1	Int	Interpretation of Pressuremeter Test by Finite Element Method							
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# 27 Abstract

28	A pressuremeter test is a useful tool to explore geomechanical properties by comparing the in-situ						
29	measured stress-strain relationship with proposed soil behaviour. In this paper, a coupled hydro-						
30	mechanical finite element model is developed to interpret pressuremeter test data, considering						
31	nonlinear elasticity, tensile fracturing and consolidation of soil. The 1D finite element model reduced						
32	the total number of elements and hence saved computational time without losing accuracy. It is found						
33	that tensile fracturing plays an important role for the cohesive clay, which would lead to						
34	overestimation of the stiffness and strength if the tensile failure is not considered. In addition,						
35	consolidation needs to be considered when the permeability coefficient is between $10^{-10}$ m/s and						
36	$10^{-8}$ m/s, and the errors of derived stiffness constant and friction angle can reach a maximum of 21%						
37	and 35.5% respectively if neglecting consolidation.						
38							
39	Keywords						
40	Pressuremeter test, finite element, tensile fracturing, consolidation						
41							
42	List of notation						
43	α	stiffness constant					
44	β	exponent of elasticity					
45	р	pore pressure					
46	k	permeability coefficient					
47	u	displacement of soil					
48	$\sigma_t^\prime$	tensile strength					
49	$\sigma'_3$	minor principal effective stress					
50	$\sigma_r^\prime$	radial effective stress					
51	$\sigma_{\theta}'$	circumferential effective stress					
52	$\gamma_{\mathbf{w}}$	unit weight of water					

53  $K_w$  bulk modulus of water

### 54 $\epsilon_{pt}$ tensile plastic strain

55

### 56 **1 Introduction**

The pressuremeter test is a widely used in-situ test to achieve quick and easy measurement of the stress-strain relationship of soil. By comparing this stress-strain relationship with proposed soil behaviour, some geomechanical parameters can be determined. It is common sense that the pressuremeter test can provide accurate estimates of soil properties due to its little soil disturbance in situ. However, in practice, it has been found that there are still some uncertainties about the interpretation of test data due to the complexity of soil physical properties.

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64 In general, interpreting pressuremeter test involve fitting curves to the test data (Clarke 1995; Schnaid 65 et al. 2000). This interpreting approach rely either on empirical correlations, or on solving the 66 boundary problem. Due to the pressuremeter test normally being performed over a short period of 67 time, a number of analytical models have been proposed to interpret the pressuremeter test in clay 68 under undrained conditions (Gibson and Anderson, 1961; Wroth, 1982; Jefferies, 1988; Bolton and 69 Whittle, 1999; Cunha 1994; Cunha 1996). All these studies simplified the pressuremeter test as an 70 undrained cylindrical cavity expansion in elastic/perfectly plastic clay. Unlike in clay, interpreting the 71 results of a pressuremeter test in sands or rocks with a high permeability coefficient, the approaches 72 consider the volume change in drained conditions (Hughes et al., 1977; Houlsby and Withers, 1988; 73 Withers et al., 1989; Yu and Houlsby, 1991; Yu, 2000; Mo et al., 2014). These analytical methods 74 bring convenience in curve-fitting analysis when interpreting pressuremeter test data due to the 75 explicit formulation and hence quick calculation. Numerical method has recently become an effective 76 and widely-used mathematical tool for modeling more complicated soil behaviour in pressuremeter 77 test (Yeung and Carter, 1990; Houlsby and Carter, 1993; Ajalloeian and Yu, 1998; Sánchez et al., 78 2014; Isik et al., 2015). It has been shown that numerical analysis can obtain more accurate results 79 compared to the analytical method, due to its capacity and flexibility for implementing complex 80 constitutive models and boundary conditions to simulate the complicated soil behaviours. However, 81 the degree of complexity of these numerical models inhibits the curve-fitting analysis into general 82 purpose numerical codes, thus restricting their usefulness in engineering practice. (Emami and 83 Yasrobi, 2014). In addition, most of these studies neglect the effects of tensile fracturing and

consolidation on soil behaviour in this particular geotechnical problem. For some soils with medium
permeability, the soil is partially drained, and hence lie somewhere between the perfectly drained and
undrained conditions. For some cohesive materials, tensile failure may happen before friction failure
during the pressurmeter test.

