# 1 MOUNTAIN STRONGHOLDS FOR WOODY ANGIOSPERMS DURING THE LATE 2 PLEISTOCENE IN SE IBERIA

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#### 16 Abstract

17 Mediterranean mountains played an essential role during glacial periods as vegetation 18 refugia. The SE Iberia Late Pleistocene woody angiosperm fossil and floristic evidences 19 are reviewed in the context of phylogeographical studies aiming to identify (i) spatial 20 patterns related to woody angiosperms glacial survival, (ii) structural and functional 21 characteristics of montane refugia, and (iii) gaps in knowledge on the woody 22 angiosperm patterns of survival in Mediterranean mountains. The distribution of 23 palaeobotanical data for SE Iberia refugia has been found to be taphonomically biased 24 due to the scarcity of available and/or studied high-altitude Late Pleistocene sites. 25 However, Siles Lake data together with floristic inference provide evidences for woody 26 angiosperms' survival in a high-altitude Mediterranean area. The main features 27 boosting survival at montane contexts are physiographic complexity and water 28 availability. Phylogeography studies have mainly been conducted at a continental scale. 29 Although they cohere with palaeobotanical data to a broad scale, a general lack of 30 sampling of SE Iberian range-edge populations, as well as misconceptions about the 31 origin of the populations sampled, impede to infer the proper location of woody 32 angiosperms' mountain refugia and their importance in the post-glacial European 33 colonisation. We conclude that floristic, geobotanical, palaeobotanical, ethnographical 34 and genetic evidence should be merged to gain a deeper understanding on the role 35 played by Mediterranean mountains as glacial refugia in order to explain the current 36 distribution of many plants and the large biodiversity levels encountered in 37 Mediterranean mountain areas. This is hallmark for effective and efficient conservation 38 and management.

39 Key-words: palaeobotany, phylogeography, floristic, mountains, biogeography,
40 Mediterranean

41 **1.** Introduction

42 Most glacial refugia theories in Europe suggest that temperate species survived the 43 cold and dry stages in southern strongholds with little gene flow among them (Tzedakis 44 et al., 2013). Those refuged temperate species colonised northern territories as soon as 45 the glaciers retreated (e.g., Bennett and Provan, 2008; Gavin et al., 2014; Hofreiter and 46 Stewart, 2009; Médail and Diadema, 2009; Tzedakis et al., 2013; Willis, 1996). In this 47 scenario, the role of the Mediterranean peninsulas (Iberian, Italian and Greco-Balkan) 48 seems to have been crucial for the fragmentation and re-distribution of species' 49 ranges. However, these peninsulas are not environmentally homogeneous. 50 Physiographical and climatological diversity mirrors on the past and present plant 51 populations' ranges. This heterogeneity has likely shaped the distribution of refuged 52 flora during cold stages. Smaller-scale refugia, for example, are predicted in these heterogeneous territories during unfavourable environmental conditions (Gómez and 53 54 Lunt, 2007; Rull, 2009).

55 Médail and Diadema (2009) recognised 52 Mediterranean glacial refugia based 56 on the phylogeographical patterns of 82 plant species, including 41 herb and 41 tree 57 taxa. Yet again, the role of the southern European peninsulas was emphasised with the 58 presence of 25 refugia cohering areas of endemism and hotspots. In line with Medail 59 and Diadema (2009), refugia are classified in three categories: Type 1) moist mid-60 altitude refugia (400-800 m asl) suited to altitudinal shifts of vegetation belts in 61 response to environmental change, or *in situ* survival; Type 2) deep gorges and closed

62 valleys, with uninterrupted moisture availability, and Type 3) low-altitude sites such as 63 valley bottoms, coastal plains and wetlands, particularly sensitive to changes in aridity. 64 According to this model, more than half of the refugia are located in "submontane 65 [areas] and mountain margins" (Médail and Diadema, 2009 pp. 1338). However, the 66 inclusion of palaeobotanical data points to the occurrence of intramontane refugia 67 (Carrión, 2002b; Pons and Reille, 1988; Tzedakis, 2004). The importance of high-68 altitude belts as refugia for woody Mediterranean and mesophytic taxa may well have 69 been undervalued. This review aims to fill this gap. South-eastern Iberian mountains 70 are taken as a model owing to the presence of refugia dating from the last glacial (MIS 71 2) (Carrión, 2002b). Aiming to evaluate the Late Pleistocene survival of woody 72 angiosperms (i.e., mesophytes, Mediterranean taxa and Ibero-Maghrebian scrub) 73 considering the likely relevant role of high-altitude Mediterranean mountains, 74 palaeobotanical and phylogeographical data are combined in order to (i) explore spatial 75 patterns related to woody angiosperms glacial survival, (ii) infer high-altitude refugia 76 structural and functional features, and (iii) identify gaps in knowledge hampering the 77 understanding of woody angiosperms survival patterns in Mediterranean mountains.

# 78 **2. South-eastern Iberia: environmental setting**

The Iberian Peninsula is structured around an Inner Plateau crossed and surrounded by mountains. The Pyrenees and Iberian Ranges frame the Ebro valley in the north-east (Fig. 1). The Inner Plateau is surrounded by the Cantabrian Range in the north and the Sierra Morena and the Baetic Ranges in the south, with the Central System dividing the Inner Plateau in two (Fig. 1). These mountain systems and ranges make Iberia a largely heterogeneous land. Altitude gradient overlaps with slope orientation and triggers an

uneven distribution of temperatures. Springs and creeks carve sometimes deep gorges and ravines diversifying the geologically complex landscape. Rain-shadow effects also contribute to the landscape heterogeneity and have large importance on plant distribution. Considering the unique mountainous character of Iberia and the fact that these mountains harbour a large portion of the Iberian plant diversity, the role that highlands have played in the current species distributions is obvious (Loidi, 1999).

