



Barriers to effective circular supply chain management in a developing country context

Journal:	<i>Production Planning & Control</i>
Manuscript ID	TPPC-2016-0416.R2
Manuscript Type:	Research
Date Submitted by the Author:	27-May-2017
Complete List of Authors:	Mangla, Sachin; Graphic Era University, Department of Mechanical Engineering Luthra, Sunil; Government Engineering College, Department of Mechanical Engineering Mishra, Nishikant; University of Hull Singh, Akshit; University of Manchester Alliance Manchester Business School Rana, Nripendra ; Swansea University, School of Management Dora, Manoj; Brunel University, Brunel Business School Dwivedi, Yogesh ; Swansea University, School of Management
Keywords:	Barriers, Circular Economy (CE), Circular Supply Chain Management (CSCM), Interpretive Structural Modeling (ISM), MICMAC analysis

Barriers to effective circular supply chain management in a developing country context

Sachin Kumar Mangla¹, Sunil Luthra², Nishikant Mishra³, Akshit Singh⁴, Nripendra P. Rana⁵, Manoj Dora⁶ and Yogesh Dwivedi⁷

¹Department of Mechanical Engineering, Graphic Era University, Dehradun, Uttarakhand, India.

Email: Sachinmangl@gmail.com

²Department of Mechanical Engineering, Government Engineering College, Nilokheri, Haryana, India.

Email: Sunilluthra1977@gmail.com

³Hull University Business School, University of Hull, United Kingdom. Email: Mishra09@gmail.com

⁴Alliance Manchester Business School, University of Manchester, United Kingdom.

Email: Akshit.Singh@manchester.ac.uk

⁵School of Management, Swansea University, United Kingdom. Email: nrananp@gmail.com

⁶Brunel Business School, Brunel University London, United Kingdom. Email: Manoj.Dora@brunel.ac.uk

⁷School of Management, Swansea University, United Kingdom. Email: ykdwivedi@gmail.com

Barriers to effective circular supply chain management in a developing country context

Sachin Kumar Mangla¹, Sunil Luthra², Nishikant Mishra³, Akshit Singh⁴, Nripendra P. Rana⁵, Manoj Dora⁶ and Yogesh Dwivedi⁷

¹Department of Mechanical Engineering, Graphic Era University, Dehradun, Uttarakhand, India.

Email: Sachinmangl@gmail.com

²Department of Mechanical Engineering, Government Engineering College, Nilokheri, Haryana, India.

Email: Sunilluthra1977@gmail.com

³Hull University Business School, University of Hull, United Kingdom. Email: Mishra09@gmail.com

⁴Alliance Manchester Business School, University of Manchester, United Kingdom.

Email: Akshit.Singh@manchester.ac.uk

⁵School of Management, Swansea University, United Kingdom. Email: nrananp@gmail.com

⁶Brunel Business School, Brunel University London, United Kingdom. Email: Manoj.Dora@brunel.ac.uk

⁷School of Management, Swansea University, United Kingdom. Email: ykdwivedi@gmail.com

Abstract

Circular supply chain emphasizes surge in application of reuse, recycling and remanufacturing and thereby promotes the transformation of manufacturing characteristics from linear ('take-produce-utilize-dump') to circular model of flow of products, by-products and waste. Supply chains of manufacturing industries have become global in last few decades. Products manufactured in developing nations like India and China are being sent to developed nations for consumption in higher volumes. Developed nations have the regulatory policies, technological knowhow and modern infrastructure to adopt circular supply chain model. Their counterpart is trailing in these aspects. In literature, limited research work has been performed on identifying challenges of implementing circular supply chain management in developing nations and their contextual association. In this article, based on thorough literature review and feedback received from experts, sixteen important barriers were identified to circular supply chain management adoption in Indian context. The listed barriers were then analysed using an integrated Interpretive Structural Modelling - MICMAC approach. This study attempts to identify the contextual interactions among identified barriers and to examine their hierarchical levels in effective adoption and implementation of circular supply chain management. The findings of this research will contribute in transforming

1
2
3 supply chains in terms of bringing economic prosperity, addressing global warming issues
4 and generating numerous employment opportunities. Finally, some crucial policy measures
5 and recommendations are proposed to assist managers and government bodies to adopt and
6 manage the concepts of circular supply chains effectively in Indian context.
7
8

9
10 **Keywords:** Barriers; Circular Economy (CE); Circular Supply Chain Management (CSCM);
11 Interpretive Structural Modelling (ISM); MICMAC analysis.
12

13 14 15 **1. Introduction**

16 In the recent years, organisations are seeking to methodically approaching circular supply
17 chain models to their businesses in terms of extending the product life cycle, managing the
18 waste, developing economy sustainability by inclination of customer preferences towards
19 secondary goods and products etc. In developing economy sustainability, Circular Economy
20 (CE) is an appropriate strategy that proposes novel means to transform the traditional system
21 (consumption at customers end) into a circular system (Stahel, 2013). CE helps in addressing
22 the issues of ecological degradation and resource scarcity in an industrial context (Geng et al.,
23 2009). This situation will be intensified when extremely high demand for goods and services
24 are anticipated as three-billion consumers are expected to enter the worldwide market by
25 2030 (Ellen MacArthur Foundation, 2014). To deal with this, “business as usual” approach,
26 where stakeholders take, manufacture, use, and dispose goods is not a sufficient choice for
27 manufactures (Williams, 2001). Thus, it is needed to transform the whole supply chain in
28 terms of product designing and manufacturing etc (Low et al., 2016). A circular supply chain
29 (CSC) represents to a restorative production system, where resources, enter an infinite loop of
30 reuse, remanufacturing and recycling. Circular Supply Chain Management (CSCM) belongs
31 to circular economy that aims to optimize the resources utilization throughout the product life
32 cycle by means of recycling remanufacturing, etc. (Genovese et al., 2017). CSC/CSCM may
33 also be a good solution to alleviate problems such as pollution, unattainable patterns of
34 production and consumption, resource scarcity and climate change. This is due to the reason
35 that by adopting circular model of flow of products, material and waste, organisations would
36 be capable of reducing wastes and negative environmental impacts in the supply chain
37 practices (Nasir et al., 2017; Genovese et al., 2017).
38
39

40 CSCM being a new emerging research area in literature, it is timely to examine the research
41 and novel activities to help industry in developing their approach and the essential
42 techniques/methods to adopt circular supply models effectively (Govindan et al., 2015).
43
44
45
46
47
48
49
50
51
52

1
2
3 The majority of the population resides in developing countries. By 2050, the developing
4 countries will account for more than 90% of the world's people. The concentration of
5 population in under developed countries poses a significant challenge and need for systemic
6 intervention because the concept of circular economy is rather new for the developing
7 countries as compared to the developed countries (Goyal et al., 2016). Waste management is
8 important aspect in the world where majority of the problems is faced by most of the Asian
9 countries. Huge amount of resources are being depleted especially in India through improper
10 waste handling leading to unsustainable waste management practices (Esfahbodi et al., 2016;
11 Ghosh, 2016). Current waste management practices employed by India are inadequate to
12 manage large amounts of waste generated on a daily basis. The presence of waste is an
13 indication of overconsumption, inefficient use of materials, and poor waste disposal
14 mechanisms (Vladimirova, 2016; Yaduvanshi et al., 2016). There is an urgent need to
15 develop and sustain a circular supply models.
16
17

18 Keeping the aforementioned issues in mind, implementing CSCM practices is not easy task
19 because it is hindered by numerous barriers (Goyal et al., 2016; Yaduvanshi et al., 2016).

20 This research work helps managers/practitioners to achieve the following objectives:

- 21 • To identify the key barriers relevant to CSCM implementation;
- 22 • To examine the contextual relationships between the identified barriers and their
23 hierarchical levels relevant to CSCM implementation;
- 24 • To develop an interpretive structural model to understand the dynamics of barriers to
25 overcome these identified barriers towards effective implementation of CSCM
26 practices.
27

28
29
30
31
32
33
34
35
36
37
38
39
40
41 This work is an initial effort that proposes an integrated Interpretive Structural Modeling
42 (ISM) and MICMAC approach to identify and analyze the barriers to CSCM implementation
43 in a devolving country context, especially India. Literature review and experts' inputs were
44 used to identify the relevant key barriers. This is a problem of the multi-attribute decision
45 type. ISM-MICMAC approach helps to develop a hierarchy structure of barriers by
46 recognizing their contextual relationships and driving potential and dependencies (Kumar et
47 al., 2016).
48
49

50 This work attempts to make several contributions to the literature, which are described below:
51
52
53
54
55
56
57
58
59
60

- Firstly, this study generates relevant barriers to CSCM adoption in an Indian context. The barriers listed can serve as a foundation that comprehensively cover possible hurdles linked to effective adoption and implementation of CSCM in India.
- Secondly, in the context of contributing to the theory, the integrated ISM-MICMAC based model is suggested to analyse the barriers to CSCM implementation. The methodological framework is logically sound to analyse the barriers.
- Thirdly, this research provides a benchmarking framework to assist managers and government bodies in formulating decisive policies in effectively managing the circular models related problematic issues at the industrial context.

The remainder of this paper is organized as follows. The review of related literature for this work is discussed in Section 2. Section 3 proposes the research methods. Section 4 describes the proposed model for this research. The data analysis and results are presented in Section 5. Results along with the policy recommendations are discussed in Section 6. Finally, Section 7 provides conclusions, unique contributions, limitations and the scope for future research.

2. Literature Survey

The present section covers the previous studies on CE/CSC and CSCM, and explores the barriers in implementation of CSCM as well. For reviewing the literature, we adopt the Systematic Literature Review (SLR) approach of Gunasekaran et al., (2015) and Glock (2016). All co-authors work together during the literature survey for a common ground. Current and relevant papers were selected based on the following criteria:

(1) Papers should include Circular Economy/Circular Supply Chain and Circular Supply Chain Management implementation in the supply chain. However, the keywords used for data collection include “Supply Chain”, “Circular Economy”, “Environmental”, “Circular Supply Chain”, “Circular Supply Chain Management”, “Closed Loop Supply Chain”, “Sustainability”, “Barriers”. Combinations of these keywords were used including (1) Circular Economy and Supply Chain and Barriers, (2) Environmental and Circular Economy and Supply Chain and Barriers, (3) Environmental and Circular Supply Chain Management and Barriers, (4) Sustainability and Circular Economy and Supply Chain and Barriers.

(2) Google Scholar, Scopus, ScienceDirect, Taylor and Francis, Emerald and Springer search databases were explored to collect research articles. The collected studies were analysed using the keywords in abstract and main text of article to include/exclude a particular article.

1
2
3 In addition, a refining criteria is being followed for inclusion/exclusion of the articles, which
4 are given as (i) Articles written in English language were only considered; (ii) peer-reviewed
5 journals articles and book chapters, were only considered (conference proceedings were
6 excluded). Various journals which were targeted for collecting the articles are – International
7 Journal of Production Research, International Journal of Production Economics, Journal of
8 Cleaner Production, Production Planning & Control, Journal of Environmental Management,
9 Supply Chain Management: An International Journal, European Journal of Operation
10 Research, Omega and Waste Management Journals etc.

11
12 Considering these criteria, the collected literature is scrutinized as per the forward snowball
13 and backward snowball technique (Glock et al., 2014). This process helps to extract only
14 those articles, which are relevant to this study. All articles were considered to be
15 representative of the current body of knowledge associated with CE/CSC, CSCM adoption
16 and implementation, and barriers and challenges related to CSCM.

17
18 Further, a review on the articles carried out for this work is given in the subsequent sub-
19 sections.
20
21
22
23
24
25
26
27
28

29 30 **2.1 CE/CSC and CSCM**

31 The linear economic model driven by a “take-make-dispose” philosophy is unable to manage
32 the demand and supply balance in consumption of natural resources. This imbalance is
33 affecting the sustainability of the nations and enterprises as well as affecting the global
34 supply chain leading to socioeconomic and environmental risks and volatility. Realizing the
35 future resource scarcity challenge, the current linear economy model is giving way to the
36 circular supply model (George et al., 2015; Goyal et al., 2016). Pearce and Turner (1990)
37 based on the introductory concept of circular economic system building by ecological
38 economist Boulding (1966). The concept of CE was addressed by the Rio+20 summit as “one
39 of the important tools available for achieving sustainable development”. It has been stated
40 that circular and green economy also “contribute to eradicating poverty as well as sustained
41 economic growth, enhancing social inclusion, improving human welfare and creating
42 opportunities for employment and decent work for all, while maintaining the healthy
43 functioning of the Earth’s ecosystems”. The CE model has been actualized at three levels, to
44 be specific, eco-regions at the full scale level, eco-industrial parks at the meso-level, and eco-
45 enterprises at the small scale level (Yuan et al., 2006), with the objective of incorporating
46 monetary development with ecological sustainability (Wang et al., 2010; Zhu et al., 2011).
47 Further, due to high industrial growth and modernization, organisations across all over the
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 world are facing the issues related to the negative environmental impact of their business
4 activities. In response, managers are seeking to develop some innovative methods and
5 approaches to manage these concerns. The circular initiatives in supply chain are becoming
6 increasingly popular to address these issues (Geng et al., 2012). The CSCM can be
7 understood as the approach that keeps resources in use as long as possible; and that reduces
8 waste at every stage, from design to distribution and beyond (Subramanian and Gunasekaran,
9 2015). During implementation of CSCM, the transformation of linear manufacturing chains
10 to circular chains should proceed in such a way that the business network models capable to
11 manage the streamline circular flow of both the products and of by-products/waste generated
12 (Loombaa and Nakashima, 2012).

13
14
15
16
17
18
19
20 On the one hand, the scope of implementation of CSC initiatives is extended among business
21 organisations, because CSC initiatives may provide a sensible linkage between their
22 economic growth, and resource depletion and community welfare issues, and hence offer
23 opportunities for sustainability of business (Park et al., 2010), on the other hand, in today's
24 scenario of complex environment, the adoption and extension of CSC models for
25 sustainability of business is challenging and needs a comprehensive understanding and theory
26 building (Dora et al., 2016). In this sense, it is important to explore the concepts of CE/CSC
27 and CSCM for improving ecological-economic-social performance of industrial supply
28 chains. Based on previous studies, researchers and practitioners all around the world aims to
29 address the perspectives of circular supply models in a supply chain context (Goyal et al.,
30 2016; Genovese et al., 2017). Literature also suggested that there are various challenges
31 associated with the implementation of CSCM concepts, which needs to be distinguished
32 accurately along with the scaling up of their solutions from the industrial viewpoints (Geng
33 and Doberstein, 2008; Geng et al., 2012; Su et al., 2013; Goyal et al., 2016; Genovese et al.,
34 2017; Nasir et al., 2017). In the next subsection, the barriers to CSCM are identified.

45 46 **2.2 CSCM related barriers**

47
48 Business organisations are facing substantial upfront investments to implement CSC concepts
49 and are depending their suppliers and retailers to collaborate, as all value chain partners have
50 to be involved (Dora et al., 2016). In addition, circularity extends the end-of-life phase for
51 products resulting in decreased revenues at constant customer volumes. Consumers also face
52 challenges in adoption of circular models. In line with this, suppliers and manufacturers are
53 also facing various problematic issues in CSCM adoption. Some of them are – lack of
54 knowledge of the concept, economic constraints, management approach etc. Hence, the
55
56
57
58
59
60

recognition and analysis of related hurdles in adopting CSCM concepts should be explored comprehensively. In this work, a total of 16 barriers relevant to CSCM adoption were identified through the extensive literature support. The identified barriers were validated through inputs received from the experts, and other details regarding data collection are given in Section 5.1. The identified barriers to implement CSCM concepts are explained in Table 1, as below.

