

Evolution of particle breakages for calcareous sands during ring shear tests

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Abstract: Ring shear tests were performed in this work to investigate the characteristics of shear band formation and particle breakages for calcareous sands sampled from the South China Sea. The tests focused on the formation of shear band and the evolution of particle breakages under various loading stress levels, together with the sensitivity analyses on the initial sample grading and shear rate. The breakage of particles has a significant influence on the stress-strain relationship, volumetric deformation and the final grading of calcareous sands. In particular, the calcareous sand specimen tends to remain a constant volume and a stable grading at shear strains larger than 2000%. The change of the micro-structure of calcareous sands during shearing has been illustrated by the Scanning Electron Microscopy (SEM) images, showing clear evolution of particle breakage and surface smoothness within the shear band. A considerable amount of fine particles (<0.074 mm) were produced during the tests, and the final complete particle size distribution can be obtained by the laser diffraction particle size analyzer. The findings of this study improve the understanding of calcareous sands that they can be crushed readily under normal loading stress level as long as the shear strain continues.

Keywords: ring shear test, calcareous sands, shear band, particle breakage, SEM

Introduction

Calcareous sands are deposits widely found in tropical marine environment. They have

29 significantly different physical and mechanical properties from their terrigenous counterpart soils,
30 such as particle angularity, high crushability, large internal voids, and weakly cemented soil
31 structure (Wang et al., 2017). These unique properties are preferred for particle breakage which has
32 been recognized as the most important feature of calcareous sands, controlling soil strength,
33 deformation and permeability (David et al., 2011, Shahnazari and Rezvani, 2013). In fact, the
34 breakage of calcareous sands has been widely observed in offshore engineering practices under
35 certain stress and strain conditions, for either weak (e.g. calcareous or carbonate sands) or strong
36 (e.g. quartz sand) soil particles (Coop et al., 2004, Donohue et al., 2009, Miao and Airey, 2013,
37 Qadimi and Coop, 2007, Wu et al., 2014, Yu, 2017). Among others, the changes of particle shape,
38 surface roughness and particle size distribution (PSD) are the most notable characteristics of
39 particle breakage. As noted by Cho et al. (2006) and Altuhafi and Coop (2011), after breakage, the
40 irregular particles tend to evolve towards a spherical shape and median surface roughness, with
41 lower aspect ratios than the original particles.

42 During compression or shearing, particle breakages usually occur once the loading stress
43 exceeds the yielding stress of sands (Hyodo et al., 2002, Lade et al., 1996, McDowell, 2002,
44 Sebastian et al., 2006). This effect is particularly evident for uniformly graded samples (Bolton et
45 al., 2008). For non-uniformly graded grains, McDowell and Bolton (1998) observed that fine grains
46 can be crushed successively under increasing loading stresses, while the coarse grains can resist
47 fractures due to their high coordination numbers. Einav (2007) also stated that the large particles are
48 more resistive to crushing during the compression of a dense packing of poly-dispersed granular
49 materials because they can get cushioned by the surrounding fine grains. However, this observation
50 contradicts the generally accepted trend of particle strength increasing with decreasing size (Hardin,
51 1985), because in the case of dense granular packing, the forces transferring at contacts (i.e. the
52 efficiency is reflected by coordination number) are dominant and the potential defects (e.g. fractures
53 or joints) within the solids are not considered. Altuhafi and Coop (2011) examined the influence of
54 particle density and initial grading on the mechanical responses of crushable sands by
55 one-dimensional compression tests. They observed that a significant amount of particle breakages
56 occurred in poorly graded samples, while little breakage can be found in very well-graded samples.

57 Hardin (1985) proposed that the potential for breakage increases with the particle size, and can
58 be quantified effectively by using relative breakage. This parameter has been proved to be
59 independent of particle size distribution, while it depends primarily on particle shape, granular
60 packing state, effective stress and presence of water. To get a fully unified correlation with various
61 tests, Lade et al. (1996) proposed the particle breakage factor, B_{10} , based on the D_{10} particle size, to
62 correlate with the total energy input. Thus, the amount of crushed particles can be predicted if the

63 stress and strain relationship of a soil specimen can be readily obtained. Zhang et al. (2015) used a
64 simple two-parameter model to describe particle size distribution generated from breakages of
65 initial uniformly graded sand samples. In this model, various particle breakage patterns can be
66 captured by the modified two-parameter Weibull distribution function, including asperity breakage
67 and particle splitting. In addition, the breakage pattern of initially non-uniformly graded particles
68 can be considered as a collection of uniformly graded particles with the crushing state of each
69 particle size group following the Weibull distribution.

70 In experimental investigations, the ring shear (RS) tests are superior to triaxial compression
71 and direct shear tests for the extremely large shear strains reached. Luzzani and Coop (2002)
72 observed that large shear deformation occurs before a stable grading can be achieved in ring shear
73 tests. They also suggested that the constant volume state observed in triaxial compression tests
74 should result from the counteracting components of volumetric strain, instead of the completion of
75 particle breakage. Sadrekarimi and Olson (2010) studied the formation of shear band during ring
76 shear tests, and concluded that the localization of non-uniform deformation occurs just before the
77 mobilization of peak shear resistance. After failure, the shear displacements localized only within
78 the shear band of a width of 10 to 14 times the median particle size (D_{50}). Fukuoka et al. (2007)
79 conducted drained RS tests on sands, and found that high shear speed would lead to reduced friction
80 angle, and particle breakage intensity increases with the normal consolidation stress level.

81 Though lots of efforts have been devoted to investigate the shear band formation and the
82 corresponding particle breakage under various testing conditions, detailed analyses of shear band
83 evolution and soil micro-structure changes are still lacking. These limitations prompt the more
84 systematic research on calcareous sands, with the purpose to highlight particle breakage
85 characteristics under various conditions, as presented herein. The paper is organized as follows:
86 firstly, the experimental configurations are given. Then, the analyses of experimental data are
87 presented, with respect to the stress-strain relationship, sample deformation and sand breakage
88 characteristics. The final section summarizes major conclusions reached in this study.

89 **Experimental configurations**

90 The current study employed the ring shear tests to investigate the mechanical and deformation
91 behaviour of calcareous sands under relatively large shear displacement and high shear speed. The
92 sand specimens were sampled from Yongshu Coral Reef, South China Sea (Wang et al., 2011). The
93 ring shear (RS) testing apparatus used in this study is shown in Figure 1. The calcareous sand
94 specimen is placed in the shear box, with dimensions of 150 mm of outer diameter (OD), 100 mm

95 of inner diameter (ID), and 20 mm of height. The sectional area is 98.17 cm². The testing apparatus
96 allows the application of the shear torque of up to 400 N·m, and the vertical loading stress of up to
97 500 kPa. The rotational speed ranges from 0.0005°/min to 50°/min, which corresponds to a
98 horizontal displacement rate of 0.00054 mm/min to 54.5 mm/min.