91 In southern Iberia, the Baetic ranges intercept water-laden winds on western 92 faces, allowing Quercus suber development in the thermo- and mesomediterranean 93 belts. In particularly favourable humid locations and gorges, broad-leaved trees (Q. 94 canariensis, Q. faginea ssp. broteroi), palaeotropical elements (Davallia canariensis, 95 Laurus nobilis, Rhododendron ponticum) and the endemic Abies pinsapo grow in the 96 meso- and supramediterranean (Aparicio Martínez and Silvestre Domingo, 1987; Pérez 97 Latorre et al., 1999). Eastwards, the less water-demanding Q. ilex ssp. ballota inhabits 98 the meso- and supramediterranean with semi-deciduous oaks (mostly Q. faginea ssp. 99 faginea, and locally Q. pyrenaica). Conifers become more abundant eastwards, with 100 the xerophytic Pinus halepensis incorporated into the thermomediterranean scrub, and 101 P. pinaster and P. nigra sharing the supramediterranean belt with semi-deciduous 102 Quercus. Higher altitudes (> 1500-1700 m asl) are inhabited by mountain pinewoods (P. 103 nigra and, to a lesser extent, P. sylvestris), giving way to open pulvinular scrub 104 (Juniperus communis, Erinacea anthyllis, Genista versicolor, Echinospartum sp.) and 105 alpine pastureland in the upper oro- and crioromediterranean belts (Blanca, 2002; 106 Lorite, 2001; Valle Tendero et al., 1989; Sánchez Gómez et al., 1997).

107 In SE Iberia, a semi-arid fringe extends beneath the Baetic mountains rain-108 shadow, hosting a singular Ibero-Maghrebian scrub composed of xerothermic elements 109 (Periploca laevigata, Whitania frutescens, Lycium intricatum, Osyris quadripartita, 110 Chamaerops humilis, Maytenus senegalensis and Tetraclinis articulata) (Sainz Ollero et 111 al., 2010; Sánchez Gómez and Alcaraz, 1993; Sánchez Gómez et al., 1997). The 112 intramontane high-altitude depressions ("hoyas") hold an Irano-Turanian vegetation of 113 perennial xerophytic grasses (e.g., Stipa and Lygeum), halophilous chenopods (Suaeda, 114 Salsola, Halocnemum, Arthrocnemum, Sarcocornia), and central European disjunctions 115 such as Krascheninnikovia ceratoides (Blanca and Morales, 1991; Sainz Ollero et al., 116 2010).

117 Overall, SE Iberian vegetation landscapes present high mosaicism. Tertiary 118 palaeotropical taxa live together with mesic, Mediterranean and subtropical 119 xerothermic species in a mosaic resulted from a complex palaeogeographical history 120 (Aparicio Martínez and Silvestre Domingo, 1987; Blanca, 2003; Molina-Venegas et al., 121 2015 a, b, Pérez Latorre et al., 1999). This unique environmental setting is the reason 122 for selecting SE Iberia as a model for studying the role of Mediterranean mountain 123 refugia. In spite of the former, woody angiosperms constitute the most comprehensive 124 assemblage of palaeobotanical remains whose discrimination is achievable, at least, at 125 genus level. Typological taxonomy ruled in palaeobotanical works is followed with 126 reference to fossil remains (Carrión et al., 2015), whereas in reference to extant species 127 and distributions the proposals of Flora Ibérica (www.floraiberica.org) and Anthos 128 project (www.anthos.es) are followed. Ecological characterisation into mesophytes, 129 Mediterranean taxa and Ibero-Maghrebian scrub follows the general consensus

adopted by most palaeobotanical papers, and it is supported by Flora Ibérica and
floristic and ecological works (Carrión et al., 2001a, 2001b, 2015).

#### 132 3. Late Pleistocene survival in SE Iberian Mountains

133 Palaeobotanical sites of the Iberian Pleistocene are unevenly distributed (González 134 Sampériz et al., 2010). They are scarce in SE Iberia, i.e. from the 114 sites compiled by 135 González-Sampériz et al. (2010) for Iberia; only 32 are located below 40°. In order to 136 assess the Late Pleniglacial survival of mesophytic, Mediterranean and Ibero-137 Maghrebian taxa (MIS 2, 24 cal. ka BP, Clark et al., 2009), 26 SE Iberian sites have been 138 selected (Table 1). Due to low taxonomic resolution and weak chronology, Hoyo de la 139 Mina, Salines, River Aguas, Cueva Negra, Ratlla del Bubo and Sima de las Palomas 140 (Badal, 1991; Carrión et al., 2003; Giralt et al., 1999; Schulte et al., 2008; Walker et al., 141 2006, Uzquiano, unpublished data) were excluded. For the 26 selected sites, 268 142 presences of 41 woody angiosperms (23 mesophytic, 14 Mediterranean thermophytic 143 and 6 xerophytic Ibero-Maghrebian taxa) are recorded at low and high elevations (Fig. 144 2). Viburnum and Rhamnus comprise both mesophytic and Mediterranean taxa in the 145 study area (Table 2).

#### 146 <u>3.1 Mesophytes</u>

Mesophytes include broad-leaved deciduous trees, *llex, Hedera* and *Vitis*, and appear from coastal areas to high-altitudes. Most remains are deciduous *Quercus* pollen. This pollen type comprises several species (Table 2), including sometimes *Q. suber*, whose palynological discrimination can be challenging (Carrión et al., 2000). Charcoal and

pollen of *Alnus, Betula, Corylus, Ulmus* and *Salix* occur in several sedimentary contexts
from sea level up to 1320 m asl (Fig. 2).

Acer occurs mostly at mid-altitude; while *Sambucus, Hedera, Ilex* and *Juglans* present scattered evidences with no altitudinal pattern. Although woody Rosaceae remains hardly appear in the palaeobotanical record, *Prunus* and *Sorbus/Crataegus* charcoal have been found at 100-1100 m asl. *Carpinus* and *Tilia* are present in four mid-altitude sites, while *Populus* is restricted to two mid-altitude sites (Fig. 2).

158 Ligustrum and Vitis pollen are only present in Malladetes Cave (500 m asl), while

159 Frangula and Castanea pollen only in Gorham's Cave (Gibraltar, 5 m asl) (Fig. 2).

# 160 <u>3.2 Viburnum, Rhamnus and Mediterranean taxa</u>

161 Although Viburnum species are insect-pollinated, their pollen grains are not rare in 162 Mediterranean sites (Carrión et al., 2015). Viburnum pollen presence is discontinuous 163 along the altitudinal gradient (Fig. 2). These pollen grains are usually ascribed to V. 164 tinus, the most widespread species. However, V. lantana and V. opulus are also present 165 in the area and, plausibly, the three species may have grown together in humid, welldeveloped humus rich soils (Sánchez Gómez et al., 1997). Rhamnus is continuously 166 167 found along the altitudinal gradient. This genus comprises both deciduous and 168 perennial species, including mesophytes (Rh. cathartica, Rh. alpina), Mediterranean 169 thermophytes (Rh. alaternus, Rh. lycioides), and hyperthermophytes (Rh. velutina; 170 Rivas-Martínez and Pizarro, 2011).