Table 1: Barriers to implement CSCM concepts

Barriers	Description	References
Lack of industry incentives for 'greener' activities (B1)	Environmental costs are increasing rapidly for industries, with little chance of economic payback in sight. The financial incentives for industries are necessary to invest in green/circular concepts. An incentive from governmental bodies for promoting the CCS is lacking to develop sustainable/regenerative goods. Lack of financial support mechanism for 'greener' activities is an important hurdle in case of developing countries, as they are lacking in implementation of green and/or circular models compared to developed countries in terms of advanced technologies and green transformation in manufacturing.	Geng and Doberstein (2008); Su et al., (2013); Li et al., (2015); Mangla et al., (2015); Prendeville et al., (2016)
Lack of environmental laws and regulations (B2)	In the view of increased energy demand, ecological issues and carbon emissions, the government agencies must frame stringent environmental laws and regulations. Developing nations, like India does not have a strong environmental regulatory structure to adopt circular supply models compared to their western counter parts and developed nations.	Goyal et al., 2016; Venkatesh and Luthra (2016); Zhu et al., (2017)
Lack of Management commitment and approach for CSCM adoption (B3)	Ecological transformations and improvements are primarily driven by a committed managerial approach for sustainable development. A comprehensive CE framework followed by a practical implementation strategy is required to implement CSCM concepts, which could be possible only with management support and dedicated approach. However, in real practice, the management fails to do so. Thus, lack of management commitment is perceived as one of the important barriers for CSCM adoption.	Giunipero et al., (2012); Zhu and Geng, (2013); Rizos et al., (2015); Venkatesh and Luthra, (2016); Lieder and Rashid, (2016)
Lack of preferential tax policies for promoting the circular models (B4)	Preferential loans and tax benefits for energy saving and waste reduction may help to promote CSC concepts. However, preferential tax policies have been used on temporary basis and in very limited scale in India. The lack of government motivation and support (via ineffective tax policies, import and excise duty, etc.) is usually documented as an important hurdle during circular concepts adoption.	Geng and Doberstein, (2008); Wang et al., (2010); Tripathi et al., (2016)
Lack of implementation of environmental management certifications and	An environmental management system is considered as an element of organisation's management system with an objective to manage the environmental aspects. Business organisations pay lesser attention to regulations, and thus are more reluctant to implement proactive ecologically-friendly concepts. Environmental management certifications and	Massoud et al., (2010); Guerrero-Baena et al., (2015); Pan et al., (2015)

1 2 3 4 5 6 7 8 9 10 11 12 13 14	systems (B5)	systems (ISO 14001) are still scarcely implemented, incomprehensive and scattered especially in a developing country, like India.	
15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56	Lack of middle and lower level managers' support and involvement in promoting 'greener' products (B6)	The support of lower and middle level managers is significant in accepting CSC concepts. In adopting successful circular models for improving its ecological responsibilities in supply chains, all of the supply chain managers and experts within and across the department needs to work collectively. Lack of middle and lower level managers' support and involvement in promoting 'greener' products can lead to failure of the entire system.	Zhu et al., (2008); Zhu et al., (2010); Kumar and Chandrakar, (2012); Papadopoulos et al., (2017)
57 58 59 60	Lack of customer awareness and participation around CSC activities (B7)	The promotion of customer responsibility is crucial for enhancing their purchasing preferences and use of more sustainable products and services. From organisational viewpoints, unawareness on the circular models illustrates a message of 'lack of involvement of public perception and views' and which can hinder the acceptance of circular models in the supply chain.	Kumar and Malegeant, (2006); Pan et al., (2015); Rizos et al., (2015); Ghisellini et al., (2016); Genovese et al., (2017)
	Poor demand/ acceptance for environmentally superior technologies (B8)	Highly developed technology and updating of equipment and facilities provides a way to accomplish circular supply initiatives in supply chains. However, environmentally superior technologies demand is not satisfactory especially in a developing country, like India; this results in increased pollution and energy scarcity and decreased financial gains.	Geng and Doberstein, (2008); Su et al., (2013)
	Lack of technology transfers (B9)	Societies all over the world are facing the issues of ecological degradations, resources depletion, climate change and many related problems. The effective measure to tackle these issues could be either development of new technologies or technology transfers. Technology transfer involves the transfer of latest technology from the inventor (developed nation) to a secondary user (developing country) to improve effectiveness towards CSC initiatives. Thus, the transfer of technology may be an effective decision choice for a developing nation like India in this situation.	Geng and Doberstein, (2008); Kaushik et al., (2014)
	Inadequacy in knowledge and awareness of organisational members about CSCM initiatives (B10)	The implementation of CSC concepts requires high scientific skills, which are currently lacking in the context of an organisational supply chain. This inadequacy in knowledge and awareness of organisational members and related players restricts organisational members in CSCM adoption in terms of better product and network design of circular products to promote higher re-use, recycle, remanufacture, repair etc.	Benton et al., (2015); Lieder and Rashid, (2016); Gallaud and Laperche, (2016)
	Lack of appropriate training and development programs for SC members and HR (B11)	Skills would enable businesses to design products with circularity in practices, and to engage in reuse, refurbishment and recycling. Lack of capabilities of HR professionals and SC members' (in terms of skills, knowledge, training and development program), can be a crucial hurdle in effective adoption and implementation of CSC concepts in an industrial context.	Visvanathan and Kumar, (1999); del Brio et al., (2008); Zhu and Geng, (2013); Lacy and Rutqvist, (2015)
	Lack of effective planning and	The adoption of CSCM concepts will require effective planning and management, for the designing of scenarios for	Geng and Doberstein, (2008); Ceschin,

management for CSCM concepts (B12)	the optimal utilization of resources (reuse, repair, recycling, and remanufacturing). Any inadequacy in the planning and management (sufficient differentiation between reuse, recycling, remanufacturing) may mislead supply chain players to focus on the critical issues in CSCM adoption.	(2013); Nasir et al., (2017)
Lack of systematic information systems (B13)	The structure of supply chain is very complex at the organisational levels. In this sense, it is needed to design and follow an information system network based on the system approach. The benefits of this could be listing superior ecological and financial focused means to plan and manage their resources. At the same time, to design and follow such systematic information systems are generally lacking in a developing country like India.	Geng and Doberstein, (2008); Pan et al., (2015)
Lack of coordination and collaboration among SC members (B14)	Business organisations, depending on their vendors/suppliers and retailers/distributors, need to collaborate and coordinate, as all supply chain (SC) players need to be involved for higher profits and market image. Collaboration and coordination is also very important in the sense as it is not possible for a business organisation to have in-house arrangements for recycling, remanufacturing of all the by-products.	Defee et al., (2009); Zhu et al., (2013); Zhu and Geng, (2013)
Lack of support and participation of stakeholders (B15)	In implementing CSCM concepts, managers must design the system, which involves effective management of natural resources and unbiased distribution of resources, by assuring the active participation and support of all stakeholders. Without the appropriate level of support and participation from the stakeholders, it is complex to implement any innovation in process/technology and streamline their efforts in CSCM implementation.	Geng and Doberstein, (2008); Pan et al., (2015); Tukker, (2015); Miemczyk et al., (2016)
Lack of economic benefits in short-run (B16)	If an organisation focuses on environmental issues, then it would definitely have some loss of economic value. A lack of economic benefits in short-run can be understood as the increasing short-term cost, which is always the initial internal barrier in any decision-making. Hence, lack of economic benefits in short-run is considered as a significant barrier in CSCM adoption.	Park et al., (2010); Zhu and Geng, (2013)

2.3 Research gaps

Natural resources are being depleted with a very high rate all across the globe due to unsustainable waste management practices, inefficient eco-design of products, and unnecessary wastage of useful materials during consumption, unsegregated wastes generation, transportation, unorganized recycling and reuse, ineffective treatment and ultimate disposal to dumpsite. To deal with these issues, resource recovery initiatives need to be established to develop and sustain circular models in the context of industrial supply chains (Ghosh, 2016). CSCM is a method of concurrently addressing the issues of energy demand, waste management and green house gas emissions to develop a CE system (Pan et al., 2015; Tukker, 2015).

1
2
3 To date, many countries in the world (Japan, Austria, Germany, the Netherlands and China)
4 have taken measures to promote the CSC concepts and already developed some extent
5 strategies compatible with circular model activities (George et al., 2015). However, in
6 emerging country like India, policy measures and strategies are yet missing due to many
7 issues to implement CSC concepts (Subramanian and Gunasekaran, 2015).

8
9
10 India is one of the most populous country having 17% of the global population. The future
11 risks associated with the increasing demand–supply gap is pertained to the growing
12 population, increasing demand for resources, finite nature of resources, and linear economic
13 model has led to interest in the adoption of the CSCM practices.

14
15 Besides, in the present era, Indian organisations are seeking to improve their products with
16 respect to design, production methods and procedures, and delivery, to provide value to the
17 customer and make them sustainable in the market. To achieve this, Indian organisations are
18 adopting contemporary strategies in their supply chains such as Six Sigma, Lean, and green
19 concepts, for sustainable business development (Kumar et al., 2016). In addition, some Indian
20 organisations, which have adopted novel housekeeping practices, process orientation, or
21 5S and supplier engagement, are those, which are on the threshold of a CE/CSC initiatives
22 (Ashton and Shenoy, 2015). However, it is surprising that very limited industries in India are
23 seeking for true circularization of its business (Shenoy, 2016). It is because of the reason that
24 implementing the concepts of CSCM is associated with several challenges to both business
25 organisations as well as customers (Geng and Doberstein, 2008; Zhu et al., 2010; Dora et al.,
26 2016; Nasir et al., 2017). The literature also reveals the need for the research to identify and
27 analyse barriers towards effective implementation of CSCM concepts in India for optimal
28 resources usage and sustainable development (Goyal et al., 2016; Ghosh, 2016; Yaduvanshi
29 et al., 2016). Literature also lacks studies based on comprehensive analysis of barriers to
30 CSCM implementation from the managerial perspectives (Su et al., 2013; Goyal et al., 2016).
31 Thus, to have an understanding on interactive relations among the barriers may assist industry
32 managers to eradicate the recognized barriers in effective implementation of CSCM concepts.
33 This will also improve their overall performance and results in sustained growth.

34
35 To fulfil the aforementioned research gap, we undertook this study to recognize and analyse
36 barriers in effective implementation of CSCM concepts in Indian context. Initially, the
37 important CSCM implementation barriers were recognized from the literature and validated
38 in discussion with experts. Later, the finalized barriers were analysed to uncover the
39 interactions among them in implementation of circular supply concepts, and positioned them
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 into a hierarchical structural model using the well-accepted integrated ISM-MICMAC
4 technique.
5
6

7 8 **3. Research Methodology**

9
10 For accomplishing the present research, the ISM and MICMAC techniques have been utilized
11 as the research methodology. ISM identifies the contextual relationships among the CSCM
12 implementation related barriers and helps in developing a structural model of these barriers.
13 ISM is a methodical and interactive technique that relies on a group of experts (independent
14 professionals) (Warfield, 1974) to analyse the interrelations among elements (Mathiyazhagan
15 et al., 2013). ISM can illustrate the overall organisation and relations of a structural model.
16 ISM is a well-established interactive learning process (Watson, 1978). ISM composed of
17 three words, first, *Interpretive* as members of the decision group collectively establishes the
18 direct and indirect interactions of the elements; Second, *Structural* as it facilitates to deduce
19 the structure of complex issues or problems and based on the derived relationships between
20 the system's variables; and *Modelling* as it delivers a diagraph model to depict the specific
21 relationships and overall structure. MICMAC analysis explains and analyses key barriers on
22 the basis of their driving power and dependencies.
23
24

25
26 Notably, several methods are available in literature, which can reveal the interdependencies
27 among the variables as well as develop their structural hierarchy. Some of these techniques
28 are Analytic Hierarchy Process (AHP), Analytic Network Process (ANP), DEMATEL, Graph
29 theory, Structural Equation modeling (SEM) etc. A comparison of ISM with above-
30 mentioned research methods (Wagner and Neshat, 2010; Raj et al., 2010; Mathiyazhagan et
31 al., 2013; Jakhar and Barua, 2014; Luthra et al., 2017) is provided in Table 2.
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 2: Comparison of ISM with AHP/ANP/DEMATEL/Graph theory/SEM

ISM-MICMAC	DEMATEL	Graph Theory	AHP	ANP	SEM
ISM-MICMAC uncovers the contextual interactions among the variables based on their driving potential and dependencies	DEMATEL helps to uncovers the causal interactions among the variables based on their cause and effect groups	Graph theory is used to reveal the interdependencies among the variables; however, the reliability of the direction of the edges in the graphs is questionable	AHP does not provide any interdependencies between and among the variables, rather used to draw the hierarchical structure of the variables	ANP can provide interdependencies between and among the variables; this method is less accepted due to its complexity.	SEM is an “a priori” method, mainly used for theoretical development of the model. However, SEM requires a large sample size

From Table 2, we can infer that ISM-MICMAC technique is comparatively sound in revealing contextual interactions among the CSCM oriented barriers. ISM-MICMAC has also been well-accepted in literature (see Table 3).

Table 3: summary on ISM-MICMAC application areas

S. No.	ISM-MICMAC application area	References
1	Reverse logistics implementation	Ravi and Shankar, (2005)
2	Green supply chain management implementation	Mathiyazhagan et al., (2013); Mangla et al., (2014)
3	Green product recovery systems	Mangla et al., (2013)
4	Third party logistics	Diabat et al., (2013)
5	Total quality management implementation	Talib et al., (2011)
6	Total productive maintenance implementation	Singh et al., (2014)
7	Sustainable supply chain management	Luthra et al., (2015a)
8	Implementation of Emission Trading System	Shen et al., (2016)
9	Implementing green supply chain management practices	Agi and Nishant, (2017)
10	Sustainable supply chain management practices in the context of oil and gas industries	Raut et al., (2017)

The integrated ISM-MICMAC analysis consists of several steps (Haleem et al., 2016), which are explained in relation to the objective of this work (to analyse the barriers in CSCM adoption), as follows:

- 1
- 2
- 3 • Identify the variables in relation to the research problem (barriers in CSCM adoption).
- 4 In identification of barriers, the review of literature and experts feedback is very
- 5 important.
- 6
- 7
- 8 • Devise contextual interactions between listed barriers to CSCM by means of
- 9 questionnaire and data collection.
- 10
- 11 • Establish pair-wise relations between identified barriers to develop structural self-
- 12 interaction matrix (SSIM). The opinions of experts are useful to establish pair-wise
- 13 relations.
- 14
- 15 • Establish initial reachability matrix (IRM) with the help of SSIM through experts'
- 16 opinions. After this, it is needed to test the transitivity to form final reachability
- 17 matrix (FRM). For more details on transitivity, readers may refer the studies of
- 18 Agarwal et al., (2007) and Mangla et al., (2013). Derive the driving and dependence
- 19 power of each barrier by summation of entries in rows and columns in FRM
- 20 respectively.
- 21
- 22 • Classify the FRM into various levels to develop an ISM structural hierarchy of listed
- 23 barriers. For determining various levels, the reachability set and antecedent set are
- 24 formed. In the reachability set, we clustered a particular barrier and the other barriers
- 25 affected by that barrier. In the antecedent set, we combined a particular barrier and
- 26 other barriers that affect this barrier. Further, the reachability set and antecedent set
- 27 are combined and the intersection set was formed.
- 28
- 29 • Development of MICMAC analysis graph of identified barriers. MICMAC analysis is
- 30 graph between the driving power and dependency power of the variables. According
- 31 to the driving and dependence power of barriers, we classify the barriers into four
- 32 different categories (autonomous, dependent, linkage, and drivers).
- 33
- 34 • Sketch a digraph of listed barriers based on the relations in FRM. The preliminary
- 35 digraph including transitive links is obtained. It is generated by nodes and lines of
- 36 edges. After removing the indirect links, a final digraph is developed. A digraph is
- 37 used to represent the visual representation of the barriers and their interdependence.
- 38
- 39 • Form an ISM based structural model of barriers using digraph.
- 40
- 41 • Test the developed ISM model for any theoretical inconsistency. Consequently, it is
- 42 suggested to take the necessary actions.
- 43
- 44
- 45
- 46
- 47
- 48
- 49
- 50
- 51
- 52
- 53
- 54
- 55
- 56
- 57
- 58
- 59
- 60

The flow chart of ISM-MICMAC for this work is shown in Figure 1.