88

89 This paper depicts numerical modelling based on the 1D finite element (FE) method, purposely 90 designed for pressuremeter test. This FE modelling allows for considering complex constitutive 91 models and capturing complete soil response with different geomechanical parameters, including 92 nonlinear elasticity, permeability coefficient and tensile strength. The comparison of test results with 93 the numerical reference framework indicates a method to determine the geomechanical parameters of 94 soil, which will help understand the mechanisms of pressuremeter test. Due to the simplified geometry, 95 the curve-fitting analysis can be easily incorporated for industry application. Therefore, this 1D finite 96 element modelling can be a framework for the interpretation of pressuremeter test.

#### 97 **2** Finite Element for coupled hydro-mechanical process

98 During the pressuremeter test, a rubber membrane of the pressuremeter is expanded to exert

99 horizontal pressure on the wall of the test cavity. The membrane expands at the constant strain rate,

100 generally from 0.1% to 1% per minute in typical tests. The successive variation of cavity pressure with

101 cavity strain is monitored and then compared with those obtained from numerical analysis to

102 determine the geomechanical parameters. To simulate such a geomechanical process, the

103 pressuremeter test is simplified as a time-dependent cylindrical cavity expansion in an elastic/plastic

104 porous medium (soil) coupled with the dissipation of excess pore pressure. Some assumptions have

105 been adopted based on the theory of continuum mechanics to develop the coupled hydro-mechanical

106 model for deformable porous geological media:

- 107 (1) The soil is treated as a fully saturated medium.
- 108 (2) The seepage flow of pore water follows Darcy's law, and the inertia is ignored.
- 109 (3) The membrane is assumed to be long enough to ensure that a cylindrical cavity is formed and
   110 this cavity expands and contracts in plain strain condition.
- 111 (4) Considering the axial symmetry of geometry, the plane strain model can be further simplified

to a 1D problem, to reduce the computational load without losing accuracy.

114 A finite element model in 1D axisymmetric space is built as shown schematically in Figure 1. All the 115 FE analysis discussed in this paper is based on this model. This soil layer is located at the centre of 116 the pressuremeter membrane. The initial cavity radius is 0.045m, same with the radius of 117 pressuremeter membrane, but this radius would increase with the cavity expansion. The right 118 boundary lies in the far field, 10m away from cavity center, to avoid boundary effects. Vertical 119 movement is restrained, and hence the 1D model has only two degrees of freedom: displacement in 120 radial direction and pore water pressure. The assumed initial condition includes the hydrostatic state 121 of the soil and pore pressure. There are 120 quadratic elements generated in total, and the mesh 122 near the pressuremeter is relatively finer than that in the far field. In order to simulate the large soil 123 deformation in this test, the calculation mesh is modified in each stage. At the end of each stage, the 124 displacement increment of each node will be added to the coordinates, so that the new family of 125 radius is updated based on the deformed meshes from the previous stage. 126 127 Figure 1. Sketch of the numerical model to simulate a pressuremeter test 128 129 In the context of the theory of mixtures, the saturated porous medium is viewed as a mixed continuum 130 of two independent overlapping phases. Its conservation equation can be obtained according to the 131 principles of continuum mechanics, as shown in Figure 2. 132 133 Figure 2. Soil stress and pore flow velocity in axisymmetric problem 134 135 (1) Axisymmetric elastic equations: 136 If momentum can be neglected, the stress equilibrium for axisymmetric problem can be written as 137 follows:  $\frac{\partial \sigma_{\rm r}'}{\partial {\rm r}} + \frac{\sigma_{\rm r}' - \sigma_{\rm \theta}'}{{\rm r}} + \frac{\partial {\rm p}}{\partial {\rm r}} = 0$ 138 (1)

139 where  $\sigma'_r$  is the radial effective stress,  $\sigma'_{\theta}$  is the circumferential effective stress, p is the pore pressure, 140 r is the radial coordinate. 141 142 The strain components for axisymmetric deformation are defined as follows:

143 
$$\varepsilon_r = \frac{\partial u_r}{\partial r} \tag{2}$$

144 
$$\varepsilon_{\theta} = \frac{u_r}{r}$$
 (3)

145 where  $u_r$  is the displacement in radial direction,  $\varepsilon_r$  is the radial strain and  $\varepsilon_{\theta}$  is the circumferential 146 strain.