Mediterranean thermophytes, such as evergreen *Quercus* (*Q. ilex* and *Q. coccifera*), *Olea, Pistacia, Phillyrea, Buxus, Myrtus* and *Arbutus* are well represented at

all altitudes in the palaeobotanical record (Fig. 2). *Smilax* appears in a mid-altitude site
only, and the punctual presences of *Q. suber, Myrica, Coriaria* and *Cneorum* are
restricted to altitudes below 500 m asl (Fig. 2).

#### 176 <u>3.3 Ibero-Maghrebian scrub</u>

177 Subtropical summer-deciduous thorns, hemi-parasitic Osyris and the thorny legume 178 Calicotome compose the Ibero-Maghrebian scrub assemblage. Its palaeobotanical record is restricted to pollen at five low-altitude sites (Fig. 2). Lycium is the most 179 180 recurrent pollen type in this assemblage. Osyris, Periploca and Whitania are only found 181 in Perneras Cave (200 m asl), while Maytenus and Calicotome are exclusive to 182 Gorham's (5 m asl) (Fig. 2). Zoophilous taxa are, although overall underrepresented in 183 the palynological record (Carrión, 2002a), found in Gorham's fossil dung (Carrión et al., 184 2008).

#### 185 <u>3.4 High-altitude refugia?</u>

186 In order to test Médail and Diadema (2009)'s refugia model, the abundance of 187 palaeobotanical data (Fig. 2) and bioclimatic belts is compared. Bioclimatic belts follow 188 Carrión (2002): thermomediterranean (< 500 m asl), mesomediterranean (500-1000 m 189 asl) and supramediterranean (> 1000 m asl). No oro- and crioromediterranean 190 Pleistocene sites have been studied so far in SE Iberia. Raw analysis of the contingence 191 matrix shows a major concentration of fossil records in the mesomediterranean belt 192  $(\chi^2 = 103.11; df = 2, P > 0.05)$ , as implied by Type 1 refugia. However, the correction of 193 the data taking into account the number of sites per belt does not show an altitudinal bias ( $\chi^2$ = 3.11; df= 2, P < 0.05), indicating that the altitudinal distribution of woody 194

195 angiosperms Pleistocene refuges is mostly explained by a skew in the fossil record 196 towards lowlands rather than to ecological or geographical features. The widely-197 recognised Type 1 mid-altitude refugia (400-800 m asl;) are supported by 8 sites (Figs. 1 198 and 2). The more spatially constrained Type 2 refugia existing in sites with continued 199 moisture availability are confirmed by the presence of mesophyte and sclerophyllous 200 taxa in Carihuela, Las Ventanas, Malladetes, Nerja, Cendres, Santa Maira, Tossal de la 201 Roca, d'en Pardo, Bolumini, Ambrosio, Calaveres, Beneito, Padul and Siles (Figs. 1 and 202 2, Table 1). Type 3 refugia appear at a wide range of low-altitude sites with diverse 203 geomorphology: the coastal Mari López and San Rafael marshlands, the Bajondillo, 204 Gorham, Nerja and Les Cendres caves, the perched peat levels of El Asperillo sand cliff, 205 and the warm and moist Les Calaveres, Perneras and Navarrés (Figs. 1 and 2, Table 1).

206 High-altitude mountains are usually ignored in refugia models, as sites lying 207 above *ca*. 800 m asl are usually excluded from the theoretical glacial refugia framework 208 (Bennett and Provan, 2008; Gavin et al., 2014; Hofreiter and Stewart, 2009; Médail and 209 Diadema, 2009; Tzedakis et al., 2013; Willis, 1996). It seems counter intuitive that high-210 altitude refugia existed during cold periods. Nonetheless, evidence of them has been 211 found during the Late Pleniglacial in the Ambrosio, Las Ventanas and Carihuela caves 212 (Figs. 1 and 2), with the presence of mesophytes and sclerophylls above 950 m asl. Siles 213 Lake is outstanding for the western Mediterranean because its pollen record comprises 214 the uninterrupted occurrence of meso-thermophilous angiosperms from ca. 20,300 to 215 500 cal. yr BP in a high plateau context (1320 m asl, Carrión, 2002b). Refuged taxa in 216 these sites account for the conception of a fourth type of refuge (Type 4 or mountain 217 refugia).

#### 218 4. Vegetation dynamics in an altitudinal gradient

#### 219 <u>4.1 Past vegetation records</u>

The Late Pleistocene to Mid-Holocene SE Iberian vegetation history shows a dynamic picture that can be partially representative of the Last Glacial climate fluctuations. Even if environmental variation during the cold and dry Weichselian occurred at a narrower scale (Carrión, 2003), the effects that changes in moisture availability and temperature had on the expansion and contraction of woody angiosperms in the mid- to highaltitude mountains are likely to be comparable.

The Late Pleniglacial Siles and Navarrés palynological records (Carrión, 2002b; Carrión and Van Geel, 1999), together with the Early Holocene Villaverde and Cañada de la Cruz records (Carrión, 2001a, 2001b), were presented in an altitudinal context by Carrión (2002b). This review allowed the reconstruction of the vegetation response to different environmental forcings at a local and regional scale.

231 The Late Pleniglacial and Late Glacial record (ca. 20 - 12 cal. ka BP, Fig. 3a) is 232 restricted to the high-altitude Siles (1320 m asl) and the low-altitude Navarrés (225 m 233 asl) records. The landscape was dominated by a steppe composed by Poaceae, 234 Artemisia and Ephedra, with Juniperus and Pinus (P. nigra and, to a lesser extent, P. 235 sylvestris, as inferred from anthracological evidence, Roiron et al., 2013). Junipers and 236 pines would appear scattered throughout the widespread cold and arid landscapes 237 (Carrión, 1992; Carrión et al., 1998; Fernández et al., 2007; Pons and Reille, 1988). 238 Nevertheless, Siles Lake accounts for high-altitude woody angiosperm glacial survival 239 (Fig. 2) with the continuous presence of Mediterranean and mesophytic taxa such as

both evergreen and deciduous *Quercus, Betula, Corylus, Fraxinus, Salix, Ulmus, Rhamnus, Olea, Pistacia, Phillyrea, Buxus* and *Arbutus*. Broad-leaved forests must have been discontinuous, and related to the nearby existence of gullies and ravines. Exposed situations with trees are however possible, like it is seen today in the Cantabrian and Sierra Nevada ranges with *Quercus ilex* ssp. *ballota,* which grows punctually above the mesophytic belt (Blanca, 2002; Fernández Prieto, 1981).