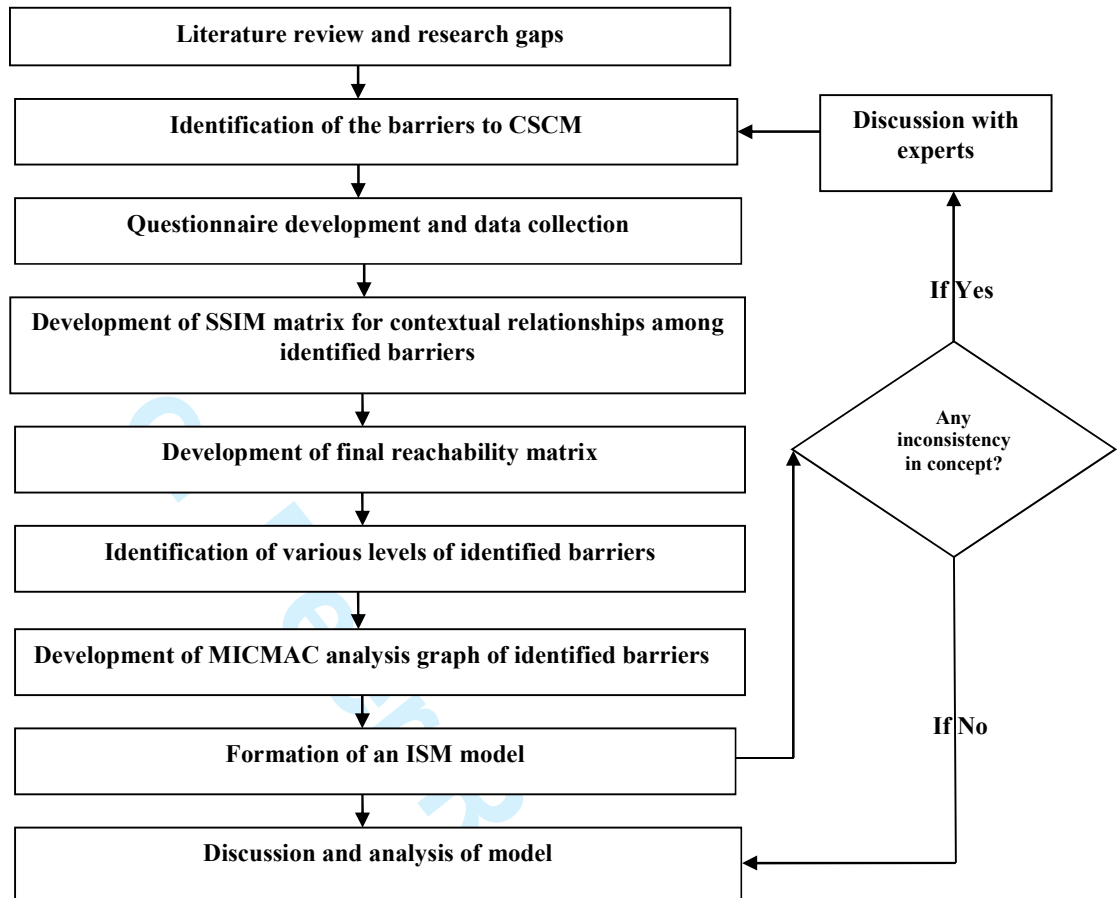


Figure 1: ISM-MICMAC Flowchart

4. Proposed Research Framework

In this section, we proposed a conceptual framework for analysing the recognized barriers relevant to CSCM implementation, as shown in Figure 2. This framework has both apparent scope of applicability and reliable nomenclature (Platts, 1990; Baines, 1994). This framework illustrates a real picture of the problem of adoption and implementation of CSCM in Indian context (Checkland and Scholes, 1990). Further, we grounded our research framework and its related processes on certain guidelines suggested by Platts, (1990), which are given as below-

- i. The processes involved are related to existing framework. Collecting the literature, selecting the barriers and research methodology applicability all are linked to the purpose of this work.
- ii. Each process in the framework are grounded on literature support and verified through and expert's feedback. The proposed research framework consists of two phases (see Figure 2). Phase 1 deal with the recognition and selection of the barriers

relevant to CSCM implementation. The literature survey and experts' feedback were used to identify the relevant barriers. Initially, the barriers to implement CSC initiatives were identified through the literature review (see Section 2.2). The literature-based identified barriers were then finalized using experts' inputs (See Section 5.1). Phase 2 deals with the exploring contextual relations between the recognized barriers and developing their hierarchical levels to CSCM implementation. The ISM –MICMAC approach is used for this purpose (See Section 5.2). Notably, empirical testing of the processes in the framework is considered out of scope of the present work.

- iii. Our research outcomes are useful to the managers and practitioners. The proposed framework can assist practicing managers in terms of: i) Selecting relevant barriers to CSCM implementation; ii) Uncovering contextual relationships between the barriers and developing hierarchical levels of barriers in CSCM implementation.

The detailed applicability and verification/validation of the processes involved in this framework is presented in the subsequent section.

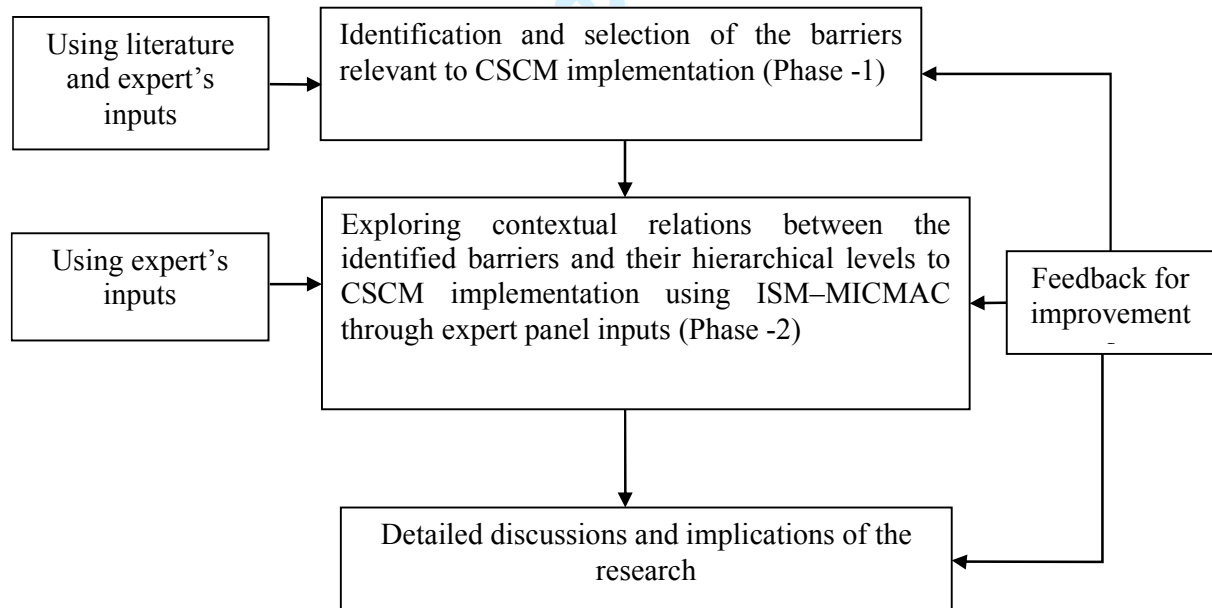


Figure 2: Proposed research framework

5. Application of the Proposed Research Framework

The data needed for this work was collected from the 5 automotive manufacturing companies from the northern region of India. In order to collect the data, we contacted the 30 experts from various automotive manufacturing companies. The selection of the companies made on the basis of convenience sampling.

The data collection was not easy in this research, as we made several frequent phone calls and wrote several e-mails to follow up with the experts. Finally, seven experts out of thirty agreed to participate in the data collection process. In the same way, we contacted eight academicians, and four of them agreed to provide their responses. In this manner, an expert panel of 11 professionals was formed to analyse the barriers to CSCM adoption. This expert panel composed of one purchasing manager, one quality manager, three supply chain managers, two marketing managers, one environmental executive, three professors of operations management, and one professor of environmental science. The selected experts are highly skilled with respect to their qualification (postgraduate), knowledge and decision making. It should be noted that we selected the experts with a minimum of 10 years of experience in the domain. In addition, sample size taken for this work is sufficient and properly representative of the population under investigation because of issues of cost and time constraints. After this, we employed the proposed framework to the research problem under study with other details as below.

5.1 Phase 1: Recognition and Selection of the Barriers Relevant to CSCM Implementation

In this phase, the barriers related to CSCM adoption were finalized. A total of 16 barriers were listed through survey of literature. These barriers were then confirmed through expert panel inputs. For this, a brainstorming session was conducted with the consent of experts. The experts were asked to rate the listed barriers in CSCM adoption on 5 point Likert scale (1= not at all, 2= somewhat significant, 3= significant, 4= very significant and 5= extremely significant). The experts were also asked to make any modification in the list of barriers; however, all the experts were agreed on the 16 literature based barriers and they have not made any modification in the list. In this way, all the identified barriers in CSCM were validated.

5.2 Phase 2: Exploring Contextual Relations between the Recognized Barriers and their Hierarchical Levels to CSCM Implementation using ISM–MICMAC through Expert Panel Inputs

After selecting the barriers, the next task is to examine the contextual relations among the barriers. For this, we contacted the expert panel and gather the inputs received from the experts. As a further step, in order to analyse the barriers, we select a contextual relationship of “leads to” type meaning that one barrier leads to another barrier. The formation of contextual or comparison (pair wise) reveals the direction of relations between the barriers. Keeping this in mind, we developed the contextual relations among the barriers (Kumar et al., 2016).

In addition, we used some symbols (Luthra et al., 2011), described as below.

V - Barrier i will assist to reach for barrier j ;

A - Barrier j will assist to reach for barrier i ;

X - Barrier i and j will assist to reach each other;

O: Barriers i and j are not related to each other.

According to these symbols and inputs of experts, SSIM for the barriers in CSCM adoption was developed (See Table 4).

Table 4: SSIM for the barriers to CSCM implementation

S. No.	Barriers to CSCM	Contextual Relations														
		B16	B15	B14	B13	B12	B11	B10	B9	B8	B7	B6	B5	B4	B3	B2
1	B1	V	V	V	V	V	V	V	V	V	V	V	X	A	V	A
2	B2	O	V	V	V	V	V	V	V	V	V	V	V	X	V	
3	B3	V	V	V	V	V	V	V	V	V	V	V	A	A		
4	B4	V	V	V	V	V	V	V	V	V	V	V				
5	B5	V	V	V	V	V	V	V	V	V	V					
6	B6	V	V	V	V	X	V	V	V	V	V					
7	B7	V	X	V	A	A	V	X	O	X						
8	B8	V	X	V	A	A	V	X	X							
9	B9	V	X	V	A	A	V	X								
10	B10	V	X	V	A	A	V									
11	B11	V	A	X	A	A										
12	B12	V	V	V	V											
13	B13	V	V	V												
14	B14	V	A													
15	B15	V														

Next task is to form the IRM. In this sense, we operated on SSIM and replaced the entries in SSIM with binary numbers (0 and 1). This replacement was made on the basis of some logics, whose details are given as -:

- For every V in SSIM, we put '1' in (i, j) entry and '0' in (j, i) entry.
- For every A in SSIM, we put '0' in (i, j) entry and '1' in (j, i) entry.
- For every X in SSIM, we put '1' in both (i, j) and (j, i) entries.
- For every O in SSIM, we put '0' in both (i, j) and (j, i) entries.

In the view of this, the IRM for the barriers to CSCM implementation is presented in Table 5.

Table 5: IRM for the barriers to CSCM implementation

S. No.	Barriers to CSCM	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15	B16
1	B1	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1
2	B2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	0
3	B3	0	0	1	0	0	1	1	1	1	1	1	1	1	1	1	1
4	B4	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
5	B5	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1
6	B6	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1
7	B7	0	0	0	0	0	0	1	1	0	1	1	0	0	1	1	1
8	B8	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1
9	B9	0	0	0	0	0	0	0	1	1	1	1	0	0	1	1	1
10	B10	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1
11	B11	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1
12	B12	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1
13	B13	0	0	0	0	0	0	1	1	1	1	1	0	1	1	1	1
14	B14	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1
15	B15	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1
16	B16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

Next, we transform the IRM into FRM by applying transitivity rule as explained in Section 3. Table 6 shows the obtained FRM for the barriers to CSCM implementation. Next, the driving and dependence power was derived by summing the rows and column entries in the FRM.

Table 6: FRM for the barriers to CSCM implementation

S. No.	Barriers to CSCM	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15	B16	Driving Power
1	B1	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1	14
2	B2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1*	16
3	B3	0	0	1	0	0	1	1	1	1	1	1	1	1	1	1	1	12
4	B4	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	16
5	B5	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1	14
6	B6	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	11
7	B7	0	0	0	0	0	0	1	1	1*	1	1	0	0	1	1	1	8
8	B8	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1	8
9	B9	0	0	0	0	0	0	1*	1	1	1	1	0	0	1	1	1	8
10	B10	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1	8
11	B11	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1	3
12	B12	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	11
13	B13	0	0	0	0	0	0	1	1	1	1	1	0	1	1	1	1	9
14	B14	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1	3
15	B15	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1	8
16	B16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Dependence Power		4	2	5	2	4	7	13	13	13	13	15	7	8	15	13	16	150

After FRM, we partitioned the barriers into various levels to know their prominence or importance levels in the hierarchy of barriers. For this, we used the reachability matrix, and correspondingly formed both the reachability set and antecedent set. In the reachability set, we clustered the barrier itself and the other barriers affected by that barrier. In the antecedent set, we combined the barrier itself and other barriers that affect this barrier. Further, we combined the reachability set and antecedent set and the intersection set was formed. This procedure is repeated for all the barriers. Notably, we have to assign Level 1 to that barrier for which the reachability and intersection set are identical. For example, 'Lack of economic benefits in short-run (16)' assigned level 1. Once the level is assigned to the barrier, then that barrier is eliminated. This procedure is repeated to assign at most one level to each barrier. Various iterations involved in developing of ISM based model of the barriers in CSCM implementation are provided in Annexure-1 and final levels for the barriers are depicted in Table 7.

Table 7: Final levels for the barriers to CSCM implementation

S. No.	Level number	Barriers in CSCM implementation
1	1 st	<ul style="list-style-type: none"> Lack of economic benefits in short-run (B16)
2	2 nd	<ul style="list-style-type: none"> Lack of appropriate training and development programs for SC members and HR (B11) Lack of coordination and collaboration among SC members (B14)
3	3 rd	<ul style="list-style-type: none"> Lack of customer awareness and participation around CSC activities (B7) Poor demand/acceptance for environmentally superior technologies (B8) Lack of technology transfers (B9) Inadequacy in knowledge and awareness of organisational members about CSCM initiatives (B10) Lack of support and participation of stakeholders (B15)
4	4 th	<ul style="list-style-type: none"> Lack of systematic information systems (B13)
5	5 th	<ul style="list-style-type: none"> Lack of middle and lower level managers' support and involvement in promoting 'greener' products (B6) Lack of effective planning and management for CSCM concepts (B12)
6	6 th	<ul style="list-style-type: none"> Lack of Management commitment and approach for CSSM adoption (B3)
7	7 th	<ul style="list-style-type: none"> Lack of industry incentives for 'greener' activities (B1) Lack of implementation of environmental management certifications and systems (B5)
8	8 th	<ul style="list-style-type: none"> Lack of environmental laws and regulations (B2) Lack of preferential tax policies for promoting the circular models (B4)

After determining the levels of each barrier, the MICMAC analysis was conducted. According to this, the driving and dependence power of each barrier is analysed. For determining the driving and dependence power, the FRM is used and summation of rows and columns was calculated. The summation of rows and columns provides the driving and dependence powers for each barrier respectively (see Table 6). Based on MICMAC analysis, the barriers were analysed to have further insights on the sources and consequences of the problematic issues in extending circular models in industrial supply chains. The MICMAC analysis diagram illustrating the driver and dependence power is shown in Figure 3.