147

148 Hence, the volumetric strain can be written by:

$$\varepsilon_{\rm vol} = \varepsilon_r + \varepsilon_\theta = \frac{\partial u_r}{\partial r} + \frac{u_r}{r} \tag{4}$$

149 150

153

151 The porous medium is assumed to be isotropic. If the shear modulus is assumed, the elastic

152 constitutive equation can be expressed in terms of stress and strain increments:

$$d\sigma'_{\rm r} = \frac{{}^{2G\nu}}{{}^{1-2\nu}} d\varepsilon_{\rm vol} + 2\theta d\varepsilon_{\rm r}$$
<sup>(5)</sup>

154 
$$d\sigma'_{\theta} = \frac{2G\nu}{1-2\nu} d\varepsilon_{vol} + 2Gd\varepsilon_{\theta}$$
(6)

155 where G is shear modulus and  $\nu$  is Poisson's ratio.

156

157 (2) Axisymmetric seepage equations:

158 In this study, the flow of pore water obeys Darcy's law. Hence, the flow velocity  $q_r$  can be written as:

159  $q_r = \frac{k}{\gamma_w} \frac{\partial p}{\partial r}$ (7)

160 where k is the permeability coefficient (m/s),  $\gamma_w$  is the unit weight of water.

161

162 The mass conservation between volumetric strain and water drainage leads to the storage

163 equation:

164  $\frac{1}{r}\frac{\partial}{\partial r}(rq_r) + \frac{d}{dt}\varepsilon_{\rm vol} - \frac{n}{K_{\rm w}}\frac{dp}{dt} = 0$ (8)

165 where n is the porosity and  $K_w$  is the bulk modulus of pore water.

166

167 Taking Equations (4) and (7) into Equation (8):

168 
$$\frac{k}{\gamma_{w}}\frac{\partial^{2}p}{\partial r^{2}} + \frac{k}{\gamma_{w}\cdot r}\frac{\partial p}{\partial r} + \frac{d}{dt}\left(\frac{\partial u_{r}}{\partial r} + \frac{u_{r}}{r}\right) - \frac{n}{K_{w}}\frac{dp}{dt} = 0$$
(9)

169

The balance of relations, listed above, characterises the fundamental physical properties of matter independently of its specific material properties. However, in the pressuremeter test, the response of soil to similar interactions with cavity expansion differs for various geomaterials. Thus, constitutive relations have to be defined to characterize specific mechanical behaviour. Bolton and Whittle (1999) indicate that the application of linear elastic analysis to a non-linear elastic problem will give a wrong interpretation of the distribution of stresses and strains in the pressuremeter test. Hence, a power law
function is applied to simulate the stiffness degradation of the soil, which was first proposed by Gunn
(1992) and Bolton et al. (1993). The stress-strain relationship is expressed as:

178

$$\tau = \alpha \gamma^{\beta} \tag{10}$$

179 Where  $\tau$  is shear stress,  $\gamma$  is the shear strain,  $\alpha$  is the stiffness constant and  $\beta$  is the exponent of 180 elasticity.

181

In this finite element model, soil is defined as a elastic/perfectly plastic material. The Mohr-Coulomb model is applied to define the shear strength of the soils at different effective stresses. Except for shear failure, tensile fracturing is one of the most important processes in the pressuremeter test. It is a process of initiation and propagation of a thin physical separation when the soil effective stress drops below the tensile strength.

187

188 The tension yield function is used, and can be written in the form of the minor principal effective stress: 189  $f^t = \sigma'_t - \sigma'_3$  (11)

where  $\sigma'_t$  is the tensile strength and  $\sigma'_3$  is the minor principal effective stress. During the process of cavity expansion in clay, because of the increasing difference between the radial and circumferential stress imposed by the applied pressure, the soil is sheared. The circumferential stress becomes the minor principal effective stress. If equation (11) is satisfied, tensile fracturing occurs, as shown in Figure 3.