246 During the period comprised between 12 - 8 cal. ka BP (Fig. 3b) a species-poor 247 understorey Pinus forest of varying density is inferred as the dominant vegetation. 248 Pinus forest expanded through an altitudinal displacement of the timberline over the 249 cryoxerophylous grassland-scrub composed by Juniperus, Artemisia, Ephedra and 250 Chenopodiaceae/Amaranthaceae. Pine and xerophyte dynamics were likely controlled 251 by aridity and fire disturbance. During this transition, mesophytes attained a minimum. 252 Deciduous Quercus are absent from Cañada de la Cruz, and scantly dispersed in 253 Navarrés and Villaverde. However, deciduous Quercus curve is continuous in the high-254 altitude Siles, with the presence of Betula, Corylus, Fraxinus, Salix, Ulmus and Hedera. 255 Although the Holocene onset meant a rise in temperature, the humidity (i.e. water 256 availability) was much lower, likely hampering the development of mesophytes at mid-257 to low-altitudes and displacing them towards high-altitudes (Carrion 2002b). The 258 aridity characterising this period favoured a rise of Mediterranean taxa. In the low-259 altitude Villaverde, a continuous curve of evergreen Quercus appears together with 260 Pistacia, Olea, Cistus and Erica. However, the presence of evergreen Quercus in the 261 high-altitude Siles remains punctual with scattered increases, although Pistacia, 262 Oleaceae, Cistus and Erica occur continuously.

263 The more humid conditions reconstructed for the Holocene Mesophytic 264 Optimum (8 - 5 cal. ka BP, Fig. 3c) supposed a woodland altitudinal migration. On the 265 one hand, high-altitude areas experienced a pinewood rise and a grassland-scrub 266 demise. On the other hand, deciduous Quercus forests replaced the mid- to high-267 altitude Pinus formations at ca. 7.4 - 5 cal. ka BP. Oaks were accompanied by other 268 mesophytes such as Acer, Betula, Corylus, Fraxinus, Salix, Ulmus, Juglans, Hedera and 269 *Ilex.* This mesophytic assemblage was replaced by Mediterranean evergreen Quercus 270 forests with Ericaceae, Pistacia, and Phillyrea from 5 cal. ka BP onwards, indicating 271 more restricted moisture conditions after the Mesophytic Optimum.

272 The expansion of Pinus, xerophytic grassland-scrub and Mediterranean 273 sclerophylls in mid- and high-altitudes characterises the 5 - 3 cal. ka BP vegetation 274 dynamics (Fig. 3d). This fact is likely to be related to a Western Mediterranean 275 aridification trend (Anderson et al., 2011; Jiménez-Espejo et al., 2014; Jiménez-Moreno 276 et al., 2015). On the contrary, mesophytes experienced a decline, restricting their 277 survival to scattered pockets amid mountain pines. Fire events and a series of 278 anthropogenic disturbance-mediated, rapid displacements between mountain Pinus 279 and Pinus pinaster-evergreen Quercus are recorded. The spread of drought-tolerant 280 sclerophylls and high-altitude xerophytic taxa confined pines to a thin belt until fire and 281 anthropogenic disturbance stabilised at 3 cal. ka BP. From this time onwards, humans 282 seem to be the main factor controlling vegetation change (Carrión, 2002b).

#### 283 <u>4.2. Factors controlling taxa survival</u>

Siles Lake is deprived of stream connections and fed by precipitation and runoff from a
relatively small catchment (3 x 1.5 km). The basin is located in an elevated flat polje

286 surrounded by a series of deeply incised thermic gorges (Carrión, 2002b). Why survival was possible in such a context? The evidence for non-cryophilous taxa in montane 287 288 contexts during the Late Pleniglacial provides insights to understand it. The Holocene 289 temperature rise might not explain solely refuged vegetation dynamics in the 290 Mediterranean Region, as its climate is characterised by summer drought (Walter, 291 2002) and water is a limiting factor for Mediterranean plants (Galmés et al., 2007), so 292 soil water availability likely played a major role. On the other hand, cold winter 293 temperatures imply shorter vegetative periods, in adaptation to which deciduous 294 species shed their leaves. However, spring refoliation is a water-demanding process 295 hampered when prevailing low temperatures are combined with water availability 296 restrictions during the vegetative period. Under the cold Late Pleniglacial 297 temperatures, the mesophytes and Mediterranean taxa survival at Siles was possible 298 only in places without water availability restrictions, so thermic gorges played a very 299 important role as woody vegetation refuges. Thus, it is plausible that the main factor 300 limiting woody angiosperm presence in Mediterranean mountains was water 301 availability rather than low temperatures (Cowling and Skyes, 1999; Leroy and Arpe, 302 2007). Hence, the survival of small pockets of mesic and thermophytic taxa in 303 mountain areas cannot be regarded as merely anecdotal. This phenomenon is not 304 more often incorporated into models probably because of the scant high-altitude 305 Mediterranean palaeobotanical record.

The presence of refugia seems, therefore, related to a number of physiographical features that assure continuous water availability. Narrow and incised gorges at mid- to high-altitude provide protection against wind-induced desiccation,

309 survival in valley bottoms is assured by *in situ* moisture accumulation in deep soils, 310 while coastal enclaves tend to be exposed to moisture loaded winds that reduce water 311 stress. Survival in rock walls and crevices would mean no competition, protection 312 against ecosystem disturbance and in porous rocks, such as limestones, a more or less 313 continuous water reservoir.