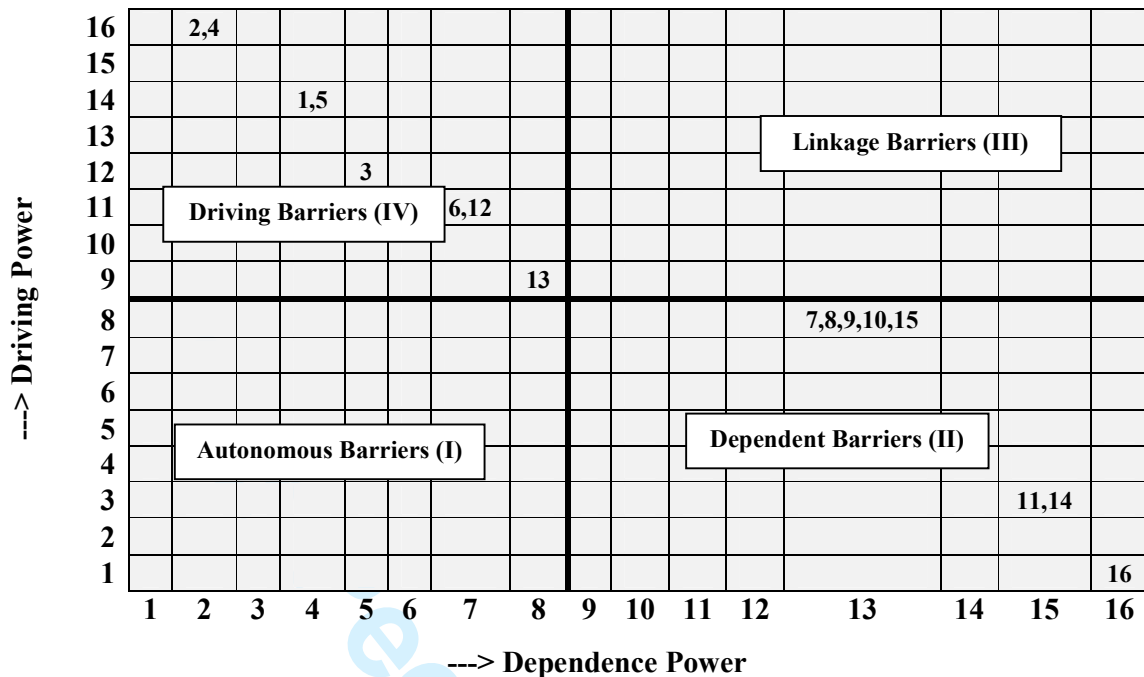


Figure 3: MICMAC analysis for the barriers to CSCM implementation

The identified sixteen barriers are divided into four categories (see Figure 3), described as below,

1. **Autonomous:** These barriers consist of weak driving power, weak dependence power (lower left quadrant) and relatively disconnected from the system. No barrier falls in this category. Therefore, among the identified sixteen barriers, all the barriers have a lot of influence in the CSCM implementation.
2. **Dependent:** These barriers consist of weak driving power and strong dependence power (lower right quadrant); and coming top of ISM based hierarchical model. Eight barriers namely lack of economic benefits in short-run (16); lack of appropriate training and development programs for supply chain members and HR (11); lack of coordination and collaboration among SC members (14); lack of customer awareness and participation around CSC activities (7); poor demand/acceptance for environmentally superior technologies (8); lack of technology transfers (9); inadequacy in knowledge and awareness of organisational members about CSCM practices (10); and lack of support and participation of stake holders (15) have been categorized as dependent barriers. These barriers should be regarded as the important barriers because their strong dependence points out that they need removal of all the other barriers to adopt CSCM concepts.
3. **Linkage:** These barriers consist of strong driving power and strong dependence power (upper right quadrant); and coming middle of ISM based hierarchical model. No barrier

1
2
3 falls in this category. These barriers are unstable hence required careful analysis and
4 practitioners should continuously observe these barriers at each stage of implementation.
5

- 6
7 4. **Drivers:** These barriers consists of strong driving power and weak dependence power
8 (upper left quadrant); and coming bottom of ISM based hierarchical model. Eight barriers
9 i.e. lack of systematic information systems (13); lack of middle and lower level
10 managers' support and involvement in promoting 'greener' products (6); lack of effective
11 planning and management of circular supply chain concepts (12); lack of Management
12 commitment and approach for CSCM adoption (3); lack of industry incentives for
13 'greener' activities (1); lack of implementation of environmental management
14 certifications and systems (5); lack of environmental laws and regulations (2); and lack of
15 preferential tax policies for a unified platform for promoting the circular models (4) have
16 been categorized as the driving barriers in our study. Business organisations need to
17 concentrate on these barriers more carefully and might be treated as the root cause of all
18 the other barriers. It has been observed that these barriers may help to removal of other
19 barriers, appearing at the middle and top of ISM based hierarchy framework. Barriers
20 having higher driving power require to be taken care on the priority basis because there
21 are some other dependent barriers being influenced by them.
22
23
24
25
26
27
28
29
30
31
32

33 After MICMAC analysis, we developed both the digraph and ISM model. FRM assist us in
34 developing the structured ISM model through vertices/nodes and lines of edges. The
35 structural model of barriers in CSCM adoption developed using the FRM is termed as a
36 digraph (see Figure 4).
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

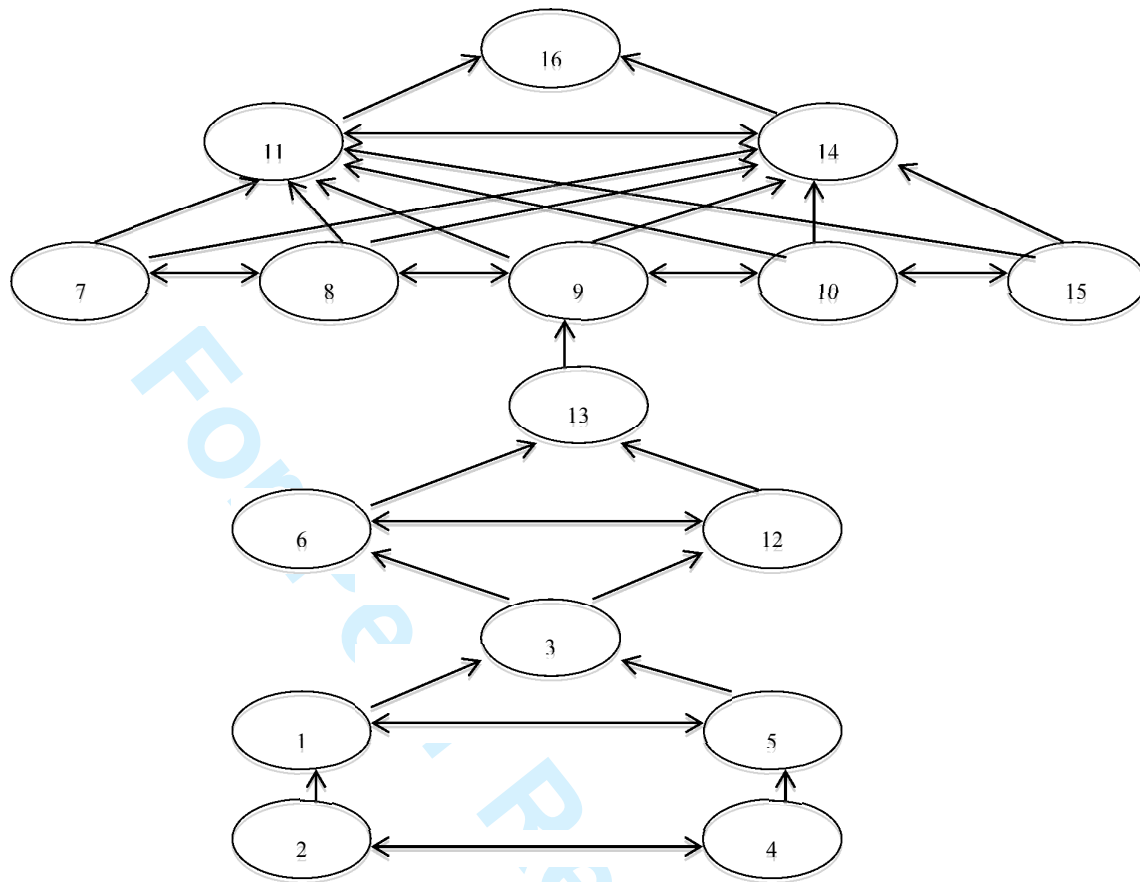


Figure 4: Digraph for the barriers to CSCM implementation

Next, the developed digraph was transformed into ISM based hierarchical model. This transformation was done by removing transitivity links and putting assigned barriers on the place of their nodes. In this way, the ISM based hierarchical model for the barriers was developed (see Figure 5). The suggested ISM based model illustrates the contribution of the barriers ‘lack of environmental laws and regulations (B2)’ and ‘lack of preferential tax policies for promoting the circular models (B4)’ which forms the foundation of the hierarchical structure in CSCM implementation. The ISM based model shows an interaction of various barriers in terms of their significance approaching towards the upmost level 1 (‘lack of economic benefits in short-run (16)’) from level 8 (‘lack of environmental laws and regulations (B2)’ and ‘lack of preferential tax policies for promoting the circular models (B4)’). From model, it can be concluded that a barrier placed at a definite level will not aid to accomplish any other barrier placed at the level above of that.

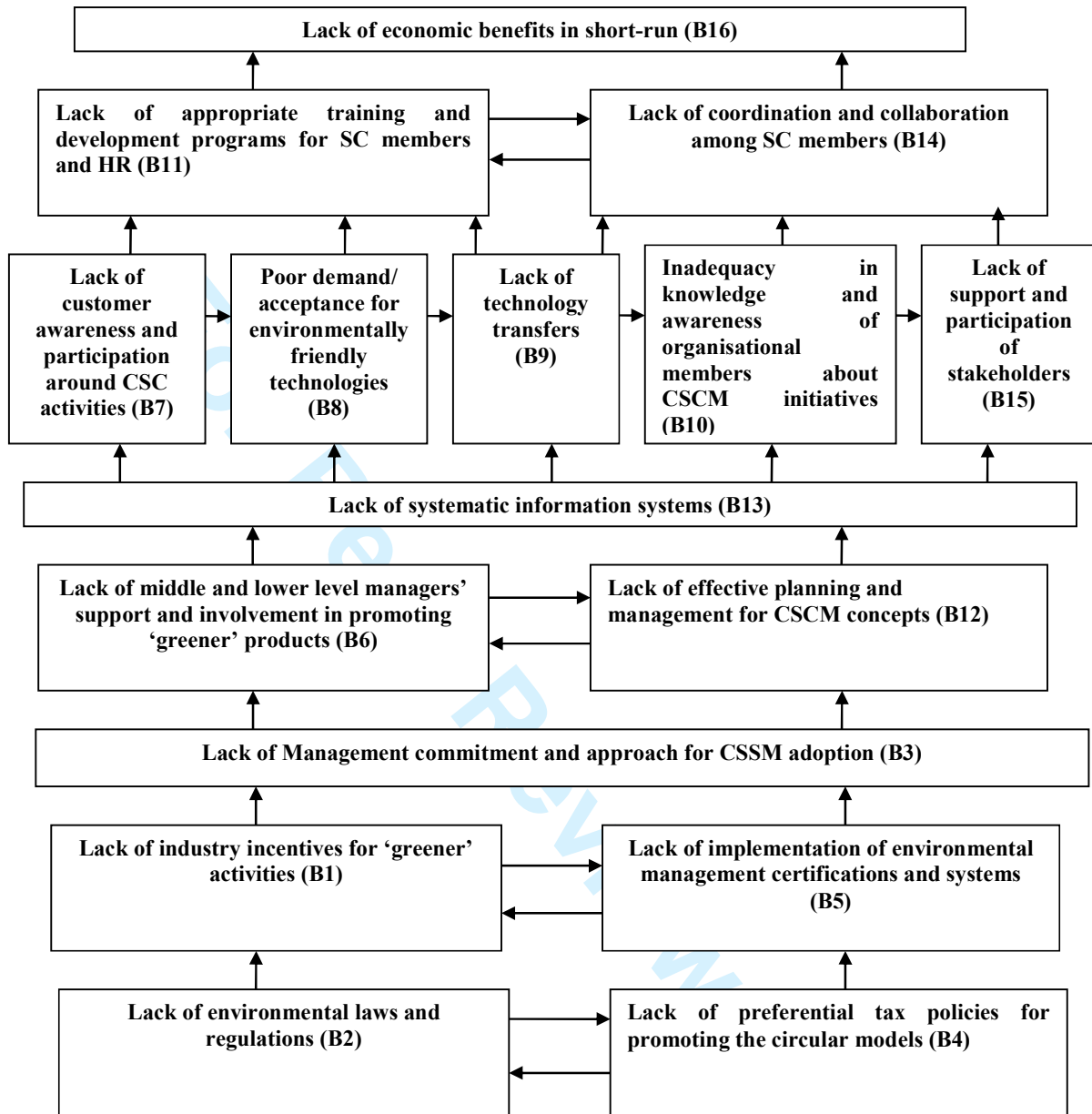


Figure 5: ISM based hierarchical model for the barriers to CSCM implementation

The barriers 'B2' and 'B4' impede the implementation of CSCM concepts among automotive business organisations in India. These two barriers will also affect each other bilaterally and act as key barriers to CSCM adoption. Luthra et al., (2015a) suggested that lack of regulations and policies is still the main challenge in India to promote innovative green/sustainable practices in supply chain. The barriers related to environmental laws and regulations and favoured tax schemes for promoting the CSC models would leads to 'lack of industry incentives for 'greener' activities (B1)' and 'lack of implementation of

1
2
3 environmental management certifications and systems (B5)' in the automotive industry
4 supply chain, which will lead to 'lack of Management commitment and approach for CSSM
5 adoption (B3)'. Pan et al., (2013) highlighted that even when environmental regulations are
6 well drafted and jurisdictional mandates are clear, implementation and enforcement often
7 remain weak or absent when requirements target economically important activities. Su et al.,
8 (2013) suggested that most of business organisations insufficient incentives to accept
9 "greener activities" to reduce waste reduction, as up gradation of equipment and technology
10 needs more money and management patience to maximize their economic gains. Lack of
11 management commitment and approach for CSSM adoption will lead to 'lack of middle and
12 lower level managers' support and involvement in promoting 'greener' products (B6)' and
13 'lack of effective planning and management CSCM concepts (B12)'. Notably, the barriers
14 related to lack of management commitment will include organisation' strategy, planning,
15 involvement, hiring and training personnel and eagerness, to learn best practices in CSSM
16 adoption. These barriers will lead to 'lack of systematic information systems (B13)' to
17 implement CSCM practices.

18
19 Lack of systematic information systems will impede 'lack of customer awareness and
20 participation around CSC activities (B7)', 'poor demand/ acceptance for environmentally
21 friendly technologies (B8)', 'lack of technology transfers (B9)', 'inadequacy in knowledge
22 and awareness of organisational members about CSCM initiatives (B10)' and 'lack of support
23 and participation of stakeholders (B15)'. These barriers will affect 'lack of appropriate
24 training and development programs for SC members and HR (B11)' and 'lack of
25 coordination and collaboration among SC members (B14)' in two-way direction to each other
26 and impeding to implement CSCM concepts. These two barriers collectively lead to barriers
27 related to the 'lack of economic benefits in short-run (B16)'. It has been suggested that
28 adoption of greener and sustainable activities are strategic decisions and usually provide
29 economic benefits at the strategic level (Mangla et al., 2014). In fact, there is still a lack of
30 model based research on the environmental, economic and employment effects of the CSC
31 initiatives in automotive supply chain sector in India (Horbach et al., 2015).