99 For all tests performed in this study, the consolidated and drained (CD) conditions were
100 employed to consider the process of pile driving in calcareous sands at relatively high shear speeds
101 (see also Table 1). In addition, as noted by Coop et al. (2004) and others, during shearing, the
102 uniformly graded sands have much higher breakage intensities than the well-graded sands. Thus,
103 the uniformly graded sand specimens of sieve interval 0.5-1 mm were used in most of tests to
104 maximize particle breakage. In the current analyses, the shear strain is calculated as: $\gamma = \delta h / C$, with
105 δh being the horizontal shear displacement, C being the mean circumference of the specimen.
106 According to Coop et al. (2004), the volumetric strain (ε_v) can be calculated via the axial (vertical)
107 deformation, because the radial deformation is nil as confined by the shear box. It is thus defined as
108 $\varepsilon_v = \delta v / H_0$, with δv being the vertical displacement, H_0 being the initial sample height. For a
109 comprehensive study on the shearing behavior of calcareous sands, the following experimental
110 configurations were employed:

- 111 1) Vertical loading stress and shear strain: calcareous sand specimens with size grading of
112 [0.5, 1] mm were tested under the vertical loading stresses of 200 kPa, 300 kPa and 400
113 kPa, respectively. Different final shear strains were set for these tests (see Table 1). The
114 shear rate is constant as 43.6 mm/min for all tests.
- 115 2) Material property: three sets of calcareous sand samples were tested, including two
116 uniformly graded samples of [0.5, 1] and [1, 2] mm, and one well-graded sample of
117 [0.075, 2] mm, with D_{50} of 0.5 mm. In a series of tests, the vertical consolidation stresses
118 are set as 50 kPa, 100 kPa, 200 kPa, 300 kPa, 400 kPa and 600 kPa, respectively. The
119 final shear strain for all tests is 2546.5% (i.e. 10 m of the equivalent shear distance). The
120 shear rate is constant as 43.6 mm/min for all tests.
- 121 3) Shear rate: the uniformly graded calcareous sand specimens of [0.5, 1] mm were tested
122 under vertical loads of 300 kPa. The shear rate is set as 43.6 mm/min, 11 mm/min and 3.3
123 mm/min for three tests, respectively. The final shear strain for all tests is 509.3% (i.e. 2 m
124 of the equivalent shear distance).

125 The specimen preparation and ring shear tests are in an orderly step-by-step setting-up
126 procedure, as follows.

- 127 1) The [0.5, 1] mm uniformly graded calcareous sands are obtained via two-sieve screening.
128 Then, the sands are washed by distill water and then dried in a drying oven. Specimens
129 with other specific grading can be obtained in a similar way.
- 130 2) The sands are carefully poured into the bottom annular platen until the final dimensions of
131 150 mm OD, 100 mm ID, and 20 mm height are reached. In this process, a smooth glass
132 rod (6 mm in diameter) will be used to stir the sands near the upper surface gently so that
133 the aimed height can be reached
- 134 3) Distilled water is slowly poured onto the upper surface of the sand specimen, until a fully
135 saturated state is reached. After 4 hours of self-weight consolidation, the loading and
136 shearing systems are installed in place. Then, a light vertical seating load of 10 kPa is
137 applied on the upper annular platen to make it contact fully with the specimen.
- 138 4) The aimed consolidation loading stress is applied on the upper platen until the sample is
139 fully consolidated. Then, the specimen is subject to ring shear under constant rotational
140 speed (see the defined shear rate in Table 1).
- 141 5) After the test is concluded, all loads are gradually reduced back to zero, and the loading
142 and shearing systems are removed. The calcareous sands locating in the shear band is
143 carefully examined via digital imaging and particle size screening, regarding the shear
144 deformation and particle size distribution characteristics.

145 **Analyses of the experimental results**

146 The ring shear tests conducted in this research aims to clarify the formation of shear band and
147 the evolution of particle breakage under various loading stress levels and material properties. The
148 following analyses firstly present the results of tests with various vertical loading stresses and final
149 shear strains. Then, the influence of initial sand grading and shear rate on the overall response of
150 calcareous sands are analysed.

151 ***Tests with various vertical loading stress***

152 As stated by Sadrekarimi and Olson (2010), the formation of shear band is an important factor
153 for understanding soil failure. This unique feature together with its evolution was visualized by
154 employing a transparent outer confining ring in their ring shear tests. However, under the current
155 experimental configurations, a series of tests with increasing final shear strain were employed to
156 study the formation and evolution of shear bands within the sand specimens. By this approach, it is

157 also possible to analyse particle breakages at different loading stages in a quantitative way. A direct
158 comparison of particle characteristics between the initial intact sands and crushed grains after the
159 tests is shown in Figure 2. It is clear that the mean grain size of calcareous sands decreases
160 gradually with the shear strain (e.g. for tests RS-3, RS-4 and RS-5), indicating that significant
161 particle breakages do exist and increases with the shear strain at different stages of the tests.

162 Figure 3 illustrates the stress-strain relationship of the RS tests under various vertical loading
163 stresses. According to the figure, the peak shear strength occurs at a relatively small strain of around
164 3.6%, and increases with the vertical loading stress. Then, the shear stress decreases quickly and it
165 can reach the residual strength at $\gamma > 25\%$. However, apparent oscillations of stress can be clearly
166 observed for these tests, indicating that intensive particle breakages and rearrangements may occur
167 within the shear band. Figure 4 illustrates the evolution of volumetric strains measured for test RS-5
168 under different vertical loading stresses. At the beginning of the tests, the axial strain increases
169 slightly due to the compression of the specimen. Then, the specimen would dilate sharply for a
170 small shear displacement. This effect of volume dilation becomes very evident for tests with low
171 stress levels. However, for tests under high stress level, the volume compression dominates the
172 specimen deformation. For these tests, very large volumetric strains can be observed at high shear
173 strains. This characteristics of volumetric deformation has also been observed by Coop et al. (2004),
174 and can be ascribed to particle breakages within the shear band. The shear strain reached at the peak
175 volumetric dilation also corresponds to the peak shear strength (see Figure 3). Further increase of
176 shear strain would lead to specimen compression, as represented by the gradual increase of
177 volumetric strains. As expected, the compression induced volumetric strain increases with the
178 vertical loading stress. However, at very large shear strain ($\gamma > 1000\%$), the increase of ϵ_v tends to
179 slow down for test under 400 kPa loading stress, showing a gradual stabilized volumetric
180 deformation. This result of volumetric change has been reflected by the slight increase of residual
181 shear strength in Figure 3. In addition, the corresponding value of γ for the stabilized volume is
182 inversely related to the vertical loading stress. This trend agrees well with the observation by Coop
183 et al. (2004) that tests at higher stress level can always reach the stable volumetric strain at
184 relatively lower shear strains.

185 After the RS tests, the deformed specimens were taken out of the ring shear box, and images
186 from the lateral view of the sliced specimen were taken, as shown in Figure 5. Apparent fine sand
187 slurry can be observed in the middle of the specimen, which consists of fragmented fine calcareous
188 sands and water. In this region, the specimen undergoes intensive shearing, and thus denoted as
189 shear band (see the region enclosed by red dashed curves, with its height being approximately 1/3
190 of the deformed sample height). As stated by Coop et al. (2004), the shear band is always located in

191 the central layer of the deformed sand sample, with a much higher breakage than the top and bottom
192 layers. According to Figure 5, it can be observed that the shear-induced particle breakage becomes
193 gradually evident with increasing shear strains, as shown by the smooth surface at higher shear
194 strain. The detailed information of particle characteristics and arrangements in the shear band can
195 be obtained by imaging via the Scanning Electron Microscopy (SEM). Here, we present images for
196 tests under 400 kPa vertical loading stress, and with different shear strains, as shown in Figure 6. As
197 a comparison, the image of a single coarse calcareous sand particle is also presented in Figure 6(a).
198 According to the figure, it can be seen that the intact calcareous sand has an angular shape, with
199 very rough surface and well-developed small voids. The porous structure is also crucial for the
200 calcareous sands to be crushed readily into very fine grains under external loadings. At relatively
201 small shear strains (e.g. RS-1, RS-2), the surface of the specimen is very rough with large particles
202 and void spaces existing within the shear band. However, the surface of the shear band gradually
203 becomes smoother at higher shear strains. This phenomenon is as expected because the increase of
204 shear strain can lead to a much complete particle breakage in the shear band, producing a large
205 amount of fines (< 0.074 mm). The fine sands can effectively fill up the void spaces creating very
206 smooth surfaces.