# 314 5. Floristic and phylogeographical evidence for montane refugia

315 While the palaeobotanical record provides information on past taxa presence, 316 identification to species level is limited. For such reason, floristic and genetic 317 investigations are very helpful, as they provide a larger level of taxonomical detail. 318 Current floristic presence of mesophytes, Mediterranean and Ibero-Magrebian taxa in 319 SE Iberian mountains (Blanca, 2002; Blanca and Morales, 1991; Gómez Mercado, 2011; 320 López Vélez, 1996; Lorite, 2001; Sánchez Gómez and Alcaraz, 1993; Sánchez Gómez et 321 al., 1997; Valle Tendero et al., 1989) has been compared with phylogeographical 322 studies. Table 2 includes those species whose putative survival in mid- and high-323 altitude settings is supported by palaeobotanical data (Fig. 2). The complete list of the 324 SE Iberian Mediterranean and mesophytic mountain woody angiosperms is provided in 325 Supplementary Information. Widespread Mediterranean taxa (oaks and pines; P. nigra 326 ssp. salzmanii) form a matrix with mesophytes living in canyons, gorges and soils with 327 constant phreatic level. Some taxa are associated to rock walls and crevices (Acer 328 granatense, A. monspessulanum, Rh. pumila), while other to summit scrubs (Ribes 329 alpinum, Rhamnus saxatilis, Rhamnus alpinus). The mesophytic assemblage includes 330 biogeographically interesting species, as most of them are in their distribution limits, 331 such as Betula pendula, Corylus avellana, Ilex aquifolium, Cornus sanguinea, Sorbus

*torminalis, S. aria* and *Ulmus glabra*. Some of them represent disjunctions from the
main range (*Q. pyrenaica, Euonymus latifolius, Fraxinus ornus, Salix hastata, S. caprea, S. eleagnos, Viburnum lantana, V. opulus*) or are endemic (*Acer granatense = A. opalus*ssp. granatense, Cotoneaster granatensis, Crataegus granatensis, Prunus ramburii, see
references below).

337 The coexistence of widely-distributed Central European taxa in the semi-arid SE 338 Iberia has drawn the attention of geobotanists who have hypothesised the existence of 339 mountain refugia (Blanca, 1993, 2002; Blanca and Morales, 1991; Gómez Mercado, 340 2011; López Vélez, 1996; Lorite, 2001; Valle Tendero et al., 1989; Sánchez Gómez and 341 Alcaraz, 1993; Sánchez Gómez et al., 1997). In general, floristic, palaeobotanical and 342 population genetics information agree to consider SE Iberian mountain flora to have 343 persisted in situ the Pleistocene cold stages (Table 2). However, most phylogeographical 344 studies are continental-scale (Table 2). Therefore, persistence and migration inferences 345 are too broad to provide a finer identification of mountain refugia location, 346 nevertheless, molecular evidence provides some insights on refuged species (Gavin et 347 al., 2014; Petit et al., 2003; Rodríguez-Ramírez et al., 2010). Phylogeographical 348 information of Ilex aquifolium, Hedera helix, H. hibernica, Betula pendula, Corylus 349 avellana, Castanea sativa, Quercus sp., Fraxinus angustifolia, F. ornus, Olea europaea, 350 Frangula alnus, Populus nigra and Vitis sylvestris, confirms the broad importance of S 351 European peninsulas as long-term refugial areas (Table 2). The refuged populations 352 played a significant role in the central European post-glacial recolonisation, and they 353 explain current central European genetic diversity and divergence (Hewitt 2000, 354 Lascoux et al., 2003, Petit et al., 2003). Interestingly, some of the compiled studies

355 remark the importance of N Africa, Anatolia and Caucasus as refugia (Table 2), 356 suggesting more surveys in the Rif-Atlas and Ponto-Caspian regions for a complete 357 understanding of European post-glacial recolonisation (Besnard et al., 2002; Grassi et 358 al., 2008; Heuertz et al., 2006; Lumaret et al., 2002, 2005).

359 The most comprehensive phylogeographical initiative at an European scale, the 360 CYTOFOR RTD program (Petit et al., 2003; Table 2), explored chloroplast variability in 22 361 widespread European trees and shrubs sampled in 25 forests chosen on the premise of 362 high species richness and limited human influence (Lascoux et al., 2003). The most 363 genetically divergent European populations are those from S Italy, Corsica, and the 364 Balkan Peninsula. Surprisingly, central European populations' genetic diversity is higher 365 than expected owing to population admixture from different southern and local refugia 366 (Petit et al., 2003). The role of Iberian Peninsula as a refuge area is very intriguing, 367 since a lower than expected genetic diversity has been previously reported across the 368 region (Petit et al., 2003), challenging the hypothesis of refugial areas as holders of 369 larger genetic diversity (Gómez & Lunt, 2007). Possible explanations are: (i) the 370 Pyrenees as a weaker barrier than the Alps and land connection with western France 371 and the British isles, (ii) more severe Quaternary climatic episodes experienced in 372 Iberia than in the eastern peninsulas, meaning the location of refugia further south 373 than sampled, and (iii) migration of temperate species into Iberia from Italian and 374 Balkan peninsulas (Petit et al., 2003).

Although migration routes into Iberia might have existed, the Pyrenees are a strong barrier limiting migration (Cotrell et al., 2005; Hampe et al., 2003; Rodríguez-Sánchez et al., 2010). However, the lack of an exhaustive sampling of the southern

378 Iberian populations is more relevant to explain the unexpected low genetic divergence 379 results obtained (Cotrell et al., 2005; Grivet and Petit, 2002; Fineschi et al., 2000, 2005; 380 Heuertz et al., 2006; Fussi et al., 2010; Lumaret et al., 2005; Mohanty et al., 2002; 381 Palmé et al., 2003a, 2003b; Rendell and Ennos, 2003; Valdré and Vendramin, 2002). 382 The heterogeneity in Iberia plays a major role in the distribution of biodiversity (Sainz Ollero et al., 2010). Classic 20<sup>th</sup> century geobotanical works recognised the co-383 384 occurrence of multiple floristic elements in Iberian landscapes spanning from the 385 Saharo-Sinian to the Artic-Alpine (Blanco et al., 1997). This floristic diversity is the living 386 confirmation of refugial events; hence it is normal that drawing general conclusions 387 using sparse data from easily accessible populations within the species' main 388 distribution ranges provided biased results. A deeper understanding of local flora and 389 vegetation is necessary to make phylogeographical studies more accurate. Relict 390 presences and disjunct populations should be treated as scientific evidence rather than 391 botanical curiosities.