51 **6. Policy Recommendation and Implication for Implementing CSCM in India**

52
53 In this section, several policy recommendation and implications for implementing CSCM in
54 context of India are provided. CSCM enhance the circular flow of products, by-products and
55 waste generated by integrating the practices of reuse, recycling and remanufacturing into
56 their supply chains (Ellen Mac Arthur Foundation, 2014). This leads to incredible savings in
57
58
59
60

1
2
3 terms of resources, finances and has a potential to generate plenty of employment
4 opportunities. However, in developing economy, such as India, the lack of government
5 assistance in terms of funding options, efficient taxation norms, and import duty is a crucial
6 challenge for the promotion of green investments (Geng and Doberstein, 2008; Prendeville et
7 al., 2016). During the study, it was found that the absence of firm legislative mechanism also
8 affects the manufacturing firm's decisions to incorporate eco-friendly solution to their
9 operations. A strategic regulatory framework with specific resource consumption targets
10 needs to be developed for design and implementation of environment friendly policies
11 (Mangla et al., 2014). Novel legislative norms should be framed for easing competitiveness
12 concerns and lowering the CSCM adoption expenses. The subsidies promoting
13 disproportionate exploitation of resources and similar frictions to achieve green
14 manufacturing operations should be abandoned. A comprehensive policy on government
15 owned procurement and material handling processes can further help to implement the
16 circular supply models. They can generate monetary stimuli by underwriting certain threats
17 (failures, increase in cost) related to green innovative businesses. Usually, the upfront
18 expenses and the anticipated payback period are crucial in CSCM adoption.

19
20
21
22
23
24
25
26
27
28
29
30 The emerging economy, such as India is also more sensitive to additional overheads due to
31 eco-friendly activities as compared to the advanced economy. There are also hidden
32 expenditures in terms of time and labour, which businesses have to devote to accomplish low
33 carbon operations (Zhu and Geng, 2013). Creating new resources via public funds might be
34 troublesome in India because of their economic scenario. An array of already existing low
35 carbon funding provisions can enhance the transformative operations towards CSCM.
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
Multilateral development banks could aim for supplementary boost for CSCM investments.
Government could ease the foreign direct investment in the domain of CSCM and encourage
research for CSCM implementation by providing subsidies and tax credit initiatives (Gupta
and Palsule-Desai, 2011). They should establish market based initiatives in terms of
redesigning products to boost and encourage sustainable investments. It will result in
innovative design to lower carbon footprint and costs to consumers as well as develop system
and business model to deliver the optimum monetary and eco-friendly loops within operation
of CSCM. Businesses need to follow a proactive approach in efficiently addressing their
waste streams and raising awareness that reducing waste leads to significant savings (Nasir et
al., 2017).

Indian government should develop a national strategy for efficient alignment of rising
number and diverse skillset of people in the circular manufacturing ecosystem. The policy

1
2
3 makers should take appropriate steps for increasing the number of skilled labour, managers
4 by introducing novel training facilities, apprenticeship schemes and degree programs with in
5 depth knowledge of circular manufacturing operations (Lacy and Rutqvist, 2015). In
6 developed countries, there is a significant heterogeneity among the manufacturing industries
7 across various domains. Their capabilities and responses for implementing green operations
8 are similar with respect to organisational and management regime. Management committee
9 has the authority to make strategic transformations within the firm such as adopting the
10 practices of CSCM. The extent to which management committee are willing to implement
11 CSCM is usually depend on financial benefits (Zhu and Geng, 2013). The government should
12 take appropriate measures to highlight the potential savings achieved by manufacturing firm
13 by modifying their strategies from linear to circular. Lack of technical capability prevents
14 Indian organisations from capitalising on green economy opportunities such as CSCM. They
15 are trailing in identifying, evaluating and implementing modern infrastructure to lower
16 carbon footprint and realise monetary savings. Development of smart infrastructure and
17 tracking technology would encourage the reuse, recycling and remanufacturing of material
18 and goods (Su et al., 2013). Development of technology in India should be aligned with
19 nature of market in the present situation and predictable future.

20
21 One of the significant drivers of CSCM is quick access to information and visibility of the
22 entire value chain for all the stakeholders (Pan et al., 2015). For instance, access to the real
23 time data of generation of by-products at various manufacturing stages. Collaborative
24 consumption and other similar business models needs to be established that capitalises on
25 state of the art data driven applications for capturing data of whole supply chain. Robust
26 strategies need to be modelled to track the value of resource flows, assisting manufacturing
27 firms to identify the waste and carbon footprint generating processes. Lack of stakeholder's
28 environmental awareness is a discouraging element for implementation of circular/green
29 models in India (Luthra et al., 2015b). Suppliers are observed to be reluctant to foster a low
30 carbon supply chains because of the potential overheads, which could jeopardize their
31 competitiveness.

32
33 The SMEs particularly find the engagement of stakeholders challenging in eco-friendly
34 operations due to their small size and limited bargaining power. These manufacturing firms
35 could mitigate these issues by following an innovative approach in terms of collecting and
36 exchanging information, devoting funds towards R&D, disseminating good practices,
37 promoting business to business collaboration. Restructuring in supply chains in India is
38 required to facilitate information and products flow in both directions to integrate reuse,
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 remanufacturing, reparability, durability in their production strategies. These milestones
4 could be achieved by developing strong coordination in supply chains in terms of optimum
5 communication, operation monitoring and information sharing (Defee et al., 2009).
6
7

8 The lack of awareness of the advantages of the CSCM hampers its adoption in emerging
9 economy like India (Tukker, 2015). They consider resource efficient operations as an
10 additional financial burden on their businesses. The government has to play active role in
11 raising awareness regarding unlocking novel business opportunities from optimum waste
12 management. Appropriate training should be given by multinational companies to SMEs for
13 efficient re-use and recovery of waste products. The culture of repair and recycle needs to be
14 promoted on a wider scale. All organisational members should be provided access to funding
15 and risk management tools to boost investment in CSCM initiatives. There is a considerable
16 amount of waste generated at consumer level, which is not being reused or recycled in
17 developing nations. Consumers' awareness needs to be increased for accomplishing green
18 operations like CSCM.
19
20

21 Manufacturing firms could act as an enabler for CSCM and develop life term service
22 relationship with consumers instead of one-time transaction to implement circular solutions
23 in the supply chain (Ceschin, 2013). Appropriate end of life treatment should be provided to
24 consumer products. Rental or leasing schemes could be launched for accumulating customer
25 insights for advanced personalisation and customisation. A collaborative consumption model
26 needs to be developed for improved interaction among customers, suppliers and retailers to
27 generate innovative service to customers that emphasise 'access of products' rather than
28 'owner of products.'
29
30

31 The transition to CSCM practices needs to be accelerated within a time frame consistent with
32 response to major environmental issues such as global warming, water scarcity etc. Resource
33 productivity could be taken at next level by complementing deployment of modern
34 technology with structural reforms within the industry. The economics of recovery, reuse,
35 remanufacture would be transformed by effective incentivising to promote strategic planning
36 of the whole supply chain from manufacturer to consumer. Their strategies must be aligned to
37 boost the circular pattern of resource flows such as switching to durable goods, resource
38 efficient designing, reuse of intermediate products, modularization and remanufacturing.
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

7. Concluding Remarks, Limitations and Future Work

Due to increased ecological awareness and need to address unsustainable patterns of resource consumption and waste production, business organisations all across the globe are seeking to extend circular models into their supply chains. The extension of circular models or CSC concepts allows organisations to have efficient use of resources and results in enhanced value to the customer. At the same time, it has also been seen that the adoption of CSCM is difficult for the organisations, especially in developing nations such as India due to the existence of various constraints related to finance, government regulations etc.

In the view of this, this contribution is an effort to distinguish and analyse significant barriers to adopt CSCM concepts by taking an Indian perspective. In this research, we distinguish 16 barriers related to CSCM adoption using the literature survey and feedback received from the experts. The prime purpose of this study is to know the contextual relations between various identified barriers and develop a hierarchy of barriers in CSCM implementation in Indian context. Generally, managers' focus on one or more barriers as being crucial in increasing CSCM success rate effectiveness, however, due to presence of interactive relations, one barrier may significantly affect the other barriers in CSCM adoption. To achieve this, an integrated approach based on ISM and MICMAC was used in this work.

According to the findings, the barriers 'lack of environmental laws and regulations (B2)' and 'lack of preferential tax policies for promoting the circular models (B4)' form the foundation (higher effectiveness) of the ISM hierarchical structure in CSCM implementation from the Indian context.

The present work has some limitations and future research directions as well. This research suggests an integrated ISM-MICMAC based analysis framework as per experts' feedback. The developed ISM based framework grounds on expert's judgements, which needs to be carried out very carefully. This work suggests 16 barriers in relation to implementation of CSCM initiatives in the supply chain. The identification of the barriers could be further explored. The integrated ISM-MICMAC based analysis is also not capable of illustrating the interpretive logic of dominance/interaction among barriers to CSCM. Thus, to develop an interpretive logic of all the interactions involved in ISM model, total interpretive structural modelling (TISM) may be utilized in the future. For empirically testing and validating the framework and ISM based results of this study, we may apply Structural Equation Modelling (SEM) or Systems Dynamics Modelling (SDM), which are kept out of scope in the present work. In future, fuzzy approach may also be mixed into ISM to capture any unclearness in data. The identified barriers may be further evaluated using DEMATEL, AHP, ANP and

1
2
3 results can be compared. The social challenges that CE/CSC could address may also be
4 explored in future studies. The developed framework is applied to Indian context; we may
5 apply the framework in other developing countries and results may be compared in future
6 studies. This also enables to have more intense theoretical contributions in the domain of
7 CSCM adoption. To the end, the findings presented in this study will help Indian managers
8 and government bodies to address the issues related to economic prosperity and climate
9 change by focusing on the circular supply chains models in business.
10
11
12
13

14 15 16 **Acknowledgement**

17 The authors would like to thank the project 'A cross country examination of supply chain
18 barriers on market access for small and medium firms in India and UK' (Ref no: PM130233)
19 funded by British Academy, UK for supporting this research.
20
21
22
23

24 25 **References**

- 26 Agarwal, A., R. Shankar, and M. K. Tiwari. 2007. "Modelling Agility of Supply Chain."
27 *Industrial Marketing Management* 36 (4): 443–457.
28
29 Agi, M. A., and R. Nishant. 2017. "Understanding Influential Factors on Implementing Green
30 Supply Chain Management Practices: An Interpretive Structural Modelling Analysis."
31 *Journal of Environmental Management* 188: 351–363.
32
33 Ashton, W., and M. Shenoy. 2015. *Industrial Ecology in India: Converging Traditional Practice
34 and Modern Environmental Protection*. International Perspectives on Industrial Ecology,
35 Cheltenham, UK and Northampton, MA, USA: Edward Elgar: 12–29.
36
37 Baines, T. S. 1994. *Modelling in the Evaluation of A Manufacturing Strategy*. Ph. D. thesis,
38 Cranfield University, Cranfield.
39
40 Benton, D., J. Hazell, and J. Hill. 2015. *The Guide to the Circular Economy: Capturing Value
41 and Managing Material Risk*. Greenleaf Publishing Co. ISBN: 978-1910174357
42
43 Boulding, K. 1966. The Economy of the Coming Spaceship Earth. In: Daly, H., Freeman, W.H.
44 (Eds.), (1980). *Economics, Ecology, Ethics: Essay towards a Steady State Economy*. San
45 Francisco.
46
47 Ceschin, F. 2013. *Sustainable Product-Service Systems: Between Strategic Design and
48 Transition Studies*. Springer Science & Business Media, U.K..
49
50 Checkland, P. B. and J. Scholes. 1999. *Soft Systems Methodology In Action*. John Wiley and
51 Sons, Chichester, England.
52
53
54
55
56
57
58
59
60

- 1
2
3 Defee, D. C., T. Esper, and D. Mollenkopf. 2009. "Leveraging Closed-Loop Orientation and
4 Leadership for Environmental Sustainability." *Supply Chain Management: An International*
5 *Journal* 14 (2): 87–98.
6
7
8
9
10 del Brio, A. J., B. Junquera, and M. Ordiz. 2008. "Human Resources in Advanced Environmental
11 Approaches—A Case Analysis." *International Journal of Production Research* 46 (21):
12 6029–6053.
13
14 Diabat, A., A. Khreishah, G. Kannan, V. Panikar, and A. Gunasekaran. 2013. "Benchmarking the
15 Interactions Among Barriers in Third-Party Logistics Implementation: An ISM Approach."
16 *Benchmarking: An International Journal* 20 (6): 805–824.
17
18
19 Dora, M., M. S. Bhatia, and D. Gallar. 2016. "Supply Chain in a Circular Economy: A
20 Multidimensional Research Agenda." Online Available at: [http://bura.brunel.ac.uk/handle/](http://bura.brunel.ac.uk/handle/2438/13002)
21 [2438/13002](http://bura.brunel.ac.uk/handle/2438/13002)
22
23
24 Ellen MacArthur Foundation. 2014. Towards the Circular Economy. In: *Accelerating the Scale-*
25 *Up across Global Supply Chains* 3: 1–99.
26
27
28 Esfahbodi, A., Y. Zhang, and G. Watson. 2016. "Sustainable Supply Chain Management in
29 Emerging Economies: Trade-offs Between Environmental and Cost Performance."
30 *International Journal of Production Economics* 181: 350–366.
31
32
33 Gallaud, D., and B. Laperche. 2016. *Circular Economy, Industrial Ecology and Short Supply*
34 *Chain: Towards Sustainable Territories*. John Wiley & Sons, New Jersey.
35
36
37 Geng, Y., and B. Doberstein. 2008. "Developing the Circular Economy in China: Challenges and
38 Opportunities for Achieving' Leapfrog Development." *The International Journal of*
39 *Sustainable Development & World Ecology* 15 (3): 231–239.
40
41
42 Geng, Y., J. Fu, J. Sarkis, and B. Xue. 2012. "Towards A National Circular Economy Indicator
43 System in China: An Evaluation and Critical Analysis." *Journal of Cleaner Production* 23
44 (1): 216–224.
45
46
47 Geng, Y., Q. Zhu, B. Doberstein, and T. Fujita. 2009. "Implementing China's Circular Economy
48 Concept at the Regional Level: A Review of Progress in Dalian, China." *Waste*
49 *Management* 29 (2): 996–1002.
50
51
52 Genovese, A., A. A. Acquaye, A. Figueroa, A., and S. L. Koh. 2017. "Sustainable Supply Chain
53 Management and The Transition towards A Circular Economy: Evidence and Some
54 Applications." *Omega* 66: 344–357.
55
56
57 George, D. A., B. C. A. Lin, and Y. Chen. 2015. "A Circular Economy Model of Economic
58 Growth." *Environmental Modelling & Software* 73: 60–63.
59
60

- 1
2
3 Ghisellini, P., C. Cialani, and S. Ulgiati. 2016. "A Review on Circular Economy: The Expected
4 Transition to a Balanced Interplay of Environmental and Economic Systems." *Journal of*
5 *Cleaner Production* 114: 11–32.
6
7
8 Ghosh, S. K. 2016. "Global Circular Economy and Waste Management." *Journal of Solid Waste*
9 *Technology & Management* 73 (1): 1–14.
10
11 Giunipero, L. C., R. E. Hooker, and D. Denslow. 2012. "Purchasing and Supply Management
12 Sustainability: Drivers and Barriers." *Journal of Purchasing and Supply Management* 18
13 (4): 258–269.
14
15
16 Glock, C. H., E. H. Grosse, and J. M. Ries. 2014. "The Lot Sizing Problem: A Tertiary Study."
17 *International Journal of Production Economics* 155: 39–51.
18
19 Glock, C. H., E. H. Grosse, and J.M. Ries. 2016. *Decision support models for supplier*
20 *development: systematic literature review and research agenda* (No. 77945). Darmstadt
21 Technical University, Department of Business Administration, Economics and Law,
22 Institute for Business Studies (BWL).
23
24
25
26 Govindan, K., H. Soleimani, and D. Kannan. 2015. "Reverse Logistics and Closed-Loop Supply
27 Chain: A Comprehensive Review to Explore the Future." *European Journal of Operational*
28 *Research* 240 (3): 603–626.
29
30
31 Goyal, S., M. Esposito, and A. Kapoor. 2016. "Circular Economy Business Models in
32 Developing Economies: Lessons from India on Reduce, Recycle, and Reuse Paradigms."
33 *Thunderbird International Business Review*, DOI: 10.1002/tie.21883
34
35
36 Guerrero-Baena, M. D., J. A. Gómez-Limón, and J.V. Fruet. 2015. "A Multi-Criteria Method for
37 Environmental Management System Selection: An Intellectual Capital Approach." *Journal*
38 *of Cleaner Production* 105: 428–437.
39
40
41 Gunasekaran, A., Z. Irani, K. L. Choy, L. Filippi, and T. Papadopoulos. 2015. "Performance
42 Measures and Metrics in Outsourcing Decisions: A Review for Research and
43 Applications." *International Journal of Production Economics* 161: 153–166.
44
45
46 Gupta, S., and O. D. Palsule-Desai. 2011. "Sustainable Supply Chain Management: Review and
47 Research Opportunities." *IIMB Management Review* 23 (4): 234–245.
48
49
50 Haleem, A., S. Luthra, B. Mannan, S. Khurana, S. Kumar, and S. Ahmad. 2016. "Critical Factors
51 for the Successful Usage of Fly Ash in Roads & Bridges and Embankments: Analysing
52 Indian Perspective." *Resources Policy* 49: 334–348.
53
54
55 Horbach, J., K. Rennings, and K. Sommerfeld. 2015. Circular economy and employment.
56 Available at: https://www.sun-institute.org/wc/files/ce_employment_13052015.pdf
57
58
59
60