195

Figure 3. Mechanisms of tensile fracturing in undrained conditions (after Mitchell and Soga, 2005)

Tensile failure happens when the tensile failure criterion is violated. The material still behaves as a continuum after the occurrence of tensile failure. In addition, the tensile potential function is assumed to follow the associated flow rule. Under conditions of tensile failure, the tensile strength is assumed to soften gradually rather than diminishing immediately. The softening law is shown in Figure 4b, where the tensile strength decreases from  $\sigma'_t$  to zero when the tensile plastic strain  $\varepsilon_{pt}$  increases from 0 to 0.01 (Ng 2009). The complete yield surface, incorporating shear and tension yield functions, is shown in Figure 4a.

Figure 4. (a) complete yield surface (b) softening law of tensile strength

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### 208 3 Drained and undrained analysis

Based on the formulations discussed above, an in-house finite element program was written. This is a
 procedural finite-element code using generic programming. In order to validate the finite element
 model, two different series of case studies were conducted, including drained and undrained analysis.

212

213 To interpret the sand strength in the pressuremeter test, Yu and Houlsby (1991) derived a widely 214 accepted analytical solution. This solution is based on Cavity Expansion Theory, using the logarithmic 215 strain and Mohr-Coulomb model parameters. Figure 5 compares Yu and Houlsby's closed-form 216 solution and data generated by linear elastic finite element drained analysis with different values of 217 shear modulus. All parameters are as listed in Table 1 (drained analysis). In this analysis, the pore 218 pressure on every nodes is fixed as 0, which eliminate the effect of pore pressure on effective stress. 219 Displacement boundary conditions will be applied on the left boundary abutting the instrument to 220 simulate the cavity expansion, as shown in Figure 1. The cavity strain increases from 0 to 5%. The 221 initial effective stress is set as 100kPa. 3 case studies with shear modulus of 50 MPa, 100 MPa and 222 200 MPa were performed respectively. Yu's solution matches the FE-generated curve outstandingly 223 well, which implies that both the elastic/plastic deformation and the large strain formulation have been 224 properly taken into account.

225

226 Table 1 Soil parameters for drained/undrained analysis

227

Figure 5. Cavity expansion curve from numerical drained analysis and analytical solution

229

230 Undrained analysis can be performed in terms of either effective or total stresses. During the loading
231 and yielding process, a significant amount of excess pore pressure would be developed. This excess

232 pore pressure would lead to a change of the effective stress and therefore influence the shear

233 strength of the soil. Hence, the success of such analysis relies on whether the adopted constitutive 234 model can correctly predict the development of effective stress and pore water pressure. If elastic 235 perfect plastic model used, the prediction of pore water pressure in the pre-failure regime may be 236 away from the real situation. Bolton and Whittle (1999) derived the undrained shear strength of clay in 237 the pressuremeter test, assuming that the ground response to loading/unloading is non-linear 238 elastic/perfectly plastic. A non-linear elastic/perfectly plastic undrained analysis was carried out using 239 the proposed model in this paper. The hydro-mechanical coupling model can be used to carry out an 240 effective stress analysis of pressuremeter test when the permeability coefficient k is set as 0. Figure 241 6 shows the comparison of Bolton and Whittle's analytical solution and the results of the finite element 242 simulation with different stiffness constants. All parameters are as listed in Table 1 (undrained 243 analysis). Three case studies with different stiffness constant were performed. The numerical result 244 matches the analytical solution, which indicates that the nonlinear elasticity model has been correctly 245 implemented, which provides some confidence in using the FE model.

246

247 Figure 6. Cavity expansion curve from numerical undrained analysis and analytical solution

248

#### 249 4 Effects of tensile fracturing

250 Ng (2009) conducted tests of cavity expansion to simulate a pressuremeter test and tensile fracturing 251 in cement bentonite. The borehole was modelled by a cylindrical specimen with an inner central 252 cylindrical cavity. A rubber membrane was inserted into the inner cylindrical cavity of the specimen so 253 that the injected water could apply pressure to the specimen's cavity through membrane expansion. 254 Tests were performed in undrained conditions as the permeability of cement bentonite is very low. 255 One of the test data is used as reference for comparison with FE analysis in this paper. The purpose 256 of this paper is to demonstrate the effects of tensile fracturing and consolidation. Only the loading 257 stage of test is simulated.