207 As stated by Zhang et al. (2015), the particle size distribution (PSD) is a unique characteristics
208 of a soil specimen, determining its physical and mechanical properties (e.g. particle size and shape,
209 stress-strain behavior). In soil constitutive modeling, the inclusion of PSD as a variable is necessary
210 to consider particle breakages and variations of mechanical behavior (Einav, 2007, Muir Wood and
211 Maeda, 2007). In this study, the calcareous sands in the shear band were carefully retrieved from the
212 shear box following each test, and then the PSDs of coarse grains were analyzed via wet-sieving by
213 hand, while fine particles smaller than 0.074 mm were analyzed by the laser diffraction particle size
214 analyzer. The obtained PSDs are shown in Figure 7. According to the figure, the particle breakage
215 effect can be reflected clearly by the production of a considerable amount of particles finer than the
216 initial minimum size of 0.5 mm. After the test, the particle size ranges from 10^{-3} mm to 1 mm, with
217 more than 30% of newly produced fine particles. The amount of fine particles increases with the
218 vertical loading stress, indicating that the particle breakage has a clear dependency on the stress
219 level. As the final shear strain increases from 127.3% to 4074.4% (i.e. for the tests RS-1 to RS-6),
220 the PSD curve shifts gradually upwards, showing continuous production of fine grains within the
221 shear band. For tests under various loading stress levels, the resultant finest particles appear to have
222 unique sizes, and decrease with the final shear strain. This feature shows that a unique dependency
223 relationship between the finest crushed particle size and the final shear strain may exist. However,
224 this relationship can also be influenced by initial particle grading and shear strain rate significantly,

225 as will be discussed in the following analyses.

226 ***The influence of initial grading***

227 In this study, calcareous sands with various initial grading were used in the RS tests. In
228 particular, we used two uniformly graded samples with the absolute particle size of [0.5, 1] mm and
229 [1, 2] mm (i.e. for tests RS-5 and RS-7 in Table 1), and one well-graded sample of [0.075, 2] mm
230 with D_{50} of 0.5 mm (see RS-8 in Table 1 and the initial PSD curve in Figure 10). For all tests, the
231 final shear strain was set as 2546.47% (i.e. 10 m of shear distance), and the moderate vertical
232 loading stress of 300 kPa was used.

233 Figure 8 shows the evolution of shear stress with the shear strain for tests with different
234 grading. It can be seen that the peak shear stress occurs at a relatively low shear strain ($\approx 3\%$), and it
235 is dominantly large for specimens consisting of uniformly graded and fine sands (e.g. RS-5).
236 However, a completely opposite trend exists for the residual shear strength. At large shear strains,
237 the residual shear stress oscillates intensively due to continuous particle breakages and granular
238 structure rearrangements. This is especially true for tests RS-7 and RS-8, in which a large portion of
239 coarse sands were presented. The magnitude of stress oscillation tunes gradually at large shear
240 strains due to a complete breakages of coarse particles within the shear band.

241 Figure 9 shows the evolution of volumetric strain with the shear strain during the RS tests
242 under a vertical loading stress of 300 kPa. It is clear that the specimen of fine and uniformly graded
243 sands shows clear volume dilation at the beginning of the tests, while it is not evident for coarser
244 and well-graded specimens, indicating that the coarse grained specimens can be compressed easily
245 due to the existence of large internal void spaces. At larger shear strains, the three different
246 specimens are all compressed, and the volumetric strain tends to converge to stable values at shear
247 strains larger than 2000%. This phenomenon indicates that the calcareous sands in the shear band
248 have been crushed thoroughly. This feature is particularly evident for the test RS-8 in which the
249 final stable volumetric strain is relatively small (-27%). In this case, the total amount of coarse
250 grains is small, and particle breakage can be completed quickly at a relatively small shear strain.
251 The convergence of volumetric strain towards a constant value at higher shear strain has also been
252 observed in Coop et al. (2004) in which a critical shear strain was associated with this change.

253 The PSDs of tests with various initial grading are illustrated in Figure 10. According to the
254 figure, it can be observed that the PSD curves for all tests shifted towards the fine grain size range,
255 indicating that a large portion of fine grains (< 0.074 mm) have been produced due to particle
256 breakage. Tests RS-5 and RS-8 have very similar final grading curves, even though RS-8 has a

257 much wider initial grading. For test RS-7, it has a much higher percentage of fine grains than the
258 other two tests, and apparent grading discontinuity (poorly graded) can be observed for the size
259 range of [0.1, 0.3] mm. In this case, the coarse grains have relatively lower shear strength than the
260 finer ones, and thus the particle asperities of coarse grains can be easily crushed when sheared off
261 by the surrounding particles. The finest particle produced by the shear-induced crushing in RS-8 is
262 smaller than those in RS-5 and RS-7, due to much finer sand compositions in the initial specimen.

263 ***The influence of shear rate***

264 In this study, we also tested the influence of shear rate on the response of calcareous sands. The
265 testing conditions are listed in Table 1, as tests RS-3, RS-9 and RS-10. This kind of investigation is
266 of practical importance as the penetration of piles into sands is normally a dynamic process, in
267 which a wide range of shear rates can be encountered in different engineering projects. Figure 11
268 shows that test RS-3 with a rapid shear rate can lead to relatively high peak shear strength, while
269 RS-9 and RS-10 have almost the same peak shear strength. In addition, RS-3 manifests much higher
270 stress oscillations than RS-9 and RS-10 at large shear strains, showing that fast shearing would
271 cause high perturbations to the calcareous sands. For all tests, the residual shear strengths of
272 calcareous sands are almost the same, indicating that the residual shear strength is independent of
273 the shear rate and might depend only on the material properties (e.g. grains size / grading, shape,
274 surface roughness, breakage).

275 Figure 12 illustrates the grading curves of calcareous sands in the shear band obtained after the
276 tests. It can be observed that a much more uniform grading curve can be obtained for slowly
277 sheared calcareous specimen (i.e. RS-10), while fast shearing may result in poor sand grading, e.g.
278 discontinuous grain size of [0.1-0.4] mm in RS-3. This phenomenon is as expected because during
279 the fast shearing process, the force chains of a granular packing cannot persist for a long time as
280 particles located in the shear band are agitated frequently due to rapid dynamic motions.

281 ***Discussion***

282 The ring shear tests presented in this study were aimed at investigating the evolution of
283 particle breakages by shearing calcareous sands with relatively long distances. It is apparent that the
284 extent of particle breakage can vary significantly for different testing conditions, such as shearing
285 distance, vertical loading stress, initial sand grading and shear rate. Thus, it is necessary to employ a
286 unique parameter to quantify the particle breakage intensity and relate it to specific employed
287 testing conditions. Actually, several different parameters have been proposed in the literature to

288 study the particle breakages. Some of them focus on the increase of surface area and fragmentation
289 energy (Locat et al., 2006, McDowell et al., 2002), while others on characterizing the change of
290 particle size and grading via experimental and numerical approaches (Einav, 2007, Hardin, 1985,
291 Lade et al., 1996, Richard et al., 2001). In this study, the widely accepted relative breakage (B_r) by
292 Hardin (1985) has been adopted in the analyses due to its advantage of representing particle grading
293 changes as a single simple parameter. The definition of B_r has been illustrated in Figure 7 (d), as the
294 ratio of total particle breakage (area B) to the potential breakage (sum of area A and B). In this
295 definition, the comminution limit is considered as the upper limit of the silt size of 0.074 mm. In the
296 current analyses, we also noticed that a large amount of calcareous sand particles with smaller sizes
297 can also be crushed during the ring shear tests under normal vertical loading stress levels. Thus, the
298 choice of this size limit can be further decreased to consider the breakage potential of even finer
299 particles. However, as stated by Bowman et al. (2012), the choice of this size limit is rather arbitrary,
300 and the use of a different value will simply shift the value of B_r , while the relative particle breakage
301 intensity would keep unaltered. Thus, for consistency, the current study will just employ the same
302 definition of relative breakage as Hardin (1985).