In this sense, sampling of S Iberian mountain populations is missing in most 392 393 studies. These mountains present relict and disjunct range-edge populations essential 394 to understand glacial and post-glacial refugial dynamics. The need of a better sampling 395 of Iberia has been recognised in a few studies, such as for Populus alba and P. nigra 396 (Cotrell et al., 2005; Fussi et al., 2010). In fact, when a detailed sampling has been 397 performed such as for Frangula alnus (Hampe et al., 2003), all but one haplotypes 398 showed to be mountain range-exclusive, revealing that populations persisting in 399 Mediterranean mountains have accumulated a large degree of differentiation.

400 On the available phylogeographical evidence we cannot yet ascertain whether 401 most woody angiosperms today occurring in the SE Iberian mountains survived there 402 during the last cold spell, although palaeobotanical data point to their survival. As we 403 have exposed before, this is due to a general lack of sampling of the southernmost 404 Iberian woody populations, although Species Distribution Modelling and Atmospheric 405 Model Simulations studies infer their persistence (i.e., Leroy and Arpe, 2007; Svenning 406 et al., 2008). The migration routes for many species and the origin of relict and disjunct 407 populations in relation to the species' main range have not yet been surveyed.

# 408 **6.** Human impact and mountain plants

409 Are climate and physiographic heterogeneity the only factors explaining the current 410 plant distribution in Mediterranean mountains? Both palaeobotanical and 411 archaeological research have reconstructed an intense history of grazing, trampling and 412 fire that opened landscapes and drove vegetation altitudinal shifts in SE Iberian 413 mountain areas during the last millennia (Carrión, 2002b). However, the assumption of 414 some formations to be human-induced could, sometimes, be erroneous. For example, 415 Juniperus thurifera populations were assumed to be favoured by recent anthropogenic 416 action (Carrión et al., 2004), while palaeobotanical work has demonstrated that they 417 have experienced a Late Holocene expansion related to an aridification trend (Carrión 418 et al., 2004).

The mesophytes currently inhabiting SE Iberian mountains are time transgressive relict populations that survived in sites that buffered climatic extremes and assured water availability. However, their presence has not only a relict origin, but also a strong anthropogenic imprint (Carrión, 2002b, Carrión et al., 2004; López

423 Santalla et al., 2003). Many mesophyte populations are scattered in areas where 424 anthropogenic action has not cleared them out completely (Abel Schaad et al., 2014; 425 Blanca, 2002; Gómez Mercado, 2011; Sánchez Gómez et al., 1997; Valle Tendero et al., 426 1989). However, the human-induced character of some landscapes with relict taxa has 427 not impeded high diversity. On the contrary, anthropogenic imprint may well favour 428 rich communities, and cultural landscapes are an example of this (Blondel, 2006). For 429 instance, the emblematic Montejo beech wood (Montejo de la Sierra, Central Iberia) 430 has mistakenly been considered as barely human-influenced beech forest on the basis 431 of its high diversity (Lascoux et al., 2003), while six decades ago it was a key 432 smallholding for local cattle husbandry seasonal dynamics (López Santalla et al., 2003). 433 Included as one of CYTOFOR's sampling points, it challenges one of its sampling 434 assumptions blurring the interpretations in Petit et al. (2003). On the contrary, human-435 afforested thought-to-be Lillo pinewood (Cantabrian Ranges, N Iberia) has been 436 demonstrated to be of natural origin after the palynological study performed by García 437 Antón et al. (1997). This reinforces the idea that not only floristic and geobotanical 438 research, but also palaeobotanical and ethnographical studies are crucial for the 439 sampling design in phylogeographical studies.

## 440 **7. Concluding remarks**

The occurrence of fossil-bearing deposits is especially infrequent in unglaciated mountains and in old land surfaces where sedimentary basins and other low-energy depositional environments are scarce (González-Sampériz et al. 2010). This taphonomical constraint biases the palaeobotanical record towards lowland deposits, which compose the largest source of Pleistocene data for the Mediterranean

peninsulas. The absence of palaeobotanical evidence for sites prone to behave asmountain refugia is not evidence of Mediterranean mountain refugia absence.

448 However, although the palaeobotanical evidence for refugia in SE Iberia is 449 taphonomically biased, the outstanding high-altitude Siles Lake palynological record 450 demonstrates the survival of woody angiosperms through the last glacial (Carrión, 451 2002b). It is likely that not only steep altitudinal gradients allowing quick altitudinal 452 shifts (Willis, 1994) were important for the long-term persistence of woody 453 populations, but also the existence of stable water availability. Phylogeographic studies 454 have given insight into patterns of survival and post-glacial migration for some of the 455 taxa whose Late Pleistocene presence in SE Iberia is palaeobotanically supported. 456 However, these studies are mainly conducted at a broad, continental scale. Searching 457 for the genetic imprint of refuges and linking them to defined geographical settings 458 could only be achieved if phylogeographical works include the range-edge southern 459 Mediterranean populations, otherwise results are biased. Southern Iberian relict 460 populations are recognised by floristic and geobotanical works. These relict 461 populations are usually scattered, small and quartered in places of difficult access, and 462 this is likely the reason for none of the extant individuals being included in 463 phylogeographical analyses (Table 2).

The combination of palaeobotanical, ethnographical, floristic and phylogeographical information is of hallmark importance to conservation practices in Mediterranean mountain areas. No real and effective management could be expected without a deep understanding of structural and functional aspects of the spaces and species we want to preserve.

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- 813

# 816 **FIGURE CAPTIONS**

FIGURE 1. (a) Iberian thermoclimatic belts, and (b) palaeobotanical records considered in this study. Blank dots refer to Pleistocene sites (Table 1). Lettered dots refer to N) Navarrés (Carrión and Van Geel, 1999), V) Villaverde (Carrión, 2001a), S) Siles Lake (Carrión, 2002b), and C) Cañada de la Cruz (Carrión, 2001b).

FIGURE 2. Mesophytic, Mediterranean and Ibero-Maghrebian woody angiosperm taxa presences in Late Pleniglacial sites from SE Iberia. Site numbers as in Table 1 and Figure 1b.

FIGURE 3. Altitudinal vegetation dynamics in the Segura Mountains (SE Iberia) for the last 20,000 years ago: (a) Late Pleniglacial and Late Glacial, (b) Late Pleistocene and Early Holocene, (c) Holocene Mesophytic Optimum, and (d) Mid-Holocene. Based on Carrión (2002b).