258

Two series of FE analyses were performed. The first is shear analysis using the Mohr-Coulomb model, which only considers the shear failure. The second is tensile/shear analysis which considers both shear failure and tensile failure. All the parameters used in the FE analysis are listed in Table 2. The calculation was divided into 250 steps. In each step the cavity strain increased 0.02%, as a boundary condition applied on the left boundary. Permeability coefficient was 0 m/s. The cohesion and the
friction angle were 235 kPa and 20°, according to the undrained triaxial test results of bentonite
material (Joshi et al., 2008). The dilation angle and tensile strength were 0° and 65 kPa, based on the
results of the Brazilian tests (Ng, 2009). The test data from Ng (2009) was used to calibrate the
stiffness constant and exponent of elasticity, as shown in Figure 7.

269 Table 2 Soil parameters for shear and tensile/shear analysis

270

The FE results are shown in Figure 7. With the same stiffness constant of 8 MPa, the cavity pressure is 10% larger for the tensile/shear analysis than for the shear analysis when the cavity strain is about 5%. In order to fit the test data with the same degree of accuracy, the stiffness constant needs to be reduced to 6.5 MPa for the tensile/shear analysis. Hence, it is concluded that failing to consider tensile fracturing leads to an underestimate of the cavity pressure and hence overestimate of the stiffness.

277

278 Figure 7. Cavity expansion curve for shear and tensile/shear analysis

279

280 The effective stress paths are presented in Figure 8, in which the change of effective radial stress with 281 effective circumferential stress at the cavity wall is plotted. For the shear analysis, the increase in 282 radial stress has a linear relationship with the decrease in circumferential stress until the shear stress 283 reaches the yield surface. However, in the tensile/shear analysis, this turning point happens much 284 earlier, when the effective circumferential stress is reduced to the tensile strength of -65 kPa. Due to 285 tensile strength would soften gradually, it is shown that the effective circumferential stress increases a 286 little after tensile failure. Between the case of shear analysis with  $\alpha = 8$  MPa and the tensile/shear 287 analysis with  $\alpha = 6.5$  MPa, there is a marked difference in effective radial stress and circumferential 288 stress. However, the difference in the cavity pressure at 5% strain is negligible, as shown in Figure 7, 289 which indicates that considering tensile fracturing produces a much lower estimate of excess pore 290 pressure during the cavity expansion process. This is reasonable, because the tensile fracture can 291 lead to relief of the excess pore pressure.

## 293 Figure 8. Stress path at the cavity wall

294

295 The above process can be plotted in the form of Mohr's circles, as shown in Figure 9. In the shear 296 analysis, as shown in Figure 9(a), the diameter of the Mohr's circles continues to increase and the 297 centre of the Mohr circle keeps constant, initially corresponding to the undrained condition. The 298 Mohr's circles finally stop expanding when the Mohr-Coulomb shear failure criterion is violated, and 299 the effective radial stress reaches 520 kPa. In the tensile/shear analysis, as shown in Figure 9(b) and 300 9(c), the soil undergoes tensile failure before reaching shear failure. After tensile failure, the centres of 301 the Mohr's circles begin to move. The Mohr's circles finally reach the Mohr-Coulomb shear failure 302 criterion with a much larger effective radial and circumferential stress than when tensile failure is not 303 considered.

304

Figure 9. Mohr's circles at the cavity wall: (a) shear analysis ( $\alpha = 8$ Mpa); (b) tensile/shear analysis ( $\alpha = 6.5$ Mpa); (c) tensile/shear analysis ( $\alpha = 8$ Mpa)

307

308 In practice, the pressuremeter tests on low permeability soils are usually interpreted using total stress 309 analysis, the undrained shear strength and elastic modulus can be estimated separately when other 310 parameters are assumed. In this effective stress analysis, the cohesion and other parameters are 311 assumed, as shown in Table 2, so that the stiffness constant or friction angle can be determined in each case study with different value of tensile strength. Figure 10 shows the derived stiffness 312 constant and friction angle by interpreting data from Ng (2009), assuming that a stiffness constant of 313 314 6.5 MPa and a friction angle of 20° are the real values. It seems that a high tensile strength value 315 used in the model leads to an overestimation of the stiffness constant and friction angle. When the 316 tensile strength increases beyond 140 kPa, the estimated stiffness constant and friction angle 317 reaches about 7.9 MPa and 38°, respectively. This case is close to the shear analysis, in which the 318 stress reaches the shear failure criteria before tensile failure occurs. Therefore, it can be concluded 319 that tensile fracturing plays an important role in the pressuremeter test, and choosing a suitable 320 tensile strength is very important in interpreting test data.