303 According to the PSD curves of each test (see Figure 7, Figure 10 and Figure 12), the relative
304 particle breakage can be summarized as a function of the final shear strain (γ), the vertical loading
305 stress (σ), the initial sand grading (C_u), the maximum particle size (D) and the shear rate ($\dot{\gamma}$), as
306 shown in Eq. (1).

$$307 \quad B_r = f(\gamma, \sigma, C_u, D, \dot{\gamma}) \quad (1)$$

308 where C_u is the coefficient of uniformity ($=d_{60}/d_{10}$) which is used in combination with D to
309 characterize different initial sand grading.

310 Eq. (1) shows a complicated relation of B_r with five independent parameters, which would
311 require a significant amount of effort to quantify the contribution of each parameter and their
312 mutual dependence. In addition, the experiments performed in this study only involves calcareous
313 sands in a very narrow size range (0.075-2 mm), and the derivation of a quantitative equation of B_r
314 for sands with a wider particle size range can be even more difficult. Thus, to study the general
315 trend of B_r , this section will only summarize the values of relative breakages obtained from tests
316 performed in this study and relate them to the aforementioned parameters.

317 The relative breakages calculated from the PSD curves in Figure 7 for tests with different
318 loading stress level (σ) and final shear strains (γ) are summarized in Figure 13. The results show that
319 the degree of particle breakage increases with the final shear strain, and tends to remain constant at

320 larger shear strains ($> 4000\%$). The evolution of B_r with the shear strain can be described by linear
321 and exponential functions for the low and high vertical loading stress levels, respectively. In
322 addition, for a specific value of γ , the relative breakage of the specimen increases with the vertical
323 loading stress. This phenomenon is as expected because the specimen can be densely consolidated
324 under high vertical loads, and the increasing shear strain would cause continuous breakages of
325 sands by particle asperities being sheared off. At large shear strains, the majority of calcareous
326 sands were crushed into fine grains which would serve as a cushion layer to the relatively coarser
327 sands nearby and resist further particle breakages (Einav (2007)). As it is difficult to crush the
328 resultant fine particles under modest shear stresses, B_r would gradually converge to a constant value
329 (see also the surface characteristics of shear bands in Figure 5 and Figure 6). This feature has also
330 been observed in Coop et al. (2004), that very large strains are required for the tests to reach a stable
331 relative particle breakage.

332 Figure 14 summarizes the relative breakage of calcareous sands with different initial grading
333 for tests under vertical loading stresses of 50 kPa to 600 kPa. According to the figure, it can be
334 observed that test RS-7 has a relatively large value of B_r under even very small loading stresses. In
335 this case, the sand specimen has the same value of C_u as RS-5, but larger maximum grain size (D).
336 The general trend shows that the particle relative breakage would increase with the maximum sand
337 size, reflecting the fact that the coarse calcareous sands can be crushed readily due to their low
338 shear strength. However, if D is fixed, the value of B_r will be inversely related to C_u (see the
339 comparison between RS-7 and RS-8). In addition, tests RS-5 and RS-8 have almost the same B_r for
340 low vertical stress (≤ 300 kPa), while at higher loading stress, B_r becomes larger for RS-5. For the
341 case of RS-8, the well graded sand specimen with high coefficient of uniformity (C_u) can have a
342 high packing efficiency, such that the coarse grains have very high coordination numbers with
343 efficient force transferring. Consequently, the probability of particle breakage was significantly
344 reduced. This is particularly evident for tests under high loading stress, because the specimen was
345 densely consolidated. These results show that the initial well graded sand specimen can effectively
346 resist breakage, because the proportion of coarse grains is relatively small, and the coordination
347 number of coarse grains is relatively high. This result can match well the experimental observations
348 by Altuhafi and Coop (2011) that uniformly graded and coarse sands would suffer catastrophic
349 splitting under external loading, creating a large amount of fine grains. For all the tests, the
350 relationship between B_r and vertical loading stress can be fitted well by exponential functions. In
351 addition, for tests with different shear rates (e.g. RS-3, RS-9 and RS-10), the relative breakages are
352 calculated as 0.281, 0.314 and 0.339, respectively. The result indicates that slow shearing of
353 calcareous sands can lead to a high degree of particle breakage.

354 **Conclusions**

355 This paper presents experimental results of a series of ring shear tests with various initial
356 conditions, e.g. loading stress level, sand grading and shear rate, to investigate the characteristics of
357 particle breakage and the corresponding stress and strain evolutions. The main conclusions can be
358 summarized as follows:

- 359 1) During the ring shear tests, the calcareous sand specimen dilates slightly at small shear
360 strains, and then contracts continuously at large shear strains. The constant volumetric
361 strain and stable particle grading could be reached at shear strains larger than 2000%. In
362 addition, the residual shear stress increases with the vertical loading stress, and is
363 independent of the material property and shear rate.
- 364 2) Particle breakage occurs readily during shearing and can continue to very large shear
365 strains (e.g. > 2000%). It shows a gradual convergency towards a constant particle relative
366 breakage. This value of constant relative breakage increases with the vertical loading
367 stress and becomes larger for specimens with a uniform and coarse sand grading. The
368 SEM images show clearly that the shear band consists of highly crushed fine grains, and
369 the smoothness of the surface increases with the shear strain.
- 370 3) The analyses of particle size distribution for calcareous sands show that a large proportion
371 of particles smaller than 0.074 mm can still be crushed frequently under relatively small
372 vertical loading stresses. The sizes of these fine particles can be measured by the laser
373 diffraction particle size analyzer. Thus, the previously selected size limit of a silt in the
374 definition of relative breakage can be further decreased to consider the breakage potential
375 of finer particles.

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443

444 Captions

445 Figure 1. The ring shear test apparatus. 's1': vertical loading load cell; 's2': vertical displacement dial gauge
446 transducer; (a): shear box filled with calcareous sands; (b) shear box covered by porous stone disc and upper
447 loading plate; (c), (d): shear box fixed on the testing rig.

448 Figure 2. Calcareous sands obtained at different stages of the ring shear tests

449 Figure 3. The shear stress and strain relationship of calcareous sands under various vertical loading stresses.
450 The locations L-1, L-2, L-3 and L-4 correspond to the final shear strain of tests RS-1, RS-2, RS-3 and RS-4.

451 Figure 4. Evolution of volumetric strain with shearing strain for test RS-5 under various vertical loading
452 stress.

453 Figure 5. Images of calcareous sand specimen after the RS tests. The figures (a) to (e) were obtained from
454 tests RS-1 to RS-5 (see Table 1), respectively, showing the evolution of particle compositions with the shear
455 strain. The shear band is delimited by enclosed red dashed curves.

456 Figure 6. SEM images of calcareous sand specimen after the RS tests (the vertical loading stress is 400 kPa).
457 figure (a) is the image of a single coarse calcareous sand particle. Figure (b)-(f) are the results of tests RS-1,
458 RS-2, RS-3, RS-4 and RS-5, respectively.

459 Figure 7. PSD of the calcareous sands in the shear band, (a), (b), (c) are results of tests under vertical loading
460 stresses of 200 kPa, 300 kPa and 400 kPa, respectively. Figure (d) illustrates the definition of relative
461 breakage, B_r , as the ratio of total particle breakage (area B) to the potential breakage (area A+B).

462 Figure 8. The relationship between shear stress and strain for calcareous sands with different initial sand
463 grading under the vertical loading stress of 300 kPa.

464 Figure 9. Evolution of volumetric strain with the shearing strain for tests with different initial sand grading.

465 Figure 10. PSD of the calcareous sands in the shear band for tests with different initial sand grading.

466 Figure 11. The relationship between shear stress and strain for calcareous sands with various shear rates
467 under the vertical loading stress of 300 kPa.

468 Figure 12. PSD of the calcareous sands in the shear band for tests with different shear rates.

469 Figure 13. The relationship between the relative breakage and shear strain for calcareous sands under various
470 vertical loading stresses.