- 1
2
3 Jakhar, S. K., and M. K. Barua. 2014. "An Integrated Model of Supply Chain Performance
4 Evaluation and Decision-Making Using Structural Equation Modelling and Fuzzy AHP."
5 *Production Planning & Control* 25 (11): 938–957.
6
7
8 Kaushik, A., S. Kumar, S. Luthra, and A. Haleem. 2014. "Technology Transfer: Enablers and
9 Barriers—A Review." *International Journal of Technology, Policy and Management* 14 (2):
10 133–159.
11
12
13 Kumar, R., and R. Chandrakar. 2012. "Overview of Green Supply Chain Management: Operation
14 and Environmental Impact at Different Stages of the Supply Chain." *International Journal*
15 *of Engineering and Advanced Technology* 1 (3): 1–6.
16
17
18 Kumar, S., and P. Malegeant. 2006. "Strategic Alliance in A Closed-Loop Supply Chain, A Case
19 of Manufacturer and Eco-Non-Profit Organization." *Technovation* 26 (10): 1127–1135.
20
21
22 Kumar, S., S. Luthra, K. Govindan, N. Kumar and A. Haleem. 2016. "Barriers in Green Lean Six
23 Sigma Product Development Process: An ISM Approach." *Production Planning & Control*,
24 27 (7-8): 604–620.
25
26
27 Lacy, P., and J. Rutqvist. 2015. Five Circular Capabilities for Driving Value. In *Waste to*
28 *Wealth* (pp. 148-167). Palgrave Macmillan, UK.
29
30 Li, J., S. Y. Pan, H. Kim, J. H. Linn, and P. C. Chiang. 2015. "Building Green Supply Chains in
31 Eco-Industrial Parks towards A Green Economy: Barriers and Strategies." *Journal of*
32 *Environmental Management* 162: 158–170.
33
34
35 Lieder, M., and A. Rashid. 2016. "Towards Circular Economy Implementation: A
36 Comprehensive Review in Context of Manufacturing Industry." *Journal of Cleaner*
37 *Production* 115: 36–51.
38
39
40 Loomba, A. P., and K. Nakashima. 2012. "Enhancing Value in Reverse Supply Chains by
41 Sorting Before Product Recovery." *Production Planning & Control* 23 (2-3): 205–215.
42
43
44 Low, J. S. C., T. B. Tjandra, W. F. Lu, and H. M. Lee. 2016. "Adaptation of the Product
45 Structure-based Integrated Life cycle Analysis (PSILA) Technique for Carbon Footprint
46 Modelling and Analysis of Closed-Loop Production Systems." *Journal of Cleaner*
47 *Production* 120: 105-123.
48
49
50 Luthra, S., D. Garg, and A. Haleem. 2015a. "An Analysis of Interactions among Critical Success
51 Factors to Implement Green Supply Chain Management towards Sustainability: An Indian
52 Perspective." *Resources Policy* 46: 37–50.
53
54
55 Luthra, S., D. Garg, and A. Haleem. 2015b. "Critical Success Factors of Green Supply Chain
56 Management for achieving Sustainability in Indian Automobile Industry." *Production*
57 *Planning & Control* 26 (5): 339–362.
58
59
60

- 1
2
3 Luthra, S., K. Govindan, D. Kannan, S. K. Mangla, and C. P. Garg. 2017. "An Integrated
4 Framework for Sustainable Supplier Selection and Evaluation in Supply Chains." *Journal*
5 *of Cleaner Production* 140: 1686–1698.
6
7
8 Luthra, S., V. Kumar, S. Kumar, and A. Haleem. 2011. "Barriers to Implement Green Supply
9 Chain Management in Automobile Industry Using Interpretive Structural Modeling
10 Technique: An Indian Perspective." *Journal of Industrial Engineering and Management* 4
11 (2): 231–257.
12
13
14 Mangla, S. K., P. Kumar, and M. K. Barua. 2015. "Risk Analysis in Green Supply Chain using
15 Fuzzy AHP Approach: A Case Study." *Resources, Conservation and Recycling* 104: 375–
16 390.
17
18
19 Mangla, S., J. Madaan and F. T. Chan. 2013. "Analysis of Flexible Decision Strategies for
20 Sustainability-Focused Green Product Recovery System." *International Journal of*
21 *Production Research* 51 (11): 3428–3442.
22
23
24 Mangla, S., J. Madaan, P. R. S. Sharma, and M. P. Gupta. 2014. "Multi-Objective Decision
25 Modelling using Interpretive Structural Modelling for Green Supply Chains." *International*
26 *Journal of Logistics Systems and Management* 17 (2): 125–142.
27
28
29 Massoud, M. A., R. Fayad, M. El-Fadel, and R. Kamleh. 2010. "Drivers, Barriers and Incentives
30 to Implementing Environmental Management Systems in the Food Industry: A Case of
31 Lebanon." *Journal of Cleaner Production* 18 (3): 200–209.
32
33
34 Mathiyazhagan, K., K. Govindan, A. NoorulHaq and Y. Geng. 2013. "An ISM Approach for the
35 Barrier Analysis in Implementing Green Supply Chain Management." *Journal of Cleaner*
36 *Production* 47: 283–297.
37
38
39 Miemczyk, J., M. Howard, and T. E. Johnsen. 2016. "Dynamic Development and Execution of
40 Closed-Loop Supply Chains: A Natural Resource-Based View." *Supply Chain*
41 *Management: An International Journal* 21 (4): 453–469.
42
43
44 Nasir, M. H. A., A. Genovese, A. A. Acquaye, S. C. L. Koh, and F. Yamoah. 2017. "Comparing
45 Linear and Circular Supply Chains: A Case Study from the Construction Industry." *International*
46 *Journal of Production Economics* 183: 443–457.
47
48
49 Pan, S. Y., M. A. Du, I. T. Huang, I. H. Liu, E. E. Chang, and P. C. Chiang. 2015. "Strategies on
50 Implementation of Waste-to-Energy (WTE) Supply Chain for Circular Economy System: A
51 Review." *Journal of Cleaner Production* 108: 409–421.
52
53
54 Dubey, R., A. Gunasekaran, T. Papadopoulos, S. Fosso Wamba, and S. J. Childe. 2017. "World
55 Class Sustainable Supply Chain Management: Critical Review and Further Research
56
57
58
59
60

Directions.” *International Journal of Logistics Management*, available at:
<http://doi.org/10.1108/IJLM-07-2015-0112>

- Park, J., J. Sarkis, and Z. Wu. 2010. “Creating Integrated Business and Environmental Value within the Context of China’s Circular Economy and Ecological Modernization.” *Journal of Cleaner Production* 18 (15): 1494-1501.
- Pearce, D. W., and R. K. Turner. 1990. *Economics of Natural Resources and the Environment*. JHU Press.
- Platts, K. W. 1990. *Manufacturing Audit in the Process of Strategy Formulation*. Ph. D. Thesis, University of Cambridge, Cambridge.
- Prendeville, S., G. Hartung, E. Purvis, C. Brass, and A. Hall. 2016. Makespaces: From Redistributed Manufacturing to a Circular Economy. In *Sustainable Design and Manufacturing 2016* (pp. 577-588). Springer International Publishing.
- Raj, T., R. Shankar, and M. Suhaib. 2010. “GTA-Based Framework for Evaluating the Feasibility of Transition to FMS.” *Journal of Manufacturing Technology Management* 21 (2): 160–187.
- Raut, R. D., B. Narkhede, and B. B. Gardas. 2017. “To Identify the Critical Success Factors of Sustainable Supply Chain Management Practices in the Context of Oil and Gas Industries: ISM Approach.” *Renewable and Sustainable Energy Reviews* 68: 33–47.
- Ravi, V., and R. Shankar. 2005. “Analysis of Interactions among the Barriers of Reverse Logistics.” *Technological Forecasting and Social Change* 72 (8): 1011–1029.
- Rizos, V., A. Behrens, T. Kafyeke, M. Hirschnitz-Garbers and A. Ioannou. 2015. “The Circular Economy: Barriers and Opportunities for SMEs”. *CEPS Working Documents*.
- Shen, L., X. Song, Y. Wu, S. Liao, and X. Zhang. 2016. “Interpretive Structural Modeling Based Factor Analysis on the Implementation of Emission Trading System in the Chinese Building Sector.” *Journal of Cleaner Production* 127: 214–227.
- Shenoy, M. 2016. Industrial Ecology in Developing Countries. In *Taking Stock of Industrial Ecology* (pp. 229-245). Springer International Publishing
- Singh, M., A. Sachdeva, and A. Bhardwaj. 2014. “An Interpretive Structural Modelling Approach for Analysing Barriers in Total Productive Maintenance Implementation.” *International Journal of Industrial and Systems Engineering* 16 (4): 433–450.
- Stahel, W. R., 2013. The Business Angle of a Circular Economy e Higher Competitiveness, Higher Resource Security and Material Efficiency. In: *A New Dynamic e Effective Business in a Circular Economy*, Ellen Macarthur Foundation Report.

- 1
2
3 Su, B., A. Heshmati, Y. Geng, and X. Yu. 2013. "A Review of the Circular Economy in China:
4 Moving from Rhetoric to Implementation." *Journal of Cleaner Production* 42: 215–227.
- 5
6 Subramanian, N., and A. Gunasekaran. 2015. "Cleaner Supply-Chain Management Practices for
7 Twenty-First-Century Organizational Competitiveness: Practice-Performance Framework
8 and Research Propositions." *International Journal of Production Economics* 164: 216–233.
- 9
10
11 Talib, F., Z. Rahman, and M. N. Qureshi. 2011. "Analysis of Interaction among the Barriers to
12 Total Quality Management Implementation using Interpretive Structural Modelling
13 Approach." *Benchmarking: An International Journal* 18 (4): 563–587.
- 14
15
16 Tripathi, L., A. K. Mishra, A. K. Dubey, C. B. Tripathi, and P. Baredar. 2016. "Renewable
17 Energy: An Overview on Its Contribution in Current Energy Scenario of India." *Renewable
18 and Sustainable Energy Reviews* 60: 226–233.
- 19
20
21 Tukker, A. 2015. "Product Services for A Resource-Efficient and Circular Economy—A Review."
22 *Journal of Cleaner Production* 97: 76–91.
- 23
24
25 Venkatesh, V. G., and S. Luthra. 2016. Role of Sustainable Procurement in Sustainable
26 Manufacturing Operations: An Indian Insight. In *Strategic Management of Sustainable
27 Manufacturing Operations* (pp. 132-148). IGI Global, Chapter 4.
- 28
29
30 Visvanathan, C., and S. Kumar. 1999. "Issues for Better Implementation of Cleaner production in
31 Asian Small and Medium Industries." *Journal of Cleaner Production* 7 (2): 127–134.
- 32
33 Vladimirova, D. 2016. "Waste to Wealth: The Circular Economy Advantage." *Production
34 Planning & Control*, Available at: [tp://dx.doi.org/10.1080/09537287.2016.1249131](http://dx.doi.org/10.1080/09537287.2016.1249131).
- 35
36
37 Wagner, S. M., and N. Neshat. 2010. "Assessing the Vulnerability of Supply Chains using Graph
38 Theory." *International Journal of Production Economics* 126 (1): 121–129.
- 39
40
41 Wang, F., H. Yin, and S. Li. 2010. "China's Renewable Energy Policy: Commitments and
42 Challenges." *Energy Policy* 38 (4): 1872–1878.
- 43
44
45 Warfield, J. N. 1974. "Toward Interpretation of Complex Structural Models." *IEEE Transactions
46 on Systems, Man, and Cybernetics* 4 (5): 405–417.
- 47
48
49 Watson, R. H. 1978. "Interpretive Structural Modeling—A Useful Tool for Technology
50 Assessment?." *Technological Forecasting and Social Change* 11 (2): 165–185.
- 51
52
53 Williams, K. 2001. "Business as Usual." *Economy and Society* 30 (4): 399–411.
- 54
55
56 Yaduvanshi, N. R., R. Myana, and S. Krishnamurthy. 2016. "Circular Economy for Sustainable
57 Development in India." *Indian Journal of Science and Technology* 9 (46): 1–9.
- 58
59
60 Yuan, Z., J. Bi, and Y. Moriguichi. 2006. "The Circular Economy: A New Development Strategy
in China." *Journal of Industrial Ecology* 10 (1-2): 4–8.

- Zhu, Q., and Y. Geng. 2013. "Drivers and Barriers of Extended Supply Chain Practices for Energy Saving and Emission Reduction among Chinese Manufacturers." *Journal of Cleaner Production* 40: 6–12.
- Zhu, Q., Y. Geng, and K. H. Lai. 2010. "Circular Economy Practices among Chinese Manufacturers Varying in Environmental-Oriented Supply Chain Cooperation and the Performance Implications." *Journal of Environmental Management* 91 (6): 1324–1331.
- Zhu, Q., Y. Geng, and K. H. Lai. 2011. "Environmental Supply Chain Cooperation and Its Effect on the Circular Economy Practice–Performance Relationship among Chinese Manufacturers." *Journal of Industrial Ecology* 15 (3): 405–419.
- Zhu, Q., Y. Qu, Y. Geng, and T. Fujita. 2017. "A Comparison of Regulatory Awareness and Green Supply Chain Management Practices among Chinese and Japanese Manufacturers." *Business Strategy and the Environment* 26 (1): 18–30.
- Zhu, Q., J. Sarkis, J. J. Cordeiro, and K. H. Lai. 2008. "Firm-Level Correlates of Emergent Green Supply Chain Management Practices in the Chinese Context." *Omega* 36 (4): 577–591.