The success of this tensile/shear analysis lies on the accurate prediction of tensile failure and subsequent shear failure. For non-cohesive soil, shear failure would happen before the effective circumferential stress drops below 0 kPa, and hence the tensile stress will no longer occur. Hence, the proposed effects of tensile fracturing on pressuremeter test data only applies for cohesive soil, especially with high cohesion and low tensile strength. This effects reduces with the decreases of soil cohesion, and tensile/shear analysis becomes completely unnecessary for non-cohesive soil.

328 Figure 10. Effect of tensile strength on soil stiffness and strength

329

### 330 5 Effects of consolidation

331 Normally, pressuremeter testing in clay is considered an undrained process, but in reality some 332 consolidation occurs for soil with medium permeability. In this section, a series of finite element 333 analyses were performed to assess the effects of consolidation on the derived parameters from the 334 pressuremeter test. To avoid the coupled effects of tensile fracturing and consolidation, the 335 parameters were based on the shear analysis, as listed in Table 2 (shear analysis). The calculation 336 was also divided into 250 steps and the cavity strain increased 0.02% in each step. Duration of each 337 step was 12 seconds, corresponding to a conventional cavity strain rate of 0.1%/min adopted in the 338 self-boring pressuremeter test. Figure 11 shows the cavity pressure for different values of the 339 permeability coefficient. Initially, the cavity pressure increases with increasing cavity strain, and all the 340 cases coincide to a single curve. After the cavity strain increases over 1%, individual curves show different behaviour. With a permeability coefficient of  $10^{-8}$  m/s, the cavity pressure reaches about 341 342 1610 kPa when the strain is about 5%. This is much higher than the case of  $k = 10^{-10} m/s$ , in which 343 the highest cavity pressure is about 1450 kPa. In addition, the stress-strain curves for the cases of the undrained condition and  $k = 10^{-11} \text{ m/s}$  are identical, and the stress-strain curves for the cases of 344 the drained condition and  $k = 10^{-7} \text{ m/s}$  are identical. This indicates that consolidation must be 345 346 considered when the permeability coefficient is between  $10^{-10}$  m/s and  $10^{-8}$  m/s.

347

348 Figure 11. Cavity expansion curve using consolidation analysis

The above process was plotted in the form of Mohr's circles, as shown in Figure 12. For the case of k =  $10^{-7}$  m/s, the mean effective stress increases sharply after the Mohr circle violates the tensile failure criteria, and hence shows a rapid increase in shear strength. For this reason, the cavity pressure for higher permeability can reach a higher value.

354

355 Figure 12. Mohr circles at the cavity wall using consolidation analysis

356

357 Figure 13 shows the stiffness constant and friction angle derived by interpreting the data from Ng 358 (2009) when considering consolidation. It seems that the undrained assumption leads to 359 overestimation of the soil stiffness and strength. When the permeability increases to about  $10^{-7}$  m/s, 360 the stiffness constant and friction angle reduce to about 6.3 MPa and 12.9°. The errors are about 21% 361 and 35.5%, respectively. This study therefore concludes that consolidation is a crucial factor in the 362 process of the pressuremeter test, especially for soils with medium permeability between  $10^{-10}$  m/s and  $10^{-8}$  m/s. Without considering soil consolidation, the derived geomechanical parameters in 363 undrained condition may be much higher than the real values. It is unfortunate that making this error 364 365 in data interpretation leads to a unsafe design in geotechnical engineering projects. 366 367 Figure 13. Effect of the permeability coefficient on soil stiffness and strength 368 369 **6** Conclusions 370 In this paper, a 1D finite element model was presented as a tool to derive in situ soil parameters,

based on comparing pressuremeter test results with the expected soil responses from FE analysis.
The numerical results perfectly matched the analytical solutions under both drained and undrained
condition, which indicates that FEM is a valid and flexible method for interpreting pressuremeter test
data. The 1D model reduced the total number of elements and hence saved computational time
without losing accuracy.

376

Tensile fracturing is one of the most important processes in the pressuremeter test. Good agreement
between the in situ test results and the numerical simulations was obtained. Cavity pressure in the

tensile/shear analysis is lower than in conventional shear analysis, when equivalent stiffness and
shear strengths are used. Hence, for cohesive soil, neglecting to consider tensile failure will lead to
overestimation of the stiffness constant and friction angle.