471 Figure 14. The relative breakage of calcareous sands with different initial sand grading.

472

473 Table 1. Details of the testing conditions.

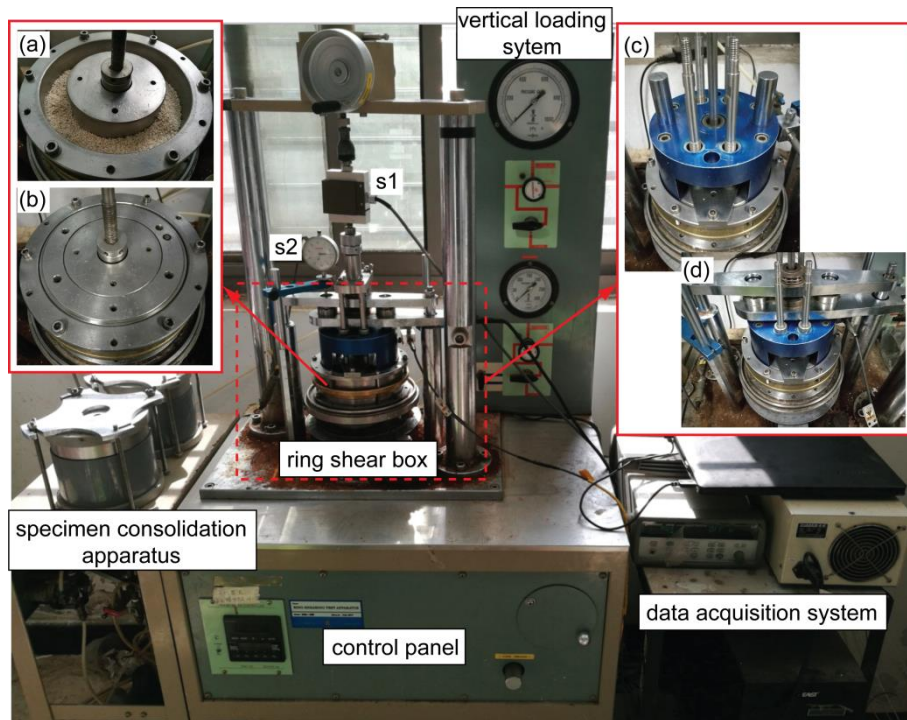


Figure 1. The ring shear test apparatus. ‘s1’: vertical loading load cell; ‘s2’: vertical displacement dial gauge transducer; (a): shear box filled with calcareous sands; (b) shear box covered by porous stone disc and upper loading plate; (c), (d): shear box fixed on the testing rig.

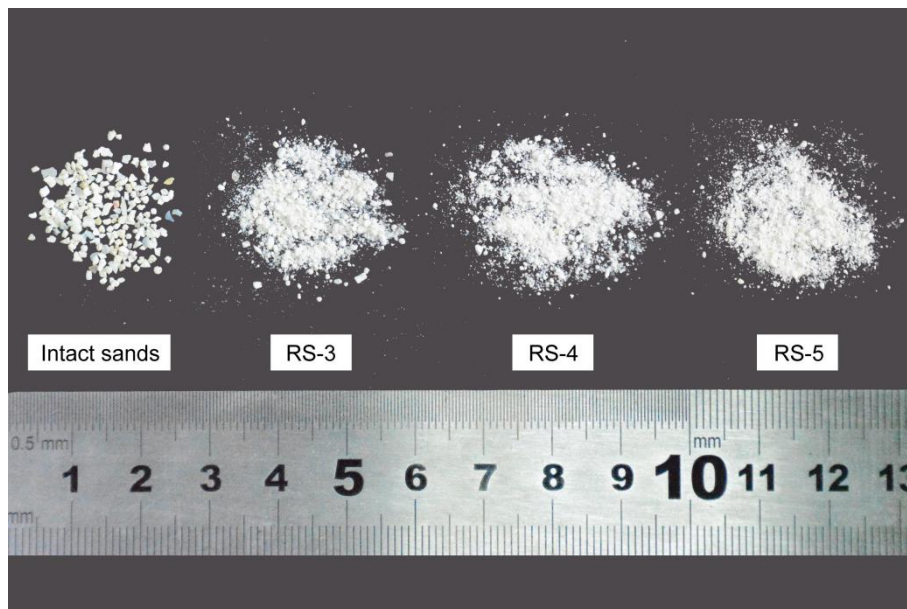


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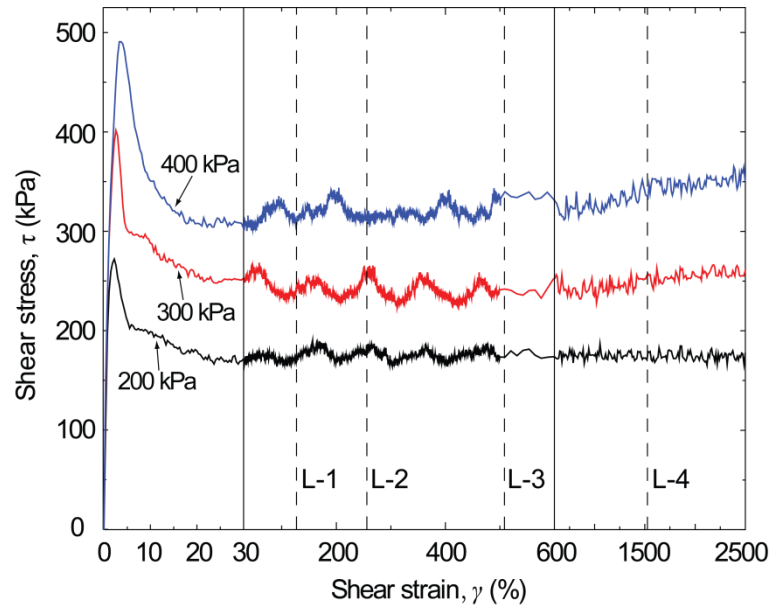


Figure 3. The shear stress and strain relationship of calcareous sands under various vertical loading stresses. The locations L-1, L-2, L-3 and L-4 correspond to the final shear strain of tests RS-1, RS-2, RS-3 and RS-4.

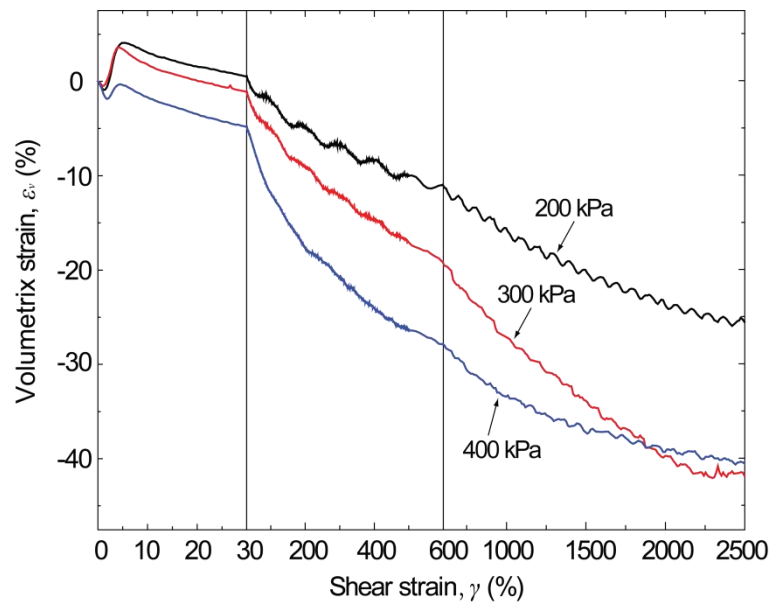


Figure 4. Evolution of volumetric strain with shearing strain for test RS-5 under various vertical loading stress.