Annexure-1

Level Partitioning

Ist Iteration

Element P(i)	Reachability Set: R(Pi)	Antecedent Set: A(Pi)	Intersection: R(Pi) & A(Pi)	Level
1	1,3,5,6,7,8,9,10,11,12,13,14,15,16	1,2,4,5	1,5	
2	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16	2,4	2,4	
3	3,6,7,8,9,10,11,12,13,14,15,16	1,2,3,4,5	3	
4	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16	2,4	2,4	
5	1,3,5,6,7,8,9,10,11,12,13,14,15,16	1,2,4,5	1,5	
6	6,7,8,9,10,11,12,13,14,15,16	1,2,3,4,5,6,12	6,12	
7	7,8,9,10,11,14,15,16	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
8	7,8,9,10,11,14,15,16	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
9	7,8,9,10,11,14,15,16	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
10	7,8,9,10,11,14,15,16	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
11	11,14,16	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15	11,14	
12	6,7,8,9,10,11,12,13,14,15,16	1,2,3,4,5,6,12	6,12	
13	7,8,9,10,11,13,14,15,16	1,2,3,4,5,6,12,13	13	
14	11,14,16	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15	11,14	

		5		
15	7,8,9,10,11,14,15,16	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
16	16	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16	16	I

2nd Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
1	1,3,5,6,7,8,9,10,11,12,13,14,15	1,2,4,5	1,5	
2	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15	2,4	2,4	
3	3,6,7,8,9,10,11,12,13,14,15	1,2,3,4,5	3	
4	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15	2,4	2,4	
5	1,3,5,6,7,8,9,10,11,12,13,14,15	1,2,4,5	1,5	
6	6,7,8,9,10,11,12,13,14,15	1,2,3,4,5,6,12	6,12	
7	7,8,9,10,11,14,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
8	7,8,9,10,11,14,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
9	7,8,9,10,11,14,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
10	7,8,9,10,11,14,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	
11	11,14	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15	11,14	II
12	6,7,8,9,10,11,12,13,14,15	1,2,3,4,5,6,12	6,12	
13	7,8,9,10,11,13,14,15	1,2,3,4,5,6,12,13	13	
14	11,14	1,2,3,4,5,6,7,8,9,10,11,12,13,14,15	11,14	II
15	7,8,9,10,11,14,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	

3rd Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
1	1,3,5,6,7,8,9,10,12,13,15	1,2,4,5	1,5	
2	1,2,3,4,5,6,7,8,9,10,12,13,15	2,4	2,4	
3	3,6,7,8,9,10,12,13,15	1,2,3,4,5	3	
4	1,2,3,4,5,6,7,8,9,10,12,13,15	2,4	2,4	
5	1,3,5,6,7,8,9,10,12,13,15	1,2,4,5	1,5	
6	6,7,8,9,10,12,13,15	1,2,3,4,5,6,12	6,12	
7	7,8,9,10,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	III
8	7,8,9,10,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	III
9	7,8,9,10,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	III
10	7,8,9,10,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	III
12	6,7,8,9,10,12,13,15	1,2,3,4,5,6,12	6,12	
13	7,8,9,10,13,15	1,2,3,4,5,6,12,13	13	
15	7,8,9,10,15	1,2,3,4,5,6,7,8,9,10,12,13,15	7,8,9,10,15	III

4th Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
1	1,3,5,6,12,13	1,2,4,5	1,5	
2	1,2,3,4,5,6,12,13	2,4	2,4	

3	3,6,12,13	1,2,3,4,5	3	
4	1,2,3,4,5,6,12,13	2,4	2,4	
5	1,3,5,6,12,13	1,2,4,5	1,5	
6	6,12,13	1,2,3,4,5,6,12	6,12	
12	6,12,13	1,2,3,4,5,6,12	6,12	
13	13	1,2,3,4,5,6,12,13	13	IV

5th Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
1	1,3,5,6,12	1,2,4,5	1,5	
2	1,2,3,4,5,6,12	2,4	2,4	
3	3,6,12	1,2,3,4,5	3	
4	1,2,3,4,5,6,12	2,4	2,4	
5	1,3,5,6,12	1,2,4,5	1,5	
6	6,12	1,2,3,4,5,6,12	6,12	V
12	6,12	1,2,3,4,5,6,12	6,12	V

6th Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
1	1,3,5	1,2,4,5	1,5	
2	1,2,3,4,5	2,4	2,4	
3	3	1,2,3,4,5	3	VI
4	1,2,3,4,5	2,4	2,4	
5	1,3,5	1,2,4,5	1,5	

7th Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
1	1,5	1,2,4,5	1,5	VII
2	1,2,4,5	2,4	2,4	
4	1,2,4,5	2,4	2,4	
5	1,5	1,2,4,5	1,5	VII

8th Iteration

Element P(i)	Reachability Set R(Pi)	Antecedent Set: A(Pi)	Intersection R(Pi) & A(Pi)	Level
2	2,4	2,4	2,4	VIII
4	2,4	2,4	2,4	VIII