382

383 Normally, pressuremeter testing in clay is considered as an undrained process, but in reality some 384 consolidation occurs for the clay with medium permeability. When the permeability coefficient is lower than  $10^{-11}$  m/s, the pressuremeter test is assumed to be under undrained conditions. When the 385 permeability coefficient is between  $10^{-8}$  m/s and  $10^{-10}$  m/s, consolidation has a large effect on the 386 387 results. It seems that the undrained analysis leads to overestimation of the soil stiffness and strength. 388 When the permeability increases to about  $10^{-7}$  m/s, the test process is close to a drained condition, 389 and the errors in the derived stiffness constant and friction angle are about 21% and 35.5%, 390 respectively. 391 392 Acknowledgement 393 This research work is part of the Centre for Smart Infrastructure & Construction at University of 394 Cambridge. We thank Professor Kenichi Soga, (UC Berkeley) for comments that greatly improved the 395 research results. 396 397 References Ajalloeian, R., & Yu, H. S. (1998). Chamber studies of the effects of pressuremeter geometry on test 398 399 results in sand. Geotechnique, 48(5), 621-636. 400 401 Bolton, M. D., Sun, H. W., & Britto, A. M. (1993). Finite element analyses of bridge abutments on firm 402 clay. Computers and Geotechnics, 15(4), 221-245. 403 404 Bolton, M. D., & Whittle, R. W. (1999). A non-linear elastic/perfectly plastic analysis for plane strain 405 undrained expansion tests. Geotechnique, 49(1), 133-41. 406 407 Clarke, B. G. (1994). Pressuremeters in geotechnical design. CRC Press. 408 409 Cunha, R.P. (1994). Interpretation of self-boring pressuremeter test in sand. Ph.D. dissertation, 410 University of British Columbia, Vancouver. 411

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## 478 Figure captions

- 479 Figure 1. Sketch of the numerical model to simulate a pressuremeter test
- 480 Figure 2. Soil stress and pore flow velocity in axisymmetric problem
- 481 Figure 3. Mechanisms of tensile fracturing in undrained conditions (after Mitchell and Soga, 2005)
- 482 Figure 4. (a) complete yield surface (b) softening law of tensile strength
- 483 Figure 5. Cavity expansion curve from numerical drained analysis and analytical solution
- 484 Figure 6. Cavity expansion curve from numerical undrained analysis and analytical solution
- 485 Figure 7. Cavity expansion curve for shear and tensile/shear analysis
- 486 Figure 8. Stress path at the cavity wall
- 487 Figure 9. Mohr's circles at the cavity wall: (a) shear analysis ( $\alpha = 8$ Mpa); (b) tensile/shear analysis
- 488 ( $\alpha = 6.5$ Mpa); (c) tensile/shear analysis ( $\alpha = 8$ Mpa)
- 489 Figure 10. Effect of tensile strength on soil stiffness and strength
- 490 Figure 11. Cavity expansion curve using consolidation analysis
- 491 Figure 12. Mohr circles at the cavity wall using consolidation analysis

<sup>Yu, H. S., & Houlsby, G. T. (1991). Finite cavity expansion in dilatant soils: loading
analysis.</sup> *Geotechnique*, *41*(2), 173-183.

- 492 Figure 13. Effect of the permeability coefficient on soil stiffness and strength
- 493

# 494 Table captions

- 495 Table 1 Soil parameters for drained/undrained analysis
- 496 Table 2 Soil parameters for shear and tensile/shear analysis
- 497



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Figure 6. Cavity expansion curve from numerical undrained analysis and analytical solution



Figure 8. Stress path at the cavity wall





528 Figure 9. Mohr's circles at the cavity wall: (a) shear analysis ( $\alpha = 8MPa$ ); (b) tensile/shear analysis 529 ( $\alpha = 6.5MPa$ ); (c) tensile/shear analysis ( $\alpha = 8MPa$ ) 



Figure 11. Cavity expansion curve using consolidation analysis





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Figure 12. Mohr circles at the cavity wall using consolidation analysis







Figure 13. Effect of the permeability coefficient on soil stiffness and strength

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