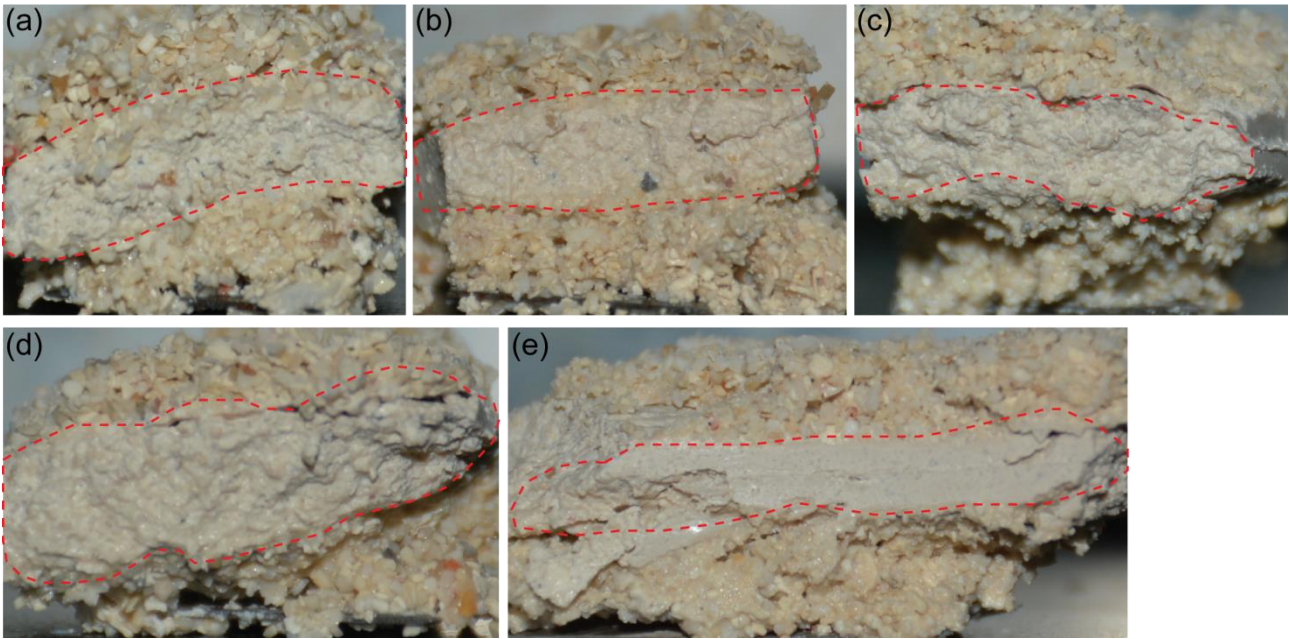


Figure 5. Images of calcareous sand specimen after the RS tests. The figures (a) to (e) were obtained from tests RS-1 to RS-5 (see Table 1), respectively, showing the evolution of particle compositions with the shear strain. The shear band is delimited by enclosed red dashed curves. The vertical loading stress is 400 kPa.

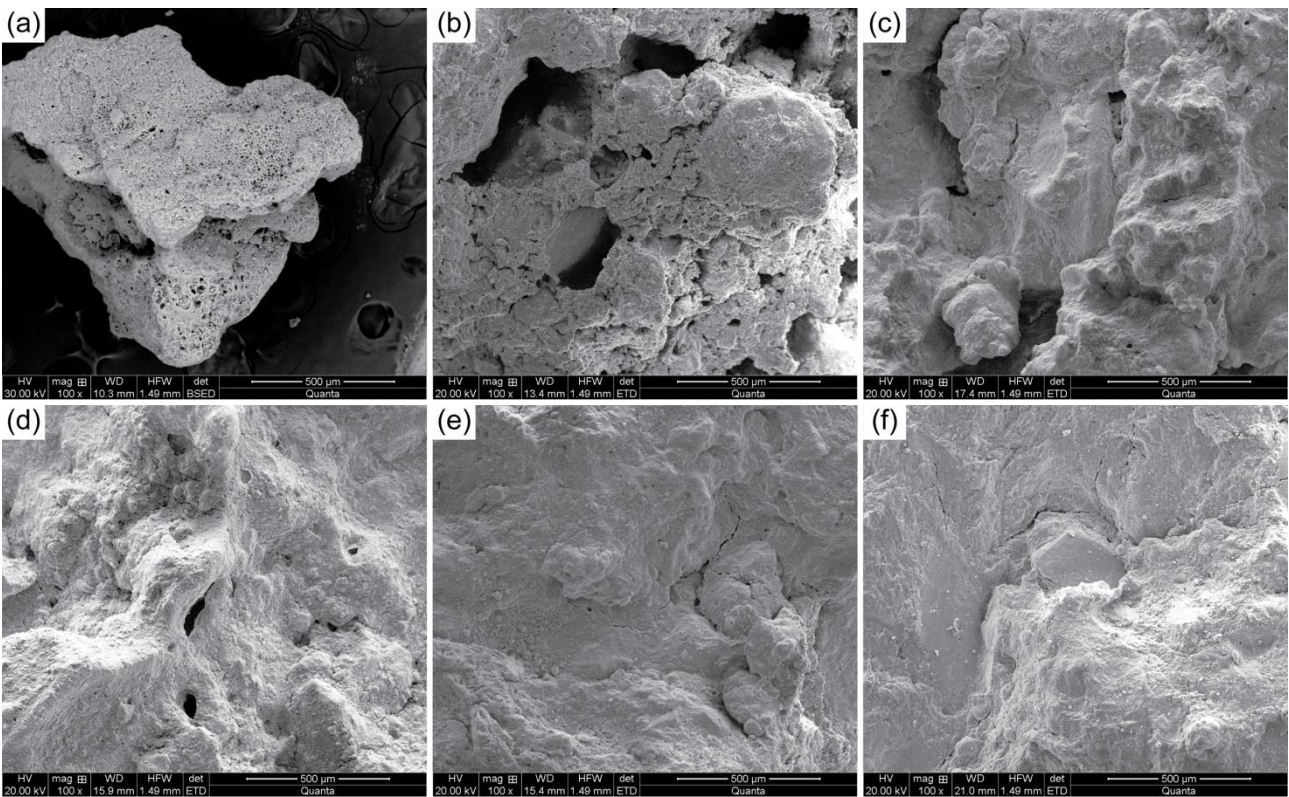


Figure 6. SEM images of calcareous sand specimen after RS tests (the vertical loading stress is 400 kPa). (a) is the image of a coarse calcareous sand particle. (b)-(f) are the results of tests RS-1, RS-2, RS-3, RS-4 and RS-5, respectively.