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# **TABLES**

833 TABLE 1. List of SE Iberian Pleistocene palaeobotanical sites considered in this work.

Site Number	Site	Coordinates	Altitude (m asl)	Material	Chronology (only Pleistocene)	References
1	Algarrobo cave (Murcia)	37°38'N 1°17'W	290	Pollen	MIS* 2	Munuera and Carrión (1991)
2	Ambrosio cave (Almería)	37°49'N 2°5'W	950	Charcoal	MIS 2	Rodríguez-Ariza (2005)
3	Bajondillo (Málaga)	36°38'N 4°29'W	0	Pollen	MIS 3 – MIS 2	López -Saez et al. (2007)
4	Boquete de Zafarraya (Málaga)	36º56'N 4º07'W	1022	Pollen Charcoal	MIS 3	Lebreton et al.(2006), Vernet and Terral (2006)
5	Carihuela cave (Granada)	37º26'N 3º25'W	1020	Pollen Charcoal	MIS 5 to MIS 2	Carrión (1992a), Carrión et al.(1998, 1999), Fernández et al. (2007)
6	Cova Beneito (Alicante)	38º48'N 0º28'W	680	Pollen Charcoal	MIS 3 - MIS 2	Carrión (1992b), Carrión and Munuera (1997)
7	Cova Bolumini (Alicante)	38°50'N 0°00'W	170	Charcoal	MIS 2	Badal (1991)
8	Cova d'en Pardo (Alicante)	38°44'N 0°26'W	500	Pollen	MIS 2	Soler et al. (1999)

9	Cova de Les Cendres (Alicante)	38°41'N 0°09'E	45	Charcoal	MIS 3 - MIS 2	Badal (1991), Vernet (1997), Badal and Carrión (2001)
10	EL Asperillo (Huelva)	37º04'N 2º88'W	50	Pollen	MIS 2	Stevenson (1984)
11	Gorham's cave (Gibraltar)	36°07'N 5°20'W	5	Pollen Charcoal	MIS 3 - MIS 2	Carrión et al. (2008)
12	Laguna de San Benito (Valencia)	38°56'N 1°06'W	671	Pollen	MIS 3 - MIS 2	Dupré et al. (1996)
13	Laguna de Villena (Alicante)	38°37′N 0°55′W	502	Pollen	MIS 4 to MIS 2	Yll et al. (2003)
14	Las Ventanas cave (Granada)	37°26′N 3°26′W	1056	Pollen	MIS 2	Carrión et al. (2001c)
15	Les Calaveres cave (Alicante)	38°47'N 0°01'W	180	Pollen	MIS 3 - MIS 2	Dupré (1988)
16	Malladetes cave (Valencia)	39°00'N 0°17'W	500	Pollen	MIS 3 - MIS 2	Dupré (1988)
17	Mari López (Huelva)	37º01'N 6º19'W	0	Pollen	Middle-Late Pleistocene	Zazo et al. (1999)
18	Navarrés (Valencia)	39º06'N 0º41'W	225	Pollen	MIS 3 – MIS 2	Carrión and Dupré (1996), Carrión and Van Geel (1999)
19	Nerja cave (Málaga)	36°45'N 3°50'W	110	Charcoal	MIS 2	Badal (1991), Vernet (1997), Aura et al. (2002)

20	Padul (Granada)	37°00'N 3°36'W	723	Pollen	MIS 11 to MIS 2	Florschütz et al. (1971), Pons and Reille (1988), Ortiz et al. (2004)
21	Perneras cave (Murcia)	37º32'N 1º25'W	100	Pollen	MIS 3 – MIS 2	Carrión et al. (1995)
22	San Rafael (Almería)	36°46'N 2°37'W	8	Pollen	MIS 2	Pantaleón-Cano et al. (2003)
23	Santa Maira (Alicante)	38°43'N 0°13'W	650	Charcoal	MIS 2	Aura et al. (2005)
24	Siles lake (Jaén)	38°23'N 2°30'W	1320	Pollen	MIS 2	Carrión (2002b)
25	Tossal de La Roca (Alicante)	38°47'N 0°15'W	650	Pollen	MIS 2	López-Sá Charcoal ez and López-García (1999), Uzquiano (1988)
26	Túnel dels Sumidors (Valencia)	38°53'N 0°41'W	500	Pollen	MIS 2	Dupré (1988)

834 + MIS (Marine Isotope Stage).

838 TABLE 2. Extant floristic and phylogeographic evidence for mesophytic and Mediterranean taxa occurring during MIS 2 in SE Iberian mountains.

FLORISTIC EVIDENCE*	MATERIAL AND METHODS			MAIN CONCLUSIONS	REFERENCES
	Molecular Marker: Method	Iberian Sampling	Iberian/ Total populations; Iberian / Total haplotypes.		
ACERACEAE					
Acer granatense, A. monspessulanum	-	-	-	-	-
ANACARDIACEAE					
Pistacia lentiscus, P. terebinthus	-	-	-	-	-
AQUIFOLIACEAE					
llex aquifolium	cpDNA: PCR-RFLP, nDNA: microsatellites	No S**	3/16 ; 0/8	Iberian and Italian refugia. Possible balkanic. Recolonisation through Atlantic coast from southern refugia.	Rendell & Ennos (2003)
ARALIACEAE					
Hedera helix	cpDNA: PCR-RFLP, microsatellites	No S and SE **	5/27 ; 2/13	Iberian and Balkans refuge. Putative refugia in Alps/Appenines .	Grivet & Petit (2002)
Hedera hibernica	cpDNA: PCR-RFLP, microsatellites	No SE**	5/27 ; 0/13	Iberian refuge. Post glacial migration from W Iberia Atlantic populations .	Grivet & Petit (2002)
BETULACEAE					
Betula pendula (ssp. fontqueri)	cpDNA: PCR-RFLP	No S**	3/47 ; 0/13	Iberian and Italian refugia (but not into recolonisation of Europe). Mid latitude (E &W) refugia origin of recolonisation.	Palmé et al (2003a)

