.



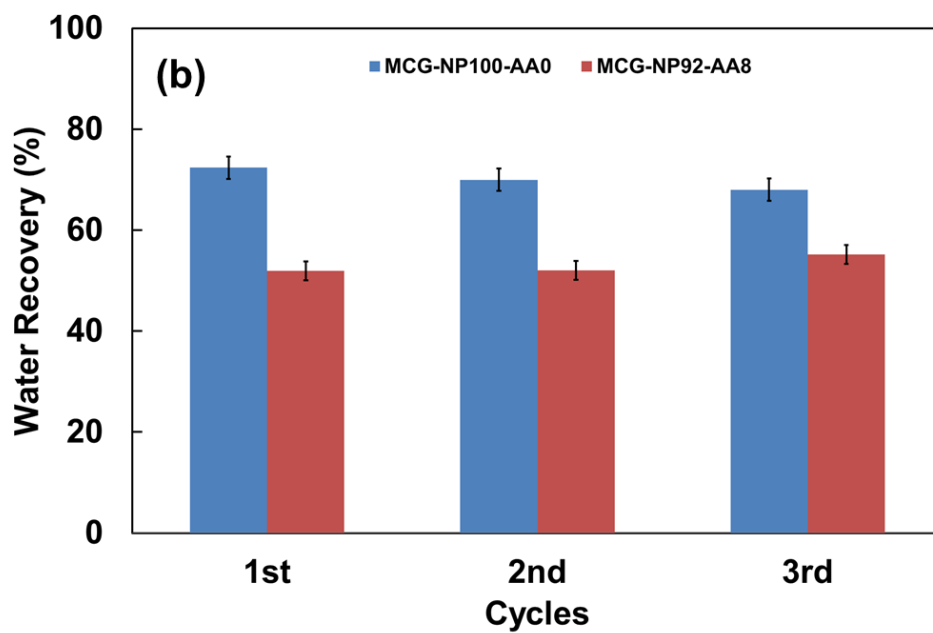
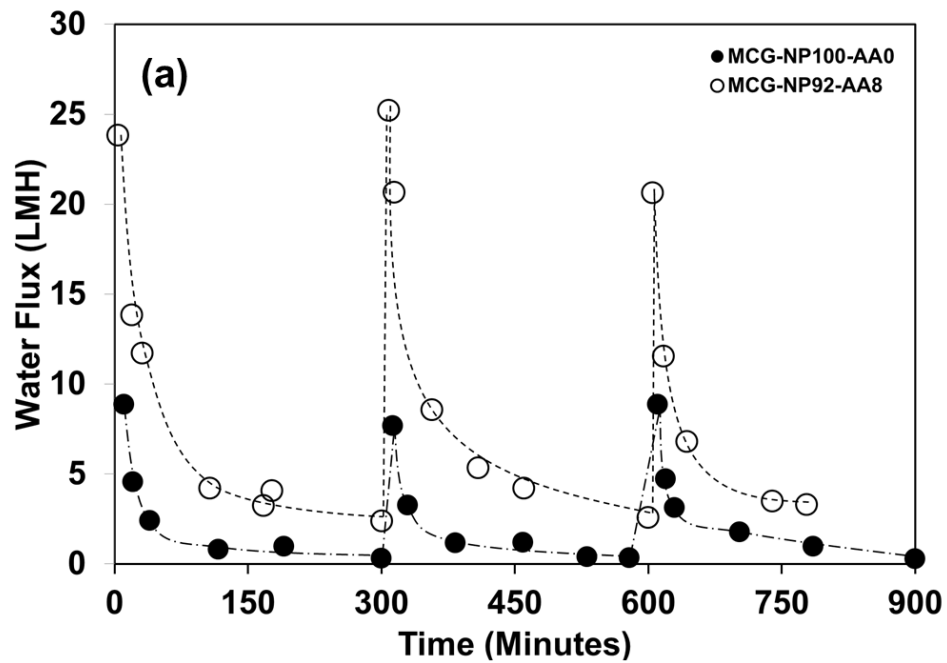
**Figure 4.** (a)-(e) Water flux for the co-polymer microgels with different acrylic acid contents over a five hour test period; and (f) effect of acrylic acid contents on the water flux.



**Figure 5.** Water recovery ability of the co-polymer microgels with different acrylic acid contents (The pH of microgels with 50wt% AA is adjusted using hydrochloric acid).



**Figure 6.** Effect of acrylic acid contents on the overall water production rates of the microgel-based FO process (Overall water production rate = water flux (LMH) x water recovery (%)).



**Figure 7.** (a) Water flux profiles for MCG-NP100-AA0 and MCG-NP92-AA8 microgels for three consecutive cycles; and (b) water recovery for MCG-NP100-AA0 and MCG-NP92-AA8 microgels for three consecutive cycles.