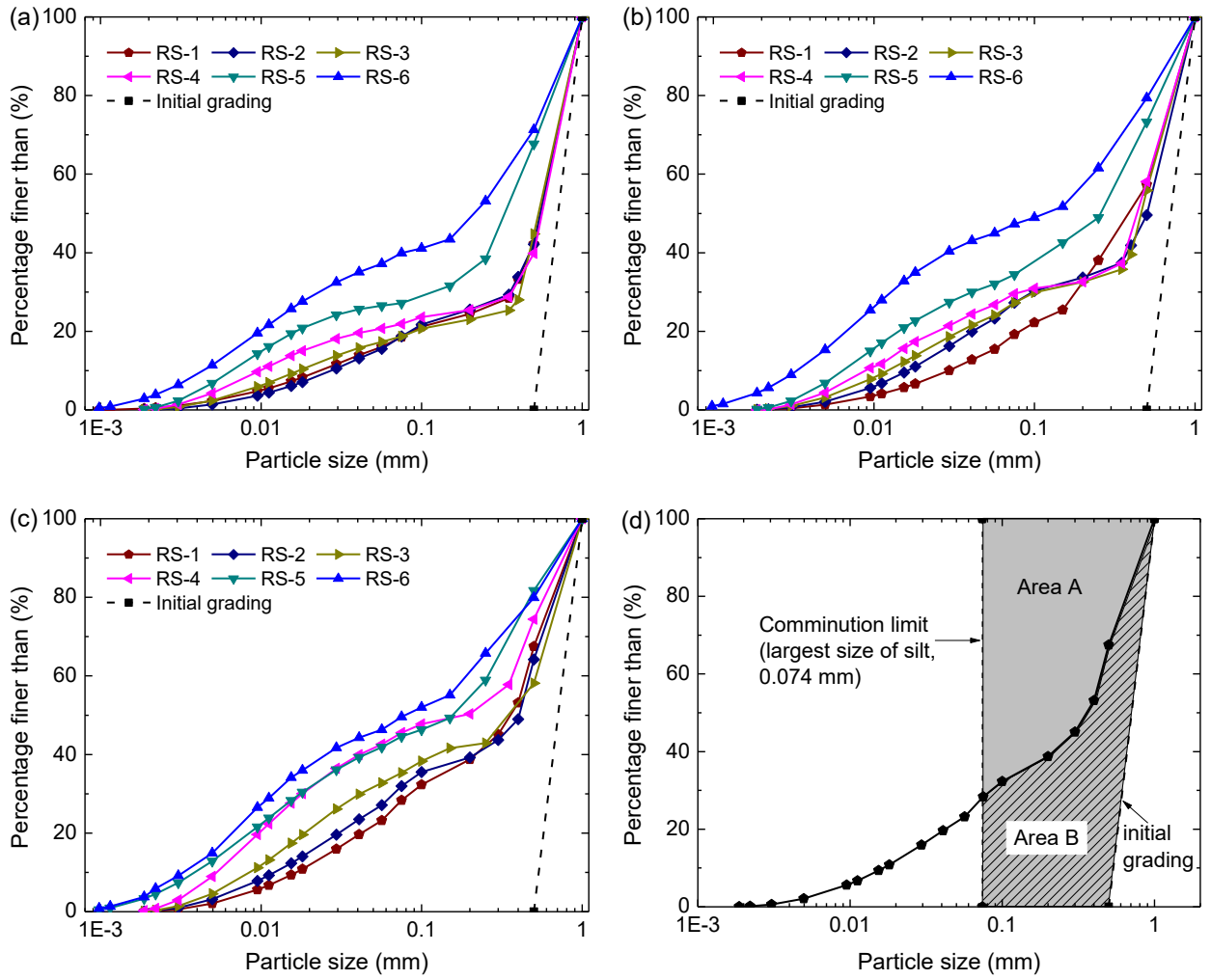


Figure 7. PSD of the calcareous sands in the shear band, (a), (b), (c) are results of tests under vertical loading stresses of 200 kPa, 300 kPa and 400 kPa, respectively. Figure (d) illustrates the definition of relative breakage, B_r , as the ratio of total particle breakage (area B) to the potential breakage (area A+B).

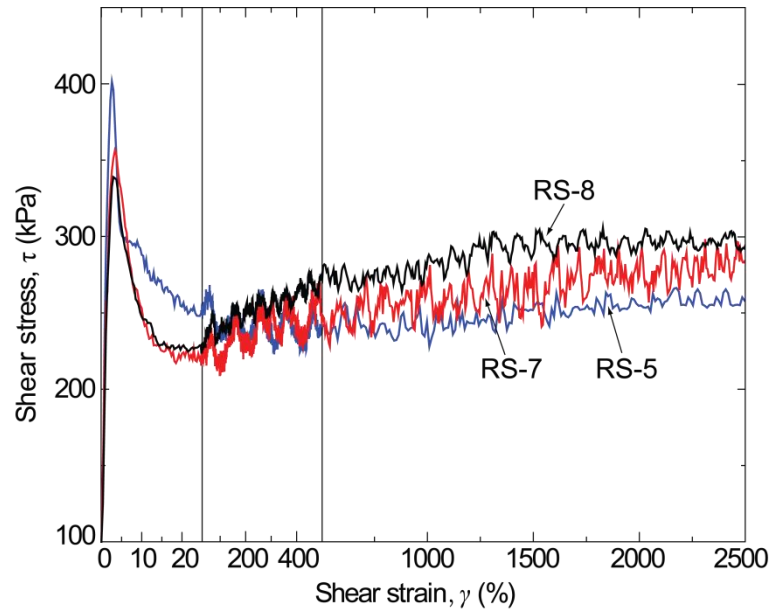


Figure 8. The relationship between shear stress and strain for calcareous sands with different initial sand grading under the vertical loading stress of 300 kPa.

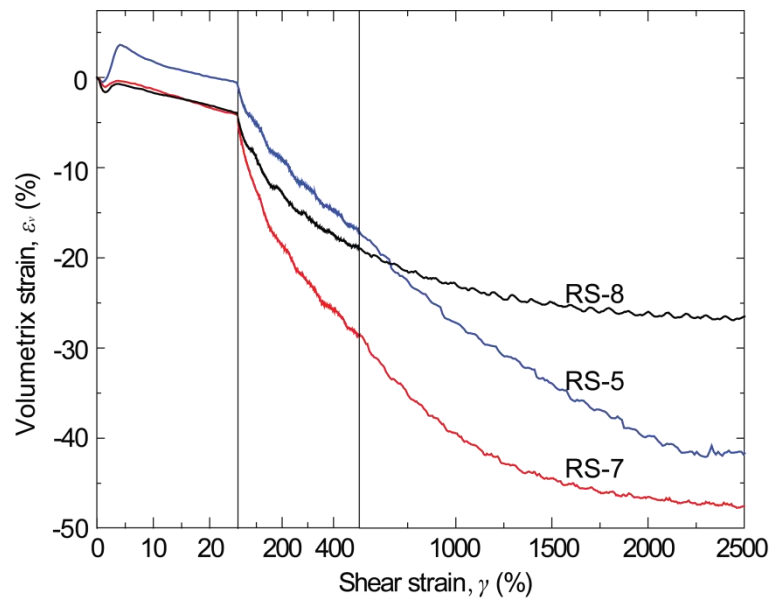


Figure 9. Evolution of volumetric strain with the shearing strain for tests with different initial sand grading.

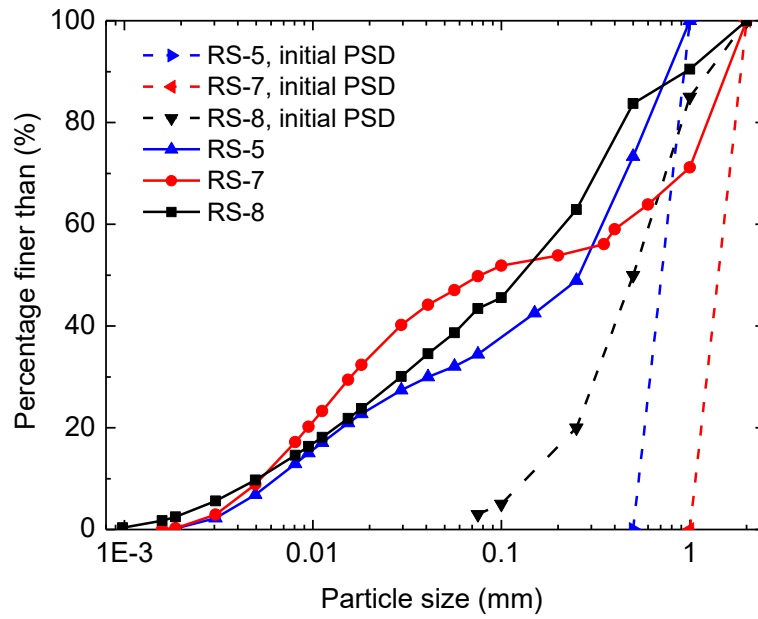


Figure 10. PSD of the calcareous sands in the shear band for tests with different initial sand grading.