Corylus avellana	cpDNA: PCR-RFLP, microsatellites	No S**	3/26 ; 0/10	Western refugia origin of recolonisation. Range-edge highest variability (Italian & Balkan peninsulas).	Palmé & Vendramin (2002)
BUXACEAE					
Buxus sempervirens	-	-	-	-	-
CAPRIFOLIACEAE					
Sambucus ebulus. S. nigra	-	-	-	-	-
Viburnum lantana, V. opulus, V. tinus	-	-	-	-	-
ERICACEAE					
Arbutus unedo	-	-	-	-	-
FAGACEAE					
Castanea sativa	cpDNA, mtDNA: PCR- RFLP	No SE. Only W	14/38 ; 0/11	Iberian refugia (possible) All Ib. populations polymorphic.	Fineschi <i>et al.</i> (2000)
Quercus faginea (ssp. faginea)	cpDNA: PCR-RFLP	Spread. No relict	200/200 ; 14/14	Iberian W/E/S coastal refugia inland and uphill recolonisation.	Olalde et al (2002)**
Quercus pyrenaica	cpDNA: PCR-RFLP	Spread. No relict E/SE	200/200 ; 14/14	Iberian W/E/S coastal refugia inland and uphill recolonisation.	Olalde et al (2002)**
Quercus ilex ssp. ballota	cpDNA: PCR-RFLP	Spread. No SE	42/174 ; 9/24	Iberian, S Italian, Balkanic, N African refugia	Lumaret <i>et al.</i> (2002)
Quercus suber	cpDNA: PCR-RFLP	SW and NE. No SE	34/91 ; ?/9	S Iberian, S Italian, S Balkanic and N African refugia.	Lumaret <i>et al.</i> (2005)
Quercus alpestris, Q. coccifera****, Q. lusitanica.	-	-	-	-	-
JUGLANDACEAE					

Juglans regia	-	-	-	-	-
MYRTACEAE					
Myrtus communis	cpDNA: region sequencing	SW and E	4/173 ; 0/14	No glacial refuge inference.	Migliore <i>et al.</i> (2012)
OLEACEAE					
Fraxinus angustifolia	cpDNA: microsatellites, cpSSRs	Spread. No SE	5/70 ; 1/18	S Appenines refugia. Hibridisation in glacial refugia ( <i>F. excelsior</i> ).	Heuertz <i>et al.</i> (2006)
Fraxinus ornus	cpDNA: microsatellites, cpSSRs	-	0/59 ; 0/4	Italian, Balkanic and N Turkish refugia.	Heuertz <i>et al.</i> (2006)
Ligustrum vulgare	-	-	-	-	-
Olea europaea (ssp. sylvestris)	cpDNA: SNP	Spread. Incl. S and SE coast.	16/108 ; 0/42	Strait of Gibraltar, Near East and the Aegean refugia.	Besnard et al. 2002
Phillyrea angustifolia, P. latifolia	-	-	-	-	-
RHAMNACEAE					
Frangula alnus	cpDNA: PCR-RFLP	E and W Baetics	10/78 ; 7/21	Recolonisation of Europe from Balkans solely. Only 1 Iberian haplotype in more than mountain range.	Hampe <i>et al.</i> (2003)
Rhamnus alaternus, Rh. alpinus, Rh. catharticus, Rh. infectoria, Rh. lycioides, Rh. myrtifolius, Rh. oleoides, Rh. pumila, Rh. saxatilis	-	-	-	-	-

ROSACEAE					
Crataegus monogyna	cpDNA: PCR-RFLP	No S**	3/21;0/4	Weak phylogeographical structure.	Fineschi <i>et al.</i> (2005)
C. granatensis	-	-	-	-	-
Prunus spinosa	cpDNA: PCR-RFLP	No S**	3/25 ; 2/32	S European refugia (inferred).	Mohanty <i>et al.</i> (2002)
Prunus avium, P. insititia, P. mahaleb, P. postrata, P. ramburii.	-	-	-	-	-
Sorbus aria, S. domestica, S. hybrida, S. torminalis	-	-	-	-	-
SALICACEAE					
Populus alba	cpDNA: PCR-RFLP	?	1/26 ; 0/57	Further samples in S Iberia needed to stablish conclusions	Fussi <i>et al.</i> (2010)
Populus nigra	cpDNA: PCR-RFLP	NE. No S	100/671 (trees) ; 38/81	Central-NE Iberian, Italian and N Balkans refugia. Need of more structured sampling in Iberia.	Cotrell <i>et al.</i> (2005)
Populus x canescens	cpDNA: PCR-RFLP	-	0/26 ; -	-	Fussi <i>et al.</i> (2010)
Salix atrocinerea	cpDNA: PCR-RFLP	No S**	1/2 ; -	Hibridisation with S. caprea. Haplotypes are not traceable to original sp.	Palmé <i>et al.</i> (2003)
Salix caprea	cpDNA: PCR-RFLP	No S**	2/24 ; 0/32	High latitude refugia. More variation in Central-N Europe.	Palmé <i>et al.</i> (2003)
Salix alba, S. eleagnos, S. fragilis. S. hastata, S. neotricha, S. purpurea, S. triandra	_	-	_	_	_
SANTALACEAE					
Osyris quadripartita		-	-	-	-

ULMACEAE					
Ulmus glabra	cpDNA: PCR-RFLP	Spread. Incl. S and SE	?/92 (trees) ; 0/31	No glacial refuge inference.	Gil <i>et al.</i> (2004)
Ulmus minor	cpDNA: PCR-RFLP, nDNA: microsatellites & AFLP	Spread. Incl. S and SE	?/348 (trees) ; 0/31	No glacial refuge inference. Strong influence of human propagation on genetic structure.	Gil <i>et al.</i> (2004)
VITACEAE					
Vitis sylvestris	nDNA: microsatellites	SW and N	9/32 ; -	Iberian, Italian and Caucasus refugia. No recolonisation from Iberian populations.	Grassi <i>et al.</i> (2008)

- 839 \* See text for full references.
- 840 \*\* Papers derived from CYTOFOR PROJECT. Samples in Iberia only from Pyrenees, Galicia (NW) and Central Range.
- 841 \*\*\* Olalde et al. (2002) is centred in the Iberian Peninsula .
- 842 \*\*\*\* Although included in López de Heredia et al. (2007), no works on this species is comprehensive enough as to draw conclusions.



Figure 1



Figure 2



Figure 3



Figure 3 (cont.)