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review Only

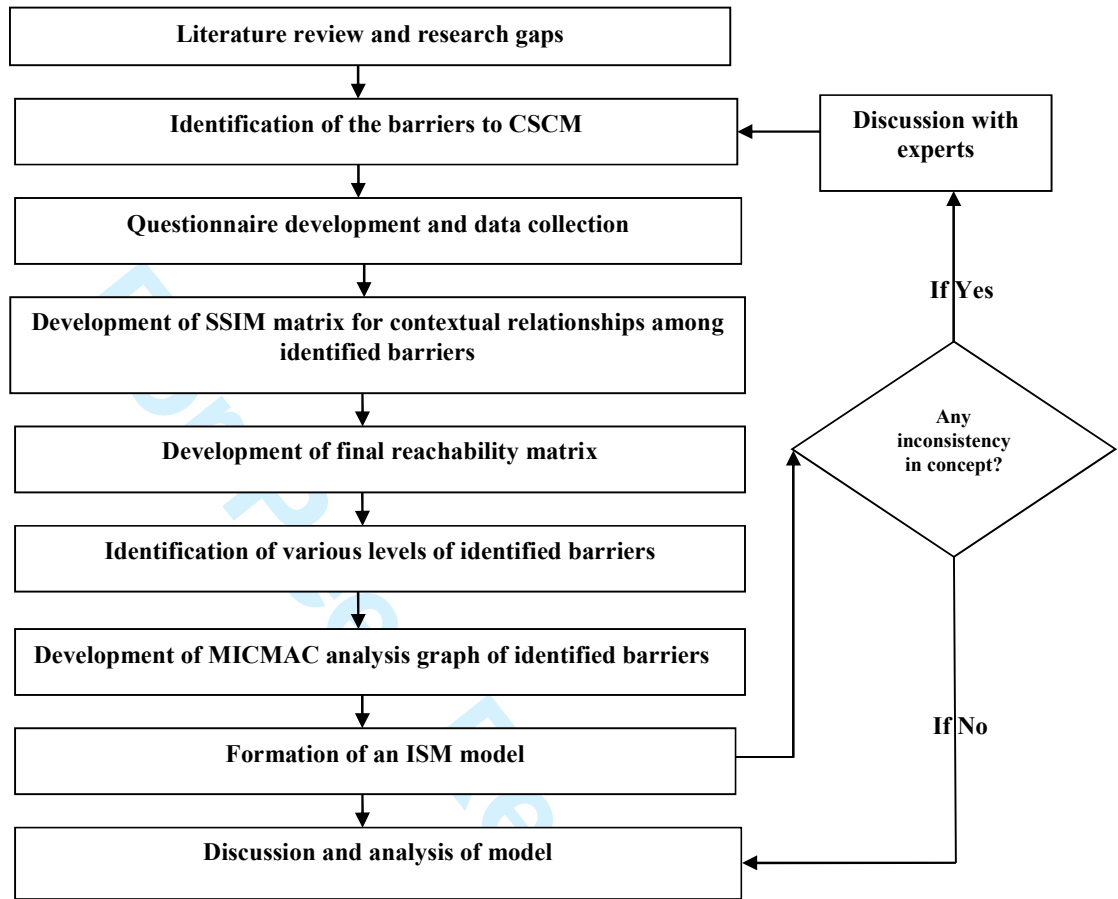


Figure 1: ISM-MICMAC Flowchart

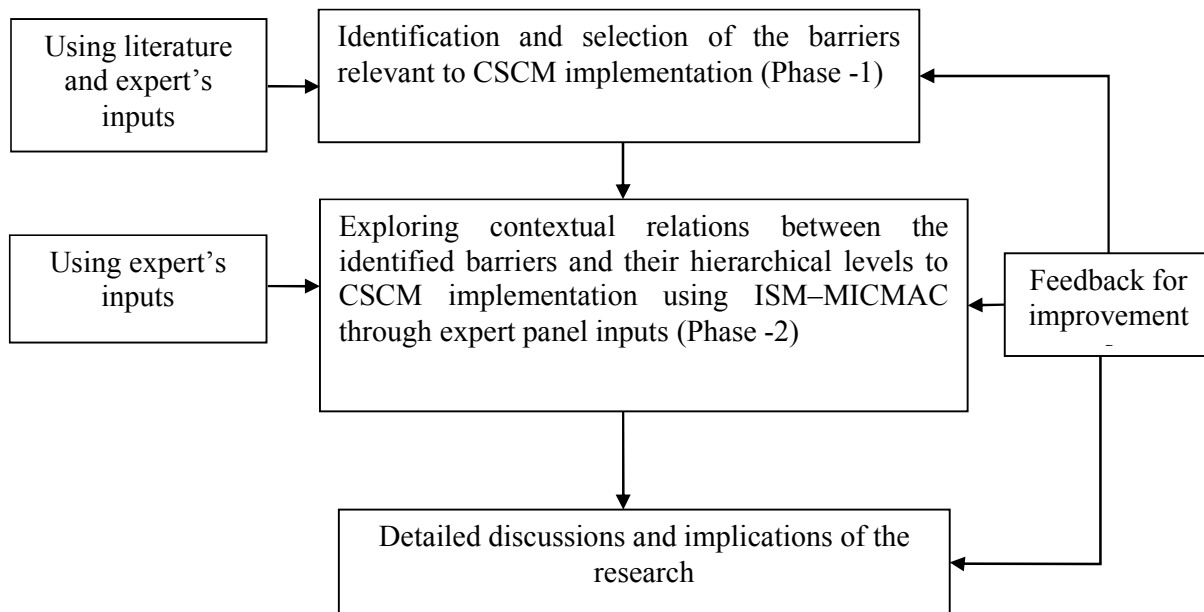


Figure 2: Proposed research framework

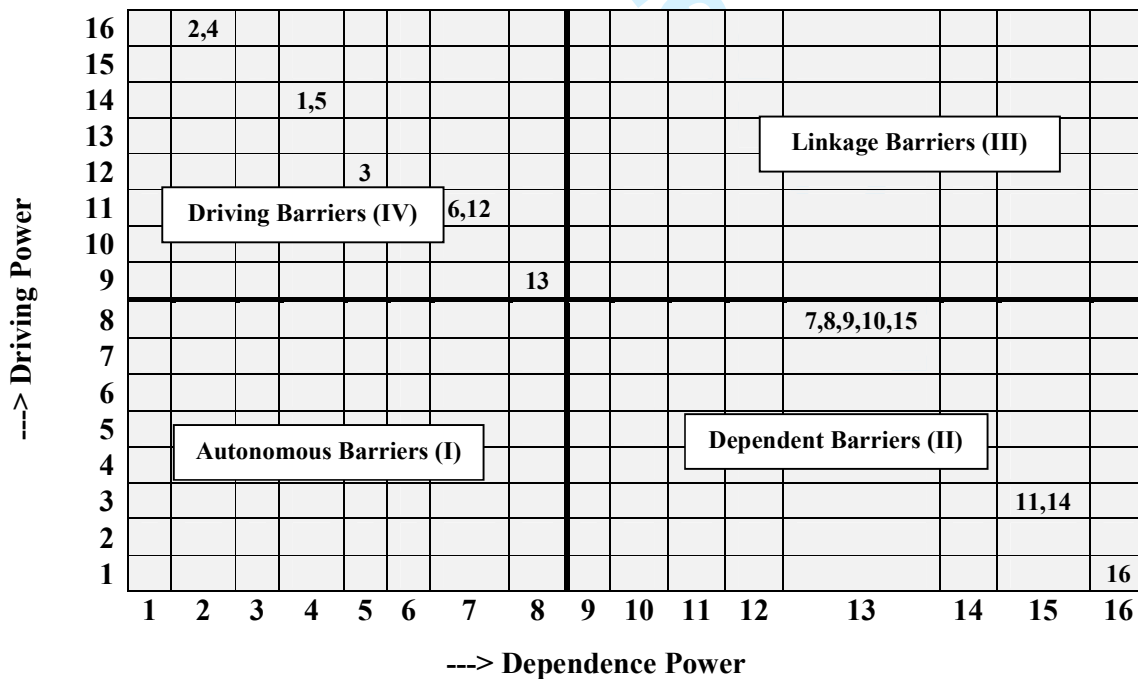


Figure 3: MICMAC analysis for the barriers to CSCM implementation

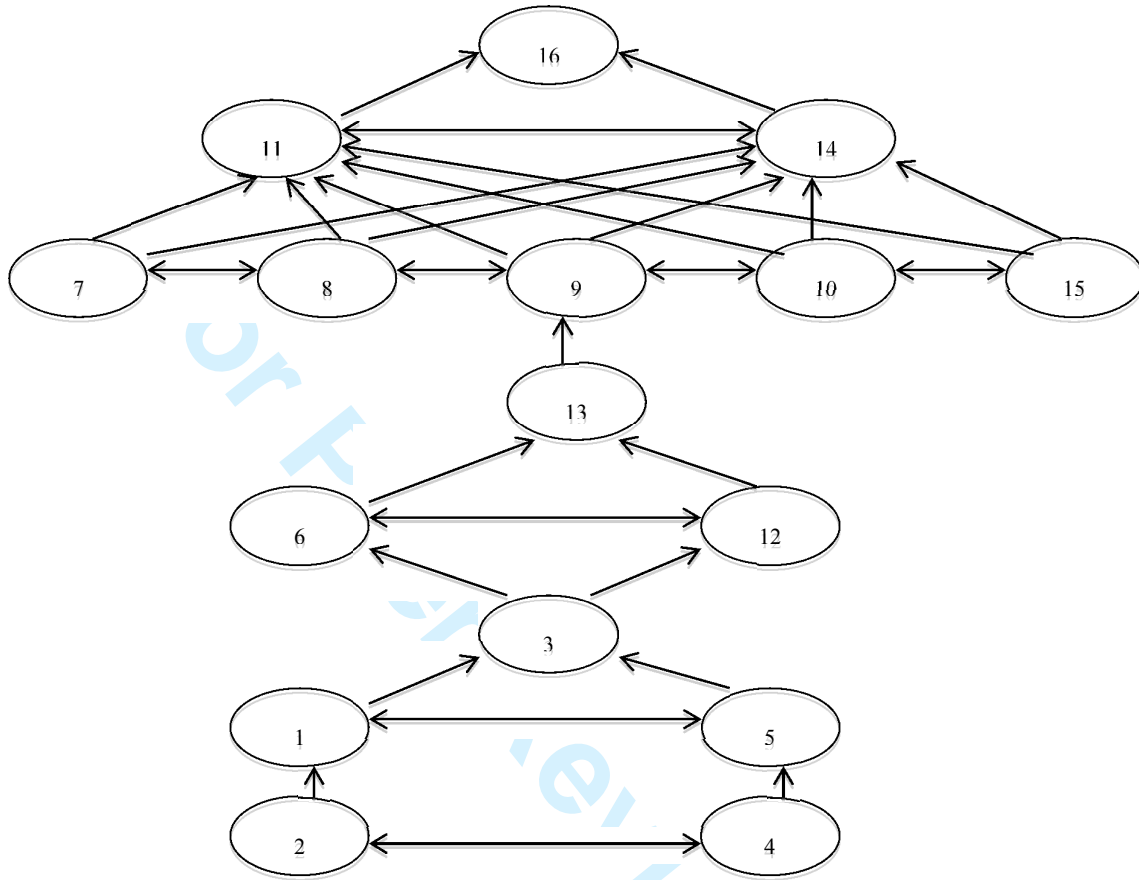


Figure 4: Digraph for the barriers to CSCM implementation

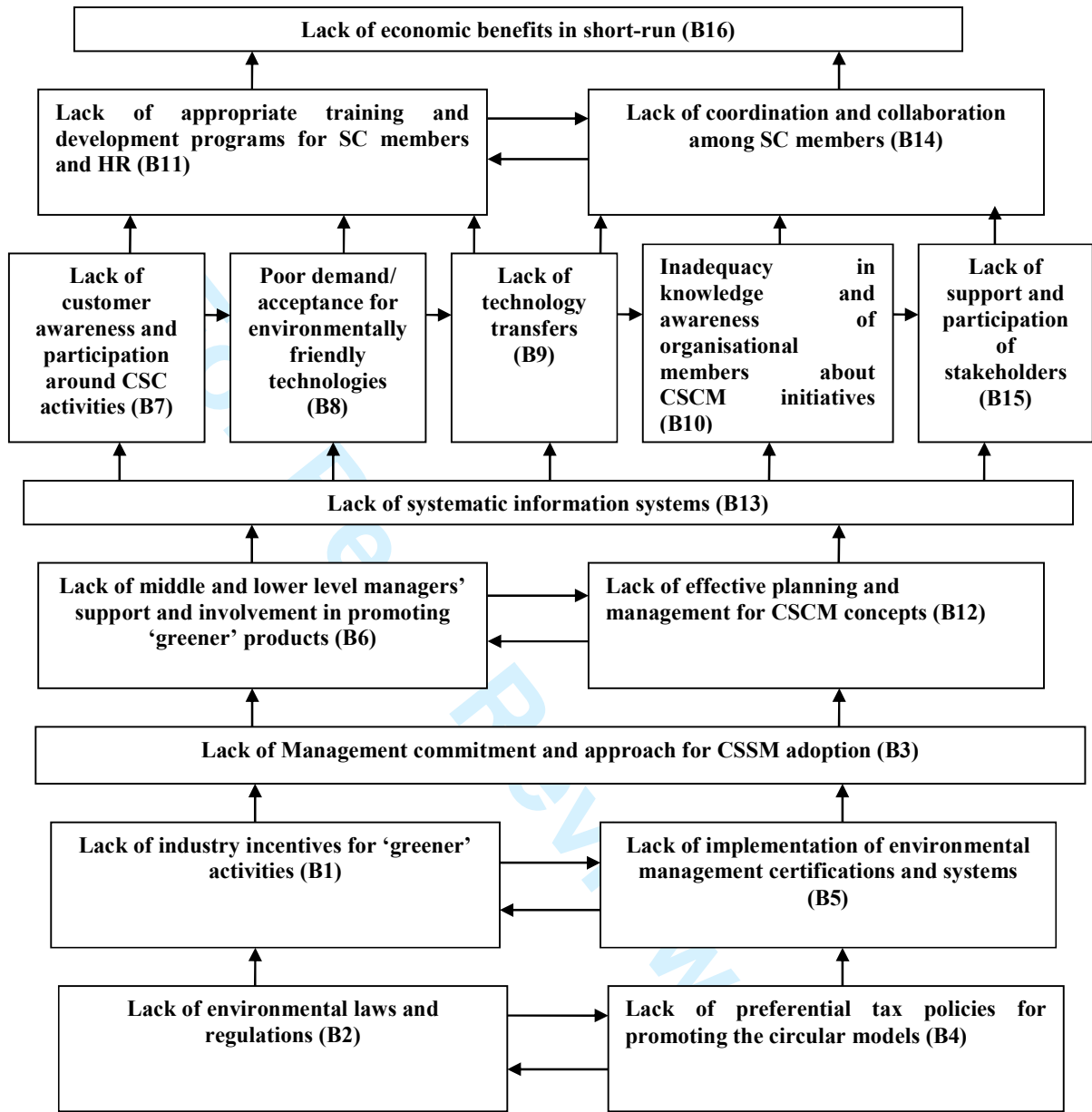


Figure 5: ISM based hierarchical model for the barriers to CSCM implementation

Table 1: Barriers to implement CSCM concepts

Barriers	Description	References
Lack of industry incentives for 'greener' activities (B1)	Environmental costs are increasing rapidly for industries, with little chance of economic payback in sight. The financial incentives for industries are necessary to invest in green/circular concepts. An incentive from governmental bodies for promoting the CCS is lacking to develop sustainable/regenerative goods. Lack of financial support mechanism for 'greener' activities is an important hurdle in case of developing countries, as they are lacking in implementation of green and/or circular models compared to developed countries in terms of advanced technologies and green transformation in manufacturing.	Geng and Doberstein (2008); Su et al., (2013); Li et al., (2015); Mangla et al., (2015); Prendeville et al., (2016)
Lack of environmental laws and regulations (B2)	In the view of increased energy demand, ecological issues and carbon emissions, the government agencies must frame stringent environmental laws and regulations. Developing nations, like India does not have a strong environmental regulatory structure to adopt circular supply models compared to their western counter parts and developed nations.	Goyal et al., 2016; Venkatesh and Luthra (2016); Zhu et al., (2017)
Lack of Management commitment and approach for CSCM adoption (B3)	Ecological transformations and improvements are primarily driven by a committed managerial approach for sustainable development. A comprehensive CE framework followed by a practical implementation strategy is required to implement CSCM concepts, which could be possible only with management support and dedicated approach. However, in real practice, the management fails to do so. Thus, lack of management commitment is perceived as one of the important barriers for CSCM adoption.	Giunipero et al., (2012); Zhu and Geng, (2013); Rizos et al., (2015); Venkatesh and Luthra, (2016); Lieder and Rashid, (2016)
Lack of preferential tax policies for promoting the circular models (B4)	Preferential loans and tax benefits for energy saving and waste reduction may help to promote CSC concepts. However, preferential tax policies have been used on temporary basis and in very limited scale in India. The lack of government motivation and support (via ineffective tax policies, import and excise duty, etc.) is usually documented as an important hurdle during circular concepts adoption.	Geng and Doberstein, (2008); Wang et al., (2010); Tripathi et al., (2016)
Lack of implementation of environmental management certifications and systems (B5)	An environmental management system is considered as an element of organisation's management system with an objective to manage the environmental aspects. Business organisations pay lesser attention to regulations, and thus are more reluctant to implement proactive ecologically-friendly concepts. Environmental management certifications and systems (ISO 14001) are still scarcely implemented, incomprehensive and scattered especially in a developing country, like India.	Massoud et al., (2010); Guerrero-Baena et al., (2015); Pan et al., (2015)
Lack of middle and lower level managers' support and involvement in promoting 'greener'	The support of lower and middle level managers is significant in accepting CSC concepts. In adopting successful circular models for improving its ecological responsibilities in supply chains, all of the supply chain managers and experts within and across the department needs to work collectively. Lack of	Zhu et al., (2008); Zhu et al., (2010); Kumar and Chandrakar, (2012); Papadopoulos et al.,

products (B6)	middle and lower level managers' support and involvement in promoting 'greener' products can lead to failure of the entire system.	(2017)
Lack of customer awareness and participation around CSC activities (B7)	The promotion of customer responsibility is crucial for enhancing their purchasing preferences and use of more sustainable products and services. From organisational viewpoints, unawareness on the circular models illustrates a message of 'lack of involvement of public perception and views' and which can hinder the acceptance of circular models in the supply chain.	Kumar and Malegeant, (2006); Pan et al., (2015); Rizos et al., (2015); Ghisellini et al., (2016); Genovese et al., (2017)
Poor demand/ acceptance for environmentally superior technologies (B8)	Highly developed technology and updating of equipment and facilities provides a way to accomplish circular supply initiatives in supply chains. However, environmentally superior technologies demand is not satisfactory especially in a developing country, like India; this results in increased pollution and energy scarcity and decreased financial gains.	Geng and Doberstein, (2008); Su et al., (2013)
Lack of technology transfers (B9)	Societies all over the world are facing the issues of ecological degradations, resources depletion, climate change and many related problems. The effective measure to tackle these issues could be either development of new technologies or technology transfers. Technology transfer involves the transfer of latest technology from the inventor (developed nation) to a secondary user (developing country) to improve effectiveness towards CSC initiatives. Thus, the transfer of technology may be an effective decision choice for a developing nation like India in this situation.	Geng and Doberstein, (2008); Kaushik et al., (2014)
Inadequacy in knowledge and awareness of organisational members about CSCM initiatives (B10)	The implementation of CSC concepts requires high scientific skills, which are currently lacking in the context of an organisational supply chain. This inadequacy in knowledge and awareness of organisational members and related players restricts organisational members in CSCM adoption in terms of better product and network design of circular products to promote higher re-use, recycle, remanufacture, repair etc.	Benton et al., (2015); Lieder and Rashid, (2016); Gallaud and Laperche, (2016)
Lack of appropriate training and development programs for SC members and HR (B11)	Skills would enable businesses to design products with circularity in practices, and to engage in reuse, refurbishment and recycling. Lack of capabilities of HR professionals and SC members' (in terms of skills, knowledge, training and development program), can be a crucial hurdle in effective adoption and implementation of CSC concepts in an industrial context.	Visvanathan and Kumar, (1999); del Brio et al., (2008); Zhu and Geng, (2013); Lacy and Rutqvist, (2015)
Lack of effective planning and management for CSCM concepts (B12)	The adoption of CSCM concepts will require effective planning and management, for the designing of scenarios for the optimal utilization of resources (reuse, repair, recycling, and remanufacturing). Any inadequacy in the planning and management (sufficient differentiation between reuse, recycling, remanufacturing) may mislead supply chain players to focus on the critical issues in CSCM adoption.	Geng and Doberstein, (2008); Ceschin, (2013); Nasir et al., (2017)
Lack of systematic information systems (B13)	The structure of supply chain is very complex at the organisational levels. In this sense, it is needed to design and follow an information system network based on the system	Geng and Doberstein, (2008); Pan et al.,

	approach. The benefits of this could be listing superior ecological and financial focused means to plan and manage their resources. At the same time, to design and follow such systematic information systems are generally lacking in a developing country like India.	(2015)
Lack of coordination and collaboration among SC members (B14)	Business organisations, depending on their vendors/suppliers and retailers/distributors, need to collaborate and coordinate, as all supply chain (SC) players need to be involved for higher profits and market image. Collaboration and coordination is also very important in the sense as it is not possible for a business organisation to have in-house arrangements for recycling, remanufacturing of all the by-products.	Defee et al., (2009); Zhu et al., (2013); Zhu and Geng, (2013)
Lack of support and participation of stakeholders (B15)	In implementing CSCM concepts, managers must design the system, which involves effective management of natural resources and unbiased distribution of resources, by assuring the active participation and support of all stakeholders. Without the appropriate level of support and participation from the stakeholders, it is complex to implement any innovation in process/technology and streamline their efforts in CSCM implementation.	Geng and Doberstein, (2008); Pan et al., (2015); Tukker, (2015); Miemczyk et al., (2016)
Lack of economic benefits in short-run (B16)	If an organisation focuses on environmental issues, then it would definitely have some loss of economic value. A lack of economic benefits in short-run can be understood as the increasing short-term cost, which is always the initial internal barrier in any decision-making. Hence, lack of economic benefits in short-run is considered as a significant barrier in CSCM adoption.	Park et al., (2010); Zhu and Geng, (2013)

Table 2: Comparison of ISM with AHP/ANP/DEMATEL/Graph theory/SEM

ISM-MICMAC	DEMATEL	Graph Theory	AHP	ANP	SEM
ISM-MICMAC uncovers the contextual interactions among the variables based on their driving potential and dependencies	DEMATEL helps to uncovers the causal interactions among the variables based on their cause and effect groups	Graph theory is used to reveal the interdependencies among the variables; however, the reliability of the direction of the edges in the graphs is questionable	AHP does not provide any interdependencies between and among the variables, rather used to draw the hierarchical structure of the variables	ANP can provide interdependencies between and among the variables; this method is less accepted due to its complexity.	SEM is an “a priori” method, mainly used for theoretical development of the model. However, SEM requires a large sample size

Table 3: summary on ISM-MICMAC application areas

S. No.	ISM-MICMAC application area	References
1	Reverse logistics implementation	Ravi and Shankar, (2005)
2	Green supply chain management implementation	Mathiyazhagan et al., (2013); Mangla et al., (2014)
3	Green product recovery systems	Mangla et al., (2013)
4	Third party logistics	Diabat et al., (2013)
5	Total quality management implementation	Talib et al., (2011)
6	Total productive maintenance implementation	Singh et al., (2014)
7	Sustainable supply chain management	Luthra et al., (2015a)
8	Implementation of Emission Trading System	Shen et al., (2016)
9	Implementing green supply chain management practices	Agi and Nishant, (2017)
10	Sustainable supply chain management practices in the context of oil and gas industries	Raut et al., (2017)

Table 4: SSIM for the barriers to CSCM implementation

S. No.	Barriers to CSCM	Contextual Relations														
		B16	B15	B14	B13	B12	B11	B10	B9	B8	B7	B6	B5	B4	B3	B2
1	B1	V	V	V	V	V	V	V	V	V	V	V	X	A	V	A
2	B2	O	V	V	V	V	V	V	V	V	V	V	V	X	V	
3	B3	V	V	V	V	V	V	V	V	V	V	V	A	A		
4	B4	V	V	V	V	V	V	V	V	V	V	V	V			
5	B5	V	V	V	V	V	V	V	V	V	V	V				
6	B6	V	V	V	V	X	V	V	V	V	V					
7	B7	V	X	V	A	A	V	X	O	X						
8	B8	V	X	V	A	A	V	X	X							
9	B9	V	X	V	A	A	V	X								
10	B10	V	X	V	A	A	V									
11	B11	V	A	X	A	A										
12	B12	V	V	V	V											
13	B13	V	V	V												
14	B14	V	A													
15	B15	V														

Table 5: IRM for the barriers to CSCM implementation

S. No.	Barriers to CSCM	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15	B16
1	B1	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1
2	B2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	0
3	B3	0	0	1	0	0	1	1	1	1	1	1	1	1	1	1	1
4	B4	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
5	B5	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1
6	B6	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1
7	B7	0	0	0	0	0	0	1	1	0	1	1	0	0	1	1	1
8	B8	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1
9	B9	0	0	0	0	0	0	0	1	1	1	1	0	0	1	1	1
10	B10	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1
11	B11	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1
12	B12	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1
13	B13	0	0	0	0	0	0	1	1	1	1	1	0	1	1	1	1
14	B14	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1
15	B15	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1
16	B16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

Table 6: FRM for the barriers to CSCM implementation

S. No.	Barriers to CSCM	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15	B16	Driving Power
1	B1	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1	14
2	B2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1*	16
3	B3	0	0	1	0	0	1	1	1	1	1	1	1	1	1	1	1	12
4	B4	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	16
5	B5	1	0	1	0	1	1	1	1	1	1	1	1	1	1	1	1	14
6	B6	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	11
7	B7	0	0	0	0	0	0	1	1	1*	1	1	0	0	1	1	1	8
8	B8	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1	8
9	B9	0	0	0	0	0	0	1*	1	1	1	1	0	0	1	1	1	8
10	B10	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1	8
11	B11	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1	3
12	B12	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	11
13	B13	0	0	0	0	0	0	1	1	1	1	1	0	1	1	1	1	9
14	B14	0	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1	3
15	B15	0	0	0	0	0	0	1	1	1	1	1	0	0	1	1	1	8
16	B16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Dependence Power		4	2	5	2	4	7	13	13	13	13	15	7	8	15	13	16	150

Table 7: Final levels for the barriers to CSCM implementation

S. No.	Level number	Barriers in CSCM implementation
1	1 st	<ul style="list-style-type: none"> • Lack of economic benefits in short-run (B16)
2	2 nd	<ul style="list-style-type: none"> • Lack of appropriate training and development programs for SC members and HR (B11) • Lack of coordination and collaboration among SC members (B14)
3	3 rd	<ul style="list-style-type: none"> • Lack of customer awareness and participation around CSC activities (B7) • Poor demand/acceptance for environmentally superior technologies (B8) • Lack of technology transfers (B9) • Inadequacy in knowledge and awareness of organisational members about CSCM initiatives (B10) • Lack of support and participation of stakeholders (B15)
4	4 th	<ul style="list-style-type: none"> • Lack of systematic information systems (B13)
5	5 th	<ul style="list-style-type: none"> • Lack of middle and lower level managers' support and involvement in promoting 'greener' products (B6) • Lack of effective planning and management for CSCM concepts (B12)
6	6 th	<ul style="list-style-type: none"> • Lack of Management commitment and approach for CSSM adoption (B3)
7	7 th	<ul style="list-style-type: none"> • Lack of industry incentives for 'greener' activities (B1) • Lack of implementation of environmental management certifications and systems (B5)
8	8 th	<ul style="list-style-type: none"> • Lack of environmental laws and regulations (B2) • Lack of preferential tax policies for promoting the circular models (B4)