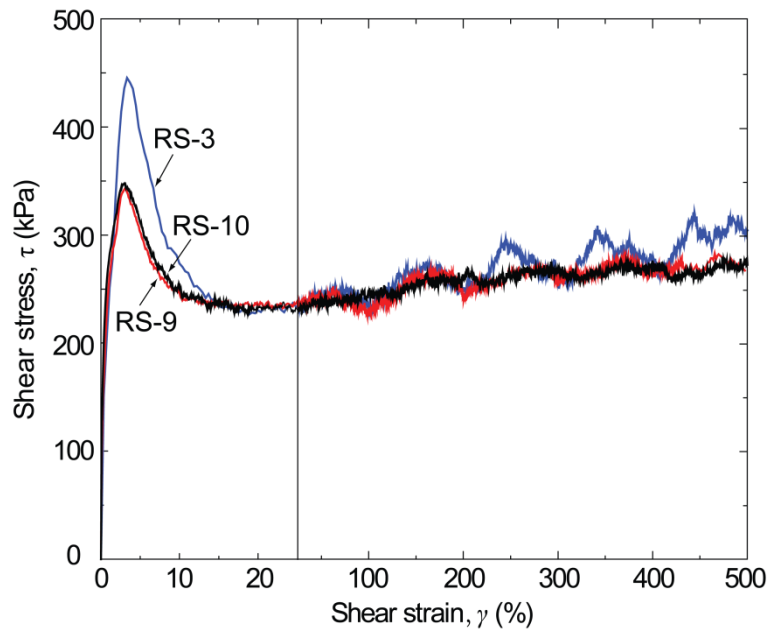


Figure 11. The relationship between shear stress and strain for calcareous sands with various shear rates under the vertical loading stress of 300 kPa.

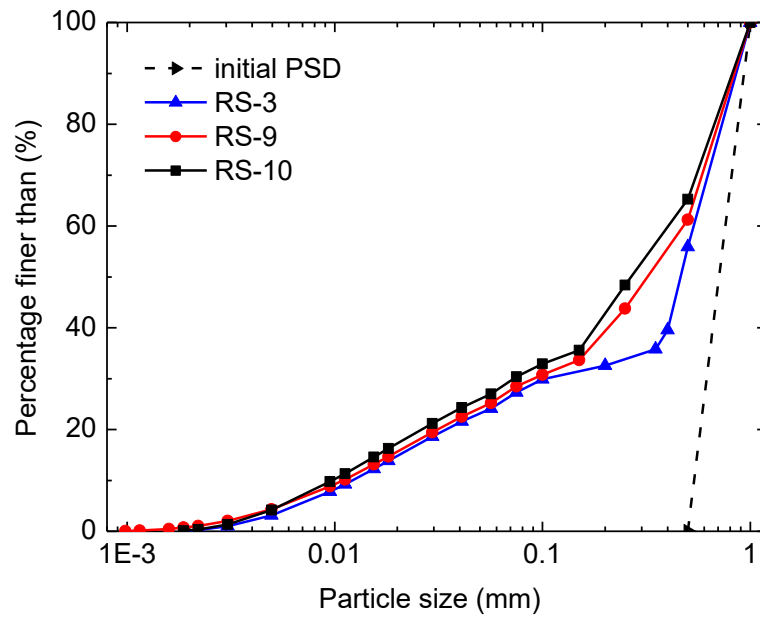


Figure 12. PSD of the calcareous sands in the shear band for tests with different shear rate.

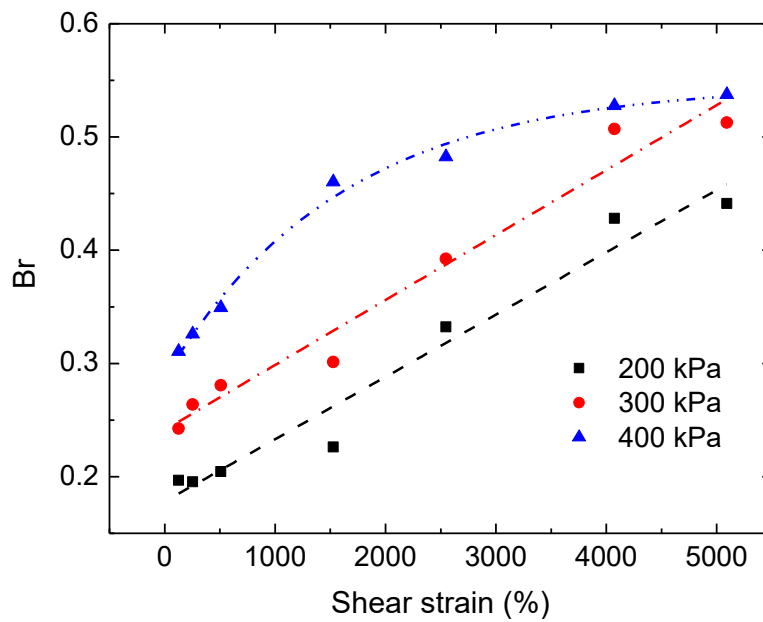


Figure 13. The relationship between the relative breakage and shear strain for calcareous sands under various vertical loading stresses.

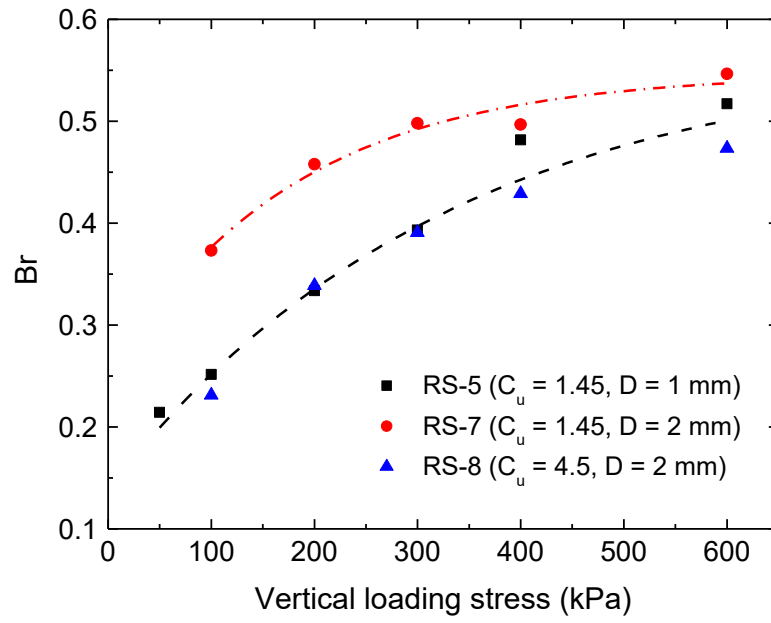


Figure 14. The relative breakage of calcareous sands with different initial sand grading.

Table 1. Details of the testing conditions.

Test	Initial grading: mm	Initial void ratio, e	Vertical load, σ_v : kPa	Shear rate: mm/min	Final shear distance: mm	Final shear strain: %
RS-1	0.5 - 1	1.71	200 - 400	43.6	500	127.3
RS-2	0.5 - 1	1.71	200 - 400	43.6	1000	254.6
RS-3	0.5 - 1	1.71	200 - 400	43.6	2000	509.3
RS-4	0.5 - 1	1.71	200 - 400	43.6	6000	1527.9
RS-5	0.5 - 1	1.71	200 - 400	43.6	10000	2546.5
RS-6	0.5 - 1	1.71	200 - 400	43.6	16000	4074.4
RS-7	1 - 2	1.66	300	43.6	10000	2546.5
RS-8	0.075 - 2	1.51	300	43.6	10000	2546.5
RS-9	0.5 - 1	1.71	300	11	2000	509.3
RS-10	0.5 - 1	1.71	300	3.3	2000	509.3